

Explicit formulas of strict BV relaxed energies for polyconvex functionals with linear growth

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Abstract. We consider polyconvex functionals defined on vector valued functions, the model case being the graph area functional, and we analyze the relaxed energy with respect to the strict convergence in BV . In several cases where the relaxed area is known, by exploiting a continuity result by Reshetnyak we are able to find an explicit formula for wide classes of integrands with linear growth in the minors of the gradient. We preliminarily extend to the BV setting a continuity property observed by Acerbi–Dal Maso in the Sobolev case. Finally, partial results concerning the relaxation of the vortex map with respect to the L^1 convergence are obtained.

Keywords : polyconvexity, area functional, polyconvex variational extension, parametric variational integral, integral representation, minimal surfaces.

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1 Introduction

Since the seminal work of Ball [2], the concept of polyconvexity has played a fundamental role in continuum mechanics, particularly within the realm of nonlinear elasticity and related analytical-geometric problems. Under standard growth conditions the involved energies turn out to be lower semicontinuous, whereas particular attention has been paid to the non standard cases, including the one when the functional has a linear growth. This is, for instance, the case of the area and the total variation functionals. In [1], the authors analyse continuity properties of polyconvex energies in the linear growth regime, proving that in general these are not lower semicontinuous and hence a relaxation procedure is needed, leading to lower semicontinuous functionals that however are not explicit. More precisely, if $f : \Omega \times \mathbb{R}^N \times \mathbb{R}^{N \times n} \rightarrow \mathbb{R}$ is a polyconvex integrand, with $\Omega \subseteq \mathbb{R}^n$ a bounded open set, $n, N \geq 2$, and f has a linear growth of the form

$$c|M(G)| \leq f(x, y, G) \leq C|M(G)| \quad \forall x \in \Omega, y \in \mathbb{R}^N, G \in \mathbb{R}^{N \times n}, \quad (1.1)$$

with $c, C > 0$, one defines, for every $u \in L^1(\Omega; \mathbb{R}^N)$, the relaxed functional

$$\widetilde{\mathcal{F}}_{L^1}(u, \Omega) = \inf \left\{ \liminf_{h \rightarrow \infty} \int_{\Omega} f(x, u_h(x), \nabla u_h(x)) dx \mid \{u_h\} \subset C^1(\Omega; \mathbb{R}^N), u_h \rightarrow u \text{ in } L^1(\Omega; \mathbb{R}^N) \right\}.$$

Above, $M(G)$ is the vector containing all the determinants of the $(k \times k)$ -minors of G , $k = 0, \dots, \min\{n, N\}$ (see Section 2.1 below). A special case of main interest is the area functional obtained by setting $f(x, y, G) = |M(G)|$, whose relaxation as above is denoted by $\widetilde{\mathcal{A}}_{L^1}(u, \Omega)$. In [1] it has been shown (under suitable assumptions on f) that $\widetilde{\mathcal{F}}_{L^1}(u, \Omega)$ gives rise to a lower semicontinuous functional in $L^1(\Omega; \mathbb{R}^N)$ that extends the energy

$$\mathcal{E}_f(u, \Omega) := \int_{\Omega} f(x, u(x), \nabla u(x)) dx$$

for C^1 maps, and that however does not admit an integral representation; more precisely, the set function $A \mapsto \widetilde{\mathcal{A}}_{L^1}(u, A)$, defined for all open set $A \subseteq \Omega$, is not subadditive, and then it is not the restriction of any

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Borel measure. The latter assertion was originally conjectured by De Giorgi in [14], who suggested to prove the lack of subadditivity, in case $n = N = 2$, for the vortex map u_V and the triple junction function u_T , which become the unique two nontrivial examples on which the relaxed area functional can be explicitly computed (see [5] and [20]).

The issue regarding the lack of locality of the relaxed functional $\tilde{\mathcal{A}}_{L^1}(u, \Omega)$ is closely linked to the wide freedom of approximation imposed by the L^1 -norm. From the observation that the domain of $\tilde{\mathcal{F}}_{L^1}(u, \Omega)$ is a subset of $BV(\Omega; \mathbb{R}^N)$, in order to overcome the previous drawbacks, a possible strategy is to replace the L^1 convergence with the strict convergence in BV , leading to the new relaxed functional

$$\tilde{\mathcal{F}}_{BV}(u, \Omega) = \inf \left\{ \liminf_{h \rightarrow \infty} \mathcal{E}_f(u_h, \Omega) \mid \{u_h\} \subset C^1(\Omega; \mathbb{R}^N), u_h \rightarrow u \text{ strictly in } BV(\Omega; \mathbb{R}^N) \right\}.$$

The corresponding relaxation of the area functional in the strict convergence in BV is denoted by $\tilde{\mathcal{A}}_{BV}(u, \Omega)$, and the analysis of the latter energy has been addressed in [3, 4, 11, 12, 18]. In particular, integral representation formulas for $\tilde{\mathcal{A}}_{BV}(u, \Omega)$ has been shown in the case $n = 2$ in [3, 4, 11], when for instance u is a 0-homogeneous or piecewise Lipschitz map, or even a Sobolev map with values in $\mathbb{S}^1$. The latter case has been generalized to the higher dimension $n \geq 3$ in [12], where however it is proved that the set function $A \mapsto \tilde{\mathcal{A}}_{BV}(u, A)$ is still non-subadditive when $N \geq 3$.

In the 2-dimensional case $n = 2$, a recent work [21] addressed the proof of locality of $\tilde{\mathcal{F}}_{BV}(u, \Omega)$, namely showing that the set function $\Omega \supseteq A \mapsto \tilde{\mathcal{F}}_{BV}(u, A)$ is actually the restriction to open sets of a Borel measure. In light of this result, hopes grow for finding a general integral representation for $\tilde{\mathcal{F}}_{BV}(u, \Omega)$.

The locality result obtained in [21] relies on the fact that in dimension $n = 2$, and for any $N \geq 2$, the strict convergence in BV is strong enough to ensure that suitable gluing techniques can be performed by paying a small amount of energy. Notice that this cannot happen in the case of L^1 convergence, where concentration effects may appear.

We expect that the locality property continues to hold in the codimension $N = 2$ case, for any $n \geq 2$. More specifically, if $\{v_k\}$ is a sequence of smooth functions approximating u in $L^1(\Omega; \mathbb{R}^N)$ and such that $\mathcal{E}_f(v_k, \Omega) < C < +\infty$ for all k , the first inequality in (1.1) implies that the graphs G_{v_k} of v_k , seen as integer multiplicity rectifiable currents in $\Omega \times \mathbb{R}^N$, have uniformly bounded masses, and then, up to subsequences, they converge to some Cartesian current T with underlying map u . In general, there are a lot of such possible limit currents T for graphs G_{v_k} of qualitatively different L^1 -approximating sequences, and this lack of uniqueness determines the non locality feature addressed in [1].

In contrast, if $N = 2$, for every sequence v_k converging to u strictly in $BV(\Omega; \mathbb{R}^2)$ and with equibounded area, the limit current T is unique (this is a result in [18]). Hence, any function $u \in BV(\Omega; \mathbb{R}^2)$ satisfying $\tilde{\mathcal{A}}_{BV}(u, \Omega) < \infty$ has a unique corresponding Cartesian current T_u (called minimal completely vertical lifting of u) which comes into the play in the relaxation approach. We finally recall that the locality property of the relaxed functional $A \mapsto \tilde{\mathcal{A}}_{BV}(u, A)$ fails to hold in case $n = N = 3$, compare [12].¹

The aim of this paper is to provide some expressions for $\tilde{\mathcal{F}}_{BV}(u, \Omega)$, at least in the cases when the representation formula for $\tilde{\mathcal{A}}_{BV}(u, \Omega)$ is known. Among these cases we can consider 0-homogeneous maps, some particular type of piecewise Lipschitz maps and general Sobolev maps valued in $\mathbb{S}^1$. These kind of maps u have been considered in [3, 4, 11, 12] where the authors proved explicit representation formulas for $\tilde{\mathcal{A}}_{BV}(u, \Omega)$. This is the starting point to obtain representation formulas for $\tilde{\mathcal{F}}_{BV}(u, \Omega)$. Indeed we prove the following main result, where without loss of generality we choose $\Omega = B^n$ the unit ball in $\mathbb{R}^n$.

¹Consider the vortex map $u_V(x) = x/|x|$ defined from the 3D unit ball B^3 into $\mathbb{R}^3$. Roughly speaking, there are two qualitatively different Cartesian currents T_1 and T_2 that naturally arise in the relaxation procedure. They take the form $T_i = G_{u_V} + S_i$, where S_1 is the completely vertical current corresponding to integration of 3-forms on $\{0\} \times D^3$, where the origin 0 is the point singularity of the vortex map and D^3 is the unit ball in the target space. Instead, S_2 corresponds to integration of 3-forms on $L \times \mathbb{S}^2$, where L is a line segment connecting the origin to a point at the boundary of the domain B^3 , whereas $\mathbb{S}^2$ is the 2-sphere in the target space given by the boundary of D^3 . This is the idea already contained in the counterexample from [1]. The main issue is that in case $n = N = 3$, for $i = 1, 2$ we can find a sequence of smooth maps $v_k^{(i)} : B^3 \rightarrow \mathbb{R}^3$ converging to u_V strictly in BV and such that the area of the graph of $v_k^{(i)}$ converges to the mass of the current T_i as $k \rightarrow \infty$, for $i = 1, 2$. Notice that in case $n = N = 2$, the weak convergence of the graphs to a current of the type $G_{u_V} + L \times \mathbb{S}^1$ cannot be obtained if one imposes the strict convergence in BV , due to the energy concentration on the segment L in the unit disk B^2 .

Theorem 1.1. *Let $n \geq 2$ and let $u \in BV(B^n; \mathbb{R}^2)$ be such that $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$. Assume that there exists a Cartesian current $\bar{T}$ with underlying map u and a sequence $\{v_k\} \subset C^1(B^n; \mathbb{R}^2)$ approximating u strictly in $BV(B^n; \mathbb{R}^2)$ such that*

$$\widetilde{\mathcal{A}}_{BV}(u, B^n) = \mathbf{M}(\bar{T}) \quad \text{and} \quad G_{v_k} \rightharpoonup \bar{T} \quad \text{as currents.} \quad (1.2)$$

Then for every continuous integrand f as above we have

$$\widetilde{\mathcal{F}}_{BV}(u, B^n) = \mathcal{F}_f(\bar{T}),$$

where $\mathcal{F}_f$ denotes the parametric variational integrand associated to f .

For the precise definition of parametric variational integrand we refer to Section 2.4.1. We observe that the existence of a sequence $\{v_k\}$ as in the hypothesis (1.2) implies that the graph currents G_{v_k} are approaching $\bar{T}$ in mass, namely

$$\mathbf{M}(G_{v_k}) \rightarrow \mathbf{M}(\bar{T}). \quad (1.3)$$

Then in order to prove Theorem 1.1 we need two ingredients: The first one is continuity result, showing that if (1.3) holds then also

$$\mathcal{E}_f(v_k, B^n) \rightarrow \mathcal{F}_f(\bar{T}).$$

This is obtained as a consequence of a result by Reshetnyak [19], see Proposition 3.6 below. With this into account we readily obtain that $\widetilde{\mathcal{F}}_{BV}(u, B^n) \leq \mathcal{F}_f(\bar{T})$. To show the opposite inequality the second ingredient comes into play, namely the uniqueness of the limiting current $\bar{T}$ as proved in [18], which entails that for any sequence $\{u_k\} \subset C^1(B^n; \mathbb{R}^2)$ approaching strictly u in $BV(B^n; \mathbb{R}^2)$ and whose graph have equibounded area, up to a subsequence there holds $G_{u_k} \rightharpoonup \bar{T}$. So we infer, by lower semicontinuity of $\mathcal{F}_f$, that $\mathcal{F}_f(\bar{T}) \leq \liminf_k \mathcal{E}_f(u_k, B^n)$. We then conclude by arbitrariness of u_k .

Notice that in order to apply Theorem 1.1 we need to check that for a certain map u the hypothesis (1.2) holds. This requires to find out the explicit expression of $\bar{T}$ and to compare it with the integral representation of $\widetilde{\mathcal{A}}_{BV}(u, B^n)$. In the present paper this analysis is carried on for three kinds of maps:

- (1) Sobolev $\mathbb{S}^1$ -valued maps;
- (2) 0-homogeneous maps of bounded variation, in case $n = 2$;
- (3) a special subclass of BV consisting of piecewise Lipschitz maps, in case $n = 2$, see Definition 6.10.

Thanks to the results in [3] for $n = 2$ and [12] for $n \geq 3$, the case (1) is well understood and it is always possible to show that property (1.2) holds (see Section 6.1).

In the case (2), the expression of $\widetilde{\mathcal{A}}_{BV}(u, B^2)$ is related with a planar singular Plateau problem, consisting in finding the disk type surface of minimal area spanning a certain Lipschitz curve in $\mathbb{R}^2$ that may have self-intersections (see Section 4). Then to check that (1.2) is satisfied requires a fine analysis of the Plateau problem, for which we give some sufficient conditions to hold (see Corollary 4.12).

Concerning point (3), piecewise Lipschitz maps are functions defined on a finite partition of the domain B^2 , whose interfaces form a good network of curves, in the sense of Definition 6.9. For this class of maps the expression of $\widetilde{\mathcal{A}}_{BV}(u, B^2)$ has been studied in [4], and comparing this with the structure of the current $\bar{T}$ it is possible to check that property (1.2) holds again under suitable conditions on the behavior of u around the junction points of the network.

Finally, we discuss some connection and possible application of our results to the analysis of the relaxation in L^1 , at least in some special cases. For example, we give some sufficient conditions in order to characterize, for the map $u_V(x) = \frac{x}{|x|}$, the quantity $\widetilde{\mathcal{F}}_{L^1}(u_V; B_R^n)$ when the radius R of the ball $B_R^n = B_R(0) \subset \mathbb{R}^n$ is big enough.

The paper is organized as follows: In Section 2 we introduce the notation and setting of the problem. We review the notion of relaxation of the area functional, of Cartesian currents, and we recall the notation in multi-vectors analysis to define the parametric polyconvex extension of the functional $\mathcal{E}_f(u, B^n)$ (see Section 2.4). In Section 3 we recall the classical Reshetnyak continuity theorem and extend it in order to prove Theorem 1.1, which is here reformulated in Proposition 3.6 and Theorem 3.7. In Section 4 we

review the results from [13] about the singular Plateau problem and prove some properties of it, up to giving the aforementioned sufficient condition in order that the mass of the current associated to a solution of the Plateau problem coincides with the area of the minimal disk-type surface. Section 5 is devoted to the analysis of $\widetilde{\mathcal{F}}_{L^1}(u_V; B_R^n)$, where u_V is the vortex map. In Section 6 we discuss how to apply our main result to obtain representation formulas for the maps in the cases (1), (2), and (3) above. We conclude with Section 7, where we collect some open questions and further directions to investigate.

2 Notation and preliminary results

We recall some notation on BV functions and the relaxed area functional. We then introduce some tools on the theory of currents, referring to [16, 17] for a more complete discussion.

For the basic notation, we use the symbol $\mathcal{L}^n$ to denote the Lebesgue measure in $\mathbb{R}^n$; the symbol B_R^n denotes the open ball of radius $R > 0$, centered at the origin of $\mathbb{R}^n$. When $R = 1$ we simply write $B^n := B_1^n$. We also denote by $\|u\|_{p,A}$ the L^p -norm of a function u defined on an open set A . Finally, $\mathcal{H}^k$ denotes the k -dimensional Hausdorff measure.

In what follows, $n, N \geq 2$ will be two natural numbers, and we work with currents defined in the cylinder $U = B^n \times \mathbb{R}^N$. The starting example is the n -current G_u associated to the naturally oriented graph $\mathcal{G}_u$ in $B^n \times \mathbb{R}^N$ of a smooth function $u : B^n \rightarrow \mathbb{R}^N$.

2.1 Relaxed area functional

If $u \in C^1(B^n; \mathbb{R}^N)$, the area functional is given by

$$A(u, B^n) := \int_{B^n} |M(\nabla u)| \, dx,$$

where for any real valued $N \times n$ matrix $G \in \mathbb{R}^{N \times n}$, we denote

$$|M(G)|^2 := \sum_{k=0}^{\min\{n, N\}} |M_k(G)|^2. \quad (2.1)$$

In this formula, we let $|M_0(G)| = 1$, $|M_1(G)| = |G|$, and for $2 \leq k \leq \min\{n, N\}$, the squared term $|M_k(G)|^2$ is equal to the sum of the square of the determinants of all minors of order k of the matrix G .

If e.g. $n = N = 2$, then $|M_2(G)| = |\det G|$ and we have

$$A(u, B^2) = \int_{B^2} \{1 + |\nabla u|^2 + (\det \nabla u)^2\}^{1/2} \, dx.$$

2.1.1 BV maps

We recall that the space of vector valued functions of bounded variations $BV(B^n; \mathbb{R}^N)$ is the vector space of maps $u \in L^1(B^n; \mathbb{R}^N)$ whose distributional derivative Du is a $\mathbb{R}^{N \times n}$ -valued Borel measure in B^n with finite total variation, $|Du|(B^n) < \infty$. In that case, u is approximately differentiable $\mathcal{L}^n$ -a.e. on B^n , the approximate gradient ∇u is a summable function in $L^1(B^n; \mathbb{R}^{N \times n})$, and the mutually singular decomposition holds:

$$Du = \nabla u \mathcal{L}^n + D^s u, \quad |Du|(B^n) = \int_{B^n} |\nabla u| \, dx + |D^s u|(B^n).$$

In turn, the singular part $D^s u$ of Du splits in two mutually singular measures, $D^s u = D^C u + D^J u$. The Cantor part satisfies $|D^C u|(B) = 0$ for every Borel set $B \subset B^n$ such that $\mathcal{H}^{n-1}(B) < \infty$. The jump part $D^J u$ is concentrated on a countably $\mathcal{H}^{n-1}$ -rectifiable set J_u , the jump set of u , and writes as

$$D^J u = (u^+ - u^-) \otimes \nu \, d\mathcal{H}^{n-1}$$

where $\nu(x)$ is a normal unit vector to J_u at x , and $u^\pm(x)$ denote the one-sided limits w.r.t. $\nu(x)$ at $x \in J_u$ (see [16]).

