

# STRONG APPROXIMATION OF SBV FUNCTIONS WITH PRESCRIBED JUMP DIRECTION

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ABSTRACT. In this note we show that SBV functions with jump normal lying in a prescribed set of directions  $\mathcal{N}$  can be approximated by sequences of SBV functions whose jump set is essentially closed, polyhedral, and preserves the orthogonality to  $\mathcal{N}$ , moreover the functions are smooth away from their jump set.

**Keywords:** SBV-functions, Approximation, Free-discontinuity problems, Prescribed jump direction, Anisotropy.

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## 1. INTRODUCTION

In the proof of approximation or homogenisation results of *free-discontinuity functionals* one is concerned with the construction of a sequence of functions, the so-called *recovery sequence*, along which a certain functional upper-bound inequality shall be satisfied, the so-called  $\Gamma$ -*limsup inequality* (see, *e.g.*, [8]). The construction of a recovery sequence is often nontrivial and in most cases it is only feasible after assuming some additional regularity of the target function. In a particular instance, if the considered object belongs to the space of *special functions of bounded variation*, SBV, it is of crucial importance to replace it with a function whose jump set is as simple as possible, typically *polyhedral*, as well as to attain sufficient smoothness of the function away from its jump set. In this respect the mathematical literature provides us with a number of approximation results for SBV functions which are, moreover, tailor-made to deal with the aforementioned upper-bound inequalities; see, *e.g.*, [4, 9], as well as [6, 7, 11] for approximants with polyhedral jump set.

However, should the target SBV functions satisfy some geometric constraint arising in the problem under examination, the available approximation results may fail to preserve this additional constraint. In the context of variational methods for fracture and image segmentation, in this paper we establish a density result for SBV functions with prescribed jump direction, describing, *e.g.*, deformations of materials with cracks appearing only along certain directions.

If  $\Omega \subset \mathbb{R}^n$  is open, bounded, with Lipschitz boundary and  $u \in \text{SBV}^1(\Omega; \mathbb{R}^m)$ , the prototypical free-discontinuity functionals we consider are of the form

$$\mathcal{F}(u) = \int_{\Omega} |\nabla u| \, d\mathcal{L}^n + \int_{S_u} \gamma(x, u^+, u^-, \nu_u) \, d\mathcal{H}^{n-1}, \quad (1.1)$$

where the surface integrand  $\gamma: \Omega \times \mathbb{R}^m \times \mathbb{R}^m \times \mathbb{S}^{n-1} \rightarrow [0, +\infty]$  encodes the relevant properties of the (effective) model. We recall that an element of the space  $\text{SBV}^1(\Omega; \mathbb{R}^m)$  is a  $\text{BV}(\Omega; \mathbb{R}^m)$  function

whose Jacobi matrix is a measure satisfying

$$Du = \nabla u \, d\mathcal{L}^n \llcorner \Omega + (u^+ - u^-) \otimes \nu_u \, d\mathcal{H}^{n-1} \llcorner S_u, \quad (1.2)$$

where  $\nabla u$  is the density of the absolutely continuous part and  $\mathcal{H}^{n-1}(S_u) < +\infty$ . In (1.2) the vectorial functions  $u^+$  and  $u^-$  represent the traces of  $u$  on both sides of the discontinuity set  $S_u$  and  $\nu_u$  is the measure theoretical normal to  $S_u$  [2].

If (1.1) allows only for a finite number of given jump directions, and  $\nu_1, \dots, \nu_M \in \mathbb{S}^{n-1}$  is the list of corresponding normals, we shall consider a surface energy density  $\gamma$  such that  $\gamma(\cdot, \cdot, \cdot, \nu) \equiv +\infty$  if  $\nu \notin \mathcal{N} := \{\pm\nu_1, \dots, \pm\nu_M\}$ . Therefore in this case the domain of  $\mathcal{F}$  is strictly smaller than  $\text{SBV}(\Omega; \mathbb{R}^m)$  and the additional constraint of

$$\nu_u(x) \in \mathcal{N} \quad \text{for } \mathcal{H}^{n-1}\text{-a.e. } x \in S_u \quad (1.3)$$

is to be satisfied. We denote by  $\text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m)$  the space of those  $\text{SBV}(\Omega; \mathbb{R}^m)$  functions satisfying (1.3) as well as  $\mathcal{H}^{n-1}(S_u) < +\infty$  and in this paper we are concerned with a *strong approximation* scheme for functions therein. Namely, in the main result of this paper, Theorem 3.1, we prove that any function  $u \in \text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  can be approximated by a sequence  $(u_k) \subset \text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  with  $\|u_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$  satisfying the following properties:

- $S_{u_k}$  is essentially closed, *i.e.*,  $\mathcal{H}^{n-1}(\overline{S_{u_k}} \setminus S_{u_k}) = 0$ ;
- $\overline{S_{u_k}}$  is the union of a *finite* number of  $(n-1)$ -dimensional *pairwise disjoint* closed cubes;
- $u_k \in C^\infty(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m) \cap W^{1,\infty}(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m)$ ;

The density result is regarded in the following convergence:

$$u_k \rightarrow u \text{ in } L^1(\Omega; \mathbb{R}^m), \quad \nabla u_k \rightarrow \nabla u \text{ in } L^1(\Omega; \mathbb{R}^{m \times n}), \quad \mathcal{H}^{n-1}(S_{u_k}) \rightarrow \mathcal{H}^{n-1}(S_u). \quad (1.4)$$

Moreover,

$$\limsup_{k \rightarrow +\infty} \int_{S_{u_k} \cap \overline{A}} \gamma(x, u_k^+, u_k^-, \nu_{u_k}) \, d\mathcal{H}^{n-1} \leq \int_{S_u \cap \overline{A}} \gamma(x, u^+, u^-, \nu_u) \, d\mathcal{H}^{n-1},$$

for any open set  $A \subset\subset \Omega$  and for any bounded upper semicontinuous function  $\gamma : \Omega \times \mathbb{R}^m \times \mathbb{R}^m \times \mathcal{N} \rightarrow [0, +\infty)$  such that  $\gamma(\cdot, a, b, \nu) = \gamma(\cdot, b, a, -\nu)$  for every  $a, b \in \mathbb{R}^m$  and  $\nu \in \mathbb{S}^{n-1}$ .

The proof strategy of Theorem 3.1 mainly relies on the approximation techniques employed by De Philippis, Fusco, and Pratelli in [9] and Cortesani and Toader in [7]. Yet in comparison with those, the main disparity in methodology arises from the geometric constraint of prescribed orientation of the discontinuity set, which is not preserved by the constructions implemented in [9]. For instance, in the aforementioned literature a typical procedure is to remove singularities by setting the approximants to zero in certain regions and introducing a small jump on the boundary of such regions; however, this may violate the constraints on the jump direction, for example if the number of admissible directions is strictly less than  $n$ . In the present paper the approximation result is achieved by means of a fine cover lemma (cf. [7, Lemma 4.2]) which provides us with a finite number of pairwise disjoint  $(n-1)$ -dimensional cubes covering the major part of the discontinuity set  $S_u$ . Then, the desired sequence  $(u_k)$  is obtained by successive regularisation steps mainly relying on convolution results with variable kernels (see, *e.g.*, [9, Proposition 2.3]) and on extension results in domains with cracks (see, *e.g.*, [9, Lemma 4.1]).

We remark that rectifiable sets with the constraint that their measure-theoretic normal lies in  $\mathcal{N}$  may be irregular even in the simple case where  $\mathcal{N}$  contains a single vector. An example can be

constructed as follows. Let  $C \subset (0, 1)$  be a Smith-Volterra-Cantor set (that is, a Cantor set with strictly positive one-dimensional measure). Let  $f(x) := \text{dist}(x, C)$  and  $F(x) := \int_0^x f(t) dt$ . Then the graph of  $F$ ,  $G(F)$ , is a  $C^1$  curve and  $S := \{(x, y) \in G(F) : x \in C\}$  is a  $\mathcal{H}^1$ -rectifiable set in  $\mathbb{R}^2$  with normal  $e_2$  at every point. However,  $S$  is a totally disconnected set with uncountably many connected components each lying on a different horizontal hyperplane.

We observe that our result also covers the case of *infinitely many* jump directions, including the unconstrained case  $\mathcal{N} = \mathbb{S}^{n-1}$ .

Such case is treated by Cortesani and Toader in [7] for  $\text{SBV}^p$  functions with  $p > 1$ , being the assumption  $p > 1$  crucial to exploit some classical regularity results for the local minimisers of the Mumford-Shah functional [10]. In the last section of this note we prove the density in  $\text{SBV}^1$  of local minimisers of the Mumford-Shah functional by resorting to a strong approximation of  $\text{SBV}^1$  functions by means of  $\text{SBV}^p$  functions with  $p > 1$ , (cf. Proposition 4.1).

We conclude this introduction by mentioning that in [5] Conti, Diermeier, and Zwirnagl prove a density result for  $\text{SBV}^2$  functions with given jump normal direction (see Section 4.2 therein). In contrast to our results, we observe that Conti, Diermeier, and Zwirnagl's proof provides the  $L^2$  convergence of the approximant's gradients. On the other hand, it is valid exclusively in dimension two, for one prescribed jump direction. However, the proof of a density result in  $\text{SBV}^2$  (and more in general in  $\text{SBV}^p$  with  $p > 1$ ) appears to be way more delicate than the approximation result proven in the present note. In fact, in the  $\text{SBV}^p$  setting an additional issue one needs to face pertains to combining the constraint in (1.3) with the strong convergence  $\nabla u_k \rightarrow \nabla u$  in  $L^p(\Omega; \mathbb{R}^m)$ . Moreover a density result in  $\text{SBV}^p$  shall rely also on deeper results in the theory of SBV functions like, *e.g.*, the regularity properties of local minimisers on the Mumford-Shah functionals [10], similarly as in [6, 7, 11]. A density result in  $\text{SBV}^p$  for functions with prescribed jump direction can be relevant in a number of applications and will be the subject of a forthcoming paper.

## 2. NOTATION, FUNCTIONAL SETUP, AND PRELIMINARIES

We introduce the notation and conventions present in the paper. Let  $n \geq 2, m \geq 1$  be integers; the symbols  $\mathcal{L}^n$  and  $\mathcal{H}^{n-1}$  indicate the usual  $n$ -dimensional Lebesgue measure and the  $(n-1)$ -dimensional Hausdorff measure in  $\mathbb{R}^n$ , respectively. By  $B_r(x) \subset \mathbb{R}^n$  we mean the open ball centred at  $x$  with radius  $r > 0$ ; moreover,  $B_r := B_r(0)$ . By  $Q_r(x) \subset \mathbb{R}^n$  we mean the  $n$ -dimensional open cube of side length  $r > 0$ , centred at  $x \in \mathbb{R}^n$ , and with faces parallel to the coordinate hyperplanes. Given a unit vector  $\nu \in \mathbb{S}^{n-1}$  we set  $\Pi_x^\nu$  to be the hyperplane orthogonal to  $\nu$  and passing through a point  $x \in \mathbb{R}^n$ . Likewise  $Q_r^\nu(x) \subset \mathbb{R}^n$  is understood as an open cube of side-length  $r > 0$ , centred at  $x \in \mathbb{R}^n$ , and with a face orthogonal to  $\nu$ .

