

On the area of the graph of a singular map from the plane to the plane taking three values

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Abstract

We improve an estimate given by Acerbi and Dal Maso in 1994, concerning the area of the graph of a singular map from the disk of \mathbb{R}^2 into \mathbb{R}^2 , taking only three values, and jumping on three half-lines meeting at the origin in a triple junction.

1 Introduction and statement of the result

Given a bounded open set $\Omega \subset \mathbb{R}^2$ let us define the area functional $\mathbb{A} : L^1(\Omega; \mathbb{R}^2) \rightarrow [0, +\infty]$ as

$$\mathbb{A}(v, \Omega) := \begin{cases} \int_{\Omega} \sqrt{1 + |\nabla v_1|^2 + |\nabla v_2|^2 + \left(\frac{\partial v_1}{\partial x} \frac{\partial v_2}{\partial y} - \frac{\partial v_1}{\partial y} \frac{\partial v_2}{\partial x} \right)^2} dx dy & \text{if } v = (v_1, v_2) \in \mathcal{C}^1(\Omega; \mathbb{R}^2), \\ +\infty & \text{if } v \in L^1(\Omega; \mathbb{R}^2) \setminus \mathcal{C}^1(\Omega; \mathbb{R}^2), \end{cases}$$

where $\nabla v_i = (\frac{\partial v_i}{\partial x}, \frac{\partial v_i}{\partial y})$ and $|\nabla v_i|^2 = (\frac{\partial v_i}{\partial x})^2 + (\frac{\partial v_i}{\partial y})^2$, for $i = 1, 2$. For a function $v \in \mathcal{C}^1(\Omega; \mathbb{R}^2)$ the value $\mathbb{A}(v, \Omega)$ is the area of the graph of v on Ω , which is a two-codimensional surface embedded in \mathbb{R}^4 . As it happens in codimension one, also in codimension two it may be of interest to extend the functional \mathbb{A} to nonsmooth functions. We refer to [4] for such an extension to the BV setting in codimension one, and for applications to minimal surfaces. As discussed for instance by Acerbi and Dal Maso in [3], one rather natural way to extend $\mathbb{A}(\cdot, \Omega)$ to nonsmooth functions is to consider the $L^1(\Omega; \mathbb{R}^2)$ -lower semicontinuous envelope of $\mathbb{A}(\cdot, \Omega)$, defined as

$$\mathcal{A}(v, \Omega) := \inf \left\{ \liminf_{\varepsilon \rightarrow 0} \mathbb{A}(v^\varepsilon, \Omega) : \{v^\varepsilon\} \subset \mathcal{C}^1(\Omega; \mathbb{R}^2), v^\varepsilon \rightarrow v \text{ in } L^1(\Omega; \mathbb{R}^2) \right\} \quad (1.1)$$

(for simplicity from now on we shorthand $\varepsilon = 1/h$ for $h \in \mathbb{N}$). Once one accepts (1.1) as the definition of area of the graph for a nonsmooth function, it is natural to try to describe the domain $\{v \in L^1(\Omega; \mathbb{R}^2) : \mathcal{A}(v, \Omega) < +\infty\}$ of $\mathcal{A}(\cdot, \Omega)$, and to compute the value $\mathcal{A}(v, \Omega)$ for functions v in this domain. The study of this problem was initiated in [2] in the more general case when $\Omega \subset \mathbb{R}^n$, the functions v take values in \mathbb{R}^k , and $\mathbb{A}(v, \Omega) := \int_{\Omega} f(\nabla v) dx$ is the n -dimensional area in \mathbb{R}^{n+k} of the graph of $v \in \mathcal{C}^1(\Omega; \mathbb{R}^k)$, $n, k \geq 1$. Here $f(\nabla v)$ is the euclidean norm of the vector $\mathcal{M}(\nabla v)$ whose components are the determinants of all minors of the Jacobian matrix ∇v , including the 0×0 minor whose determinant is conventionally equal to 1. Then the following properties hold [2]:

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- $\mathcal{A}(v, \Omega) = \mathbb{A}(v, \Omega) = \int_{\Omega} f(\nabla v) dx$ for any $v \in C^1(\Omega; \mathbb{R}^k) \cap L^1(\Omega; \mathbb{R}^k)$, namely $\mathbb{A}(\cdot, \Omega)$ is $L^1(\Omega; \mathbb{R}^k)$ -lower semicontinuous on $C^1(\Omega; \mathbb{R}^k) \cap L^1(\Omega; \mathbb{R}^k)$, and moreover $\mathcal{A}(v, \Omega) = \int_{\Omega} f(\nabla v) dx$ for any $v \in W^{1,p}(\Omega; \mathbb{R}^k)$, $p \geq \min\{n, k\}$;
- $\{v \in L^1(\Omega; \mathbb{R}^k) : \mathcal{A}(v, \Omega) < +\infty\} \subset BV(\Omega; \mathbb{R}^k)$, and

$$\mathcal{A}(v, \Omega) \geq \int_{\Omega} f(\nabla v) dx + |D^s v|(\Omega), \quad v \in BV(\Omega; \mathbb{R}^k), \quad (1.2)$$

where $Dv = \nabla v + D^s v$ is the decomposition of the measure Dv into its absolutely continuous and singular parts with respect to the Lebesgue measure, and $|D^s v|(\Omega)$ is the total variation in Ω of the (matrix-valued) measure $D^s v$ [1];

- if $k \geq 2$ there exists $u \in BV_{\text{loc}}(\mathbb{R}^n; \mathbb{R}^k)$ such that the function $\Omega \rightarrow \mathcal{A}(u, \Omega)$ is not subadditive (see (1.7) below). In particular, $\mathcal{A}(\cdot, \Omega)$ cannot be written as an integral on the whole of $BV(\Omega; \mathbb{R}^k)$.