A sequence $\{u_h\} \subset BV(B^n; \mathbb{R}^N)$ is said to converge weakly-* in BV to a function $u \in BV(B^n; \mathbb{R}^N)$ if $u_h \rightarrow u$ strongly in $L^1(B^n; \mathbb{R}^N)$ and $Du_h \rightharpoonup Du$ weakly-* as measures, i.e., $\langle Du_h, \varphi \rangle \rightarrow \langle Du, \varphi \rangle$ as $h \rightarrow \infty$ for every $\varphi \in C_b^0(B^n; \mathbb{R}^{N \times n})$. We recall that if a sequence $\{u_h\} \subset BV(B^n; \mathbb{R}^N)$ converges strongly in L^1 to some function $u \in L^1(B^n; \mathbb{R}^N)$ and $\sup_h |Du_h|(B^n) < \infty$, then $u \in BV(B^n; \mathbb{R}^N)$ and a subsequence of $\{u_h\}$ converges to u weakly-* in BV . In that case, we also have $|Du|(B^n) \leq \liminf_h |Du_h|(B^n)$.

If $\{u_h\} \subset BV(B^n; \mathbb{R}^N)$ converges weakly-* in BV to $u \in BV(B^n; \mathbb{R}^N)$, and $|Du_h|(B^n) \rightarrow |Du|(B^n)$, then the sequence $\{u_h\}$ is said to converge to u *strictly* in BV .

We introduce the quantity

$$\mathcal{V}(u, B^n) := \int_{B^n} \sqrt{1 + |\nabla u|^2} dx + |D^s u|(B^n), \quad u \in BV(B^n; \mathbb{R}^N).$$

After extending $\mathcal{V}(\cdot, B^n)$ to $L^1(B^n; \mathbb{R}^N)$ by setting $\mathcal{V}(\cdot, B^n) = +\infty$ on $L^1(B^n; \mathbb{R}^N) \setminus BV(B^n; \mathbb{R}^N)$, the functional $\mathcal{V}(u, B^n)$ becomes lower-semicontinuous with respect to the $L^1(B^n; \mathbb{R}^N)$ convergence. This means that $\mathcal{V}(u, B^n) \leq \liminf_h \mathcal{V}(u_h, B^n)$ whenever $u_h \rightarrow u$ in $L^1(B^n; \mathbb{R}^N)$ (see [16]).

2.1.2 Relaxation of the area functional

For a given function $u \in L^1(B^n; \mathbb{R}^N)$, we denote by $\tilde{\mathcal{A}}_{L^1}(u, B^n)$ the relaxed area functional w.r.t. to the L^1 -convergence, i.e.,

$$\tilde{\mathcal{A}}_{L^1}(u, B^n) = \inf \left\{ \liminf_{h \rightarrow \infty} A(u_h, B^n) \mid \{u_h\} \subset C^1(B^n; \mathbb{R}^N), u_h \rightarrow u \text{ in } L^1(B^n; \mathbb{R}^N) \right\}.$$

If $\tilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$, it turns out that $u \in BV(B^n; \mathbb{R}^N)$ and

$$\tilde{\mathcal{A}}_{L^1}(u, B^n) \geq A(u, B^n) + |D^s u|(B^n) = \int_{B^n} |M(\nabla u)| dx + |D^s u|(B^n) \geq \mathcal{V}(u, B^n),$$

where $A(u, B^n)$ is the area functional, defined as above but in terms of the approximate gradient ∇u .

In case $\tilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$, we also denote by $\tilde{\mathcal{A}}_{BV}(u, B^n)$ the relaxed area functional w.r.t. to the strict convergence in BV , i.e.,

$$\tilde{\mathcal{A}}_{BV}(u, B^n) = \inf \left\{ \liminf_{h \rightarrow \infty} A(u_h, B^n) \mid \{u_h\} \subset C^1(B^n; \mathbb{R}^N), u_h \rightarrow u \text{ strictly in } BV(B^n; \mathbb{R}^N) \right\},$$

so that clearly $\tilde{\mathcal{A}}_{L^1}(u, B^n) \leq \tilde{\mathcal{A}}_{BV}(u, B^n)$. Usually, this inequality might be strict and there are maps $u \in BV(B^n; \mathbb{R}^N)$ such that $\tilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$ and $\tilde{\mathcal{A}}_{BV}(u, B^n) = \infty$ (see [4]).

2.2 Currents

Let m be a positive integer. Given an open set $U \subset \mathbb{R}^m$, for any integer $k \in \{0, \dots, m\}$ we denote by $\mathcal{D}^k(U)$ the space of smooth k -forms with compact support in U and by $\mathcal{D}_k(U)$ its dual, the space of k -dimensional currents. If $T \in \mathcal{D}_k(U)$ we denote by

$$\mathbf{M}(T) = \sup \{T(\omega) \mid \omega \in \mathcal{D}^k(U), \|\omega\| \leq 1\}$$

the mass of T . Moreover, the boundary $\partial T \in \mathcal{D}_{k-1}(U)$ of T is the current defined by

$$\partial T(\eta) := T(d\eta) \quad \forall \eta \in \mathcal{D}^{k-1}(U),$$

where $d\eta$ denotes the external differential of η . If $k = 0$ by convention it holds $\partial T = 0$.

Weak convergence $T_h \rightharpoonup T$ of currents in $\mathcal{D}_k(U)$ is defined by duality, i.e., requiring that

$$\lim_{h \rightarrow \infty} T_h(\omega) = T(\omega) \quad \forall \omega \in \mathcal{D}^k(U).$$

Whenever $\Phi : U \rightarrow V$ is Lipschitz and proper, $V \subset \mathbb{R}^M$ is open, and $M \geq k$, the push-forward of the current $T \in \mathcal{D}_k(U)$ through Φ is given by

$$\Phi_\# T(\tilde{\omega}) = T(\Phi^\sharp \tilde{\omega}), \quad \forall \tilde{\omega} \in \mathcal{D}^k(V),$$

where $\Phi^\# \tilde{\omega} \in \mathcal{D}^k(U)$ denotes the pull-back k -form. Therefore, $\Phi_\# T \in \mathcal{D}_k(V)$.

We say that a current $T \in \mathcal{D}_k(U)$ is *rectifiable* if there exist a countably $\mathcal{H}^k$ -rectifiable set S in U , a simple unit k -vector $\xi(x)$ in $\mathbb{R}^m$ orienting the approximate tangent k -space to S at x for $\mathcal{H}^k$ -a.e. $x \in S$, and a $\mathcal{H}^k \llcorner S$ -summable and nonnegative map $\theta : S \rightarrow \mathbb{R}$ such that

$$T(\omega) = \int_S \theta(x) \langle \omega(x), \xi(x) \rangle d\mathcal{H}^k(x), \quad \forall \omega \in \mathcal{D}^k(U), \quad (2.2)$$

where we have denoted by $\langle \cdot, \cdot \rangle$ the standard duality pairing between k -covectors and k -vectors. In that case, we write $T = \llbracket S, \theta, \xi \rrbracket$, and we have $\mathbf{M}(T) = \int_S \theta d\mathcal{H}^k < \infty$.

A rectifiable current $T \in \mathcal{D}_k(U)$ is said to be an *integer multiplicity current* (i.m. current) if θ takes integer values. We denote by $\mathcal{R}_k(U)$ the corresponding class. If $T \in \mathcal{R}_k(U)$ and in addition $\mathbf{M}(\partial T) < \infty$, the boundary rectifiability theorem implies that $\partial T \in \mathcal{R}_{k-1}(U)$, and T is called an *integral current*, say $T \in \mathcal{I}_k(U)$.

Federer-Fleming Compactness theorem asserts that a sequence of integral currents $T_h \in \mathcal{I}_k(U)$ with $\sup_h (\mathbf{M}(T_h) + \mathbf{M}(\partial T_h)) < \infty$ admits a subsequence weakly converging to an integral current $T \in \mathcal{I}_k(U)$.

If S is a smooth oriented k -manifold embedded in U , the symbol $\llbracket S \rrbracket$ denotes the current naturally associated by integration of k -forms on S in the sense of Differential Geometry. Therefore, if S has a naturally oriented smooth boundary ∂S , by Stokes theorem we have

$$\partial \llbracket S \rrbracket(\eta) = \llbracket S \rrbracket(d\eta) = \int_S d\eta = \int_{\partial S} \eta, \quad \forall \eta \in \mathcal{D}^{k-1}(U).$$

2.2.1 Multi-vectors

We let $\Lambda_n \mathbb{R}^{n+N}$ denote the space of n -vectors ξ in $\mathbb{R}^{n+N} \simeq \mathbb{R}^n \times \mathbb{R}^N$. Symbols $\{e_1, \dots, e_n\} \subset \Lambda_1 \mathbb{R}^n$ and $\{\varepsilon_1, \dots, \varepsilon_N\} \subset \Lambda_1 \mathbb{R}^N$ denote the canonical bases of 1-vectors in $\mathbb{R}^n$ and $\mathbb{R}^N$, and $\{dx^1, \dots, dx^n\}$ and $\{dy^1, \dots, dy^N\}$ the dual bases of 1-covectors in $\mathbb{R}^n$ and $\mathbb{R}^N$, respectively.

We denote by $\xi^{\bar{0}0}$ the coefficient of $e_1 \wedge \dots \wedge e_n$ in the expression of a n -vector $\xi \in \Lambda_n \mathbb{R}^{n+N}$, that is $\xi^{\bar{0}0} = \langle dx^1 \wedge \dots \wedge dx^n, \xi \rangle$. We also denote by Σ the class of simple n -vectors in $\Lambda_n \mathbb{R}^{n+N}$, and we let

$$\begin{aligned} \Lambda_1 &:= \{ \xi \in \Lambda_n \mathbb{R}^{n+N} \mid \xi^{\bar{0}0} = 1 \}, \\ \Sigma_1 &:= \{ \xi \in \Sigma \mid \xi^{\bar{0}0} = 1 \}, \\ \Sigma_+ &:= \{ \xi \in \Sigma \mid \xi^{\bar{0}0} > 0 \}. \end{aligned}$$

For any real valued $N \times n$ matrix $G \in \mathbb{R}^{N \times n}$, we let

$$\vec{M}(G) := (e_1 + Ge_1) \wedge \dots \wedge (e_n + Ge_n) \in \Lambda_n \mathbb{R}^{n+N},$$

where

$$Ge_i = \sum_{j=1}^N G_i^j \varepsilon_j, \quad i = 1, \dots, n.$$

Then $\vec{M}(G) \in \Sigma_1$, and the unit simple n -vector

$$\xi_G := \frac{\vec{M}(G)}{|\vec{M}(G)|}$$

identifies the plane graph of G in $\mathbb{R}^{n+N}$, and in fact orients such a n -plane. We have $\xi_G \in \Sigma_+$, with $\xi_G^{\bar{0}0} = |\vec{M}(G)|^{-1}$ and $|\vec{M}(G)| = |M(G)|$, see (2.1).

Notice moreover that for every $\xi \in \Sigma_1$ there exists a unique matrix G_ξ in $\mathbb{R}^{N \times n}$ such that

$$\xi = \vec{M}(G_\xi).$$

We finally recall that Λ_1 is the convex envelop of Σ_1 .

Definition 2.1. A function $f : \mathbb{R}^{N \times n} \rightarrow \mathbb{R} \cup \{+\infty\}$ is said to be polyconvex if there exists a convex function $g : \Lambda_1 \rightarrow \mathbb{R} \cup \{+\infty\}$ such that

$$f(G) = g(\vec{M}(G)) \quad \forall G \in \mathbb{R}^{N \times n}$$

or equivalently

$$g(\xi) = f(G_\xi) \quad \forall \xi \in \Sigma_1.$$

2.2.2 Graph currents

The n -current G_u carried by the graph of a smooth map $u : B^n \rightarrow \mathbb{R}^N$ is defined by the integration of compactly supported and smooth n -forms ω in $\mathcal{D}^n(B^n \times \mathbb{R}^N)$ on the naturally oriented graph $\mathcal{G}_u$ of u . By the area formula, we have

$$G_u(\omega) = \int_{B^n} \langle \omega(x, u(x)), \vec{M}(\nabla u(x)) \rangle dx \quad (2.3)$$

and hence the mass of G_u is equal to the area functional, i.e.,

$$\mathbf{M}(G_u) = A(u, B^n). \quad (2.4)$$

Furthermore, by Stokes theorem for every $\eta \in \mathcal{D}^{n-1}(B^n \times \mathbb{R}^N)$ we have

$$\partial G_u(\eta) := G_u(d\eta) = \int_{\mathcal{G}_u} d\eta = \int_{\partial \mathcal{G}_u} \eta = 0,$$

and hence $\partial G_u = 0$ on $\mathcal{D}^{n-1}(B^n \times \mathbb{R}^N)$. Therefore, if $|M(\nabla u)| \in L^1(B^n)$, it turns out that G_u is an integral n -current with null boundary in $B^n \times \mathbb{R}^N$.

We introduce the class $\mathcal{A}^1(B^n; \mathbb{R}^N)$ consisting of all functions $u \in L^1(B^n; \mathbb{R}^N)$ which are approximately differentiable $\mathcal{L}^n$ -a.e. and such that $|M(\nabla u)| \in L^1(B^n)$, where ∇u is the approximate gradient.

If $u \in \mathcal{A}^1(B^n; \mathbb{R}^N)$, the graph current G_u is well-defined in an approximate sense by formula (2.3), and it is again an i.m. rectifiable current in $\mathcal{R}_n(B^n \times \mathbb{R}^N)$, with finite mass satisfying equation (2.4). However, in general the null-boundary condition $\partial G_u = 0$ is violated, and we may have $\mathbf{M}(\partial G_u) = \infty$.

2.2.3 Cartesian currents

Cartesian currents naturally arise by applying Federer-Fleming Compactness theorem to sequences of graph currents of smooth maps. More precisely, if $\{u_h\} \subset C^1(B^n; \mathbb{R}^N)$ satisfies

$$\sup_h (\mathbf{M}(G_{u_h}) + \|u_h\|_{\infty, B^n}) < \infty,$$

recalling that $\partial G_{u_h} = 0$ for every h , we find a not relabeled subsequence and an i.m. rectifiable current $T \in \mathcal{R}_n(B^n \times \mathbb{R}^N)$ such that $G_{u_h} \rightharpoonup T$ weakly as currents. Moreover, by lower semicontinuity we have

$$\mathbf{M}(T) \leq \liminf_{h \rightarrow \infty} \mathbf{M}(G_{u_h}) < \infty$$

and, by stability of the null-boundary condition, we have $\partial T = 0$. Furthermore, there exists a map of bounded variation u_T in $\mathcal{A}^1(B^n; \mathbb{R}^N)$ such that $u_h \rightarrow u_T$ in $L^1(B^n; \mathbb{R}^N)$. Therefore, we can decompose

$$T = G_{u_T} + S_T, \quad \mathbf{M}(T) = \mathbf{M}(G_{u_T}) + \mathbf{M}(S_T) \quad (2.5)$$

where S_T is a *vertical* current in $\mathcal{R}_n(B^n \times \mathbb{R}^N)$, in the sense that

$$S_T(\varphi(x, y) dx^1 \wedge \cdots \wedge dx^n) = 0 \quad \forall \varphi \in C_c^\infty(B^n \times \mathbb{R}^N).$$

Finally, the support of T is contained in the compact set

$$\mathcal{K}_R := \overline{B}^n \times \overline{B}_R^N$$

given by the closure (in $B^n \times \mathbb{R}^N$) of $B^n \times B_R^N$ for some radius $R > 0$, that is, $T(\omega) = 0$ for every $\omega \in \mathcal{D}^n(B^n \times \mathbb{R}^N)$ that is null in $\mathcal{K}_R$.

The class of Cartesian currents with compact support is identified by the previous properties. Therefore, in this paper we say that $T \in \text{cart}(B^n \times \mathbb{R}^N)$ if:

- i) $T \in \mathcal{R}_n(B^n \times \mathbb{R}^N)$;
- ii) T has compact support contained in $\mathcal{K}_R$ for some $R > 0$;
- iii) T satisfies the null boundary condition $\partial T = 0$;
- iv) T can be decomposed as in (2.5) for some function $u_T \in \mathcal{A}^1(B^n; \mathbb{R}^N)$ and some vertical current S_T in $\mathcal{R}_n(B^n \times \mathbb{R}^N)$;
- v) u_T is a function of bounded variation in $BV(B^n; \mathbb{R}^N)$, with $\|u_T\|_{\infty, B^n} \leq R$.

It turns out that the class $\text{cart}(B^n \times \mathbb{R}^N)$ is closed along weakly converging sequences with equibounded mass and support contained in some $\mathcal{K}_R$.

2.3 Relaxed area and currents

For a function $u \in L^1(B^n; \mathbb{R}^N)$ such that $\widetilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$ we introduce the class

$$\mathcal{T}_u := \{T \in \text{cart}(B^n \times \mathbb{R}^N) : T = G_u + S_T, \text{ for some vertical current } S_T \in \mathcal{R}_n(B^n \times \mathbb{R}^N)\},$$

i.e., the family of Cartesian currents with underlying map u . We recall that if $u \in L^1(B^n; \mathbb{R}^N)$ is such that $\widetilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$, then $u \in \mathcal{A}^1(B^n; \mathbb{R}^N)$ and the class $\mathcal{T}_u$ is non-empty. Moreover we have

$$\widetilde{\mathcal{A}}_{L^1}(u, B^n) = \inf\{\widetilde{\mathcal{A}}(T) \mid T \in \mathcal{T}_u\},$$

where for any $T \in \mathcal{T}_u$ we have let

$$\widetilde{\mathcal{A}}(T) := \inf \left\{ \liminf_{h \rightarrow \infty} A(u_h, B^n) \mid \{u_h\} \subset C^1(B^n; \mathbb{R}^N), G_{u_h} \rightharpoonup T \text{ weakly in } \mathcal{D}_n(B^n \times \mathbb{R}^N) \right\}.$$

Assume now that $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$. Then, arguing as in [18], it turns out that there exist currents T in $\mathcal{T}_u$ whose action on forms with exactly one differential in the vertical direction only depends on u and Du . More precisely, we denote by $\mathcal{T}_u^{BV}$ the elements in $\mathcal{T}_u$ such that for every $i \in \{1, \dots, n\}$, $j \in \{1, \dots, N\}$, and $\phi \in C_c^\infty(B^n \times \mathbb{R}^N)$ we have

$$T(\phi(x, y) \widehat{dx}^i \wedge dy^j) = (-1)^{i-1} \int_{B^n} \left(\int_0^1 \phi(x, u^\theta(x)) d\theta \right) d(Du)_i^j,$$

where $u^\theta(x) = \theta u^+(x) + (1 - \theta) u^-(x)$ if $x \in J_u$, and $u^\theta(x)$ agrees with a precise representative $\mathcal{H}^{n-1}$ -a.e. on $B^n \setminus J_u$.