Throughout, the real number  $c > 0$  shall be thought of as absorbing constant with dependences emphasised when being relevant.

Let  $\Omega \subset \mathbb{R}^n$  be an open and bounded set with Lipschitz boundary. We use the standard notation  $\text{SBV}(\Omega; \mathbb{R}^m)$  for the space of  $\mathbb{R}^m$ -valued *special functions of bounded variation* in  $\Omega$ . We recall that a function  $u : \Omega \rightarrow \mathbb{R}^m$  belongs to  $\text{SBV}(\Omega; \mathbb{R}^m)$  if  $u$  is in  $\text{BV}(\Omega; \mathbb{R}^m)$  and its distributional derivative satisfies

$$Du(B) = \int_B \nabla u \, d\mathcal{L}^n + \int_{S_u \cap B} [u] \otimes \nu_u \, d\mathcal{H}^{n-1},$$

for any Borel set  $B \subset \Omega$ . By  $\nabla u$  we mean the density of the diffuse part of  $Du$ ; the latter turns out to coincide with the approximate gradient of  $u$ . The symbol  $S_u$  denotes the approximate discontinuity set of  $u$  and is a  $\mathcal{H}^{n-1}$ -rectifiable set. The associated measure theoretic normal is  $\nu_u$  (defined up to the sign) whereas  $[u] := u^+ - u^-$  is the difference of the traces of  $u$  on both sides of  $S_u$ . We notice that  $(u^+, u^-)$  is to be replaced by  $(u^-, u^+)$  if the orientation of  $\nu_u$  is reversed. Let us also recall that the BV-norm of a function  $u \in \text{BV}(\Omega; \mathbb{R}^m)$  is given by

$$\|u\|_{\text{BV}(\Omega; \mathbb{R}^m)} := \|u\|_{L^1(\Omega; \mathbb{R}^m)} + |Du|(\Omega)$$

where  $|Du|$  denotes the total variation of  $Du$ , *i.e.*,

$$|Du|(B) = \int_B |\nabla u| \, d\mathcal{L}^n + \int_{S_u \cap B} |[u]| \, d\mathcal{H}^{n-1},$$

where  $B$  is any Borel subset of  $\mathbb{R}^n$ .

For the general theory of BV and SBV functions we refer the readers to the comprehensive monograph [2].

In this paper the following subspace of SBV is also taken into consideration:

$$\text{SBV}^1(\Omega; \mathbb{R}^m) := \{u \in \text{SBV}(\Omega; \mathbb{R}^m) : \mathcal{H}^{n-1}(S_u) < +\infty\}.$$

Let now  $\mathcal{N}$  be a *Borel subset* of  $\mathbb{S}^{n-1}$  and let us assume that

$$\nu \in \mathcal{N} \iff -\nu \in \mathcal{N}. \quad (2.1)$$

We introduce the following space of  $\text{SBV}^1$  functions with  $\mathcal{N}$ -oriented discontinuity set

$$\text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) := \{u \in \text{SBV}^1(\Omega; \mathbb{R}^m) : \nu_u \in \mathcal{N} \text{ } \mathcal{H}^{n-1}\text{-a.e. in } S_u\}.$$

We notice that in view of (2.1) the definition of  $\text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m)$  is unambiguous.

A set  $F \subset \Omega$  is called *polyhedral* (with respect to  $\Omega$ ) if it is the intersection of  $\Omega$  with a *finite* number of  $(n-1)$ -dimensional simplices in  $\mathbb{R}^n$ . In the interest of our work we define a special case of polyhedral sets whose normal belongs  $\mathcal{N}$ .

**Definition 2.1** ( $\mathcal{N}$ -aligned regular set). We say that a set  $F \subset \Omega$  is an  $\mathcal{N}$ -aligned regular set if there exists a *finite* collection of sets  $F_1, \dots, F_N$  such that each  $F_i$  is a  $(n-1)$ -dimensional closed cube in  $\mathbb{R}^n$  orthogonal to  $\nu$  for some  $\nu \in \mathcal{N}$  and

$$F = \Omega \cap \bigcup_{i=1}^N F_i.$$

If the sets  $F_1, \dots, F_N$  are additionally *pairwise disjoint*, the set  $F$  is called an  $\mathcal{N}$ -aligned regular *disconnected set*.

We now introduce the space of approximating functions.

**Definition 2.2** (The approximating space). We say that  $u$  belongs to the space  $\mathcal{W}_{\mathcal{N}}(\Omega; \mathbb{R}^m)$  if:

- (a)  $u \in \text{SBV}^1(\Omega; \mathbb{R}^m)$ ;
- (b)  $S_u$  is essentially closed, *i.e.*,  $\mathcal{H}^{n-1}(\overline{S_u} \setminus S_u) = 0$ ;
- (c)  $\overline{S_u}$  is a  $\mathcal{N}$ -aligned regular disconnected set;
- (d)  $u \in C^\infty(\Omega \setminus \overline{S_u}; \mathbb{R}^m) \cap W^{1,\infty}(\Omega \setminus \overline{S_u}; \mathbb{R}^m)$ .

In accordance with [7] we consider the following notion of convergence.

**Definition 2.3** ( $\mathcal{S}$ -convergence). We say that a sequence  $(u_k) \subset \text{SBV}^1(\Omega; \mathbb{R}^m)$   $\mathcal{S}$ -converges to  $u \in \text{SBV}^1(\Omega; \mathbb{R}^m)$  as  $k \rightarrow +\infty$ , written  $u_k \xrightarrow{\mathcal{S}} u$ , if:

- (a)  $u_k \rightarrow u$  in  $L^1(\Omega; \mathbb{R}^m)$ ;
- (b)  $\nabla u_k \rightarrow \nabla u$  in  $L^1(\Omega; \mathbb{R}^{m \times n})$ ;
- (c)  $\mathcal{H}^{n-1}(S_{u_k}) \rightarrow \mathcal{H}^{n-1}(S_u)$ .

For later purposes we also introduce the following stronger convergence.

**Definition 2.4** ( $\overline{\mathcal{S}}$ -convergence). We say that a sequence  $(u_k) \subset \text{SBV}^1(\Omega; \mathbb{R}^m)$   $\overline{\mathcal{S}}$ -converges to  $u \in \text{SBV}^1(\Omega; \mathbb{R}^m)$  as  $k \rightarrow +\infty$ , written  $u_k \xrightarrow{\overline{\mathcal{S}}} u$ , if:

- (a)  $u_k \rightarrow u$  in  $L^1(\Omega; \mathbb{R}^m)$ ;
- (b)  $\nabla u_k \rightarrow \nabla u$  in  $L^1(\Omega; \mathbb{R}^{m \times n})$ ;
- (c)  $\mathcal{H}^{n-1}(S_{u_k} \triangle S_u) \rightarrow 0$ ;
- (d) there holds

$$\int_{S_{u_k} \cup S_u} (|u_k^+ - u^+| + |u_k^- - u^-|) \, d\mathcal{H}^{n-1} \rightarrow 0, \quad (2.2)$$

where in (2.2) we choose the orientation  $\nu_{u_k} = \nu_u$   $\mathcal{H}^{n-1}$ -a.e. on  $S_{u_k} \cap S_u$ .

We remark that  $\overline{\mathcal{S}}$ -convergence is evidently stronger than the convergence induced from the  $\text{BV}(\Omega; \mathbb{R}^m)$ -norm.

Below we recall three technical lemmas which are used to prove our main result, Theorem 3.1. These are based on the corresponding results in [9]. The first concerns a smooth approximation of functions in  $\text{SBV}^1(\Omega; \mathbb{R}^m)$  obtained by convolutions with variable kernels.

**Lemma 2.5** (Approximation by convolution). *Let  $u \in \text{SBV}^1(\Omega)$  and let  $F \subset \subset \Omega$  be a compact and  $\mathcal{H}^{n-1}$ -rectifiable set. For any  $\varepsilon > 0$ , there exists  $v_\varepsilon \in \text{SBV}^1(\Omega) \cap C^\infty(\Omega \setminus F)$  such that the following properties hold true:*

- (1)  $\|v_\varepsilon - u\|_{L^1(\Omega)} < \varepsilon$ ;
- (2)  $v_\varepsilon^\pm = u^\pm$  in  $F$ , therefore  $S_{v_\varepsilon} = S_u \cap F$ ;
- (3)  $|Du - Dv_\varepsilon|(\Omega) \leq 3|Du \llcorner (S_u \setminus F)|(\Omega) + (2|Du|(\Omega) + 1)\varepsilon$ ;
- (4) if  $u \in L^\infty(\Omega)$ , then  $\|v_\varepsilon\|_{L^\infty(\Omega)} \leq \|u\|_{L^\infty(\Omega)}$ .

*Proof.* The results can be retrieved by combining [9, Proposition 2.3, Corollary 2.4, Lemma 2.5].  $\square$

**Remark 2.6.** We will often apply Lemma 2.5 in the following way. Let  $u \in \text{SBV}^1(\Omega)$  and  $\varepsilon_k \rightarrow 0$ . For every  $k$ , let  $F_k \subset \subset \Omega$  be a compact and  $\mathcal{H}^{n-1}$ -rectifiable set such that

$$F_k \subset S_u \quad \text{and} \quad \mathcal{H}^{n-1}(S_u \setminus F_k) < \varepsilon_k.$$

Applying Lemma 2.5 to  $u$  and to  $F = F_k$ , one finds  $u_k \in \text{SBV}^1(\Omega) \cap C^\infty(\Omega \setminus F_k)$  such that  $\|u_k\|_{L^\infty} \leq \|u\|_{L^\infty}$ ,  $S_{u_k} = F_k$ ,

$$\|u - u_k\|_{L^1(\Omega)} + |Du - Du_k|(\Omega) \leq \varepsilon_k + 3|Du \llcorner (S_u \setminus F_k)|(\Omega) + \varepsilon_k (2|Du|(\Omega) + 1)$$

and

$$\int_{S_u} (|u_k^+ - u^+| + |u_k^- - u^-|) d\mathcal{H}^{n-1} \leq 4\|u\|_{L^\infty} \mathcal{H}^{n-1}(S_u \setminus F_k).$$

Therefore,  $u_k$   $\overline{\mathcal{F}}$ -converges to  $u$  as  $k \rightarrow +\infty$ .

Moreover, we recall the following existence result of bounded Lipschitz extensions for  $C^1$ -regular interior and boundary traces.