In fact, if $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$ we have

$$\widetilde{\mathcal{A}}_{BV}(u, B^n) = \inf\{\widetilde{\mathcal{A}}(T) \mid T \in \mathcal{T}_u^{BV}\}.$$

However, in general the class $\mathcal{T}_u^{BV}$ contains a unique element only if $N = 2$, for every $n \geq 2$, see [18].

By lower semicontinuity, we thus have

$$\widetilde{\mathcal{A}}_{L^1}(u, B^n) \geq \inf\{\mathbf{M}(T) \mid T \in \mathcal{T}_u\}, \quad \widetilde{\mathcal{A}}_{BV}(u, B^n) \geq \inf\{\mathbf{M}(T) \mid T \in \mathcal{T}_u^{BV}\},$$

but the strict inequality holds true in presence of topological obstructions that are not seen by the homology. This is the case of the double-eight example (see Remark 4.7), given by the 0-homogeneous extension u_8 of the map $\varphi_8 \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$

$$\varphi_8(\cos \theta, \sin \theta) := \begin{cases} (-1 + \cos 4\theta, \sin 4\theta) & \text{if } 0 \leq \theta < \pi/2 \\ (1 - \cos 4\theta, \sin 4\theta) & \text{if } \pi/2 \leq \theta < \pi \\ (-1 + \cos 4\theta, -\sin 4\theta) & \text{if } \pi \leq \theta < 3\pi/2 \\ (1 - \cos 4\theta, -\sin 4\theta) & \text{if } 3\pi/2 \leq \theta < 2\pi \end{cases} \quad (2.6)$$

whose image covers an “eight” figure twice but with opposite orientation. The Sobolev function u_8 belongs to the class $\mathcal{A}^1(B^2; \mathbb{R}^2)$ and its graph current satisfies $\partial G_{u_8} = 0$, whence $G_{u_8} \in \text{cart}(B^2 \times \mathbb{R}^2)$, but (see, e.g., [21, Section 6])

$$\widetilde{\mathcal{A}}_{L^1}(u_8, B^2) > \mathbf{M}(G_{u_8}), \quad \widetilde{\mathcal{A}}_{BV}(u_8, B^2) > \mathbf{M}(G_{u_8}), \quad \mathbf{M}(G_{u_8}) = \mathcal{V}(u_8, B^2).$$

2.4 Polyconvex energies

In this paper we consider nonnegative and continuous integrands

$$f : B^n \times \mathbb{R}^N \times \mathbb{R}^{N \times n} \rightarrow \mathbb{R}_+,$$

where $\mathbb{R}_+ := [0, +\infty)$, satisfying the following properties:

- (a) the function $G \mapsto f(x, u, G)$ is polyconvex in $\mathbb{R}^{N \times n}$ for every $(x, u) \in B^n \times \mathbb{R}^N$;²
- (b) there exists a real constant $C > 0$ such that

$$0 \leq f(x, u, G) \leq C |M(G)|$$

for every $(x, u, G) \in B^n \times \mathbb{R}^N \times \mathbb{R}^{N \times n}$.

The corresponding energy on smooth functions $u : B^n \rightarrow \mathbb{R}^N$ is given by

$$\mathcal{E}_f(u, B^n) := \int_{B^n} f(x, u, \nabla u) \, dx. \quad (2.7)$$

Consider the map

$$\underline{f} : B^n \times \mathbb{R}^N \times \Sigma_1 \rightarrow \overline{\mathbb{R}}_+$$

defined by

$$\underline{f}(x, u, \xi) := f(x, u, G_\xi),$$

where, for every $\xi \in \Sigma_1$, we have denoted by G_ξ the unique matrix in $\mathbb{R}^{N \times n}$ such that $\xi = \vec{M}(G_\xi)$.

Taking x, u as parameters, we let

$$\bar{f}_{x,u} : \Lambda_n \mathbb{R}^{n+N} \rightarrow [0, +\infty]$$

be given by

$$\bar{f}_{x,u}(\xi) := \begin{cases} \xi^{\bar{0}0} \underline{f}(x, u, \xi / \xi^{\bar{0}0}) & \text{if } \xi \in \Sigma_+ \\ +\infty & \text{otherwise.} \end{cases}$$

Definition 2.2. *The parametric polyconvex l.s.c. envelope of the integrand $G \mapsto f_{x,u}(G) := f(x, u, G)$ is given by the convex l.s.c. envelope of $\xi \mapsto \bar{f}_{x,u}(\xi)$, namely*

$$F_{x,u}(\cdot) := \Gamma C \bar{f}_{x,u}(\cdot).$$

In fact, by the continuity of the integrand f , it turns out that the function $(x, u, \xi) \mapsto F_{x,u}(\xi)$ is lower semicontinuous in all variables and convex in ξ for every $(x, u) \in B^n \times \mathbb{R}^N$. Moreover, we have

$$F_{x,u}(\xi) = F_f(x, u, \xi)$$

for every $(x, u, \xi) \in B^n \times \mathbb{R}^N \times \Lambda_n \mathbb{R}^{n+N}$, where

$$F_f(x, u, \xi) := \sup \{ \phi(\xi) \mid \phi : \Lambda_n \mathbb{R}^{n+N} \rightarrow \overline{\mathbb{R}}_+, \phi \text{ linear}, \\ \phi(\vec{M}(G)) \leq f(x, u, G) \quad \forall G \in \mathbb{R}^{N \times n} \}.$$

2.4.1 Parametric variational integrals

For our purposes, if $T \in \mathcal{D}_n(B^n \times \mathbb{R}^N)$ has finite mass, we denote by $\|T\|$ the mass norm, i.e., the finite Borel measure given by

$$\|T\|(V) := \mathbf{M}(T \llcorner V)$$

for each open set $V \subset B^n \times \mathbb{R}^N$, by $T = \|T\| \llcorner \vec{T}$ the corresponding Radon-Nikodym decomposition, and by π and $\hat{\pi}$ the orthogonal projections onto the two factors in $\mathbb{R}^n \times \mathbb{R}^N$.

²This means that the function $G \mapsto f(x, u, G)$ can be written as a convex function $g(M(G))$ of the minors of $G \in \mathbb{R}^{N \times n}$, see Definition 2.1.

Definition 2.3. The parametric variational integral associated to the integrand f is defined by

$$\mathcal{F}_f(T, B \times \mathbb{R}^N) := \int_{B \times \mathbb{R}^N} F_f(\pi(z), \widehat{\pi}(z), \vec{T}(z)) \, d\|T\|(z) \quad (2.8)$$

for every Borel set $B \subset B^n$, and we let

$$\mathcal{F}_f(T) := \mathcal{F}_f(T, B^n \times \mathbb{R}^N).$$

Notice that for currents T in $\text{cart}(B^n \times \mathbb{R}^N)$, if $f(G) = |M(G)|$ we have $\mathcal{F}_f(T) = \mathbf{M}(T)$. Moreover, by property (b) we infer that

$$\mathcal{F}_f(T) \leq C \mathbf{M}(T).$$

Finally, by the construction it turns out that the following lower semicontinuity property holds (see [17]).

Proposition 2.4. Let $\{T_h\} \subset \mathcal{D}_n(B^n \times \mathbb{R}^N)$ be such that $\sup_h \mathbf{M}(T_h) < \infty$ and $T_h \rightharpoonup T$ weakly in $\mathcal{D}_n$. Then

$$\mathcal{F}_f(T) \leq \liminf_{h \rightarrow \infty} \mathcal{F}_f(T_h).$$

If $T \in \mathcal{R}_n(B^n \times \mathbb{R}^N)$, and $T = \llbracket S, \theta, \xi \rrbracket$, compare (2.2), we have $\vec{T} = \xi$ and $\|T\| = \theta \mathcal{H}^n \llcorner S$, so that

$$\mathcal{F}_f(T) = \int_S \theta(z) F_f(\pi(z), \widehat{\pi}(z), \xi(z)) \, d\mathcal{H}^n(z).$$

If e.g. $T = G_u$ for some $u \in \mathcal{A}^1(B^n; \mathbb{R}^N)$, using that $\theta = 1$ and $S = \mathcal{G}_u$, the rectifiable graph of u , recalling that

$$\xi(x, y) = \frac{\vec{M}(\nabla u(x))}{|\vec{M}(\nabla u(x))|},$$

for $\mathcal{H}^n$ -almost every $(x, y) \in \mathcal{G}_u$, and that $F_f(x, u, \vec{M}(G)) = f(x, u, G)$, by the area formula we obtain

$$\mathcal{F}_f(G_u) = \int_{\mathcal{G}_u} F_f(x, u(x), \vec{M}(\nabla u(x))) \frac{1}{|\vec{M}(\nabla u(x))|} \, d\mathcal{H}^n(z) = \int_{B^n} f(x, u(x), \nabla u(x)) \, dx,$$

so that extending the notation in (2.7) we have

$$\mathcal{F}_f(G_u) = \mathcal{E}_f(u, B^n) = \int_{B^n} f(x, u, \nabla u) \, dx \quad \forall u \in \mathcal{A}^1(B^n; \mathbb{R}^N),$$

where ∇u is the approximate gradient of u . More generally, if $T \in \text{cart}(B^n \times \mathbb{R}^N)$, and $T = G_u + S_T$, by the mass norm decomposition $\|T\| = \|G_u\| + \|S_T\|$ we have

$$\mathcal{F}_f(T) = \mathcal{E}_f(u, B^n) + \mathcal{F}_f(S_T).$$

Let now $\{u_h\} \subset C^1(B^n; \mathbb{R}^N)$ be a sequence of smooth functions with equibounded energies and uniform norms,

$$\sup_h (\mathcal{E}_f(u_h, B^n) + \|u_h\|_{\infty, B^n}) < \infty. \quad (2.9)$$

In order to apply Federer-Fleming Compactness theorem, the energy density needs to satisfy the following regularity property:

(c) there exists a real constant $c > 0$ such that

$$f(x, u, G) \geq c |M(G)|$$

for every $(x, u, G) \in B^n \times \mathbb{R}^N \times \mathbb{R}^{N \times n}$.

In that case, in fact, for every sequence $\{u_h\} \subset C^1(B^n; \mathbb{R}^N)$ satisfying (2.9), we obtain the mass bound $\sup_h \mathbf{M}(G_{u_h}) < \infty$, so that we find a not relabeled subsequence and a current $T \in \text{cart}(B^n \times \mathbb{R}^N)$ such that $G_{u_h} \rightharpoonup T$. Moreover, the support of T is contained in $\mathcal{K}_R$, where $R = \sup_h \|u_h\|_{\infty, B^n}$.

Remark 2.5. Assume now that $N = 2$ and that we know in addition that the sequence $\{u_h\}$ converges strictly in BV to some $u \in BV(B^n; \mathbb{R}^N)$. Then, instead of the regularity property (c), in order to apply Federer-Fleming Compactness theorem and to conclude as above, it suffices to require the weaker lower bound:

(c') there exists a real constant $c > 0$ such that

$$f(x, u, G) \geq c |M_2(G)|$$

for every $(x, u, G) \in B^n \times \mathbb{R}^2 \times \mathbb{R}^{2 \times n}$.

In that case, moreover, the underlying map u_T in (2.5) is equal to u .

A well studied functional satisfying condition (c') and not (c) is the *total variation of the Jacobian* for maps $u : B^2 \rightarrow \mathbb{R}^2$. Precisely, one considers

$$f(x, u, G) = |\det G|, \quad (x, u, G) \in B^2 \times \mathbb{R}^2 \times \mathbb{R}^{2 \times 2}.$$

The corresponding energy, for smooth $u : B^2 \rightarrow \mathbb{R}^2$, is given by

$$TVJ(u, B^2) := \int_{B^2} |\det(\nabla u)| \, dx.$$

See [15] and references therein for relaxation of this functional in the Sobolev setting.

3 An extension of Reshetnyak continuity theorem

The following continuity theorem is due to Reshetnyak [19], compare Thm. 1 in Sec. 1.3.4 of [17]. Here, for $m \geq 2$, and for any $\mathbb{R}^m$ -valued Radon measure μ defined on an open set $U \subset \mathbb{R}^{n+N}$, we denote by $\vec{\mu}$ its Radon Nikodym derivative with respect to the total variation $|\mu|$, and by $\mu_k \rightharpoonup \mu$ the weak-* convergence in the sense of the measures.

Theorem 3.1. (Reshetnyak). *Let $G(z, p)$ be a non-negative continuous function defined in $U \times \mathbb{R}^m$ and satisfying the following properties:*

- i) $G(z, \cdot)$ is positively homogeneous of degree one for every z ;
- ii) $G(\cdot, p)$ is uniformly bounded for every $p \in \mathbb{S}^{m-1}$;
- iii) $G(z, \cdot)$ is essentially convex for every z , i.e.,

$$G(z, p + q) \leq G(z, p) + G(z, q) \quad \forall p, q \in \mathbb{R}^m,$$

where the equality holds if and only if $q = \lambda p$ for some $\lambda \geq 0$.

Let $F(z, p)$ be a non-negative continuous function that is positively homogeneous of degree one in p for every z and that satisfies

$$0 \leq F(z, p) \leq c_1 G(z, p) + c_2 \quad \forall (z, p) \in U \times \mathbb{R}^m$$

for some absolute constants $c_1, c_2 > 0$. Then we have

$$\lim_{k \rightarrow \infty} \int_U F(z, \vec{\mu}_k(z)) \, d|\mu_k| = \int_U F(z, \vec{\mu}(z)) \, d|\mu|$$

provided that μ_k, μ are $\mathbb{R}^m$ -valued Radon measures on U satisfying

$$\mu_k \rightharpoonup \mu, \quad \int_U G(z, \vec{\mu}_k(z)) \, d|\mu_k| \rightarrow \int_U G(z, \vec{\mu}(z)) \, d|\mu| \quad \text{as } k \rightarrow \infty.$$

3.1 A new continuity result

The following result extends to the BV setting a property observed by Acerbi–Dal Maso [1], who gave a sufficient condition to the strong convergence in $W^{1,1}$.

Theorem 3.2. *Let $\{u_h\} \subset BV(B^n; \mathbb{R}^N)$ be a sequence weakly-* converging in BV to some $u \in BV(B^n; \mathbb{R}^N)$, and assume that $\mathcal{V}(u_h, B^n) \rightarrow \mathcal{V}(u, B^n)$ as $h \rightarrow \infty$. Then we have*

$$\lim_{h \rightarrow \infty} \left(\int_{B^n} |\nabla u_h - \nabla u| dx + |D^s u_h|(B^n) \right) = |D^s u|(B^n) \quad (3.1)$$

and hence $\{u_h\}$ converges to u strictly in BV .

PROOF: For a given $v \in BV(B^n; \mathbb{R}^N)$, we let $\mu(Dv)$ denote the $\mathbb{R}^{1+nN}$ -valued measure in B^n given by

$$\mu(Dv) := \left(\mathcal{L}^n, (Dv)_i^j \right), \quad i = 1, \dots, n, \quad j = 1, \dots, N.$$

By the mutual singularity of the absolutely continuous and singular components of Dv , we have

$$\mu(Dv) = \left(1, \nabla_i v^j \right) \mathcal{L}^n + \left(0, (D^s v)_i^j \right), \quad |\mu(Dv)| = \sqrt{1 + |\nabla v|^2} \mathcal{L}^n + |D^s v|,$$

so that $|\mu(Dv)|(B^n) = \mathcal{V}(v, B^n)$. Therefore, by the assumptions we have that $\mu(Du_h) \rightharpoonup \mu(Du)$ weakly-* as measures and $|\mu(Du_h)|(B^n) \rightarrow |\mu(Du)|(B^n)$ as $h \rightarrow \infty$. Given $\varphi \in C_c^0(B^n; \mathbb{R}^{nN})$, define the continuous function $\psi : B^n \times \mathbb{R}^{1+nN} \rightarrow \mathbb{R}$ by $\psi(x, \zeta) = |\hat{\zeta} - \zeta_0 \varphi(x)|$, if $\zeta = (\zeta_0, \hat{\zeta}) \in \mathbb{R} \times \mathbb{R}^{nN} \simeq \mathbb{R}^{1+nN}$. Arguing as in [1, Lemma 6.3], by Theorem 3.1 we infer that

$$\lim_{h \rightarrow \infty} \left(\int_{B^n} |\nabla u_h - \varphi| dx + |D^s u_h|(B^n) \right) = \int_{B^n} |\nabla u - \varphi| dx + |D^s u|(B^n).$$

By a density argument, the latter property holds true for any $\varphi \in L^1(B^n; \mathbb{R}^{nN})$, and hence we can choose $\varphi = \nabla u$. The last property in the statement follows from the second triangle inequality; indeed, on the one hand by lower semicontinuity

$$\liminf_{h \rightarrow \infty} \int_{B^n} (|\nabla u_h| - |\nabla u|) dx + |D^s u_h|(B^n) - |D^s u|(B^n) \geq 0.$$

On the other hand, by (3.1) and the triangle inequality

$$\limsup_{h \rightarrow \infty} \int_{B^n} (|\nabla u_h| - |\nabla u|) dx + |D^s u_h|(B^n) - |D^s u|(B^n) \leq \lim_{h \rightarrow \infty} \int_{B^n} |\nabla u_h - \nabla u| dx + |D^s u_h|(B^n) - |D^s u|(B^n) = 0$$

that give the thesis. $\square$

A first consequence of Theorem 3.2 is the following property inspired by a result from [21].