**Lemma 2.7** (Extension). *Let  $U \subset \mathbb{R}^n$  be an open and bounded set with  $C^1$  boundary. Let  $M \subset\subset U$  be either a compact and connected  $(n-1)$ -dimensional  $C^1$  manifold with (possibly empty)  $C^1$  boundary, or an  $(n-1)$ -dimensional closed cube. Then there exists a constant  $c_{U,M} > 0$  with the following properties:*

- (a) *Given three functions  $\phi \in L^1(\partial U)$ ,  $\phi^+, \phi^- \in L^1(M)$ , there exists  $\psi \in W^{1,1}(U \setminus M)$  such that  $\psi^\pm = \phi^\pm$  in  $M$ ,  $\psi = \phi$  on  $\partial U$  (in the sense of traces) and*

$$\|\psi\|_{W^{1,1}(U \setminus M)} \leq c_{U,M} \left( \|\phi\|_{L^1(\partial U)} + \|\phi^+\|_{L^1(M)} + \|\phi^-\|_{L^1(M)} \right).$$

- (b) *Given three functions  $\phi \in C^1(\partial U)$ ,  $\phi^+, \phi^- \in C^1(M)$  satisfying  $\phi^+ = \phi^-$  on  $\partial M$ , there exists  $\psi \in W^{1,\infty}(U \setminus M)$  such that  $\psi^\pm = \phi^\pm$  in  $M$ ,  $\psi = \phi$  on  $\partial U$  and*

$$\|\psi\|_{W^{1,\infty}(U \setminus M)} \leq c_{U,M} \left( \|\phi\|_{C^1(\partial U)} + \|\phi^+\|_{C^1(M)} + \|\phi^-\|_{C^1(M)} \right).$$

*Proof.* In the case where  $M$  has  $C^1$  boundary, the result is stated in [9, Lemma 4.1]. When  $M$  is an  $(n-1)$ -dimensional closed cube, the proof requires some minor adjustments, detailed below. Let  $\delta > 0$  be such that

$$P := \{x + t\nu : x \in M, t \in [-\delta, \delta]\} \subset\subset U$$

where  $\nu \in \mathbb{S}^{n-1}$  is the normal to  $M$ . Then  $\partial P \setminus \partial M =: D^+ \cup D^-$  where  $\overline{D^\pm}$  are in bilipschitz correspondence with  $M$ ,  $D^+ \cap D^- = \emptyset$  and  $\partial_{\partial P} D^\pm = \partial M$ , where  $\partial_{\partial P} D^\pm$  denote the boundary of  $D^\pm$  in the relative topology of  $\partial P$ . Subsequently we may find a mapping  $\Phi : U \setminus M \rightarrow U \setminus P$ , bilipschitz with respect to the geodesic distance in  $U \setminus M$  and extending to the boundary, such that  $\Phi$  is the identity in a neighbourhood of  $\partial U$  and  $\Phi^{-1}(\overline{D^\pm}) = M$ . Next, set  $\varphi := \phi \circ \Phi^{-1}$  on  $\partial U$  and let  $\varphi_0 \in L^1(\partial P)$  be defined on as  $\varphi_0 := \phi^\pm \circ \Phi^{-1}$  on  $D^\pm$ . Then to establish (a) it is enough to apply the standard extension result to the functions  $\varphi, \varphi_0$  in the Lipschitz domain  $U \setminus P$ , arguing as in the proof of [9, Lemma 4.1] to which we refer the reader for more details.

To prove (b) we may argue as follows. We notice that  $\varphi$  and  $\varphi_0$  defined above are Lipschitz, since  $\phi^+ = \phi^-$  on  $\partial M = \partial_{\partial P} D^\pm$ . Therefore the Kirszbraun Theorem ensures the existence of a Lipschitz function  $\overline{\varphi} \in W^{1,\infty}(U \setminus P)$  extending  $\varphi$  and  $\varphi_0$ . Hence the claim follows setting  $\psi := \overline{\varphi} \circ \Phi$ .  $\square$

To conclude this section we prove a vectorial truncation lemma to promote an  $L^\infty$ -bound of any  $\mathcal{S}$ -converging sequence to a bounded SBV<sup>1</sup>-function.

**Lemma 2.8** (Vectorial truncation). *Let  $u \in \text{SBV}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  and  $(u_k)_{k \in \mathbb{N}} \subset \text{SBV}^1(\Omega; \mathbb{R}^m)$  be such that  $u_k \xrightarrow{\mathcal{S}} u$  (resp.,  $u_k \xrightarrow{\overline{\mathcal{S}}} u$ ) as  $k \rightarrow +\infty$ . Then there exists a sequence  $(v_k)_{k \in \mathbb{N}} \subset \text{SBV}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  such that  $v_k \xrightarrow{\mathcal{S}} u$  (resp.,  $v_k \xrightarrow{\overline{\mathcal{S}}} u$ ) as  $k \rightarrow +\infty$ ,  $S_{v_k} = S_{u_k}$ , and  $\|v_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$ . Moreover, if  $u_k \in C^\infty(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m) \cap W^{1,\infty}(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m)$  then the same holds for  $v_k$ .*

Finally, if  $\|u_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)} + \delta_k$  for some  $\delta_k > 0$  such that  $\delta_k \rightarrow 0$  as  $k \rightarrow +\infty$ , then also  $\|u_k - v_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \delta_k$ .

*Proof.* The proof relies on classical arguments and in the scalar case it follows as in [9, Lemma 3.2].

Let  $\eta > 0$  be arbitrary and fixed; let  $0 < \varepsilon \leq \eta$  be fixed depending on  $u$  and  $\eta$  as specified later. Set  $a^\eta := \|u\|_{L^\infty(\Omega; \mathbb{R}^m)} + \eta$ ; we start constructing a sequence  $(w_k^\eta)$  such that  $\|w_k^\eta\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq a^\eta + \eta$ . To this end let  $\psi^\eta: \mathbb{R}^+ \rightarrow \mathbb{R}^+$  be a  $C^\infty$  function such that

$$0 < \psi^\eta < a^\eta + \eta \quad \text{in } \mathbb{R}^+, \quad 0 < (\psi^\eta)' \leq 1 \quad \text{in } \mathbb{R}^+, \quad \psi^\eta(t) = t \quad \text{in } (0, a^\eta). \quad (2.3)$$

For every  $y \in \mathbb{R}^m$  set

$$\varphi^\eta(y) := \frac{y}{|y|} \psi^\eta(|y|) \quad \text{for } y \neq 0, \quad \varphi^\eta(0) = 0.$$

By the definition of  $\psi^\eta$  there holds

$$\varphi^\eta(y) = y \quad \text{if } |y| < a^\eta \quad \text{and} \quad \|\varphi^\eta\|_{L^\infty(\mathbb{R}^m; \mathbb{R}^m)} \leq a^\eta + \eta.$$

Hence  $\varphi^\eta$  belongs to  $C^\infty(\mathbb{R}^m; \mathbb{R}^m)$  and has Lipschitz constant less than or equal to one. Furthermore we observe that  $\varphi^\eta$  is injective. Indeed,  $\varphi^\eta(y_1) = \varphi^\eta(y_2)$  implies that  $y_1$  and  $y_2$  differ by a strictly positive multiplicative constant. Therefore  $y_1/|y_1| = y_2/|y_2|$  and in turn  $\psi^\eta(|y_1|) = \psi^\eta(|y_2|)$ , thus we get  $|y_1| = |y_2|$  and finally  $y_1 = y_2$ .

For every  $k \in \mathbb{N}$  set  $w_k^\eta := \varphi^\eta(u_k)$ ; clearly  $w_k^\eta \in \text{SBV}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$ ,  $S_{w_k^\eta} = S_{u_k}$  by the injectivity of  $\varphi^\eta$ , and  $w_k^\eta \in C^\infty(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m) \cap W^{1,\infty}(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m)$  if the same holds true for  $u_k$ . Moreover, set  $A_k^\eta := \{x \in \Omega: |u_k| \geq a^\eta\}$ , we have

$$\begin{aligned} \|w_k^\eta - u\|_{L^1(\Omega; \mathbb{R}^m)} &\leq \|u_k - u\|_{L^1(\Omega \setminus A_k^\eta; \mathbb{R}^m)} + \|\varphi^\eta(u_k) - \varphi^\eta(u)\|_{L^1(A_k^\eta; \mathbb{R}^m)} \\ &\leq \|u_k - u\|_{L^1(\Omega; \mathbb{R}^m)}, \end{aligned}$$

where we have used the fact that  $\varphi^\eta$  has Lipschitz constant less than or equal to one. Now recall that by assumption, for  $k \in \mathbb{N}$  large enough there holds

$$\|u_k - u\|_{L^1(\Omega; \mathbb{R}^m)} + \|\nabla u_k - \nabla u\|_{L^1(\Omega; \mathbb{R}^{m \times n})} < \varepsilon. \quad (2.4)$$

We now estimate the  $L^1$  norm of  $\nabla w_k^\eta - \nabla u$ . We observe that by construction  $\nabla w_k^\eta = \nabla u_k$  in  $\Omega \setminus A_k^\eta$  while  $|\nabla w_k^\eta| \leq |\nabla u_k|$  in  $A_k^\eta$ . Moreover, since  $|u_k - u| \geq \eta$  in  $A_k^\eta$ , by (2.4) and also invoking the Chebyshev Inequality we deduce that for  $k$  large enough  $\eta \mathcal{L}^n(A_k^\eta) < \varepsilon$ , and therefore  $\mathcal{L}^n(A_k^\eta) < \varepsilon/\eta$ . Hence, choosing  $\varepsilon$  so small that  $\|\nabla u\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} < \eta$  for  $k$  large, we obtain

$$\begin{aligned} \|\nabla w_k^\eta - \nabla u\|_{L^1(\Omega; \mathbb{R}^{m \times n})} &\leq \|\nabla u_k - \nabla u\|_{L^1(\Omega \setminus A_k^\eta; \mathbb{R}^{m \times n})} + \|\nabla w_k^\eta - \nabla u\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} \\ &\leq \varepsilon + \|\nabla w_k^\eta\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} + \|\nabla u\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} \\ &\leq \varepsilon + \|\nabla u_k\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} + \|\nabla u\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} \\ &\leq 2\varepsilon + 2\|\nabla u\|_{L^1(A_k^\eta; \mathbb{R}^{m \times n})} \leq 2\varepsilon + 2\eta, \end{aligned} \quad (2.5)$$

for every  $k$  large enough.

As a consequence, if  $u_k \xrightarrow{\mathcal{L}} u$  we readily obtain that  $w_k^\eta \xrightarrow{\mathcal{L}} u$  as  $k \rightarrow +\infty$ . Moreover, since  $(w_k^\eta)^\pm = \varphi^\eta(u_k^\pm)$ , arguing as above one can show that

$$\int_{S_{w_k^\eta} \cup S_u} |(w_k^\eta)^\pm - u^\pm| \, d\mathcal{H}^{n-1} \leq \int_{S_{u_k} \cup S_u} |u_k^\pm - u^\pm| \, d\mathcal{H}^{n-1}.$$

Hence,  $w_k^\eta \xrightarrow{\mathcal{F}} u$  if  $u_k \xrightarrow{\mathcal{F}} u$ .