Theorem 3.3. *Let $u \in L^1(B^n; \mathbb{R}^N)$ be such that $\widetilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$, and assume that $\widetilde{\mathcal{A}}_{L^1}(u, B^n) = \mathcal{V}(u, B^n)$. Then we also have $\widetilde{\mathcal{A}}_{BV}(u, B^n) = \mathcal{V}(u, B^n)$.*

PROOF: Let $\{u_h\} \subset C^1(B^n; \mathbb{R}^N)$ be such that $u_h \rightarrow u$ strongly in L^1 and $A(u_h, B^n) \rightarrow \widetilde{\mathcal{A}}_{L^1}(u, B^n)$. Then, possibly passing to a not relabeled subsequence we have $u_h \rightharpoonup u$ weakly-* in BV . By the lower semicontinuity of the functional $u \mapsto \mathcal{V}(u, B^n)$ we have

$$\mathcal{V}(u, B^n) = \widetilde{\mathcal{A}}_{L^1}(u, B^n) = \lim_{h \rightarrow \infty} A(u_h, B^n) \geq \limsup_{h \rightarrow \infty} \mathcal{V}(u_h, B^n) \geq \liminf_{h \rightarrow \infty} \mathcal{V}(u_h, B^n) \geq \mathcal{V}(u, B^n),$$

so that we obtain $\mathcal{V}(u_h, B^n) \rightarrow \mathcal{V}(u, B^n)$ as $h \rightarrow \infty$. Therefore, Theorem 3.2 implies that $u_h \rightarrow u$ strictly in BV . We thus obtain

$$\widetilde{\mathcal{A}}_{BV}(u, B^n) \leq \lim_{h \rightarrow \infty} A(u_h, B^n) = \mathcal{V}(u, B^n) = \widetilde{\mathcal{A}}_{L^1}(u, B^n) \leq \widetilde{\mathcal{A}}_{BV}(u, B^n)$$

and the assertion readily follows. $\square$

As a consequence, for every $u \in BV(B^n; \mathbb{R}^N)$ we have

$$\widetilde{\mathcal{A}}_{BV}(u, B^n) > \mathcal{V}(u, B^n) \implies \widetilde{\mathcal{A}}_{L^1}(u, B^n) > \mathcal{V}(u, B^n). \quad (3.2)$$

Definition 3.4. *Whenever $\widetilde{\mathcal{A}}_{L^1}(u, B^n) > \int_{B^n} |M(\nabla u)| dx = \mathbf{M}(G_u)$ we say that there is an energy gap for the relaxed area functional in L^1 . Similarly, there is an energy gap for the relaxed area functional in BV if $\widetilde{\mathcal{A}}_{BV}(u, B^n) > \mathbf{M}(G_u)$.*

3.2 Further remarks

Assume now that $u \in BV(B^n; \mathbb{R}^N)$ is such that

$$|M(\nabla u)| = \sqrt{1 + |\nabla u|^2}, \quad (3.3)$$

i.e., all the subdeterminants of order $k \geq 2$ of the matrix ∇u are null. This happens if e.g. u takes values in a 1-dimensional set of $\mathbb{R}^N$, by the area formula.

We thus have $u \in \mathcal{A}^1(B^n; \mathbb{R}^N)$ and

$$A(u, B^n) = \mathcal{V}(u, B^n).$$

Therefore, if $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$ and if (3.3) takes place, by (3.2) it turns out that the occurrence of an energy gap for the relaxed area in BV implies an energy gap for the relaxed area in L^1 , as observed in [21] for the double eight example.

Notice that Theorem 3.3 implies that if there is no energy gap for either $\widetilde{\mathcal{A}}_{L^1}(u, B^n)$ or $\widetilde{\mathcal{A}}_{BV}(u, B^n)$, then

$$\widetilde{\mathcal{A}}_{L^1}(u, B^n) = \widetilde{\mathcal{A}}_{BV}(u, B^n).$$

The converse is false in general, i.e., if $\widetilde{\mathcal{A}}_{L^1}(u, B^n) = \widetilde{\mathcal{A}}_{BV}(u, B^n)$ then energy gaps might occur. Consider for example the vortex map given by $u_V(x) = x/|x|$ where $n = N = 2$. After replacing B^2 by a bigger ball $B = B_R^2 \subset \mathbb{R}^2$ (or equivalently, rescaling the map u_V), the results in [10] imply that, if R is big enough, then

$$\widetilde{\mathcal{A}}_{L^1}(u_V, B) = \mathcal{V}(u_V, B) + \pi,$$

where the energy gap π coincides with the area enclosed by the unit circle, in turn being equal to

$$P\left(\frac{x}{|x|}\right) = \inf \left\{ \int_{B^2} |\det \nabla v| dx : v \in \text{Lip}(B^2; \mathbb{R}^2), v = \frac{x}{|x|} \text{ on } \partial B^2 \right\}.$$

From this and [3] (see also Theorem 6.1 below), we infer

$$\widetilde{\mathcal{A}}_{L^1}(u_V, B) = \widetilde{\mathcal{A}}_{BV}(u_V, B).$$

3.3 A result on Cartesian currents

We now briefly discuss an extension of Theorem 3.2 to Cartesian currents. We show that the mass convergence is equivalent to an a priori stronger energy convergence.

Proposition 3.5. *Let $\{T_h\} \subset \text{cart}(B^n \times \mathbb{R}^N)$ be such that $\sup_h \mathbf{M}(T_h) < \infty$ and the support of every T_h is contained in $\mathcal{K}_R$ for some $R > 0$. Then, there exists a not relabeled subsequence and a current $T_\infty \in \text{cart}(B^n \times \mathbb{R}^N)$ such that $T_h \rightharpoonup T_\infty$ weakly in $\mathcal{D}_n(B^n \times \mathbb{R}^N)$, T_∞ is supported in $\mathcal{K}_R$, and*

$$\mathbf{M}(T_\infty) \leq \liminf_{h \rightarrow \infty} \mathbf{M}(T_h). \quad (3.4)$$

Moreover, writing $T_h = G_{u_h} + S_{T_h}$ for every $h \in \mathbb{N} \cup \{\infty\}$, the mass convergence $\mathbf{M}(T_h) \rightarrow \mathbf{M}(T_\infty)$ as $h \rightarrow \infty$ is equivalent to the energy convergence

$$\lim_{h \rightarrow \infty} \left(\int_{B^n} |M(\nabla u_h) - M(\nabla u_\infty)| dx + \mathbf{M}(S_{T_h}) \right) = \mathbf{M}(S_{T_\infty}). \quad (3.5)$$

PROOF: The first assertion follows directly from Federer-Fleming Compactness theorem. As to the equivalence property, the first implication follows by arguing as in the proof of Theorem 3.2 above. Conversely, if (3.5) holds, recalling that

$$\mathbf{M}(T_h) = \int_{B^n} |M(\nabla u_h)| \, dx + \mathbf{M}(S_{T_h})$$

for every $h \in \overline{\mathbb{N}}$, by the second triangle inequality we have

$$\limsup_{h \rightarrow \infty} \mathbf{M}(T_h) \leq \mathbf{M}(T_\infty),$$

and hence the mass convergence follows from the lower semicontinuity inequality (3.4). $\square$

Notice that in the previous proposition, if $T_h = G_{u_h}$ for some smooth function u_h , we infer that

$$\lim_{h \rightarrow \infty} \int_{B^n} |M(\nabla u_h) - M(\nabla u_\infty)| \, dx = \mathbf{M}(S_{T_\infty}).$$

However, we cannot conclude that $u_h \rightarrow u$ strictly in BV , even if we knew that $T_\infty \in \mathcal{T}_{u_\infty}^{BV}$.

Therefore, the latter result does not seem to give new information concerning the relaxed area functionals, when compared to the one discussed in the previous section.

3.4 A continuity property for energies on currents

In the following, we let f be a continuous integrand

$$f : B^n \times \mathbb{R}^N \times \mathbb{R}^{N \times n} \rightarrow \mathbb{R}_+$$

satisfying properties (a) and (b) from Sec. 2.4. We then let F_f , $\mathcal{E}_f$, and $\mathcal{F}_f$ be defined as before.

For our purposes, we now recall how the following continuity property is obtained from Theorem 3.1:

Proposition 3.6. *Let $\{T_h\} \subset \text{cart}(B^n \times \mathbb{R}^N)$ be such that $T_h \rightharpoonup T$ weakly in $\mathcal{D}_n(B^n \times \mathbb{R}^N)$ to some $T \in \text{cart}(B^n \times \mathbb{R}^N)$. If $\mathbf{M}(T_h) \rightarrow \mathbf{M}(T)$, then $\mathcal{F}_f(T_h) \rightarrow \mathcal{F}_f(T)$.*

PROOF: We set $U = B^n \times \mathbb{R}^N$, $z = (x, u)$, and $m = c(n, N)$ where $c(n, N)$ is the dimension of the ordered vector corresponding to $\vec{M}(G)$ for some $G \in \mathbb{R}^{N \times n}$. Set now

$$G(z, p) := |p|, \quad F(z, p) := F_f(x, u, p)$$

if $u \in \mathbb{R}^N$ and p is identified with the components of an n -vector $\xi \in \Lambda_n(\mathbb{R}^n \times \mathbb{R}^N)$ with $\xi^{00} \geq 0$. By suitably extending G and F , it is readily checked that we can apply Theorem 3.1. Since the convergence of the corresponding measures $\mu_{(T_h)} \rightharpoonup \mu_{(T)}$ reduces to the weak convergence $T_h \rightharpoonup T$ in $\mathcal{D}_n(B^n \times \mathbb{R}^N)$, whereas

$$\int_U G(z, \vec{\mu}_{(T)}(z)) \, d|\mu_{(T)}| = \mathbf{M}(T), \quad \int_U F(z, \vec{\mu}_{(T)}(z)) \, d|\mu_{(T)}| = \mathcal{F}_f(T),$$

the assertion readily follows. $\square$

3.5 Relaxed energies

For a given function $u \in L^1(B^n; \mathbb{R}^N)$, we denote by $\widetilde{\mathcal{F}}_{L^1}(u, B^n)$ the relaxed functional w.r.t. to the L^1 -convergence, i.e.,

$$\widetilde{\mathcal{F}}_{L^1}(u, B^n) = \inf \left\{ \liminf_{h \rightarrow \infty} \mathcal{E}_f(u_h, B^n) \mid \{u_h\} \subset C^1(B^n; \mathbb{R}^N), \, u_h \rightarrow u \text{ in } L^1(B^n; \mathbb{R}^N) \right\}.$$

If f also satisfies property (c) from Sec. 2.4, then $\widetilde{\mathcal{F}}_{L^1}(u, B^n) < \infty$ if and only if $\widetilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$. In that case, moreover, we have

$$\widetilde{\mathcal{F}}_{L^1}(u, B^n) = \inf \{ \widetilde{\mathcal{F}}(T) \mid T \in \mathcal{T}_u \},$$

where for any $T \in \mathcal{T}_u$ we have let

$$\widetilde{\mathcal{F}}(T) := \inf \left\{ \liminf_{h \rightarrow \infty} \mathcal{E}_f(u_h, B^n) \mid \{u_h\} \subset C^1(B^n; \mathbb{R}^N), G_{u_h} \rightharpoonup T \text{ weakly in } \mathcal{D}_n(B^n \times \mathbb{R}^N) \right\}.$$

In addition, if $\widetilde{\mathcal{A}}_{L^1}(u, B^n) < \infty$ we also denote

$$\widetilde{\mathcal{F}}_{BV}(u, B^n) = \inf \left\{ \liminf_{h \rightarrow \infty} \mathcal{E}_f(u_h, B^n) \mid \{u_h\} \subset C^1(B^n; \mathbb{R}^N), u_h \rightarrow u \text{ strictly in } BV(B^n; \mathbb{R}^N) \right\},$$

so that clearly $\widetilde{\mathcal{F}}_{L^1}(u, B^n) \leq \widetilde{\mathcal{F}}_{BV}(u, B^n)$, and $\widetilde{\mathcal{F}}_{BV}(u, B^n) < \infty$ if and only if $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$, where

$$\widetilde{\mathcal{F}}_{BV}(u, B^n) = \inf \{ \widetilde{\mathcal{F}}(T) \mid T \in \mathcal{T}_u^{BV} \}.$$

The following representation result holds true for the relaxed energy in the strict convergence, in the case of codimension $N = 2$. In that case, we have seen that we can require the weaker lower bound (c') instead of (c) from Sec. 2.4.

Theorem 3.7. *Let $n \geq 2$ and let $u \in BV(B^n; \mathbb{R}^2)$ be such that $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$. Assume that there exists a Cartesian current $\bar{T}$ in $\mathcal{T}_u$ such that $\widetilde{\mathcal{A}}_{BV}(u, B^n) = \mathbf{M}(\bar{T})$. Then for every continuous integrand f satisfying properties (a), (b), (c') in Sec. 2.4 we have*

$$\widetilde{\mathcal{F}}_{BV}(u, B^n) = \mathcal{F}_f(\bar{T}).$$

PROOF: We recall from [18] that if $N = 2$ and $\widetilde{\mathcal{A}}_{BV}(u, B^n) < \infty$, there exists a unique Cartesian current T_u in the class $\mathcal{T}_u^{BV}$, so that by the strict convergence we have $\bar{T} = T_u$. Since $\widetilde{\mathcal{F}}_{BV}(u, B^n) < \infty$, by closure-compactness and lower semicontinuity, see Proposition 2.4, we infer that

$$\widetilde{\mathcal{F}}_{BV}(u, B^n) \geq \inf \{ \mathcal{F}_f(T) \mid T \in \mathcal{T}_u^{BV} \} = \mathcal{F}_f(\bar{T}).$$

Moreover, there exists a sequence $\{u_h\} \subset C^1(B^n; \mathbb{R}^2)$ such that $u_h \rightarrow u$ strictly in BV and $A(u_h, B^n) \rightarrow \mathbf{M}(\bar{T})$. Possibly passing to a not relabeled subsequence, we infer that $G_{u_h} \rightharpoonup \bar{T}$ and $\mathbf{M}(G_{u_h}) \rightarrow \mathbf{M}(\bar{T})$. By the continuity result in Proposition 3.6, applied with $T_h = G_{u_h}$ and $T = \bar{T}$, we infer that $\mathcal{E}_f(u_h, B^n) = \mathcal{F}_f(T_h) \rightarrow \mathcal{F}_f(\bar{T})$ as $h \rightarrow \infty$ and the proof is complete. $\square$

4 Generalized curves and a planar Plateau problem

Let $\gamma \in BV([a, b]; \mathbb{R}^2)$ be a given function of bounded variation defined on a closed nondegenerate interval $[a, b]$. We denote by $D\gamma$ the distributional derivative of γ .

If $L_\gamma := |\dot{\gamma}|([a, b])$ we introduce the map

$$s_\gamma(t) = \frac{1}{L_\gamma + (b - a)} (|D\gamma|([a, t]) + (t - a)), \quad \forall t \in [a, b], \quad (4.1)$$

which will be strictly increasing with discontinuities in the jump set J_γ of γ ; we observe that s_γ is right continuous and

$$s_\gamma(t_1) - s_\gamma(t_2) \geq \frac{t_1 - t_2}{L_\gamma + (b - a)}, \quad a \leq t_2 < t_1 \leq b,$$

and so it follows that, denoting $t_\gamma := s_\gamma^{-1} : [0, 1] \rightarrow [a, b]$ the continuous and non decreasing inverse of s_γ that is constant on $[s_\gamma(t^-), s_\gamma(t^+)]$ for all $t \in S_\gamma$, we have

$$t_\gamma(s_1) - t_\gamma(s_2) = |t_\gamma(s_1) - t_\gamma(s_2)| \leq (s_1 - s_2)(L_\gamma + (b - a)), \quad 0 \leq s_2 \leq s_1 \leq 1. \quad (4.2)$$

This shows that t_γ is Lipschitz continuous with Lipschitz constant $L_\gamma + (b - a)$.

Definition 4.1. Given $\gamma \in BV([a, b]; \mathbb{R}^2)$ we define $\bar{\gamma} : [0, 1] \rightarrow \mathbb{R}^2$ as

$$\bar{\gamma}(s) = \begin{cases} \frac{\gamma(t)^+(s - s_\gamma(t)^-) + \gamma(t)^-(s_\gamma(t)^+ - s)}{s_\gamma(t)^+ - s_\gamma(t)^-} & \text{if } s \in [s_\gamma(t)^-, s_\gamma(t)^+], \\ \gamma(t_\gamma(s)) & \text{otherwise.} \end{cases} \quad (4.3)$$

We call $\bar{\gamma}$ the generalized curve associated to γ .

According to [21, Proposition 3.6], the curve $\bar{\gamma}$ is Lipschitz continuous with Lipschitz constant less than or equal to $L_\gamma + (b - a)$.

By [21, Proposition 3.6] (see also [11]) the following property holds: if $\gamma_k \in BV([a, b]; \mathbb{R}^2)$ are converging strictly to $\gamma \in BV([a, b]; \mathbb{R}^2)$ then $\bar{\gamma}_k$ tend to $\bar{\gamma}$ uniformly. In the next section we will use this fact to investigate a planar Plateau problem $\bar{P}(\gamma)$ associated to any BV function γ . Given a Lipschitz curve $\varphi : \mathbb{S}^1 \rightarrow \mathbb{R}^2$ we define

$$P(\varphi) := \inf \left\{ \int_{B^2} |Jv| dx : v \in \text{Lip}(B^2; \mathbb{R}^2), v = \varphi \text{ on } \partial B^2 \right\}.$$

This gives the area of a disk type solution of a two dimensional Plateau problem spanning the curve $\varphi(\mathbb{S}^1)$.

4.1 A planar Plateau problem

The relaxation of P on $BV(\mathbb{S}^1; \mathbb{R}^2)$ is given by, for any $\gamma \in BV(\mathbb{S}^1; \mathbb{R}^2)$,

$$\bar{P}(\gamma) := \inf_{k \rightarrow \infty} \{ \liminf P(\varphi_k) : \varphi_k \in \text{Lip}(B^2; \mathbb{R}^2), \varphi_k \rightarrow \gamma \text{ strictly in } BV \}. \quad (4.4)$$

Up to setting $a = 0$, $b = 2\pi$ and considering functions with $\gamma(0) = \gamma(2\pi)$, we can associate to each $\gamma \in BV(\mathbb{S}^1; \mathbb{R}^2)$ its reparametrization $\bar{\gamma}$ as in Definition 4.1. Since $P(\varphi)$, for $\varphi \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$, is invariant under reparametrization of φ , we deduce that $P(\gamma) = P(\bar{\gamma})$, and thanks to the fact that P is continuous under uniform convergence in $\text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$ (see [11]), by the property above we infer

$$\bar{P}(\gamma) = \lim_{k \rightarrow \infty} P(\bar{\varphi}_k) = P(\bar{\gamma}). \quad (4.5)$$

This shows that the computation of $\bar{P}$ can always be led back to P .

For a given $\varphi \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$, the quantity $P(\varphi)$ can be deduced from the geometry of $\varphi(\mathbb{S}^1)$ and the way φ parameterizes it, as shown in [13]. To describe how $P(\varphi)$ is computed, we make the assumption that

(P0) The open set $\mathbb{R}^2 \setminus \varphi(\mathbb{S}^1)$ has a finite number of connected components.

Under this hypothesis, we select a family of points $\{q_1, q_2, \dots, q_K\}$, each taken in a given bounded connected component U_i , $i = 1, \dots, K$, and another fixed point $q_0 \in \varphi(\mathbb{S}^1)$ called base point. We then consider the homotopy group $\pi_1(\mathbb{R}^2 \setminus \{q_1, q_2, \dots, q_K\})$ of loops based at q_0 , and a basis of it $\{\sigma_1, \sigma_2, \dots, \sigma_K\}$, where σ_i is a simple Lipschitz loop based at q_0 winding (with counter-clockwise orientation) one time the point q_i and zero times the other points q_j , $j \neq i$. We denote by σ_i^{-1} the same loop as σ_i but with opposite orientation (in such a case σ_i and σ_i^{-1} are said inverse to each other). Then it is well-known that $\pi_1(\mathbb{R}^2 \setminus \{q_1, q_2, \dots, q_K\})$ is isomorphic to the free group $\mathbb{F}(K)$ on K letters, where the elements of it can be identified with words (or chains) containing letters in the alphabet $\{\sigma_1, \sigma_2, \dots, \sigma_K, \sigma_1^{-1}, \sigma_2^{-1}, \dots, \sigma_K^{-1}\}$.

A word in $\mathbb{F}(K)$ has two *extremal letters* (the first and the last one), and we say that a word in $\mathbb{F}(K)$ is *reduced* if it does not contain σ_i and σ_i^{-1} as adjacent letters (for some i). For example, $\sigma_1 \sigma_2 \sigma_3^{-1}$ and $\sigma_2 \sigma_1^{-1} \sigma_2 \sigma_2$ are reduced words, whereas $\sigma_1 \sigma_2 \sigma_2^{-1}$ and $\sigma_2 \sigma_1 \sigma_1^{-1} \sigma_3$ are not. Given a word β , we can modify it into a reduced word β' by erasing adjacent couples $\sigma_i \sigma_i^{-1}$. We say that two words β and γ are *equivalent*, and we write $\beta \sim \gamma$, if they can be modified into the same reduced word. Finally, two words β and γ are said to be *conjugate* if there is a word σ such that $\beta \sim \sigma \gamma \sigma^{-1}$. For example, the words $\sigma_2 \sigma_1 \sigma_1^{-1} \sigma_3$ and $\sigma_2 \sigma_2^{-1} \sigma_2 \sigma_3$ can be reduced to $\sigma_2 \sigma_3$, and so $\sigma_2 \sigma_1 \sigma_1^{-1} \sigma_3 \sim \sigma_2 \sigma_2^{-1} \sigma_2 \sigma_3$; also, $\sigma_1^{-1} \sigma_2 \sigma_1$ and σ_2 are conjugate to each other. The *conjugate class* of a word γ is the family containing all the words conjugate to γ and is denoted by $[\gamma] \subset \mathbb{F}(K)$. We denote by 0 the empty word; any word belonging to $[0]$ is said a *null word*.

To every curve φ satisfying hypothesis (P0) we can associate in a unique way a conjugate class in $\mathbb{F}(K)$, denoted by $[\varphi]$. Indeed φ is an element of $\pi_1(\mathbb{R}^2 \setminus \{q_1, q_2, \dots, q_K\})$ and for this reason can be represented by a word in $\mathbb{F}(K)$. In general there is more than one word representing φ , but all these representations are conjugate to each other (see [13]).

We now describe an algebraic operation that can be acted on words and that is needed to describe how to compute $P(\varphi)$.

Definition 4.2. For $i \in \{1, \dots, K\}$, an i -monoid is a word conjugate to either σ_i or σ_i^{-1} . Let $\gamma \in \mathbb{F}(K)$ and let $(k_1, \dots, k_K) \in \mathbb{N}^K$ be a K -tuple of natural numbers. We say that $\gamma' \in \mathbb{F}(K)$ is a $(k_1, \dots, k_K)$ -injection in γ if the word γ' is in the conjugate class of a word obtained by inserting, for all $i = 1, \dots, K$, k_i times an i -monoid into the word γ .

For instance, given $\gamma = \sigma_1\sigma_2 \in \mathbb{F}(3)$ then $\sigma_1\sigma_3\sigma_2$ is a $(0, 0, 1)$ -injection in γ . The word σ_1 instead is a $(0, 1, 0)$ -injection in γ (we inserted σ_2^{-1} into the word of γ). But it is also a $(0, 3, 0)$ -injection if we suitably insert $\sigma_2, \sigma_2^{-1}, \sigma_2^{-1}$ in γ . So there is not a unique way for seeing a word γ' as an injection in γ . The null word is a $(1, 1, 0)$ -injection in γ (as well as a $(1 + 2h, 1 + 2h, 2h)$ -injection for all $h \in \mathbb{N}$). Furthermore, $\sigma_1\sigma_3\sigma_2$ is a $(0, 0, 1)$ -injection in γ , as it can be obtained by inserting the monoid $\sigma_2^{-1}\sigma_3\sigma_2$ at the end of the word representing γ ; moreover $\sigma_1\sigma_2\sigma_1^{-1}\sigma_2^{-1} \in \mathbb{F}(2)$ is a $(1, 0)$ -injection in σ_1 , as it is obtained from σ_1 by inserting the 1-monoid $\sigma_2\sigma_1^{-1}\sigma_2^{-1}$. Finally, 0 is a $(2, 0)$ -injection (and also a $(0, 2)$ -injection) in $\sigma_1\sigma_2\sigma_1^{-1}\sigma_2^{-1}$ (and viceversa).

Observe also that if γ'' is a $(k_1, \dots, k_K)$ -injection in γ' and γ' is a $(h_1, \dots, h_K)$ -injection in γ , then γ'' is a $(k_1 + h_1, \dots, k_K + h_K)$ -injection in γ .

Remark 4.3. We notice that, given a word $\gamma \in \mathbb{F}(K)$, if γ contains (for all $i = 1, \dots, K$) n_i times the letter σ_i or σ_i^{-1} , then the null word is a $(n_1, \dots, n_K)$ -injection in γ . Indeed, it is sufficient to insert, for all letters in γ , the monoid consisting of the corresponding inverse letter beside each of them.

Definition 4.4. Given φ satisfying hypothesis (P0), let $\gamma \in [\varphi]$; we introduce the class

$$\text{Ad}(\gamma) := \{(k_1, \dots, k_K) \in \mathbb{N}^K : 0 \text{ is a } (k_1, \dots, k_K)\text{-injection in } \gamma\}.$$

By Remark 4.3 $\text{Ad}(\gamma)$ is never empty. Moreover, it is easy to see that $\text{Ad}(\gamma)$ does not depend on the choice of $\gamma \in [\varphi]$. For this reason, we will write

$$\text{Ad}(\gamma) = \text{Ad}([\varphi]).$$

The main result in [13] reads as follows:

Theorem 4.5. Let $\varphi \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$ satisfy hypothesis (P0); then

$$P(\varphi) = \min \left\{ \sum_{i=1}^K k_i \mathcal{L}^2(U_i) : (k_1, \dots, k_K) \in \text{Ad}([\varphi]) \right\},$$

where we recall that U_i is the i -th bounded connected component of $\mathbb{R}^2 \setminus \varphi(\mathbb{S}^1)$.

Definition 4.6. Let $(k_1, \dots, k_K), (k'_1, \dots, k'_K) \in \text{Ad}(\varphi)$ be two injections. If $k_i \leq k'_i$ for all $i = 1, \dots, K$, we write $(k_1, \dots, k_K) \prec (k'_1, \dots, k'_K)$. Moreover, we say that $(k_1, \dots, k_K)$ is a minimal injection if whenever $(k'_1, \dots, k'_K) \prec (k_1, \dots, k_K)$ then $(k'_1, \dots, k'_K) = (k_1, \dots, k_K)$. Finally, given a word $\gamma \in \mathbb{F}(K)$, we denote by $\text{Ad}_{\min}(\gamma)$ the class of minimal injections in $\text{Ad}(\gamma)$.

We observe that a minimal injection always exists; however it does not need to be unique. For example, suppose $\sigma_1\sigma_2\sigma_1^{-1}\sigma_2^{-1} = \gamma \in [\varphi] \subseteq \mathbb{F}(2)$, then $(2, 0)$ and $(0, 2)$ are two distinct minimal injections.

4.2 Relationship with currents

We now turn to the Plateau problem $P(\varphi)$ and observe that, given $\varphi \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$, by the Constancy theorem there exists a unique 2-current S_φ in $\mathcal{D}_2(\mathbb{R}^2)$, with compact support, such that

$$\partial S_\varphi = \varphi_\#[\mathbb{S}^1] =: [\varphi].$$

It is easy to see that this current can be written as

$$S_\varphi = \sum_{i=1}^K h_i \llbracket U_i \rrbracket, \quad h_i := \text{wind}(\varphi; q_i), \quad (4.6)$$

where $h_i := \text{wind}(\varphi; q_i)$ is the winding number of the curve φ around the point q_i .

Remark 4.7. Notice that in general $P(\varphi)$ does not coincide with the mass of the current S_φ in (4.6), i.e., k_i in Theorem 4.5 is not necessarily equal to $|h_i|$. Consider indeed the double-eight curve φ_8 in (2.6); this is defined as the concatenation

$$\varphi_8 = \sigma_1^{-1} \sigma_2^{-1} \sigma_1 \sigma_2,$$

where σ_1 and σ_2 are, respectively, circles of radius one centered in $q_1 := (1, 0)$ and $q_2 := (-1, 0)$ (hence meeting at the origin) running in counter-clockwise sense. The corresponding current S_{φ_8} is null by (4.6). However, according to Theorem 4.5, $P(\varphi_8) = 2\pi$, namely $P(\varphi_8)$ coincides with two times the area of one of the two disks, because the minimal injections in such a case are $\text{Ad}_{\min}([\varphi_8]) = \{(2, 0), (0, 2)\}$. We will next prove that if the minimal injection is unique, then $P(\varphi) = \mathbf{M}(S_\varphi)$.

Under the hypothesis that there is a unique minimal injection $(k_1, \dots, k_K) \in \text{Ad}_{\min}(\varphi)$, from Theorem 4.5 it readily follows that

$$P(\varphi) = \sum_{i=1}^K k_i \mathcal{L}^2(U_i).$$

We will now show that in such a case we have $k_i = |h_i|$ for every i . To begin with, we prove the following lemma showing that the order of making injections commutes:

Lemma 4.8. If $\gamma \in \mathbb{F}(K)$ can be reduced to γ' by inserting in it a i -monoid and then a j -monoid, then γ can be reduced to γ' by inserting in it a j -monoid and then a i -monoid.

PROOF: By [13, Remark 2.30] any monoid can be equivalently inserted at the end of the word. Hence, assume that

$$\gamma' \sim \gamma(\alpha \sigma_i \alpha^{-1})(\beta \sigma_j \beta^{-1}),$$

for some words α and β , then also

$$\gamma' \sim \gamma(\alpha \sigma_i \alpha^{-1} \beta \sigma_j \beta^{-1} \alpha \sigma_i^{-1} \alpha^{-1})(\alpha \sigma_i \alpha^{-1})$$

where between parentheses any monoid has been emphasized, showing the thesis. $\square$

Theorem 4.9. Assume that $\varphi \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$ satisfies hypothesis (P0) and that there exists a unique minimal injection $(k_1, \dots, k_K) \in \text{Ad}_{\min}([\varphi])$. Then, denoting by $\#(\sigma_i)$ and $\#(\sigma_i^{-1})$ the number of times that σ_i and σ_i^{-1} appear in γ , for all $\gamma \in [\varphi]$ it holds $|\#(\sigma_i) - \#(\sigma_i^{-1})| = k_i$. As a consequence

$$S_\varphi = \sum_{i=1}^K \alpha_i k_i \llbracket U_i \rrbracket,$$

for suitable signs $\alpha_i \in \{-1, 1\}$.

PROOF: Let $\gamma \in \mathbb{F}(K)$ be a reduced word in $[\varphi]$. We prove the first assertion in the statement. From this the second one will follow, since it is straightforward that $\#(\sigma_i) - \#(\sigma_i^{-1}) = h_i := \text{wind}(\varphi; q_i)$.

By definition of $\text{Ad}([\varphi])$, for any $i = 1, \dots, K$, there exist two natural numbers $k_i^\pm$ with $k_i^+ + k_i^- = k_i$ such that we can inject k_i^+ times a suitable conjugate of σ_i and k_i^- times a conjugate of σ_i^{-1} in γ (for all i) and obtain the null word. In the null word there holds, for all i , $l_i := \#(\sigma_i) = \#(\sigma_i^{-1})$. So we deduce that in γ it holds

$$\#(\sigma_i) + k_i^+ = l_i \quad \#(\sigma_i^{-1}) + k_i^- = l_i.$$

We therefore deduce that $|\#(\sigma_i) - \#(\sigma_i^{-1})| = |k_i^+ - k_i^-|$. To prove the claim we can then show that either k_i^+ or k_i^- is zero.

Assume by contradiction that both are positive, and suppose without loss of generality that $k_i^+ \geq k_i^- > 0$. In this case, we can inject in the word γ the inverse of a letter, beside this letter. As in Remark 4.3 (denoting by n_j the number of appearance of σ_j or σ_j^{-1} in γ) we can do this for all letters but the ones coinciding with σ_i and σ_i^{-1} . In this way, after reducing the obtained word, we will have the final word consisting of exactly $k_i^+ - k_i^-$ times the letter σ_i . At this point it is enough to inject $k_i^+ - k_i^-$ times the letter σ_i^{-1} to obtain the null word.

This procedure shows that we can obtain, from γ , the null word by a $(n_1, \dots, (k_i^+ - k_i^-), \dots, n_K)$ -injection. Hence $(n_1, \dots, (k_i^+ - k_i^-), \dots, n_K) \in \text{Ad}([\varphi])$ is such that the i -th entry is $k_i^+ - k_i^- < k_i^+ < k_i$, which in turn implies the existence of minimal injections in $\text{Ad}([\varphi])$ whose i -th entry is smaller than k_i . We thus obtain a contradiction with the hypothesis that $(k_1, \dots, k_K)$ was the unique minimal injection in $\text{Ad}([\varphi])$. $\square$

As a consequence of the previous result, if there is a unique minimal injection in $\text{Ad}(\varphi)$, then we have

$$P(\varphi) = \mathbf{M}(S_\varphi). \quad (4.7)$$

4.3 A characterization

We will now characterize the words $\gamma \in \mathbb{F}(K)$ for which $\text{Ad}_{\min}(\gamma)$ consists of a unique element.

Definition 4.10. Let $\gamma \in \mathbb{F}(K)$. We say that γ satisfies property (H) if there exists a minimal injection $(k_1, \dots, k_N)$ in $\text{Ad}_{\min}(\gamma)$ such that $|\#(\sigma_i^+) - \#(\sigma_i^-)| = k_i$ for every $i = 1, \dots, N$, where we have denoted $\sigma_i^+ := \sigma_i$ and $\sigma_i^- = \sigma_i^{-1}$, and $\#(\sigma_i^\pm)$ is the number of appearances of $\sigma_i^\pm$ in the reduced representation of γ .

Theorem 4.11. *The class $\text{Ad}(\gamma)$ admits a unique minimal injection if and only if γ satisfies property (H).*

PROOF: If γ has a unique element $(k_1, \dots, k_K)$ in $\text{Ad}_{\min}(\gamma)$, then it satisfies property (H) by Theorem 4.9. Conversely, assume that γ satisfies property (H), and let $(k_1, \dots, k_K), (\hat{k}_1, \dots, \hat{k}_K) \in \text{Ad}_{\min}(\gamma)$ be two minimal injections, where without loss of generality we may and do assume that $|\#(\sigma_i^+) - \#(\sigma_i^-)| = \hat{k}_i$ for every i . Since in the null word the number of appearances of σ_i^+ is equal to the one of σ_i^- , for every i there exist $\ell_i, \hat{\ell}_i \in \mathbb{N}$ such that both the alternatives

$$\#(\sigma_i^\pm) + k_i^\pm = \ell_i, \quad \#(\sigma_i^\pm) + \hat{k}_i^\pm = \hat{\ell}_i$$

hold, implying that

$$k_i^+ - k_i^- = \#(\sigma_i^-) - \#(\sigma_i^+) = \hat{k}_i^+ - \hat{k}_i^-, \quad (4.8)$$

for all i . Hence $\hat{k}_i = |\#(\sigma_i^+) - \#(\sigma_i^-)| = |k_i^+ - k_i^-| \leq k_i^+ + k_i^- = k_i$ for all i , which gives a contradiction with the minimality of $(k_1, \dots, k_K)$ unless $\hat{k}_i = k_i$. The uniqueness of the minimal injection readily follows. $\square$

Since (4.7) holds if there is a unique minimal injection, we readily obtain:

Corollary 4.12. *If $\varphi \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$ satisfies (P0) and $\gamma \in [\varphi]$ satisfies property (H), then (4.7) holds.*

We now deal with the homology class of the chain γ , that is given by

$$[\gamma] := \sum_{i=1}^K (\#(\sigma_i^+) - \#(\sigma_i^-)) [\sigma_i].$$

On account of property (4.8), it turns out that

$$\#(\sigma_i^+) - \#(\sigma_i^-) = k_i^- - k_i^+ \quad \forall i \in \{1, \dots, K\}$$

independently of the choice of $(k_1, \dots, k_K)$ in $\text{Ad}_{\min}(\varphi)$. We thus have

$$[\gamma] = \sum_{i=1}^K (k_i^- - k_i^+) [\sigma_i] \quad \forall (k_1, \dots, k_K) \in \text{Ad}_{\min}(\varphi). \quad (4.9)$$

By property (4.9) we infer that $h_i = \text{wind}(\varphi; q_i) = k_i^- - k_i^+$, i.e.,

$$S_\varphi = \sum_{i=1}^K (k_i^- - k_i^+) \llbracket U_i \rrbracket$$

that clearly implies

$$\mathbf{M}(S_\varphi) = \sum_{i=1}^K |k_i^+ - k_i^-| \mathcal{L}^2(U_i).$$

We are now ready to show the converse of Corollary 4.12:

Theorem 4.13. *Let $\varphi \in \text{Lip}(\mathcal{S}^1, \mathbb{R}^2)$ satisfy (P0). Then,*

$$\mathbf{M}(S_\varphi) \leq P(\varphi). \quad (4.10)$$

Furthermore, the equality sign holds true in formula (4.10) if and only if the homotopy class $[\varphi]$ satisfies property (H), and in that case we have

$$\mathbf{M}(S_\varphi) = P(\varphi) = \sum_{i=1}^K k_i \mathcal{L}^2(U_i) \quad (4.11)$$

for the unique element $(k_1, \dots, k_K)$ in $\text{Ad}_{\min}(\varphi)$.