Then, to complete the proof, we only need to modify  $w_k^\eta$  in order to obtain functions  $v_k^\eta$  with  $\|v_k^\eta\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$ . To this end, set

$$v_k^\eta := \frac{a^\eta - \eta}{a^\eta + \eta} w_k^\eta;$$

by definition  $v_k^\eta \in \text{SBV}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$ ,  $S_{v_k^\eta} = S_{w_k^\eta} = S_{u_k}$ , and  $v_k^\eta \in C^\infty(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m) \cap W^{1,\infty}(\Omega \setminus \overline{S_{u_k}}; \mathbb{R}^m)$  if the same holds for  $u_k$  (and hence for  $w_k^\eta$ ). Furthermore we have

$$\|v_k^\eta\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq a^\eta - \eta = \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}.$$

In view of the convergence properties of  $w_k^\eta$ , invoking a standard diagonal argument we can find  $\eta_k \rightarrow 0^+$  as  $k \rightarrow +\infty$  such that setting  $v_k := v_k^{\eta_k}$  we get  $v_k \xrightarrow{\mathcal{F}} u$  (resp.,  $v_k \xrightarrow{\mathcal{F}} u$ ) as  $k \rightarrow +\infty$  and thus the claim.

We finally prove that if  $\|u_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)} + \delta_k$  for some  $\delta_k > 0$  such that  $\delta_k \rightarrow 0$  as  $k \rightarrow +\infty$ , then  $\|u_k - v_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \delta_k$ . Setting  $\eta = \delta_k/5$  by construction we have  $\|u_k\|_{L^\infty} \leq a^\eta + 4\eta$ . Now if  $x \in \Omega$  is such that  $|u_k(x)| < a^\eta$ , then  $w_k(x) = \varphi^\eta(u_k(x)) = u_k(x)$ , cf. (2.3), and so

$$|u_k(x) - v_k(x)| = \left| u_k(x) - \frac{a^\eta - \eta}{a^\eta + \eta} u_k(x) \right| = \left| \frac{2\eta}{a^\eta + \eta} u_k(x) \right| \leq 2\eta \leq \delta_k.$$

If on the other hand  $x \in \Omega$  is such that  $|u_k(x)| \geq a^\eta$ , then  $a^\eta \leq \psi^\eta < a^\eta + \eta$ , thus it holds  $(a^\eta + \eta)|u_k(x)| \geq (a^\eta - \eta)\psi^\eta(|u_k(x)|)$ , cf. (2.3) again. Hence, using the definition of  $v_k$  we arrive at

$$\begin{aligned} |u_k(x) - v_k(x)| &= \left| u_k(x) - \frac{(a^\eta - \eta) u_k(x) \psi^\eta(|u_k(x)|)}{(a^\eta + \eta)|u_k(x)|} \right| = \frac{(a^\eta + \eta)|u_k(x)| - (a^\eta - \eta)\psi^\eta(|u_k(x)|)}{a^\eta + \eta} \\ &\leq \frac{(a^\eta + \eta)(a^\eta + 4\eta) - a^\eta(a^\eta - \eta)}{a^\eta + \eta} \leq 5\eta = \delta_k. \end{aligned}$$

Altogether we have shown that  $\|v_k - u_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \delta_k$  and thus the claim.  $\square$

*Remark 2.9.* Lemma 2.8 can be generalised observing that, if  $u \in \mathcal{K}$  a.e. in  $\Omega$ , where  $\mathcal{K} \subset \mathbb{R}^m$  is compact, Lipschitz, star-shaped with respect to the origin and containing a neighbourhood of the origin, then the sequence  $(v_k)$  can be chosen in such a way that for every  $k \in \mathbb{N}$  there holds  $v_k \in \mathcal{K}$  a.e. in  $\Omega$ . Indeed, for  $y \in \mathbb{R}^m$  set  $\lambda_{\mathcal{K}}(y) := \inf\{\rho > 0 : y \in \rho\mathcal{K}\}$ . Notice that in view of the compactness of  $\mathcal{K}$  we have  $\lambda_{\mathcal{K}}(y) > 0$ , for every  $y \in \mathbb{R}^m \setminus \{0\}$ . Then to find the desired sequence  $(v_k)$  it is enough to choose

$$\varphi^\eta(y) := \frac{y}{\lambda_{\mathcal{K}}(y)} \psi^\eta(\lambda_{\mathcal{K}}(y)) \text{ for } y \neq 0, \quad \varphi^\eta(0) = 0,$$

where  $\psi^\eta$  is as in the proof of Lemma 2.8. As for the regularity, one has  $v_k \in W^{1,\infty}(\Omega \setminus \overline{S_{v_k}}; \mathbb{R}^m)$  if the same holds for  $u_k$ ; moreover,  $v_k \in C^\infty(\Omega \setminus \overline{S_{v_k}}; \mathbb{R}^m)$  if the same holds for  $u_k$  and  $\mathcal{K}$  is  $C^\infty$ .



## 3. THE MAIN RESULT

In this section we state and prove the main result of this paper.

**Theorem 3.1** ( $\mathcal{S}$ -approximation of  $\text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m)$  functions). *Let  $\mathcal{N} \subset \mathbb{S}^{n-1}$  be a Borel set of directions satisfying (2.1). Then the space  $\mathcal{W}_{\mathcal{N}}(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  is  $\mathcal{S}$ -dense in  $\text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$ . Specifically, for any  $u \in \text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  there exists a sequence  $(u_k) \subset \mathcal{W}_{\mathcal{N}}(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  with  $\|u_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$  such that  $u_k \xrightarrow{\mathcal{S}} u$  as  $k \rightarrow +\infty$ . Moreover one has*

$$\limsup_{k \rightarrow +\infty} \int_{S_{u_k} \cap \bar{A}} \gamma(x, u_k^+, u_k^-, \nu_{u_k}) \, d\mathcal{H}^{n-1} \leq \int_{S_u \cap \bar{A}} \gamma(x, u^+, u^-, \nu_u) \, d\mathcal{H}^{n-1}, \quad (3.1)$$

for any open set  $A \subset\subset \Omega$  and for any bounded upper semicontinuous function  $\gamma : \Omega \times \mathbb{R}^m \times \mathbb{R}^m \times \mathcal{N} \rightarrow [0, +\infty)$  with  $\gamma(\cdot, a, b, \nu) = \gamma(\cdot, b, a, -\nu)$  for every  $a, b \in \mathbb{R}^m$  and  $\nu \in \mathcal{N}$ .

Before embarking on the proof of Theorem 3.1 we give a preliminary covering lemma which can be directly recovered from [7, Lemma 4.2].

**Lemma 3.2** (Fine cover). *Let  $\mathcal{N} \subset \mathbb{S}^{n-1}$  be a Borel set,  $u \in \text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$ , and  $\varepsilon \in (0, 1/(6\sqrt{n}))$ . Assume that  $S_u \subset K$  for some compact  $\mathcal{H}^{n-1}$ -rectifiable set  $K$ . Then there exist a set  $K' \subset S_u$  and a finite family of open cubes  $\mathcal{Q}_N = \{Q_{r_i}^{\nu_i}(x_i)\}_{i=1}^N$  with  $r_i \leq \varepsilon$  and a face orthogonal to  $\nu_i := \nu_u(x_i) \in \mathcal{N}$  satisfying:*

- (1)  $\mathcal{H}^{n-1}(S_u \setminus K') < c\varepsilon$ ;
- (2)  $Q_{r_i}^{\nu_i}(x_i)$  is centred in  $S_u$ , i.e.,  $x_i \in S_u$  for every  $i = 1, \dots, N$ ;
- (3) the family  $\{\overline{Q_{r_i}^{\nu_i}(x_i)}\}_{i=1}^N$  is pairwise disjoint and  $Q_{r_i}^{\nu_i}(x_i) \subset\subset \Omega$  for every  $i = 1, \dots, N$ ;
- (4)  $K' \subset \bigcup_{i=1}^N Q_{r_i}^{\nu_i}(x_i)$  and

$$K' \cap Q_{r_i}^{\nu_i}(x_i) \subset \{x + t\nu_i : x \in \Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i), t \in (-\sqrt{n}\varepsilon r_i, \sqrt{n}\varepsilon r_i)\} =: P_{\varepsilon r_i}^{\nu_i}(x_i)$$

for every  $i = 1, \dots, N$ ;

- (5)  $r_i^{n-1} \leq \frac{1}{1-\varepsilon} \mathcal{H}^{n-1}(K' \cap Q_{r_i}^{\nu_i}(x_i))$  for every  $i = 1, \dots, N$ ;
- (6)  $\sum_{i=1}^N r_i^{n-1} < \frac{1}{1-\varepsilon} \mathcal{H}^{n-1}(S_u)$ ;
- (7) for  $\mathcal{H}^{n-1}$ -a.e.  $x \in K' \cap Q_{r_i}^{\nu_i}(x_i)$  there holds

$$|\nu_u(x) - \nu_i| < \varepsilon \quad \text{and} \quad |u^\pm(x) - u^\pm(x_i)| < \varepsilon; \quad (3.2)$$

- (8) there exist two sets  $S_i^+ \subset (2\sqrt{n}\varepsilon r_i, 6\sqrt{n}\varepsilon r_i)$ ,  $S_i^- \subset (-6\sqrt{n}\varepsilon r_i, -2\sqrt{n}\varepsilon r_i)$  with  $\mathcal{L}^1(S_i^\pm) > 0$  such that

$$x + t\nu_i \notin S_u \quad \text{for every } t \in S_i^\pm \text{ and } \mathcal{H}^{n-1}\text{-a.e. } x \in \Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i) \quad (3.3)$$

and further for every  $t \in S_i^\pm$  there exists a set  $T_i^t$  satisfying  $\mathcal{H}^{n-1}(T_i^t) < c\varepsilon r_i^{n-1}$  such that

$$|u^*(x + t\nu_i) - u^\pm(x_i)| < \varepsilon \quad \text{for every } x \in \Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i) \setminus T_i^t \quad (3.4)$$

where  $u^*$  is the precise representative of  $u$ .

We are now equipped with all the tools to prove Theorem 3.1. The proof is of constructive nature and follows by successive approximations and regularisations.

*Proof of Theorem 3.1.* Let  $u \in \text{SBV}_{\mathcal{N}}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  be chosen and arbitrary. We divide the proof into a number of steps.

We preliminarily assume that  $S_u \subset K$  for some compact  $\mathcal{H}^{n-1}$ -rectifiable set  $K$  in order to apply the fine cover of Lemma 3.2 to  $u$ . We postpone the general case to the conclusion of the proof.

**Step 1: Reflection argument.** In this step we approximate  $u$  with a sequence of functions such that most of their jump set is contained in a finite union of  $(n-1)$ -dimensional cubes.

Let  $\varepsilon = \varepsilon_k = 1/k$ . By Lemma 3.2, we obtain a set  $K' \subset S_u$  along with a finite collection of pairwise disjoint open cubes  $\{Q_{r_i}^{\nu_i}(x_i)\}_{i=1}^N$  and sets  $\{P_{\varepsilon r_i}^{\nu_i}(x_i)\}_{i=1}^N$  with  $\nu_i := \nu_u(x_i)$ , satisfying properties (1)-(8). In particular, we may find  $t_i^\pm \in S_i^\pm$  as well as two sets  $T_i^\pm$  such that  $\mathcal{H}^{n-1}(T_i^\pm) < c\varepsilon r_i^{n-1}$  and

$$|u(x \pm t_i^\pm \nu_i) - u^\pm(x_i)| < \varepsilon \quad \text{for every } x \in \Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i) \setminus T_i^\pm, \quad (3.5)$$

whereby we have identified  $u$  with its precise representative. For each  $i = 1, \dots, N$  define

$$R_i^+ := \{x \in Q_{r_i}^{\nu_i}(x_i) : x \cdot \nu_i \in (0, t_i^+)\} \quad \text{and} \quad R_i^- := \{x \in Q_{r_i}^{\nu_i}(x_i) : x \cdot \nu_i \in (t_i^-, 0)\}$$

and let  $\psi_i^\pm$  be the respective reflection mappings in  $R_i^\pm$  along  $\Pi_{x_i + \frac{1}{2}t_i^\pm \nu_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i)$ . Consequently let us define  $y_k \in \text{SBV}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  by

$$y_k(x) := \begin{cases} u(\psi_i^+(x)) & \text{if } x \in R_i^+ \text{ and } x \cdot \nu_i \in (0, \frac{t_i^+}{2}) \text{ for each } i, \\ u(\psi_i^-(x)) & \text{if } x \in R_i^- \text{ and } x \cdot \nu_i \in (\frac{t_i^-}{2}, 0) \text{ for each } i, \\ u(x) & \text{otherwise in } \Omega. \end{cases}$$

Then

$$S_{y_k} \subset \left( \bigcup_{i=1}^N \Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i) \right) \cup \left( \bigcup_{i=1}^N D_\varepsilon^i \right) \cup (S_u \setminus K') \cup \widehat{K}, \quad (3.6)$$

where  $D_\varepsilon^i := \{x + t\nu_i : x \in \partial(\Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i)), t \in (t_i^-/2, t_i^+/2)\}$  and  $\widehat{K}$  is the reflected part of  $S_u \setminus K'$  by the mappings  $\psi_i^\pm$ . By construction and by Lemma 3.2 (6)

$$\mathcal{H}^{n-1} \left( \bigcup_{i=1}^N D_\varepsilon^i \right) \leq c\varepsilon \sum_{i=1}^N r_i^{n-1} \leq c\varepsilon \mathcal{H}^{n-1}(S_u). \quad (3.7)$$

Also,  $\sup_{k \in \mathbb{N}} \|y_k\|_{\text{BV}(\Omega; \mathbb{R}^m)} < +\infty$ ,  $\|y_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$ , and

$$\|\nabla u - \nabla y_k\|_{L^1(\Omega; \mathbb{R}^{m \times n})} \leq 2\|\nabla u\|_{L^1(\cup_{i=1}^N R_i; \mathbb{R}^{m \times n})},$$

where  $R_i := R_i^+ \cup R_i^-$ . In view of Lemma 3.2 (6) and recalling that  $\varepsilon = 1/k$ , we get  $\mathcal{L}^n(\cup_{i=1}^N R_i) \leq c\varepsilon^2 \sum_{i=1}^N r_i^{n-1} \rightarrow 0$  as  $k \rightarrow +\infty$ , hence  $\nabla y_k \rightarrow \nabla u$  in  $L^1(\Omega; \mathbb{R}^{m \times n})$ . Similarly we find that  $y_k \rightarrow u$  in  $L^1(\Omega; \mathbb{R}^m)$ .

Define the  $\mathcal{N}$ -regular set

$$F_k := \bigcup_{i=1}^N F_k^i := \bigcup_{i=1}^N \overline{\Pi_{x_i}^{\nu_i} \cap Q_{r_i}^{\nu_i}(x_i)}.$$

Note that each  $F_k^i$  is a closed  $(n-1)$ -dimensional cube compactly contained in  $\Omega$  and the sets  $F_k^i$  are pairwise disjoint, cf. Lemma 3.2 (3). Moreover, observe that by (3.6), (3.7), and Lemma 3.2 (1)

$$\mathcal{H}^{n-1}(S_{y_k} \setminus F_k) \leq c\varepsilon \left( 1 + \mathcal{H}^{n-1}(S_u) \right). \quad (3.8)$$

**Step 2: Convergence of surface integrals.** Let  $A \subset\subset \Omega$  open. This step is devoted to prove that the sequence  $(y_k)$  satisfies

$$\limsup_{k \rightarrow +\infty} \int_{F_k \cap S_{y_k} \cap \bar{A}} \gamma(x, y_k^+, y_k^-, \nu_{y_k}) \, d\mathcal{H}^{n-1} \leq \int_{S_u \cap \bar{A}} \gamma(x, u^+, u^-, \nu_u) \, d\mathcal{H}^{n-1}, \quad (3.9)$$

for any function  $\gamma$  as in the statement of Theorem 3.1. We notice that (3.9) is an approximated version of (3.1).

We recall that the function  $\gamma$  can be approximated by a decreasing sequence of uniformly continuous functions, see for instance [3, Corollary 1.34]; therefore we now prove (3.9) in the case where  $\gamma$  is uniformly continuous.

To this end fix three open sets  $A \subset\subset A' \subset\subset A'' \subset\subset \Omega$  and consider the set of indices  $\mathcal{I}_A := \{i \in \{1, \dots, N\} : Q_{r_i}^{\nu_i}(x_i) \cap \bar{A} \neq \emptyset\}$ . Without loss of generality let us choose  $k$  large enough so that  $F_k^i \subset A'$  for any  $i \in \mathcal{I}_A$ . In addition for  $\delta > 0$  let us consider the modulus of uniform continuity of  $\gamma$ :

$$\omega_\gamma(\delta) := \sup \left\{ |\gamma(x, a, b, \nu) - \gamma(x', a', b', \nu')| : (x, a, b, \nu), (x', a', b', \nu') \in \bar{A}' \times B_{\|u\|_\infty} \times B_{\|u\|_\infty} \times \mathcal{N}, \right. \\ \left. |x - x'| < \delta, |a - a'| < \delta, |b - b'| < \delta, |\nu - \nu'| < \delta \right\}.$$

Let us also recall that Lemma 3.2 (7) ensures

$$|u^\pm(x) - u^\pm(x_i)| < \varepsilon \quad \text{and} \quad |\nu_u(x) - \nu_i| < \varepsilon \quad \text{for } \mathcal{H}^{n-1}\text{-a.e. } x \in K' \cap Q_{r_i}^{\nu_i}(x_i).$$

Therefore using the above inequalities along with Lemma 3.2 (4)-(5) and the fact that  $K' \subset S_u$  we obtain

$$\begin{aligned} & \sum_{i \in \mathcal{I}_A} r_i^{n-1} \gamma(x_i, u^+(x_i), u^-(x_i), \nu_i) \\ & \leq \frac{1}{1-\varepsilon} \sum_{i \in \mathcal{I}_A} \int_{\mathcal{H}^{n-1}(K' \cap Q_{r_i}^{\nu_i}(x_i))} \gamma(x_i, u^+(x_i), u^-(x_i), \nu_i) \, d\mathcal{H}^{n-1} \\ & \leq \frac{1}{1-\varepsilon} \sum_{i \in \mathcal{I}_A} \int_{K' \cap Q_{r_i}^{\nu_i}(x_i) \cap \bar{A}'} \left( \gamma(x, u^+, u^-, \nu_u) + \omega_\gamma(\sqrt{n}\varepsilon) \right) \, d\mathcal{H}^{n-1} \\ & \leq \frac{1}{1-\varepsilon} \left( \int_{S_u \cap \bar{A}'} \gamma(x, u^+, u^-, \nu_u) \, d\mathcal{H}^{n-1} + \sum_{i \in \mathcal{I}_A} c r_i^{n-1} \omega_\gamma(\sqrt{n}\varepsilon) \right). \end{aligned} \quad (3.10)$$

On the other hand we know from Lemma 3.2 (8) as well as from the very construction of  $y_k$  that

$$|y_k^\pm(x) - u^\pm(x_i)| < \varepsilon \quad \text{for every } x \in F_k^i \setminus (T_i^+ \cup T_i^-).$$

Consequently,

$$\begin{aligned} & \int_{F_k \cap S_{y_k} \cap \bar{A}} \gamma(x, y_k^+, y_k^-, \nu_{y_k}) \, d\mathcal{H}^{n-1} \leq \sum_{i \in \mathcal{I}_A} \int_{F_k^i} \gamma(x, y_k^+, y_k^-, \nu_{y_k}) \, d\mathcal{H}^{n-1} \\ & \leq \sum_{i \in \mathcal{I}_A} \int_{F_k^i \setminus (T_i^+ \cup T_i^-)} \gamma(x, y_k^+, y_k^-, \nu_{y_k}) \, d\mathcal{H}^{n-1} + \|\gamma\|_{\infty, A''} \sum_{i=1}^N \mathcal{H}^{n-1}(T_i^+ \cup T_i^-) \\ & \leq \sum_{i \in \mathcal{I}_A} r_i^{n-1} \left( \gamma(x_i, u^+(x_i), u^-(x_i), \nu_u(x_i)) + \omega_\gamma(\sqrt{n}\varepsilon) \right) + c \|\gamma\|_{\infty, A''} \varepsilon \sum_{i=1}^N r_i^{n-1} \\ & \leq \sum_{i \in \mathcal{I}_A} r_i^{n-1} \left( \gamma(x_i, u^+(x_i), u^-(x_i), \nu_u(x_i)) + \omega_\gamma(\sqrt{n}\varepsilon) \right) + c \|\gamma\|_{\infty, A''} \frac{\varepsilon}{1-\varepsilon} \mathcal{H}^{n-1}(S_u), \end{aligned}$$

where  $\|\gamma\|_{\infty, A''} := \|\gamma\|_{L^\infty(\overline{A''} \times B_{\|u\|_\infty} \times B_{\|u\|_\infty} \times \mathcal{N})}$  and we used Lemma 3.2 (6) and (8) in the last two inequalities. Altogether using the above estimate in conjunction with (3.10) followed by taking the limit superior and  $A' \searrow A$  yields (3.9) as desired.