PROOF: In general, on account of the previous notation, and using that the class $\text{Ad}_{\min}(\varphi)$ has a finite number of elements, we have

$$P(\varphi) = \min \left\{ \sum_{i=1}^K k_i \mathcal{L}^2(U_i) : (k_1, \dots, k_K) \in \text{Ad}_{\min}(\varphi) \right\}.$$

We thus may and do choose $(k_1, \dots, k_K) \in \text{Ad}_{\min}(\varphi)$ in such a way that

$$P(\varphi) = \sum_{i=1}^K k_i \mathcal{L}^2(U_i).$$

Since $k_i^\pm \in \mathbb{N}$, we have $|k_i^+ - k_i^-| \leq k_i^+ + k_i^- = k_i$ for every i and hence the inequality (4.10) readily follows.

If $[\varphi]$ satisfies property (H), by Corollary 4.12 equation (4.11) is satisfied. Assume now that $[\varphi]$ does not satisfy property (H), so we have to show that the inequality in (4.10) is strict. We can then find an index $i \in \{1, \dots, K\}$ for which $|\#(\sigma_i^+) - \#(\sigma_i^-)| \neq k_i = k_i^+ + k_i^-$. Recalling that $\#(\sigma_i^\pm), k_i^\pm \in \mathbb{N}$, we thus have $|\#(\sigma_i^+) - \#(\sigma_i^-)| < k_i$ where $\#(\sigma_i^+) - \#(\sigma_i^-) = k_i^- - k_i^+$ by (4.8). Therefore, $|k_i^+ - k_i^-| < k_i$ for some i , whereas in general $|k_i^+ - k_i^-| \leq k_i$. In conclusion, the strict inequality $\mathbf{M}(S_\varphi) < P(\varphi)$ holds, as required. $\square$

5 Relaxation in L^1

We first turn our attention to the relaxation of $\mathcal{F}_f$ in L^1 . We focus on the special case of the vortex map

$$u_V \in W^{1,1}(B_R^n; \mathbb{R}^n), \quad u_V(x) := \frac{x}{|x|},$$

where we consider as domain an open ball B_R^n in $\mathbb{R}^n$ centered at the origin $0_{\mathbb{R}^n}$ and of radius $R > 0$.

We first discuss the relaxed area in dimension $n = 2$. Following [5–7], we can consider the localized functional $\widetilde{\mathcal{A}}_{L^1}(u_V, B_R^2)$ for R small, for which it happens that the energy gap of the relaxed area is equal to the mass of a suitable vertical current $S_T \in \mathcal{R}_2(B_R^2 \times \mathbb{R}^2)$ that is supported on a catenoidal surface Σ_R whose projection onto the domain lies in a segment I_R connecting the origin $0_{\mathbb{R}^2}$ to a given point P_R at the boundary ∂B_R^2 . Such a surface Σ_R is obtained through a minimization problem for the surface area in

a 3-dimensional Plateau type problem in $I_R \times \mathbb{R}^2$ with a free boundary in correspondence to P_R (see [6]). Instead, if $R > 0$ is big, it is easy to see (this was already observed in [1]) that the energy gap is equal to π , namely

$$\widetilde{\mathcal{A}}_{L^1}(u_V, B_R^2) = \mathcal{V}(u_V, B_R^2) + \pi = \mathcal{V}(u_V, B_R^2) + P\left(\frac{x}{|x|}\right), \quad (5.1)$$

where $P(\frac{x}{|x|}) = \pi$ is in fact the mass of the minimal current S_V solution of the disk type Plateau problem spanning the unit circumference $\mathbb{S}^1$. In this case, a recovery sequence $u_h \in C^1(B_R^2; \mathbb{R}^2)$ for $\widetilde{\mathcal{A}}_{L^1}(u_V, B_R^2)$ is such that (as currents in $\mathcal{D}_2(B_R^2 \times \mathbb{R}^2)$)

$$G_{u_h} \rightharpoonup T_{u_V} = G_{u_V} + \delta_{0_{\mathbb{R}^2}} \times \llbracket D^2 \rrbracket, \quad \mathbf{M}(G_{u_h}) \rightarrow \mathbf{M}(T_{u_V}),$$

where D^2 is the unit disk centered at the origin in the target space.

Let now f be a continuous integrand satisfying hypotheses (a), (b), (c) from Sec. 2.4. Let $(v_h) \subset C^1(B_R^2; \mathbb{R}^2)$ be a sequence tending to u_V in $L^1(B_R^2; \mathbb{R}^2)$ and such that $\mathcal{E}_f(v_h, B_R^2) \rightarrow \widetilde{\mathcal{F}}_{L^1}(u, B_R^2)$ as $h \rightarrow \infty$. Up to a subsequence, we can suppose that $G_{v_h} \rightharpoonup T \in \mathcal{T}_{u_V}$ weakly as currents. If we show that

$$\begin{cases} T = T_{u_V} = G_{u_V} + \delta_{0_{\mathbb{R}^2}} \times \llbracket D^2 \rrbracket \\ \lim_{h \rightarrow \infty} \mathbf{M}(G_{v_h}) = \mathbf{M}(T_{u_V}), \end{cases} \quad (5.2)$$

we could apply Proposition 3.6 to deduce that

$$\widetilde{\mathcal{F}}_{L^1}(u_V, B_R^2) = \int_{B_R^2} f(x, u_V(x), \nabla u_V(x)) dx + \mathcal{F}_f(\delta_{0_{\mathbb{R}^2}} \times \llbracket D^2 \rrbracket). \quad (5.3)$$

For integrands f not depending on x , Proposition 5.1, or under an additional structure hypothesis on f , Proposition 5.3, we show that (5.2) holds if R is large enough.

5.1 Estimates on the energy gap

For $n = N \geq 2$, we thus consider a non-negative continuous integrand f satisfying hypotheses (a), (b), (c) from Sec. 2.4, so that we have

$$c|M(G)| \leq f(x, u, G) \leq C|M(G)|,$$

and we consider the localized relaxed energy $\widetilde{\mathcal{F}}_{L^1}(u_V, B_R^n)$ of the vortex map $u_V : B_R^n \rightarrow \mathbb{R}^n$.

In the sequel, we let C_f^n denote the $\mathcal{F}_f$ -energy of the vertical current $\delta_0 \times \llbracket D^n \rrbracket$, where $0 = 0_{\mathbb{R}^n}$ and D^n is the unit n -disk centered at the origin in the target space, that is

$$C_f^n = \mathcal{F}_f(\delta_0 \times \llbracket D^n \rrbracket).$$

Notice that we have $c\omega_n \leq C_f^n \leq C\omega_n$, where we denote $\omega_n = |D^n|$.

Proposition 5.1. *Let f be a continuous integrand satisfying properties (a), (b), (c), and independent of x , $f(x, u, G) = \widehat{f}(u, G)$. There exists a positive radius $R_0 = R_0(n, c, C)$ such that if $R > R_0$ we have*

$$\widetilde{\mathcal{F}}_{L^1}(u_V, B_R^n) = \mathcal{E}_f(u_V, B_R^n) + C_f^n. \quad (5.4)$$

PROOF: For every $R > 0$, the relaxed energy on B_R^n is lower than the energy of the Cartesian current $G_{u_V} + \delta_0 \times \llbracket D^n \rrbracket$ on the cylinder $W_R := B_R^n \times \mathbb{R}^n$, that is equal to $\mathcal{E}_f(u_V, B_R^n) + C_f^n$ (this is true since there exists a sequence v_h for which (5.2) holds). This yields the inequality " $\leq$ ".

To prove the opposite inequality, for any $R > 0$ we let $\{u_h\} \subset C^1(B_R^n; \mathbb{R}^N)$ be such that $u_h \rightarrow u_V$ in $L^1(B_R^n; \mathbb{R}^n)$ and $\mathcal{E}_f(u_h, B_R^n) \rightarrow \widetilde{\mathcal{F}}_{L^1}(u_V, B_R^n)$. We can also assume that G_{u_h} weakly converges to some current $T = G_{u_V} + T_s$ in $\text{cart}(B_R^n \times \mathbb{R}^n)$. By lower semicontinuity, it suffices to show that if R is large enough we have

$$\mathcal{F}_f(T_s) \geq C_f^n. \quad (5.5)$$

To this purpose, arguing as in [1] we obtain the following

Lemma 5.2. *If R is large enough, the projection on B_R^n of the support of T_s is a compact subset of B_R^n .*

PROOF: Following exactly the same slicing argument as in [1, Lemma 5.2], we find that the function $\zeta(t)$ satisfies

$$0 \leq \lim_{t \rightarrow R^-} [\zeta(t)]^{1/n} \leq [\zeta(0)]^{1/n} - \frac{R}{n \gamma_n^{1-1/n}},$$

where γ_n is the isoperimetric constant. On the other hand, using that $\mathcal{F}_f(T_s) \leq C_f^n$, we can estimate

$$\zeta(0) \leq \mathbf{M}_{W_R}(T_s) \leq \frac{1}{c} \mathcal{F}_f(T_s) \leq \frac{1}{c} C_f^n \leq \frac{C}{c} \omega_n,$$

and hence we obtain a contradiction, if R is sufficiently large. $\square$

Now, the previous lemma implies that if R is large enough, we can see T_s as an integral current in $\mathbb{R}^n \times \mathbb{R}^n$ satisfying $\partial T_s = \delta_0 \times \llbracket \mathbb{S}^{n-1} \rrbracket$, where $\mathbb{S}^{n-1} = \partial D^n$. We claim that the energy of the current T_s is greater than the energy of its image through the orthogonal projection $\Pi(x, y) = (0, y)$. Since

$$\partial(\Pi_\# T_s) = \Pi_\#(\partial T_s) = \Pi_\#(\delta_0 \times \llbracket \mathbb{S}^{n-1} \rrbracket) = \delta_0 \times \llbracket \mathbb{S}^{n-1} \rrbracket,$$

by the Constancy theorem we have

$$\Pi_\# T_s = \delta_0 \times \llbracket D^n \rrbracket$$

and hence we estimate

$$\mathcal{F}_f(T_s) \geq \mathcal{F}_f(\Pi_\# T_s) = \mathcal{F}_f(\delta_0 \times \llbracket D^2 \rrbracket),$$

so that (5.5) holds. To prove the claim, we recall that since the integrand f does not depend on x , the integral formula (2.8) of the $\mathcal{F}_f$ -energy gives

$$\mathcal{F}_f(T_s) = \int_{B_R^n \times \mathbb{R}^n} F_f(\widehat{\pi}(z), \vec{T}_s(z)) d\|T_s\|(z), \quad \mathcal{F}_f(\Pi_\# T_s) = \int_{B_R^n \times \mathbb{R}^n} F_f(\widehat{\pi}(z), \Lambda_n \Pi(\vec{T}_s(z))) d\|\Pi_\# T_s\|(z),$$

where clearly $\|T_s\| \geq \|\Pi_\# T_s\|$. Furthermore, for every $u = \widehat{\pi}(z)$ the integrand $F_f(u, \cdot)$ defines a seminorm on the vector space $\Lambda_n \mathbb{R}^{n+n}$, so that for every $\xi \in \Lambda_n \mathbb{R}^{n+n}$ we have $F_f(u, \xi) \geq F_f(u, \Lambda_n \Pi(\xi))$. Therefore, the claim follows and the proof is complete. $\square$

We now observe that the localized functional $A \mapsto \widetilde{\mathcal{F}}_{L^1}(u_V, A)$ fails to be subadditive, and hence it cannot be extended to a measure on Borel subsets of $\mathbb{R}^n$.

This non-locality property is checked by means of the very same argument as in [1], on account of Proposition 5.1 and of the following upper estimate.

Proposition 5.3. *For every $R > 0$ we have*

$$\widetilde{\mathcal{F}}_{L^1}(u_V, B_R^n) \leq \mathcal{E}_f(u_V, B_R^n) + C \frac{\omega_n}{n} R.$$

PROOF: Let T_R be the current

$$T_R = G_{u_V} + L_R \times \llbracket \mathbb{S}^{n-1} \rrbracket \in \text{cart}(B_R^n \times \mathbb{R}^n),$$

where L_R is the 1-current integration on a line segment connecting a point at the boundary of B_R^n with the origin. We can easily find a sequence $\{u_h\} \subset C^1(B_R^n; \mathbb{R}^n)$ converging to u_V in L^1 and such that $G_{u_h} \rightharpoonup T_R$. Therefore, the relaxed energy of u_V is lower than the energy of the current T_R . We have

$$\mathcal{F}_f(T_R) = \mathcal{E}_f(u, B_R^n) + \mathcal{F}_f(L_R \times \llbracket \mathbb{S}^{n-1} \rrbracket)$$

where by the upper estimate

$$\mathcal{F}_f(L_R \times \llbracket \mathbb{S}^{n-1} \rrbracket) \leq C \mathbf{M}(L_R \times \llbracket \mathbb{S}^{n-1} \rrbracket) = C R \mathcal{H}^{n-1}(\mathbb{S}^{n-1}),$$

and the assertion readily follows, again arguing as in [1, Lemma 5.2]. $\square$

In the case of integrands f which also depend on the x variable we need an additional hypothesis to conclude as in Proposition 5.1. Below, we denote for simplicity $\vec{\varepsilon} := \varepsilon_1 \wedge \cdots \wedge \varepsilon_n$, the unit simple n -vector orienting the target space $\mathbb{R}^n$ as a subspace of $\mathbb{R}^{n+n}$.

Proposition 5.4. *Assume that*

$$F_f(x, u, \xi) \geq \max_{u \in D^n} \{F_f(0_{\mathbb{R}^n}, u, \vec{\varepsilon})\} =: \tilde{c}, \quad \forall x, u \in \mathbb{R}^n, \xi \in \Lambda_n \mathbb{R}^{n+n} \text{ with } |\xi| = 1. \quad (5.6)$$

Then there exists a constant $\bar{R} > 0$ such that for all $R \geq \bar{R}$ property (5.4) holds.

PROOF: Arguing as above, Lemma 5.2 implies that for $R \geq \bar{R}$ there holds

$$\mathcal{F}_f(T_s) = \int_{\Sigma} |\theta(x, u)| F_f(x, u, \tau(x, u)) d\mathcal{H}^n(x, u) \geq \tilde{c} \int_{\Sigma} |\theta| d\mathcal{H}^n = \tilde{c} \mathbf{M}(T_s) \geq \tilde{c} \omega_n,$$

where we have written $T_s = \llbracket \Sigma, \theta, \tau \rrbracket$ and used the fact that, since $\partial T_s = \delta_0 \times \llbracket \mathbb{S}^{n-1} \rrbracket$, then $\mathbf{M}(T_s) \geq \mathbf{M}(\delta_0 \times \llbracket D^n \rrbracket) = \omega_n$. Therefore, again by (5.6)

$$\mathcal{F}_f(T_s) \geq \tilde{c} \omega_n \geq \int_{\{0\} \times D^n} F_f(0, u, \vec{\varepsilon}) d\mathcal{H}^n = C_f^n,$$

hence $\widetilde{\mathcal{F}}_{L^1}(u, B_R^n) \geq \mathcal{E}_f(u_V, B_R^n) + C_f^n$.

Let us now show the opposite inequality: since for $\widetilde{\mathcal{A}}_{L^1}(u, B_R^n)$ there is always a sequence $\{u_k\} \subset C^1(B_R^n; \mathbb{R}^n)$ such that $G_{u_k} \rightharpoonup G_{u_V} + \delta_0 \times \llbracket D^n \rrbracket$ and $A(u_k, B_R^n) \rightarrow \mathbf{M}(G_{u_V}) + \mathbf{M}(\delta_{0_{\mathbb{R}^2}} \times \llbracket D^2 \rrbracket)$, we readily infer by Proposition 3.6 that $\widetilde{\mathcal{F}}_{L^1}(u, B_R) \leq \mathcal{E}_f(u_V, B_R^n) + C_f^n$. $\square$

6 Some explicit formulas

We now discuss some situations where Theorem 3.7 applies: starting from known results for the relaxed area functional in the strict convergence, we obtain explicit formulas for more general energies as above.

6.1 Sobolev maps into the unit circle

Denote by

$$\mathbb{S}^1 := \{y \in \mathbb{R}^2 : |y| = 1\}, \quad D^2 := \{y \in \mathbb{R}^2 : |y| < 1\}$$

the unit circle and disk in the target space, and let

$$W^{1,1}(B^n; \mathbb{S}^1) := \{u \in W^{1,1}(B^n; \mathbb{R}^2) : |u(x)| = 1 \text{ for } \mathcal{L}^n\text{-a.e. } x \in B^n\}.$$

In any dimension $n \geq 2$, the graph current of a Sobolev map $u \in W^{1,1}(B^n; \mathbb{S}^1)$ is an i.m. rectifiable n -current G_u in $B^n \times \mathbb{S}^1$, with finite mass

$$\mathbf{M}(G_u) = \int_{B^n} \sqrt{1 + |\nabla u|^2} dx = \mathcal{V}(u, B^n) < \infty.$$

In low dimension $n = 2$, the following result was obtained in [3]. It says that the energy gap is detected by the *distributional determinant* $\text{Det } \nabla u$.