In the following steps we perform a modification of each component of  $y_k$ . For brevity, abusing notation we suppress the indication of the component, regarding  $u$  and  $y_k$  as scalar functions. Also note that the approximants we are going to introduce are close to  $y_k$  in the  $BV(\Omega)$ -norm, as we shall detail below.

**Step 3: First regularisation.** In this step we replace  $y_k \in SBV_{\mathcal{N}}^1(\Omega)$  with a sequence of functions whose jump sets are  $\mathcal{N}$ -aligned and contained in the finite union of  $(n-1)$ -dimensional closed cubes.

Let  $k \in \mathbb{N}$  be fixed, recall that  $\varepsilon = \varepsilon_k = 1/k$ , and let  $v_{\varepsilon_k} \in SBV_{\mathcal{N}}^1(\Omega) \cap L^\infty(\Omega) \cap C^\infty(\Omega \setminus F_k)$  be the functions obtained by applying Lemma 2.5 and Remark 2.6 to  $u = y_k$  and  $F = F_k$ . Then Lemma 2.5 (1) implies  $\|v_{\varepsilon_k} - y_k\|_{L^1(\Omega)} < \varepsilon_k$  while (2) yields  $S_{v_{\varepsilon_k}} = S_{y_k} \cap F_k$ , and therefore  $S_{y_k} \setminus S_{v_{\varepsilon_k}} = S_{y_k} \setminus F_k$ . Moreover by Lemma 2.5 (3) and (3.8) we have

$$\begin{aligned} |Dy_k - Dv_{\varepsilon_k}|(\Omega) &\leq 3|Dy_k \llcorner (S_{y_k} \setminus F_k)|(\Omega) + (2|Dy_k|(\Omega) + 1)\varepsilon_k \\ &\leq 3\|u\|_{L^\infty(\Omega)} \mathcal{H}^{n-1}(S_{y_k} \setminus F_k) + (2|Dy_k|(\Omega) + 1)\varepsilon_k \\ &\leq c\varepsilon_k \|u\|_{L^\infty(\Omega)} \left(1 + \mathcal{H}^{n-1}(S_u)\right) + (2|Dy_k|(\Omega) + 1)\varepsilon_k. \end{aligned}$$

Since  $\sup_{k \in \mathbb{N}} |Dy_k|(\Omega) < +\infty$ , as  $k \rightarrow +\infty$  the convergence  $|Dy_k - Dv_{\varepsilon_k}|(\Omega) \rightarrow 0$  holds and in particular

$$\|\nabla y_k - \nabla v_{\varepsilon_k}\|_{L^1(\Omega; \mathbb{R}^n)} \rightarrow 0. \quad (3.11)$$

On the other hand we have

$$\int_{F_k \cap \overline{A}} \gamma(x, v_{\varepsilon_k}^+, v_{\varepsilon_k}^-, \nu_{v_{\varepsilon_k}}) d\mathcal{H}^{n-1} = \int_{F_k \cap S_{y_k} \cap \overline{A}} \gamma(x, y_k^+, y_k^-, \nu_{y_k}) d\mathcal{H}^{n-1}, \quad (3.12)$$

since  $v_{\varepsilon_k}^\pm = y_k^\pm$  in  $F_k$  by Lemma 2.5 (2).

Note that as a consequence of the construction carried out in this step we know that  $v_{\varepsilon_k}$  is smooth outside  $F_k$ . However, in the next step we may lose this property, hence we will need to perform again the regularisation by convolution with variable kernels provided by Lemma 2.5.

**Step 4: Closing the discontinuity gap.** At this stage we only know  $S_{v_{\varepsilon_k}} \subset F_k$ , so we modify the approximating sequence in such a way that (the closure of) its discontinuity set coincides with  $F_k$ .

For every  $i = 1, \dots, N_k$  we may find open sets  $\Omega_k^i$ , pairwise disjoint, with smooth boundary, such that  $F_k^i \subset \subset \Omega_k^i \subset \subset \Omega$ . Let  $\varphi_k^i : F_k^i \rightarrow \mathbb{R}$  be a  $C^1$  function such that  $\varphi_k^i > 0$  in  $F_k^i \setminus \partial_{\Pi_{x_k^i}^{\nu_i}} F_k^i$ ,  $\varphi_k^i = 0$  on  $\partial_{\Pi_{x_k^i}^{\nu_i}} F_k^i$  (where  $\partial_{\Pi_{x_k^i}^{\nu_i}} F_k^i$  denotes the boundary of  $F_k^i$  in the relative topology induced by  $\Pi_{x_k^i}^{\nu_i}$ ) and

$$\|\varphi_k^i\|_{C^1(F_k^i)} \leq \min \left\{ 1, \frac{1}{N_k c_{i,k}} \right\}, \quad (3.13)$$

where  $c_{i,k} > 0$  is the constant from Lemma 2.7, applied to  $U = \Omega_k^i$  and  $M = F_k^i$ . Choosing  $\phi \equiv 0$ ,  $\phi^+ = \varphi_k^i$ , and  $\phi^- \equiv 0$ , Lemma 2.7 (b) provides us with a function  $\psi_k^i \in W^{1,\infty}(\Omega_k^i \setminus F_k^i)$  such that  $\psi_k^i = 0$  on  $\partial\Omega_k^i$ ,  $(\psi_k^i)^+ = \varphi_k^i$  and  $(\psi_k^i)^- = 0$  in  $F_k^i$ . Note that  $\|\psi_k^i\|_{W^{1,\infty}(\Omega_k^i \setminus F_k^i)} \leq \frac{1}{N_k}$ .

Now we define

$$w_k := \begin{cases} v_{\varepsilon_k} + \delta_k \psi_k^i & \text{in } \Omega_k^i \text{ for every } i \in \{1, \dots, N_k\}, \\ v_{\varepsilon_k} & \text{otherwise in } \Omega, \end{cases}$$

where  $\delta_k > 0$  is to be determined in forthcoming manner. Inspecting the jump points of  $w_k$  in  $F_k$  we readily deduce that  $S_{w_k} \subset F_k$  for all  $k \in \mathbb{N}$  and the inequality  $\mathcal{H}^{n-1}(F_k \setminus S_{w_k}) > 0$  is only true for at most countably many  $\delta_k \in \mathbb{R}$ . This follows from a standard argument (see, e.g., [6, Step 4 of the proof of Theorem 3.9]): consider the pairwise disjoint sets defined for  $t \in \mathbb{R}$  by  $\Sigma_t^i := \{x \in F_k^i : [v_{\varepsilon_k}](x) + t\psi_k^i(x) = 0\}$  for every  $i$ ; since  $\mathcal{H}^{n-1}(F_k^i) < +\infty$  and  $\{\Sigma_t^i\}_{t \in \mathbb{R}}$  partitions  $F_k^i$ , there exist at most countably many  $t \in \mathbb{R}$  such that  $\mathcal{H}^{n-1}(\Sigma_t^i) > 0$ . In other words there exists an infinitesimal positive sequence  $(\delta_k)$  such that  $\mathcal{H}^{n-1}(F_k \setminus S_{w_k}) = 0$  for all  $k \in \mathbb{N}$  and this shall be our choice in the definition of  $w_k$ .

For  $k$  large enough Lemma 2.7 (b) and (3.13) imply

$$\begin{aligned} & \|w_k - v_{\varepsilon_k}\|_{\text{BV}(\Omega)} + \int_{S_{v_{\varepsilon_k}} \cup S_{w_k}} (|w_k^+ - (v_{\varepsilon_k})^+| + |w_k^- - (v_{\varepsilon_k})^-|) \, d\mathcal{H}^{n-1} \\ & \leq \delta_k \sum_{i=1}^{N_k} \|\psi_k^i\|_{\text{BV}(\Omega_k^i)} + \delta_k \sum_{i=1}^{N_k} \|\varphi_k^i\|_{L^1(F_k^i)} \\ & \leq \delta_k \sum_{i=1}^{N_k} \|\psi_k^i\|_{W^{1,\infty}(\Omega_k^i \setminus F_k^i)} + 2\delta_k \sum_{i=1}^{N_k} \|\varphi_k^i\|_{L^1(F_k^i)} \leq 3\delta_k. \end{aligned}$$

Also note that  $\|w_k\|_{L^\infty(\Omega)} \leq \|u\|_{L^\infty(\Omega)} + \delta_k$ ; hence, recalling that by construction  $\nu_{w_k} = \nu_{v_{\varepsilon_k}}$  and  $|w_k^\pm - v_{\varepsilon_k}^\pm| \leq \delta_k$  on  $F_k$ , we have

$$\int_{F_k \cap \bar{A}} \left( \gamma(x, w_k^+, w_k^-, \nu_{w_k}) - \gamma(x, v_{\varepsilon_k}^+, v_{\varepsilon_k}^-, \nu_{v_{\varepsilon_k}}) \right) \, d\mathcal{H}^{n-1} \leq \omega_\gamma(\delta_k) \mathcal{H}^{n-1}(F_k \cap \bar{A}), \quad (3.14)$$

where  $\omega_\gamma$  is modulus of uniform continuity of  $\gamma$  as in Step 2.

In addition we claim  $F_k = \overline{S_{w_k}}$  which then shows that  $\overline{S_{w_k}}$  is an  $\mathcal{N}$ -aligned regular disconnected set in the sense of Definition 2.1. Indeed suppose there exists  $x \in F_k \setminus \overline{S_{w_k}} \subset \Omega \setminus \overline{S_{w_k}}$ , then we can find a radius  $r > 0$  such that  $B_r(x) \subset \Omega$  and  $B_r(x) \cap \overline{S_{w_k}} = \emptyset$ . Therefore we may deduce

$$\mathcal{H}^{n-1}(F_k \cap B_r(x)) = \mathcal{H}^{n-1}(\overline{S_{w_k}} \cap B_r(x)) = 0,$$

which leads to a contradiction since by definition of  $F_k$  we clearly have  $\mathcal{H}^{n-1}(F_k \cap B_r(x)) > 0$ . Hence  $\overline{S_{w_k}}$  is an  $\mathcal{N}$ -aligned regular disconnected set. Finally since  $\mathcal{H}^{n-1}(F_k \setminus S_{w_k}) = 0$  and  $F_k = \overline{S_{w_k}}$ , we observe that  $S_{w_k}$  is essentially closed for all  $k \in \mathbb{N}$ .

**Step 5: Final regularisation.** In order to conclude that the constructed approximants are in the admissible set, it remains to regularise every function  $w_k$  outside  $F_k$ . Applying Lemma 2.5, cf. parts (2) and (4), with  $u = w_k$ ,  $F = F_k$ , and  $\varepsilon = 1/k$ , we obtain  $(u_k) \subset C^\infty(\Omega \setminus F_k)$  such that  $S_{u_k} = S_{w_k}$ ,  $(u_k)^\pm = (w_k)^\pm$  in  $S_{u_k}$ ,  $u_k \rightarrow u$  in  $L^1(\Omega)$ , and  $\nabla u_k \rightarrow \nabla u$  in  $L^1(\Omega)$  (see also Remark 2.6). Arguing as in Step 3, in conjunction with Step 4 we conclude that  $(u_k) \subset \mathcal{W}_{\mathcal{N}}(\Omega) \cap L^\infty(\Omega)$  and  $\overline{S_{u_k}} = F_k$ . Moreover, by (3.9), (3.12), and (3.14), we obtain (3.1). Therefore, in particular, choosing  $\gamma \equiv 1$  we get

$$\limsup_{k \rightarrow +\infty} \mathcal{H}^{n-1}(S_{u_k} \cap \bar{A}) \leq \mathcal{H}^{n-1}(S_u \cap \bar{A}).$$

The latter, in combination with the Compactness Theorem in SBV, see *e.g.* [1, p. 128], gives  $\mathcal{H}^{n-1}(S_{u_k}) \rightarrow \mathcal{H}^{n-1}(S_u)$ , and hence  $u_k$   $\mathcal{S}$ -converges to  $u$  as  $k \rightarrow +\infty$ .

Returning to the vectorial setup, now writing  $u = (u^1, \dots, u^m)$  with a slight abuse of notation, for every  $l \in \{1, \dots, m\}$  we have found a sequence  $u_k^l$  so that the equality  $\overline{S_{u_k^l}} = F_k$  is true for all  $l$ , cf. Step 4. Hence, setting  $u_k := (u_k^1, \dots, u_k^m)$ , there holds  $u_k \in \mathcal{W}_{\mathcal{N}}(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  and  $u_k$   $\mathcal{S}$ -converges to  $u$  as  $k \rightarrow +\infty$  and (3.1) holds. By now we have  $\|u_k\|_{L^\infty(\Omega)} \leq \|u\|_{L^\infty(\Omega)} + \delta_k$ , cf. Step 4.

Finally, appealing to the truncation of Lemma 2.8 we find a sequence  $(\hat{u}_k)$  enjoying all previous properties, as well as  $\|\hat{u}_k\|_{L^\infty} \leq \|u\|_{L^\infty}$  and  $\|\hat{u}_k - u_k\|_{L^\infty} < \delta_k$ . Moreover we have

$$\int_{F_k \cap \bar{A}} \left( \gamma(x, \hat{u}_k^+, \hat{u}_k^-, \nu_{\hat{u}_k}) - \gamma(x, u_k^+, u_k^-, \nu_{u_k}) \right) d\mathcal{H}^{n-1} \leq \omega_\gamma(\delta_k) \mathcal{H}^{n-1}(F_k \cap \bar{A}).$$

Thus without loss of generality we may assume that  $\|w_k\|_{L^\infty(\Omega; \mathbb{R}^m)} \leq \|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$ .

**Step 6: Conclusion.** In this final step we remove the assumption that  $S_u \subset K$  for some compact  $\mathcal{H}^{n-1}$ -rectifiable set  $K$ . In fact, if this is not the case, we may reduce to the aforementioned scenario by appealing to a convolution argument as in Lemma 2.5. Namely, given  $\eta > 0$ , from the properties of Radon measures, we may find a compact,  $\mathcal{H}^{n-1}$ -rectifiable set  $K_\eta \subset S_u$  such that  $\mathcal{H}^{n-1}(S_u \setminus K_\eta) < \eta$ . Arguing as in Remark 2.6 for each component of  $u$ , we obtain functions  $u_\eta$  whose jump set is contained in a compact  $\mathcal{H}^{n-1}$ -rectifiable set. Given  $\varepsilon = 1/k$ , we apply the above construction to  $u_\eta$ , by suitably choosing  $\eta = \eta(\varepsilon) > 0$  in such a way the properties of Lemma 3.2 hold. We conclude by recalling that  $u_\eta$   $\mathcal{S}$ -converges to  $u$  as  $\eta \rightarrow 0$  and arguing as in Step 3 to obtain (3.1).  $\square$

*Remark 3.3.* Theorem 3.1 provides us with a sequence  $u_k := (u_k^1, \dots, u_k^m)$  such that  $u_k \xrightarrow{\mathcal{S}} u$  as  $k \rightarrow +\infty$ . However, it does *not* guarantee the componentwise convergence  $u_k^l \xrightarrow{\mathcal{S}} u^l$  since by construction  $\overline{S_{u_k^1}} = \dots = \overline{S_{u_k^m}} = F_k$ . We notice that the same happens in [6].

On the other hand, Theorem 3.1 applied to each component of  $u$  provides us with  $m$  sequences  $(u_k^l)_k$ ,  $l = 1, \dots, m$ , such that  $u_k^l \xrightarrow{\mathcal{S}} u^l$ . However, the jump sets  $S_{u_k^l}$  may overlap; hence,  $\overline{S_{u_k}}$  is an  $\mathcal{N}$ -aligned regular set, but in general not disconnected.

#### 4. APPROXIMATION RESULTS IN THE UNCONSTRAINED CASE

For the specific choice of  $\mathcal{N} = \mathbb{S}^{n-1}$  Theorem 3.1 corresponds to the SBV<sup>1</sup>-variant of the celebrated approximation result of Cortesani and Toader [7]. In this section we establish a link between the two aforementioned results by showing that it is possible to construct approximations of elements in SBV<sup>1</sup>( $\Omega; \mathbb{R}^m$ ) by local minimisers of the Mumford-Shah functional. Such approximation is the core of the proof strategy in [6, 7].

We first prove that SBV<sup>1</sup> can be approximated by SBV<sup>p</sup> functions with respect to the  $\overline{\mathcal{S}}$ -convergence. For simplicity, we consider here only the scalar case. In the vectorial case, the result may be applied componentwise, thus the jump set will be the union of  $C^1$  manifolds.

**Proposition 4.1** (SBV<sup>p</sup>(Ω)-approximation). *Let  $u \in \text{SBV}^1(\Omega) \cap L^\infty(\Omega)$  and  $p \in (1, +\infty)$ . Then there exists a sequence  $(v_k) \subset \text{SBV}^p(\Omega) \cap L^\infty(\Omega)$  such that  $M_k := \overline{S_{v_k}}$  is a compact  $(n-1)$ -dimensional  $C^1$  manifold in  $\Omega$  with  $C^1$  boundary  $\partial M_k$ , the traces of  $v_k$  on both sides of  $M_k$  are  $C^1$  and coincide on  $\partial M_k$ , and  $v_k \xrightarrow{\mathcal{F}} u$  as  $k \rightarrow +\infty$ .*

*Proof.* The proof follows arguments in the spirit of [9, Lemma 4.5] and is divided into three steps.

**Step 1: Preliminary regularisations.** Fix  $\varepsilon > 0$ . By the rectifiability of  $S_u$ , we can find a compact  $(n-1)$ -dimensional  $C^1$  manifold  $M_\varepsilon \subset\subset \Omega$  with  $C^1$  boundary and a finite number of connected components, such that

$$\mathcal{H}^{n-1}(S_u \Delta M_\varepsilon) < \varepsilon. \quad (4.1)$$

Moreover, by the properties of Radon measures there exists a compact set  $K_\varepsilon \subset S_u \cap M_\varepsilon$  with

$$\mathcal{H}^{n-1}(S_u \Delta K_\varepsilon) = \mathcal{H}^{n-1}(S_u \setminus K_\varepsilon) < \varepsilon. \quad (4.2)$$

Therefore appealing to Lemma 2.5 with  $F = K_\varepsilon$  we get a function  $u_\varepsilon \in \text{SBV}^1(\Omega) \cap L^\infty(\Omega)$  satisfying the following properties:

$$u_\varepsilon \in C^\infty(\Omega \setminus K_\varepsilon), \quad \|u - u_\varepsilon\|_{L^1(\Omega)} < \varepsilon, \quad u_\varepsilon^\pm = u^\pm \text{ in } K_\varepsilon, \quad S_{u_\varepsilon} = S_u \cap K_\varepsilon. \quad (4.3)$$

Moreover, gathering (4.2) and the last equality in (4.3) gives

$$\mathcal{H}^{n-1}(S_u \Delta S_{u_\varepsilon}) < \varepsilon,$$

whereas (4.2) combined with Lemma 2.5 yields

$$\|\nabla u - \nabla u_\varepsilon\|_{L^1(\Omega; \mathbb{R}^n)} < c\varepsilon.$$

We now show the smallness of the traces of  $u - u_\varepsilon$ . To this end we observe that in view of Lemma 2.5 (2) and (4) we have

$$\begin{aligned} \int_{S_{u_\varepsilon} \cup S_u} (|u_\varepsilon^+ - u^+| + |u_\varepsilon^- - u^-|) \, d\mathcal{H}^{n-1} &= \int_{S_u \setminus K_\varepsilon} (|u_\varepsilon^+ - u^+| + |u_\varepsilon^- - u^-|) \, d\mathcal{H}^{n-1} \\ &\leq 4\|u\|_{L^\infty(\Omega)} \mathcal{H}^{n-1}(S_u \setminus K_\varepsilon), \end{aligned}$$

hence the claim again follows by (4.2).

**Step 2:  $C^1$ -modification of inner traces.** Let  $\{M_\varepsilon^i\}_{i=1}^{N_\varepsilon}$  be the connected components of  $M_\varepsilon$  and let  $\{U^i\}_{i=1}^{N_\varepsilon}$  be pairwise disjoint open sets with smooth boundary such that  $M_\varepsilon^i \subset\subset U^i \subset\subset \Omega$ . Let  $i \in \{1, \dots, N_\varepsilon\}$  be fixed and let  $\phi_i^+, \phi_i^- \in C_c^1(M_\varepsilon^i)$  be such that

$$\|\phi_i^+ - u_\varepsilon^+\|_{L^1(M_\varepsilon^i)} + \|\phi_i^- - u_\varepsilon^-\|_{L^1(M_\varepsilon^i)} \leq \frac{\varepsilon}{(1 + c_\varepsilon^i)N_\varepsilon},$$

where  $c_\varepsilon^i := c(U^i, M_\varepsilon^i) > 0$  is the constant from Lemma 2.7 applied with  $U = U^i$  and  $M = M_\varepsilon^i$ . Subsequently by Lemma 2.7 (a) we find  $\psi_{\varepsilon,i} \in W^{1,1}(U^i \setminus M_\varepsilon^i) \cap L^\infty(\Omega)$  such that  $\psi_{\varepsilon,i} = 0$  on  $\partial U^i$ ,  $\psi_{\varepsilon,i}^\pm = \phi_i^\pm - u_\varepsilon^\pm$  on  $M_\varepsilon^i$  and

$$\|\psi_{\varepsilon,i}\|_{W^{1,1}(U^i \setminus M_\varepsilon^i)} \leq \frac{c_\varepsilon^i \varepsilon}{(1 + c_\varepsilon^i)N_\varepsilon}.$$