Theorem 6.1. *Let $u \in W^{1,1}(B^2; \mathbb{S}^1)$, and let $\text{Det } \nabla u$ denote the distributional determinant of u . Then,*

$$\widetilde{\mathcal{A}}_{BV}(u, B^2) < \infty \iff |\text{Det } \nabla u|(B^2) < \infty.$$

In that case, moreover, one has:

$$\widetilde{\mathcal{A}}_{BV}(u, B^2) = \mathcal{V}(u, B^2) + |\text{Det } \nabla u|(B^2).$$

In any dimension $n \geq 2$, the relevant singularities of u are detected by the current G_u , i.e., they can be described by homological arguments. More precisely, denoting by $\omega_{\mathbb{S}^1}$ the closed 1-form in $\mathbb{S}^1$

$$\omega_{\mathbb{S}^1} := \frac{1}{2} (y^1 dy^2 - y^2 dy^1)$$

the singularities are read by the $(n-2)$ -dimensional current $\mathbb{P}(u) \in \mathcal{D}_{n-2}(B^n)$ defined by

$$\mathbb{P}(u)(\eta) := -\frac{1}{\pi} G_u(d\eta \wedge \omega_{\mathbb{S}^1}), \quad \eta \in \mathcal{D}^{n-2}(B^n).$$

Therefore, in low dimension $n = 2$ one has

$$\pi \cdot \mathbb{P}(u)(\eta) = \langle \text{Det } \nabla u, \eta \rangle \quad \forall \eta \in C_c^\infty(B^2). \quad (6.1)$$

If e.g. $u_V(x) = x/|x|$, the vortex map, one gets $\mathbb{P}(u_V) = \delta_0$, the unit Dirac mass at the origin.

Theorem 6.1 was extended in [12] as follows:

Theorem 6.2. *Let $n \geq 2$ and $u \in W^{1,1}(B^n; \mathbb{S}^1)$. Then, $\tilde{\mathcal{A}}_{BV}(u, B^n) < \infty$ if and only if the $(n-2)$ -current $\mathbb{P}(u)$ is i.m. rectifiable and with finite mass, $\mathbf{M}(\mathbb{P}(u)) < \infty$. In that case, moreover, one has:*

$$\tilde{\mathcal{A}}_{BV}(u, B^n) = \mathcal{V}(u, B^n) + \pi \mathbf{M}(\mathbb{P}(u)).$$

We recall the strategy of the proof. A result from [18] implies that if $u \in W^{1,1}(B^n; \mathbb{S}^1)$ satisfies $\tilde{\mathcal{A}}_{BV}(u, B^n) < \infty$, then there exists a unique optimal Cartesian current $T_u \in \mathcal{T}_u^{BV}$ that encloses the graph of u , and it is given by

$$T_u = G_u + (-1)^{n-2} \mathbb{P}(u) \times \llbracket D^2 \rrbracket.$$

Therefore, the proof of the energy lower bound readily follows: by Federer-Fleming Compactness theorem, for every smooth sequence $\{u_h\}$ strictly converging to u , the graph G_{u_h} weakly converges to T_u (up to extracting a subsequence), and one concludes from the semicontinuity of the mass. On the other hand, the energy upper bound holds true as a consequence of the following approximation result from [12]:

Theorem 6.3. *Let $n \geq 2$ and $u \in W^{1,1}(B^n; \mathbb{S}^1)$ be a Sobolev map with finite relaxed energy, $\tilde{\mathcal{A}}_{BV}(u, B^n) < \infty$. Then, there exists a sequence $\{u_h\} \subset C^\infty(B^n; \mathbb{R}^2)$ such that $G_{u_h} \rightharpoonup T_u$ weakly in $\mathcal{D}_n(B^n \times \mathbb{R}^2)$ and $\mathbf{M}(G_{u_h}) \rightarrow \mathbf{M}(T_u)$ as $h \rightarrow \infty$.*

As a consequence of Theorems 3.7 and 6.3, we readily obtain the following density result.

Proposition 6.4. *Let $n \geq 2$ and $u \in W^{1,1}(B^n; \mathbb{S}^1)$ be a Sobolev map with finite relaxed energy, $\tilde{\mathcal{A}}_{BV}(u, B^n) < \infty$. Let f be a continuous integrand satisfying properties (a), (b) from Sec. 2.4, where $N = 2$. Then, there exists a smooth sequence $\{u_h\} \subset C^\infty(B^n; \mathbb{R}^2)$ such that $G_{u_h} \rightharpoonup T_u$ weakly in $\mathcal{D}_n(B^n \times \mathbb{R}^2)$ and*

$$\lim_{h \rightarrow \infty} \mathcal{E}_f(u_h, B^n) = \mathcal{E}_f(u, B^n) + \mathcal{F}_f(\mathbb{P}(u) \times \llbracket D^2 \rrbracket).$$

Arguing as above, and using the lower semicontinuity result in Proposition 2.4, we thus readily obtain:

Theorem 6.5. *Let $n \geq 2$ and let f be a continuous integrand satisfying properties (a), (b), (c') in Sec. 2.4, where $N = 2$. For any $u \in W^{1,1}(B^n; \mathbb{S}^1)$, we have $\tilde{\mathcal{F}}_{BV}(u, B^n) < \infty$ if and only if the $(n-2)$ -current $\mathbb{P}(u)$ is i.m. rectifiable and with finite mass, $\mathbf{M}(\mathbb{P}(u)) < \infty$. In that case, moreover, one has:*

$$\tilde{\mathcal{F}}_{BV}(u, B^n) = \mathcal{E}_f(u, B^n) + \mathcal{F}_f(\mathbb{P}(u) \times \llbracket D^2 \rrbracket).$$

We would like to find an explicit formula of the *energy gap* obtained in Theorem 6.5, i.e., of the integral

$$\mathcal{F}_f(\mathbb{P}(u) \times \llbracket D^2 \rrbracket). \quad (6.2)$$

We first consider the easier case when $f = f(G)$ is a polyconvex function satisfying properties (b) and (c'), that is

$$c |M_2(G)| \leq f(G) \leq C |M(G)| \quad \forall G \in \mathbb{R}^{2 \times n}.$$

Therefore, for smooth functions $u : B^n \rightarrow \mathbb{R}^2$ we have

$$\mathcal{E}_f(u, B^n) := \int_{B^n} f(\nabla u(x)) \, dx.$$

More generally, if $T \in \mathcal{R}_n(B^n \times \mathbb{R}^2)$, and $T = \llbracket S, \theta, \xi \rrbracket$, we have

$$\mathcal{F}_f(T) = \int_S \theta(z) F_f(\xi(z)) \, d\mathcal{H}^n(z).$$

Notice that if $u \in W^{1,1}(B^n; \mathbb{S}^1)$ is such that $\widetilde{\mathcal{F}}_{BV}(u, B^n) < \infty$, in high dimension $n \geq 3$ the energy gap in (6.2) depends on the orienting $(n-2)$ -vector to the current $\mathbb{P}(u)$. However, in low dimension $n = 2$, recalling that the 2-current $\llbracket D^2 \rrbracket$ is oriented by $\varepsilon_1 \wedge \varepsilon_2$, and using that $\mathbb{P}(u)$ is 0-dimensional, by eq. (6.1) we obtain

$$\mathcal{F}_f(\mathbb{P}(u) \times \llbracket D^2 \rrbracket) = \mathbf{M}(\mathbb{P}(u)) \cdot \int_{D^2} F_f(\varepsilon_1 \wedge \varepsilon_2) \, d\mathcal{H}^2(y) = |\text{Det } \nabla u|(B^2) \cdot F_f(\varepsilon_1 \wedge \varepsilon_2).$$

In fact, if $f(G) = |M(G)|$ or $f(G) = |\det G|$, we clearly have $F_f(\varepsilon_1 \wedge \varepsilon_2) = 1$, see Theorem 6.1.

6.2 The case of 0-homogeneous maps

Similar arguments apply to the case of homogeneous BV -maps treated in [11]. Let $\gamma \in BV(\mathbb{S}^1; \mathbb{R}^2)$ be fixed, and consider the function $u_\gamma \in BV(B^2; \mathbb{R}^2)$ given by

$$u_\gamma(x) = \gamma\left(\frac{x}{|x|}\right), \quad x \in B^2 \setminus \{0\}. \quad (6.3)$$

In [11] it is proved that

$$\widetilde{\mathcal{A}}_{BV}(u_\gamma, B^2) = \mathcal{V}(u_\gamma, B^2) + \overline{P}(\gamma),$$

where, according to definition in (4.4) and (4.5) one has

$$\overline{P}(\gamma) = P(\overline{\gamma})$$

where $\overline{\gamma}$ is the Lipschitz curve in Definition 4.1. If we assume that $\overline{\gamma}$ satisfy hypothesis (P0), then we obtain the following result:

Theorem 6.6. *Let $\gamma \in \text{Lip}(\mathbb{S}^1; \mathbb{R}^2)$ satisfy hypothesis (P0), let $u_\gamma \in W^{1,1}(B^2; \mathbb{R}^2)$ be defined as in (6.3), and let f be a continuous integrand satisfying properties (a), (b), (c') from Sec. 2.4, where $N = 2$. If γ satisfies property (H) in Definition 4.10 then*

$$\widetilde{\mathcal{F}}_{BV}(u_\gamma, B^2) = \mathcal{E}_f(u_\gamma, B^2) + P_f(0, \gamma), \quad (6.4)$$

where

$$P_f(0, \gamma) = \sum_{i=1}^K k_i \int_{U_i} F_f(0_{\mathbb{R}^2}, y, \alpha_i \varepsilon_1 \wedge \varepsilon_2) dy \quad (6.5)$$

with $(k_1, \dots, k_K)$ is the unique injection in $\text{Ad}_{\min}(\gamma)$, and where $S_\gamma = \sum_{i=1}^K \alpha_i k_i \llbracket U_i \rrbracket$, U_i being the bounded connected components of $\mathbb{R}^2 \setminus \gamma(\mathbb{S}^1)$ and α_i suitable signs (see Theorem 4.9).

PROOF: The completely vertical component $S_T \in \mathcal{R}_2(B^2 \times \mathbb{R}^2)$ of the unique current $T_{u_\gamma} = G_{u_\gamma} + S_T \in \mathcal{T}_{u_\gamma}^{BV}$, is given by

$$S_T = \delta_0 \times S_\gamma,$$

and since γ satisfies (H), by Theorem 4.13 it holds

$$\mathbf{M}(S_T) = \mathbf{M}(S_\gamma) = P(\gamma).$$

Hence the thesis follows from Theorem 3.7, by observing that S_γ , by Theorem 4.9, has the form

$$S_\gamma = \sum_{i=1}^K \alpha_i k_i \llbracket U_i \rrbracket, \quad \alpha_i \in \{-1, 1\},$$

and $\alpha_i \varepsilon_1 \wedge \varepsilon_2$ orients S_T on $\{0\} \times U_i$. □

We have already seen that the previous result does not apply in the case of the Lipschitz function φ_8 in (2.6) corresponding to the double eight example u_8 , for which property (H) fails to hold. However, we have

Proposition 6.7. *Let f be a continuous integrand satisfying properties (a), (b), (c) from Sec. 2.4, and let u_8 be the homogeneous map given by the double eight example. Then, the energy gap is positive.*

PROOF: Assume that we have

$$\widetilde{\mathcal{F}}_{BV}(u_8, B^2) = \mathcal{E}_f(u_8, B^2).$$

Then, by the definition of relaxed functional it turns out that for every small radius $R > 0$ we have

$$\widetilde{\mathcal{F}}_{BV}(u_8, B_R^2) = \mathcal{E}_f(u_8, B_R^2).$$

By the estimate (c) we can find a constant $c > 0$ such that

$$\widetilde{\mathcal{A}}_{BV}(u_8, B_R^2) \leq \frac{1}{c} \widetilde{\mathcal{F}}_{BV}(u_8, B_R^2) = \frac{1}{c} \mathcal{E}_f(u_8, B_R^2),$$

where the right-hand side is small if R is small, by absolute continuity. However, it is well-known that in the double eight example we have

$$\lim_{R \rightarrow 0^+} \widetilde{\mathcal{A}}_{BV}(u_8, B_R^2) = 2\pi,$$

and hence we obtain a contradiction. □

6.2.1 The BV case

We now observe that, if γ is not Lipschitz but only of bounded variation, in the previous discussion $P(\gamma)$ must be replaced by $P(\bar{\gamma})$. In such a case, however, some additional vertical part in S_T pops up: specifically, let us view γ as a function of the angle variable θ and denote by J_γ the jump set of γ , $J_\gamma = \{\theta_\ell : \ell \in \mathbb{N}\} \subset \mathbb{S}^1$. Without loss of generality, we assume here that the jump set of γ is countable and that γ is continuous at $(0, 1)$. It turns out that

$$D_\theta \gamma = D_\theta^a \gamma + D_\theta^C \gamma + D_\theta^J \gamma = \dot{\gamma} \cdot \mathcal{H}^1 + D_\theta^C \gamma + \sum_{\ell} (\gamma^+(\theta_\ell) - \gamma^-(\theta_\ell)) \delta_{\theta_\ell}. \quad (6.6)$$

The three measures above are mutually singular, and we denote by $C'_\gamma \subset \mathbb{S}^1$ a measurable set of null $\mathcal{H}^1$ measure such that Cantor part $D^C \gamma$ satisfies $|D^C \gamma|(\mathbb{S}^1 \setminus C'_\gamma) = 0$. By identifying $\mathbb{S}^1$ with $[0, 2\pi)$ and using polar coordinate in B^2 , we set $C_\gamma := \{x \in B^2 \setminus \{0\} : x = (\rho \cos \theta, \rho \sin \theta), \theta \in C'_\gamma\}$; we can easily see that $Du_\gamma = \nabla u_\gamma + D^C u_\gamma + D^J u_\gamma$, with the unique current $T_{u_\gamma} \in \mathcal{T}_{u_\gamma}^{BV}$ given by

$$T_{u_\gamma} = G_{u_\gamma} + S_T, \quad S_T = S_\gamma^C + S_\gamma^J + \delta_{0_{\mathbb{R}^2}} \times S_{\bar{\gamma}}, \quad (6.7)$$

where $\bar{\gamma}$ is the Lipschitz curve from Definition 4.1.

The components G_{u_γ} , S_γ^C and S_γ^J are respectively given by

$$G_{u_\gamma} = \Psi_\#(\llbracket 0, 1 \rrbracket \times T_\gamma^a), \quad S_\gamma^C = \Psi_\#(\llbracket 0, 1 \rrbracket \times T_\gamma^C), \quad S_\gamma^J = \Psi_\#(\llbracket 0, 1 \rrbracket \times T_\gamma^J),$$

where $\Psi : (0, 1) \times [0, 2\pi) \times \mathbb{R}^2 \rightarrow B^2 \times \mathbb{R}^2$ is the map

$$\Psi(\rho, \theta, y) = (\rho \cos \theta, \rho \sin \theta, y)$$

and the terms T_γ^a , T_γ^C , and T_γ^J in $\mathcal{R}_1((0, 2\pi) \times \mathbb{R}^2)$ correspond to the absolutely continuous, Cantor and Jump components. More precisely, T_γ^a is the graph current of the BV function $\gamma : (0, 2\pi) \rightarrow \mathbb{R}^2$. Moreover, the components T_γ^C and T_γ^J are vertical, i.e., for every test function $\phi \in C_c^\infty(\mathbb{R} \times \mathbb{R}^2)$ we have

$$T_\gamma^C(\phi(\theta, y) d\theta) = T_\gamma^J(\phi(\theta, y) d\theta) = 0,$$

whereas for $j = 1, 2$, denoting by components $\gamma = (\gamma_1, \gamma_2)$, we have

$$T_\gamma^C(\phi(\theta, y) dy^j) = \int_{C'_\gamma} \phi(\theta, \gamma(\theta)) dD^C \gamma_j$$

and

$$T_\gamma^J(\phi(\theta, y) dy^j) = \sum_\ell \int_0^1 \phi(\theta_\ell, s\gamma^+(\theta_\ell) + (1-s)\gamma^-(\theta_\ell)) (\gamma_j^+(\theta_\ell) - \gamma_j^-(\theta_\ell)) ds.$$

Then, for every test function $\phi \in C_c^\infty(B^2 \times \mathbb{R}^2)$, we have

$$S_\gamma^C(\phi(x, y) dx^1 \wedge dx^2) = S_\gamma^J(\phi(x, y) dx^1 \wedge dx^2) = 0$$

and for $i, j \in \{1, 2\}$

$$S_\gamma^C(\phi(x, y) \widehat{dx}^i \wedge dy^j) = (-1)^{i-1} \int_{B^2} \phi(x, u(x)) d(D^C u_\gamma)_i^j,$$

where u_γ is a precise representative, and finally

$$S_\gamma^J(\phi(x, y) \widehat{dx}^i \wedge dy^j) = (-1)^{i-1} \sum_\ell \int_{R_\ell} \left(\int_0^1 \phi(x, u^s(x)) ds \right) (\gamma_j^+(\theta_\ell) - \gamma_j^-(\theta_\ell)) \nu_\ell^i d\mathcal{H}^1, \quad (6.8)$$

where R_ℓ is the outward pointing radius of B^2 oriented by $(\cos \theta_\ell, \sin \theta_\ell)$, the unit normal is $\nu_\ell = (\nu_\ell^1, \nu_\ell^2) = (-\sin \theta_\ell, \cos \theta_\ell)$, and for any $x \in R_\ell$ and any $\ell \in \mathbb{N}$ we have denoted

$$u^s(x) = s\gamma^+(\theta_\ell) + (1-s)\gamma^-(\theta_\ell).$$

We thus have

$$\mathbf{M}(T_{u_\gamma}) = \mathbf{M}(G_{u_\gamma}) + \mathbf{M}(S_\gamma^C) + \mathbf{M}(S_\gamma^J) + \mathbf{M}(S_{\bar{\gamma}}),$$

where

$$\mathbf{M}(G_{u_\gamma}) = A(u_\gamma, B^2), \quad \mathbf{M}(S_\gamma^C) = |D^C u_\gamma|(B^2), \quad \mathbf{M}(S_\gamma^J) = \sum_\ell |\gamma^+(\theta_\ell) - \gamma^-(\theta_\ell)|.$$

Therefore, using the results from [11] it turns out that

$$\widetilde{\mathcal{A}}_{BV}(u_\gamma, B^2) = \mathbf{M}(T_{u_\gamma}).$$

By applying Theorem 3.7, we thus readily obtain the following representation result:

Theorem 6.8. *Let $\gamma \in BV(\mathbb{S}^1; \mathbb{R}^2)$ be such that $\bar{\gamma}$ satisfy hypothesis (P0), let $u_\gamma \in BV(B^2; \mathbb{R}^2)$ be defined as in (6.3), and let f be a continuous integrand satisfying properties (a), (b), (c) from Sec. 2.4, where $N = 2$. If $\bar{\gamma}$ satisfies hypothesis (H) in Definition 4.10 then*

$$\widetilde{\mathcal{F}}_{BV}(u_\gamma, B^2) = \mathcal{E}_f(u_\gamma, B^2) + \mathcal{F}_f(S_\gamma^C) + \mathcal{F}_f(S_\gamma^J) + P_f(0, \bar{\gamma}) \quad (6.9)$$

where $P_f(0, \bar{\gamma})$ is defined as in (6.5) with respect to the Lipschitz curve $\bar{\gamma}$ from Definition 4.1.