Define

$$w_\varepsilon := \begin{cases} \psi_{\varepsilon,i} + u_\varepsilon & \text{in } U^i, \\ u_\varepsilon & \text{otherwise in } \Omega, \end{cases}$$

in which case

$$\begin{aligned}
& \|u_\varepsilon - w_\varepsilon\|_{\text{BV}(\Omega)} + \int_{S_{u_\varepsilon} \cup S_{w_\varepsilon}} (|w_\varepsilon^+ - u_\varepsilon^+| + |w_\varepsilon^- - u_\varepsilon^-|) \, d\mathcal{H}^{n-1} \\
& \leq \sum_{i=1}^{N_\varepsilon} \|\psi_{\varepsilon,i}\|_{\text{BV}(U^i)} + \sum_{i=1}^{N_\varepsilon} \left( \|\phi_i^+ - u_\varepsilon^+\|_{L^1(M_\varepsilon^i)} + \|\phi_i^- - u_\varepsilon^-\|_{L^1(M_\varepsilon^i)} \right) \\
& \leq \sum_{i=1}^{N_\varepsilon} \|\psi_{\varepsilon,i}\|_{W^{1,1}(U^i \setminus M_\varepsilon^i)} + 2 \sum_{i=1}^{N_\varepsilon} \left( \|\phi_i^+ - u_\varepsilon^+\|_{L^1(M_\varepsilon^i)} + \|\phi_i^- - u_\varepsilon^-\|_{L^1(M_\varepsilon^i)} \right) \leq 3\varepsilon.
\end{aligned}$$

We observe that the traces  $w_\varepsilon^\pm$  coincide on  $\partial M_\varepsilon$  since  $\phi_i^\pm$  are compactly supported and thus coincide on  $\partial M_\varepsilon$ . Arguing as in the proof of Step 4, Theorem 3.1 (see also [9, Lemma 4.3]), we can construct  $\hat{w}_\varepsilon \in \text{SBV}^1(\Omega) \cap L^\infty(\Omega) \cap W^{1,1}(\Omega \setminus M_\varepsilon)$  such that  $\mathcal{H}^{n-1}(M_\varepsilon \setminus S_{\hat{w}_\varepsilon}) = 0$ ,  $\hat{w}_\varepsilon^\pm$  are  $C^1$ -regular, they coincide on  $\partial M_\varepsilon$ , and

$$\|\hat{w}_\varepsilon - w_\varepsilon\|_{\text{BV}(\Omega)} + \int_{S_{\hat{w}_\varepsilon} \cup S_{w_\varepsilon}} (|\hat{w}_\varepsilon^+ - w_\varepsilon^+| + |\hat{w}_\varepsilon^- - w_\varepsilon^-|) \, d\mathcal{H}^{n-1} < c\varepsilon.$$

Therefore  $\hat{w}_\varepsilon$   $\mathcal{F}$ -converges to  $u$  as  $\varepsilon \rightarrow 0$ .

**Step 3: Final regularisation.** In this step we apply successive modifications of  $\hat{w}_\varepsilon$  to obtain higher integrability of the approximate gradients. The goal is to first obtain a sequence which is locally Lipschitz outside of  $M_\varepsilon$  and then apply Meyers-Serrin type regularisation.

Since the traces  $\hat{w}_\varepsilon$  are  $C^1$  we may employ Lemma 2.7 (b), for every  $i \in \{1, \dots, N_\varepsilon\}$  to find  $z_{\varepsilon,i} \in W^{1,\infty}(U^i \setminus M_\varepsilon^i)$  such that  $z_{\varepsilon,i} = 0$  on  $\partial U^i$  and  $z_{\varepsilon,i}^\pm = \hat{w}_\varepsilon^\pm$  in  $M_\varepsilon^i$  for every  $i \in \{1, \dots, N_\varepsilon\}$ . Set  $z_\varepsilon := z_{\varepsilon,i}$  in  $U^i$ ,  $z_\varepsilon := 0$  otherwise. Since  $\hat{w}_\varepsilon - z_\varepsilon \in W^{1,1}(\Omega) \cap L^\infty(\Omega)$  we find  $\eta_\varepsilon \in W^{1,1}(\Omega) \cap C^\infty(\Omega)$  such that  $\|\hat{w}_\varepsilon - z_\varepsilon - \eta_\varepsilon\|_{W^{1,1}(\Omega)} \leq \varepsilon$ . Consequently define  $\hat{z}_\varepsilon \in \text{SBV}^1(\Omega)$  by

$$\hat{z}_\varepsilon := z_\varepsilon + \eta_\varepsilon.$$

Then by construction  $\hat{z}_\varepsilon \in W^{1,\infty}(\Omega' \setminus M_\varepsilon) \cap L^\infty(\Omega)$  for every  $\Omega' \subset\subset \Omega$ ,  $S_{\hat{z}_\varepsilon} = S_{\hat{w}_\varepsilon}$ ,  $[\hat{z}_\varepsilon] = [\hat{w}_\varepsilon]$  and

$$\|\hat{w}_\varepsilon - \hat{z}_\varepsilon\|_{\text{BV}(\Omega)} = \|\hat{w}_\varepsilon - z_\varepsilon - \eta_\varepsilon\|_{W^{1,1}(\Omega)} < \varepsilon.$$

Now let  $\varphi \in C_c^\infty(\Omega; [0, 1])$  be such that  $\varphi = 1$  in a neighbourhood of  $M_\varepsilon$ . Since  $(1-\varphi)\hat{z}_\varepsilon \in W^{1,1}(\Omega) \cap W_{\text{loc}}^{1,\infty}(\Omega)$ , for any  $1 < p < \infty$  there exists a function  $\zeta_\varepsilon \in W^{1,p}(\Omega) \cap L^\infty(\Omega) \cap C^\infty(\Omega)$  such that  $\|(1-\varphi)\hat{z}_\varepsilon - \zeta_\varepsilon\|_{W^{1,1}(\Omega)} < \varepsilon$ . Eventually, define

$$v_\varepsilon := \varphi \hat{z}_\varepsilon + \zeta_\varepsilon;$$

from the construction above we have  $\varphi \hat{z}_\varepsilon \in W^{1,\infty}(\Omega \setminus M_\varepsilon)$  which ultimately implies the inclusion  $\varphi \hat{z}_\varepsilon \in \text{SBV}^p(\Omega) \cap L^\infty(\Omega)$  and thus  $v_\varepsilon \in \text{SBV}^p(\Omega) \cap L^\infty(\Omega)$ . Furthermore  $S_{v_\varepsilon} = S_{\hat{z}_\varepsilon}$ ,  $[v_\varepsilon] = [\hat{z}_\varepsilon]$  and

$$\|v_\varepsilon - \hat{z}_\varepsilon\|_{\text{BV}(\Omega)} = \|(1-\varphi)\hat{z}_\varepsilon - \zeta_\varepsilon\|_{W^{1,1}(\Omega)} < \varepsilon.$$

Altogether this concludes the proof.  $\square$

*Remark 4.2.* For later reference we observe the following variant of the previous proposition, adapted to the constrained case where  $u \in \text{SBV}_{\mathcal{N}}^1(\Omega)$ , as in Theorem 3.1.

Let  $\mathcal{N} \subset \mathbb{S}^{n-1}$  be a Borel set of directions satisfying (2.1). Let  $u \in \text{SBV}_{\mathcal{N}}^1(\Omega) \cap L^\infty(\Omega)$  and  $p \in (1, +\infty)$ . Then there exists a sequence  $(v_k) \subset \text{SBV}^p(\Omega) \cap L^\infty(\Omega)$  such that  $M_k := \overline{S_{v_k}}$  is a compact  $(n-1)$ -dimensional  $C^1$  manifold in  $\Omega$  with  $C^1$  boundary  $\partial M_k$  and normal in  $\mathcal{N}$ , the traces of  $v_k$  on both sides of  $M_k$  are  $C^1$  and coincide on  $\partial M_k$ , and  $v_k \xrightarrow{\mathcal{F}} u$  as  $k \rightarrow +\infty$ .



In order to prove this statement, we first apply Theorem 3.1 and find an approximating sequence  $(u_k) \subset \mathcal{W}_{\mathcal{N}}(\Omega; \mathbb{R}^m)$  such that  $u_k \xrightarrow{\mathcal{F}} u$ . Since  $\overline{S_{u_k}}$  is a  $\mathcal{N}$ -aligned regular disconnected set, it is possible to repeat the proof of Proposition 4.1 applied to  $u_k$ , now choosing  $M_\varepsilon \subset S_{u_k}$  in (4.1). A diagonal argument yields the desired statement.

We conclude this section observing that Proposition 4.1 combined with [4, Lemma 5.2], readily gives an approximation of  $\text{SBV}^1(\Omega; \mathbb{R}^m)$ -functions by means of local minimisers of the Mumford-Shah functional:

$$\text{MS}(u, U) := \int_U |\nabla u|^2 dx + \mathcal{H}^{n-1}(S_u \cap U),$$

with  $U \subset \Omega$  open and bounded.

We recall that  $v \in \text{SBV}^2(\Omega) \cap L^\infty(\Omega)$  is a local minimiser for  $\text{MS}(\cdot, \Omega)$  if  $\text{MS}(v, U) \leq \text{MS}(w, U)$  for every open set  $U \subset \subset \Omega$ , whenever  $w \in \text{SBV}^2(\Omega) \cap L^\infty(\Omega)$  and  $\{w \neq v\} \subset \subset U \subset \subset \Omega$ .

Then, the following approximation result holds.

**Corollary 4.3.** *Let  $u \in \text{SBV}^1(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$ . Then there exists a sequence  $(u_k) \subset \text{SBV}^2(\Omega) \cap L^\infty(\Omega; \mathbb{R}^m)$  such that each  $u_k$  is a local minimiser for  $\text{MS}(\cdot, \Omega)$  and  $u_k \xrightarrow{\mathcal{F}} u$  as  $k \rightarrow +\infty$ .*

*Proof.* By Proposition 4.1 applied to (each component of)  $u$  with  $p = 2$  there exists a sequence  $(v_k) \subset \text{SBV}^2(\Omega) \cap L^\infty(\Omega; \mathbb{R}^m)$  such that  $v_k \xrightarrow{\mathcal{F}}$ -converges to  $u$  as  $k \rightarrow +\infty$ . Now invoking [4, Lemma 5.2], for each  $v_k$  there exists a sequence  $(u_k^j) \subset \text{SBV}^2(\Omega) \cap L^\infty(\Omega; \mathbb{R}^m)$  of local minimisers for  $\text{MS}(\cdot, \Omega)$  such that  $u_k^j \xrightarrow{\mathcal{F}}$ -converges to  $v_k$  as  $j \rightarrow +\infty$ . A standard diagonal argument yields the desired sequence and therefore the result.  $\square$

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