An example: the triple junction map: Consider the function $\gamma : [0, 2\pi) \rightarrow \mathbb{R}^2$ defined as

$$\gamma(\theta) := \begin{cases} \alpha & \text{if } \theta \in [0, \pi/3) \cup [5\pi/3, 2\pi) \\ \beta & \text{if } \theta \in [\pi/3, \pi) \\ \gamma & \text{if } \theta \in [\pi, 5\pi/3), \end{cases}$$

where $\alpha, \beta, \gamma \in \mathbb{S}^1$ are the vertices of an equilateral triangle Δ ; e.g., $\alpha = (1, 0)$, $\beta = (-\frac{1}{2}, \frac{\sqrt{3}}{2})$, $\gamma = (-\frac{1}{2}, -\frac{\sqrt{3}}{2})$. Consider the triple junction map $u_T : B^2 \rightarrow \mathbb{R}^2$ given by

$$u_T(\rho, \theta) = \gamma(\theta). \quad (6.10)$$

Denoting by $\overline{pq}$ the oriented segment from $p \in \mathbb{R}^2$ to $q \in \mathbb{R}^2$, we have $T_\gamma^a = G_\gamma$, $T_\gamma^C = 0$, whereas $T_\gamma^J = \delta_{\pi/3} \times \llbracket \overline{\alpha\beta} \rrbracket + \delta_\pi \times \llbracket \overline{\beta\gamma} \rrbracket + \delta_{5\pi/3} \times \llbracket \overline{\gamma\alpha} \rrbracket$, and so $S_\gamma^C = 0$. Moreover (6.8) holds with $\ell \in \{1, 2, 3\}$ and with $\theta_1 = \pi/3$, $\theta_2 = \pi$, $\theta_3 = 5\pi/3$, $\nu_1 = (-\sqrt{3}/2, 1/2)$, $\nu_2 = (0, -1)$, $\nu_3 = (\sqrt{3}/2, 1/2)$, R_1 , R_2 and R_3 are the segment on which u_T jumps, i.e. the radii of B^2 corresponding to $\theta_1 = \pi/3$, $\theta_2 = \pi$, $\theta_3 = 5\pi/3$. Finally $S_{\bar{\gamma}} = \delta_{0_{\mathbb{R}^2}} \times \llbracket \Delta \rrbracket$, and it is easy to see that hypotheses of Theorem 6.8 hold, so that we infer

$$\widetilde{\mathcal{F}}_{BV}(u_T, B^2) = \mathcal{E}_f(u_T, B^2) + \mathcal{F}_f(S_\gamma^J) + P_f(0, \bar{\gamma}) \quad (6.11)$$

where

$$P_f(0, \bar{\gamma}) = \int_{\Delta} F_f(0_{\mathbb{R}^2}, y, \varepsilon_1 \wedge \varepsilon_2) dy$$

and

$$\mathcal{F}_f(S_\gamma^J) = \sum_{\ell=1}^3 \int_{R_\ell} \int_0^1 F_f \left(x, s \gamma^+(\theta_\ell) + (1-s) \gamma^-(\theta_\ell), -\nu_\ell^\perp \wedge \frac{\gamma^+(\theta_\ell) - \gamma^-(\theta_\ell)}{|\gamma^+(\theta_\ell) - \gamma^-(\theta_\ell)|} \right) ds d\mathcal{H}^1,$$

with $\nu_\ell^\perp$ the unit vector obtained through a counter-clockwise $\pi/2$ -rotation of ν_ℓ .

We will return on this example in the open problem Section 7, where we discuss the case of L^1 -relaxation.

6.3 Piecewise Lipschitz maps

We consider in this section piecewise Lipschitz maps, a special class of BV maps $u : B^2 \rightarrow \mathbb{R}^2$ analyzed in [4]. They are defined as follows: to start with, we define a Lipschitz partition of B^2 as a collection $\{\Omega_j, j = 1, \dots, N, N \in \mathbb{N}\}$ of mutually disjoint non-empty open subsets of B^2 having Lipschitz boundaries, and such that $\cup_{j=1}^N \overline{\Omega_j} = \overline{B^2}$. In such a case we denote $\Sigma := \cup_{j=1}^N \partial\Omega_j \cap B^2$ the interface set of the partition. We will consider Lipschitz partitions whose interface is a network, according to the following definition:

Definition 6.9. *A partition interface Σ of a Lipschitz partition $\{\Omega_j, j = 1, \dots, N\}$ of B^2 is said to be a network if the following conditions are satisfied: there exists $n \in \mathbb{N}$ Lipschitz curves $\alpha_\ell : [a_\ell, b_\ell] \rightarrow \overline{B^2}$, $\ell = 1, \dots, n$, such that*

$$\Sigma = \bigcup_{i=1}^n \overline{\alpha_\ell}([a_\ell, b_\ell]) \cap B^2,$$

and

- (n1) every α_ℓ is injective on (a_ℓ, b_ℓ) and of class $C^2((a_\ell, b_\ell))$, with $\dot{\alpha}_\ell \equiv 1$, $\dot{\alpha}_\ell, \ddot{\alpha}_\ell \in C^0([a_\ell, b_\ell])$, and $\alpha_\ell((a_\ell, b_\ell)) \subset B^2$;
- (n2) $\ell_1 \neq \ell_2$ implies $\alpha_{\ell_1}((a_{\ell_1}, b_{\ell_1})) \cap \alpha_{\ell_2}((a_{\ell_2}, b_{\ell_2})) = \emptyset$;
- (n3) there exists a finite number of points $\{p_1, \dots, p_m\} \subset B^2$, $m \geq 0$, called set of junction points, such that for all ℓ with $\alpha_\ell(a_\ell) \neq \alpha_\ell(b_\ell)$ one has $\alpha_\ell(\{a_\ell, b_\ell\}) \subseteq \{p_1, \dots, p_m\} \cup \partial B^2$;
- (n4) if $x \in \alpha_\ell(\{a_\ell, b_\ell\}) \cap \partial B^2$, then the curve α_ℓ is transversal to ∂B^2 at x ;
- (n5) $\ell_1 \neq \ell_2$ implies $\alpha_{\ell_1}(\{a_{\ell_1}, b_{\ell_1}\}) \cap \alpha_{\ell_2}(\{a_{\ell_2}, b_{\ell_2}\}) \subseteq \{p_1, \dots, p_m\}$;
- (n6) for all $i = 1, \dots, m$ there exist $r > 0$ and $N_i \in \mathbb{N}$ such that $3 \leq N_i \leq N$ and for all $r' \in (0, r)$ the ball $B_{r'}(p_i) \subset B^2$ has nonempty intersection with exactly N_i components Ω_j of the partition $\{\Omega_j, j = 1, \dots, N\}$.

Notice that a partition $\{\Omega_j, j = 1, \dots, N\}$ whose interface is a network enjoys the property that every curve α_j might reach the boundary ∂B^2 , but in such a case, if for example $\alpha_{\ell_1}(a_{\ell_1}) \in \partial B^2$, then $\alpha_{\ell_1}(a_{\ell_1}) \cap \alpha_{\ell_2}([a_{\ell_2}, b_{\ell_2}]) = \emptyset$ for $\ell_1 \neq \ell_2$, i.e., the endpoints at ∂B^2 cannot belong to different curves.

We can now introduce the class of maps we are concerned with.

Definition 6.10. We say that a map $u \in BV(B^2; \mathbb{R}^2)$ is piecewise Lipschitz if there is a Lipschitz partition $\{\Omega_j, j = 1, \dots, N\}$ of B^2 whose interface is a network such that the restriction $u|_{\Omega_j}$ belongs to $\text{Lip}(\Omega_j; \mathbb{R}^2)$ for every j .

If $p_i \in B^2$ is a junction point (see (n3)), then it is easily seen that for all $j \in \{1, \dots, N\}$ with $p_i \in \partial\Omega_j$ there exists the limit

$$\beta_j^i := \lim_{\substack{x \rightarrow p_i \\ x \in \Omega_j}} u(x). \quad (6.12)$$

Let $i \in \{1, \dots, m\}$ be such that p_i is an endpoint of α_ℓ ; by regularity of α_ℓ for $\rho > 0$ small enough $\alpha_\ell([a_\ell, b_\ell]) \cap \partial B_\rho(p_i)$ consists either of a single point, or of two points in the case $\alpha_\ell(a_\ell) = \alpha_\ell(b_\ell) = p_i$. It follows that the map $u|_{\partial B_\rho(p_i)}$ is piecewise Lipschitz and might jump only on $\Sigma \cap \partial B_\rho(p_i)$. Hence, the number of these jump points is, by definition of junction point, N_i . For all such i we denote by $\Omega_1^i, \dots, \Omega_{N_i}^i$, the connected components of $B^2 \setminus \Sigma$ whose closure contains p_i , chosen in counter-clockwise order around p_i . By Lipschitz regularity of the partition, any Ω_j^i has a corner at p_i whose aperture is a positive angle $\theta_j^i \in (0, 2\pi)$. For simplicity of notation denote β_j^i the limit in (6.12) done on Ω_j^i instead of Ω_j . For all $i = 1, \dots, N_i$ we denote by γ_i a Lipschitz curve which parameterizes on $\mathbb{S}^1$ the polygon with vertices $\beta_1^i, \beta_2^i, \dots, \beta_{N_i}^i$ in the order. In [4] the following result is proved:

Theorem 6.11. Let $u \in BV(B^2; \mathbb{R}^2)$ be a piecewise Lipschitz map. Then

$$\widetilde{\mathcal{A}}_{BV}(u, B^2) = A(u, B^2) + \sum_{\ell=1}^n \int_{(a_\ell, b_\ell) \times (0, 1)} |\partial_t X_\ell \wedge \partial_s X_\ell| dt ds + \sum_{i=1}^m P(\gamma_i), \quad (6.13)$$

where $X_\ell(t, s) = (t, su^+(\alpha_\ell(t)) + (1-s)u^-(\alpha_\ell(t)))$ for $(t, s) \in (a_\ell, b_\ell) \times (0, 1)$, with $u^\pm$ being the two traces of u on the jump set $\alpha_\ell((a_\ell, b_\ell))$.

In the special case that the function u has no junction points p_i , the quantity in (6.13) (hence with $m = 0$ and so that $\sum_{i=1}^m P(\gamma_i) = 0$) coincides with

$$\mathbf{M}(\overline{T}) = A(u, B^2) + \mathbf{M}(S_u) \quad (6.14)$$

for the unique Cartesian current $T_u = G_u + S_u$ in the class $\mathcal{T}_u^{BV}$. Therefore, by Theorem 3.7 we infer that for every continuous integrand f satisfying properties (a), (b), (c) in Sec. 2.4, we have

$$\widetilde{\mathcal{F}}_{BV}(u, B^2) = \mathcal{F}_f(T_u) = \mathcal{E}_f(u, B^2) + \mathcal{F}_f(S_u). \quad (6.15)$$

The previous formula continues to hold if $u \in BV(B^2; \mathbb{R}^2)$ is piecewise Lipschitz and is such that at every junction point p_i the curve γ_i satisfies hypothesis (P0) and (H). In such a case at any junction point p_i the surface solution of $P(\gamma_i)$ has area equal to the mass of the current S_{γ_i} , compare Theorem 4.9. We have the following:

Theorem 6.12. Let $u \in BV(B^2; \mathbb{R}^2)$ be a piecewise Lipschitz map as in Theorem 6.11. Suppose that for all $i = 1, \dots, m$ the curve γ_i satisfies hypothesis (H), so there is a unique element $(k_1^i, \dots, k_{N_i}^i)$ in $\text{Ad}_{\min}(\gamma_i)$. Then

$$\widetilde{\mathcal{F}}_{BV}(u, B^2) = \mathcal{E}_f(u, B^2) + \mathcal{F}_f(S_u), \quad (6.16)$$

where $S_u = T_u - G_u$, and T_u is the unique element in $\mathcal{T}_u^{BV}$. Explicitly

$$\mathcal{F}_f(S_u) = \sum_{\ell=1}^n \int_{(a_\ell, b_\ell) \times (0, 1)} F_f(\alpha_\ell(t), y(s), \tau(t) \wedge w(t)) |\partial_t X_\ell \wedge \partial_s X_\ell| dt ds + \sum_{i=1}^m P_f(p_i, \gamma_i) \quad (6.17)$$

where $y(t) = su^+(t) + (1-s)u^-(t)$, $\tau(t) = \dot{\alpha}_\ell(t)$, $w(t) = \frac{u^+(t) - u^-(t)}{|u^+(t) - u^-(t)|}$, and for all $i = 1, \dots, m$ it holds

$$P_f(p_i, \gamma_i) = \sum_{j=1}^{N_i} k_j^i \int_{U_j^i} F_f(p_i, y, \varepsilon_1 \wedge \varepsilon_2) dy. \quad (6.18)$$

We recall that X_ℓ for $(t, s) \in (a_\ell, b_\ell) \times (0, 1)$ is defined as in Theorem 6.11.

7 Open questions

We collect some open questions.

7.1 More general 0-homogeneous maps

An important question arises if one wishes to extend Theorem 6.6 to the cases in which the Lipschitz curve γ does not satisfy hypothesis (H).

This is for example the case of the double eight curve $\varphi_8 : \mathbb{S}^1 \rightarrow \mathbb{R}^2$ considered in Proposition 6.7. From Remark 4.7 the corresponding representative in $F(2)$ is $\sigma_1 \sigma_2 \sigma_1^{-1} \sigma_2^{-1}$, and $\text{Ad}_{\min}(\varphi_8) = \{(2, 0), (0, 2)\}$. We expect that in such a case the energy gap is

$$\min \left\{ \int_{C_i} F_f(0_{\mathbb{R}^2}, y, \varepsilon_1 \wedge \varepsilon_2) dy, i = 1, 2 \right\},$$

where C_1, C_2 are the unit disks with centers $(1, 0)$ and $(-1, 0)$, respectively. Notice that this is coherent with the case of the area functional.

7.2 More on the vortex map: modified catenoid

Returning to the vortex map u_V in case of dimension $n = 2$, it would be interesting to obtain for $R > 0$ small the optimal estimate in Proposition 5.3.

Assume for simplicity that $f = f(G)$. In that case, we expect that the energy gap of the L^1 relaxed functional is equal to the $\mathcal{F}_f$ -energy of a suitable vertical current $S_T \in \mathcal{R}_2(B_R^2 \times \mathbb{R}^2)$ that is supported on a surface Σ_R whose projection onto the domain lies in a segment I_R connecting the origin $0_{\mathbb{R}^2}$ to a given point P_R at the boundary ∂B_R . In strict similarity with the case of the area functional (addressed in [5–7]), such a surface Σ_R is obtained through a minimization problem for the $\mathcal{F}_f$ -energy in a 3-dimensional Plateau type problem in $I_R \times \mathbb{R}^2$ with a free boundary in correspondence to the boundary point P_R . In order to apply our continuity results (Proposition 3.6) a sequence $v_h \in C^1(B_R^2 \times \mathbb{R}^2)$ must be found such that G_{v_h} converges in mass to the corresponding Cartesian current. In some particular cases in which the function f is explicit, under suitable changes of variables, the aforementioned Plateau type problem with partial free boundary can be rephrased in term of the area functional, but in different domains. In such a case, results in [8] will help to study existence and regularity of the corresponding solutions.

7.3 On the triple junction map

Considering the relaxed area of the triple junction map u_T introduced in (6.10) on B_R^2 , one might ask if something is possible to say about the relaxation in L^1 of a more general polyconvex integrand. Following [9, 20], the optimal Cartesian current $T \in \text{cart}(B_R^2 \times \mathbb{R}^2)$ obtained as limit of graphs of a recovery sequence for $\mathcal{A}_{L^1}(u_T, B_R^2)$ can be written as

$$T = G_{u_T} + S_1 + S_2 + S_3,$$

where S_i are vertical and live respectively on the three radii in B_R^2 forming the jump set J_{u_T} of u_T . More precisely, if R_1 is the radius of B_R^2 corresponding to the polar coordinate $\theta = \pi/3$, S_1 is the integration over a surface $\Sigma_1 \subset R_1 \times \mathbb{R}^2$ defined as follows: we use the coordinate $\rho \in [0, R)$ to parameterize R_1 , and choose a Cartesian system of $\mathbb{R}^2$ such that α and β have coordinates $(-\frac{1}{2}, 0)$ and $(\frac{1}{2}, 0)$ respectively. Then we let $Q := (0, R) \times (-\frac{1}{2}, \frac{1}{2})$ and consider the following Plateau type problem

$$\inf \left\{ \int_Q \sqrt{1 + |\nabla \psi|^2} dx : \psi \in W^{1,1}(Q), \psi(\cdot, -\frac{1}{2}) = \psi(\cdot, \frac{1}{2}) = 0, \psi(0, \cdot) = \varphi(\cdot) \right\} \quad (7.1)$$

where $\varphi : (-\frac{1}{2}, \frac{1}{2})$ is the piecewise affine interpolation map such that $\varphi(-\frac{1}{2}) = \varphi(\frac{1}{2}) = 0$ and $\varphi(0) = \frac{\sqrt{3}}{6}$. The resulting minimizer $\psi_{\min}$ is a C^1 map whose graph is a minimal surface with free boundary on $\{R\} \times \mathbb{R}^2$; then

$$\Sigma_1 := G_{\psi_{\min}}.$$

The currents S_2 and S_3 are built in a similar manner.

One might wonder if some analogous argument used to prove Theorem 6.2 could apply to show that, given a more general polyconvex integrand f , the expression of $\widetilde{\mathcal{F}}_{L^1}(u_T, B_R^2)$ can be shown. Even more, one may ask if under suitable hypotheses on f there holds

$$\widetilde{\mathcal{F}}_{L^1}(u_T, B_R^2) = \mathcal{E}_f(u_T, B_R^2) + \sum_{i=1}^3 \mathcal{F}_f(S_i).$$

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