The last property distinguishes the case $k = 2$ from the case $k = 1$ where, instead, the functional $\mathcal{A}(\cdot, \Omega)$ can be written in integral form on $BV(\Omega)$. The example of u exhibited in [2] and suggested in [3], concerns the case $n = k = 2$, and is the following. Take three open non-overlapping angular regions A, B, C of the plane \mathbb{R}^2 as in Figure 1 (a); the origin is a so-called triple junction, with the three radii meeting at 120 degrees. Let moreover α, β, γ be the vertices of an equilateral triangle in the target space \mathbb{R}^2 having center at the origin of the coordinates. Then $u : \mathbb{R}^2 \rightarrow \{\alpha, \beta, \gamma\}$ is the discontinuous $BV_{\text{loc}}(\mathbb{R}^2; \mathbb{R}^2)$ function defined as

$$u(x, y) := \begin{cases} \alpha & \text{if } (x, y) \in A, \\ \beta & \text{if } (x, y) \in B, \\ \gamma & \text{if } (x, y) \in C. \end{cases} \quad (1.3)$$

In [2] the following properties are proven: denoting by $|E|$ the Lebesgue measure of the measurable set $E \subseteq \mathbb{R}^2$, by $B_r(0) = B_r \subset \mathbb{R}^2$ the open disk of radius $r > 0$ centered at the origin, and by \overline{B}_r the closure of B_r ,

- for any $r > 0$, $\rho > 0$ with $\rho \in (0, r)$ we have

$$\mathcal{A}(u, B_r \setminus \overline{B}_\rho) = |B_r \setminus B_\rho| + 3(r - \rho)\ell, \quad (1.4)$$

where $\ell := |\beta - \alpha|$ is the side of the triangle having vertices α, β, γ (see Figure 1 (b)). Since $|D^s u|(B_r \setminus \overline{B}_\rho) = 3(r - \rho)\ell$, formula (1.4) shows that, if we exclude a disk around the triple point, we get equality in the lower bound (1.2);

- for any $r > 0$

$$\mathcal{A}(u, B_r) \leq |B_r| + 4r\ell; \quad (1.5)$$

- for any $r > 0$ we have

$$\mathcal{A}(u, B_r) > |B_r| + 3r\ell, \quad (1.6)$$

and moreover there exist $\rho > 0$ and $s > 0$ with $0 < \rho < r < s$ such that

$$\mathcal{A}(u, B_r) > \mathcal{A}(u, B_\rho) + \mathcal{A}(u, B_s \setminus \overline{B}_{\rho/2}). \quad (1.7)$$

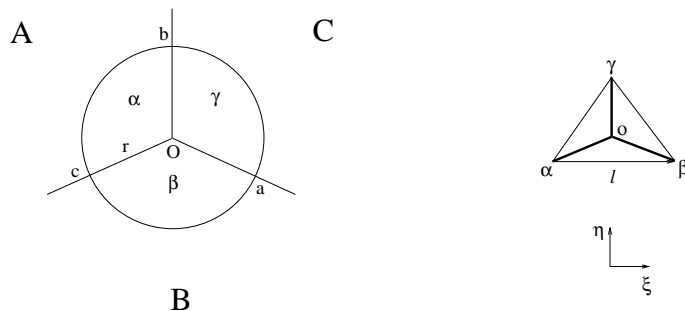


Figure 1: (a): $A = \{\lambda b + \mu c : \lambda, \mu > 0\}$, $B = \{\lambda c + \mu a : \lambda, \mu > 0\}$, $C = \{\lambda a + \mu b : \lambda, \mu > 0\}$. The triple junction inside the disk of radius r , in the source space \mathbb{R}^2 , has the three angles at 120 degrees. (b): the three vectors α, β, γ are the vertices of an equilateral triangle of side ℓ , in the target space \mathbb{R}^2 . The unit vectors ξ and η (see step 6 in the proof of Theorem 1.1). The bold segments (of length $\frac{\ell}{\sqrt{3}}$) form the Steiner graph, i.e., the shortest graph connecting α, β , and γ .

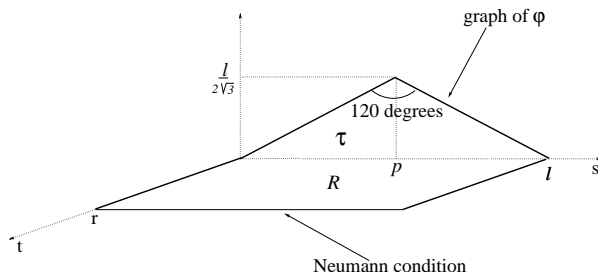


Figure 2: The rectangle $R = \{(s, t) : s \in [0, \ell], t \in [0, r]\}$ is the domain of the minimizer m . The Dirichlet datum φ is assigned on $\partial_D R$, which consists of the sides of R but the frontal one; φ is zero on $\{0\} \times [0, r]$ and $\{\ell\} \times [0, r]$; on the side $[0, \ell] \times \{0\}$ the graph of φ is depicted in the figure. The triangle \mathcal{T} corresponds to the triangle with vertices $\alpha, 0, \beta$ in Figure 1 (b).

Inequality (1.5) is an estimate of the area of the graph of u restricted to the disk B_r , which implies that

$$\lim_{r \rightarrow 0^+} \mathcal{A}(u, B_r) = 0, \quad (1.8)$$

while (1.7) implies the asserted nonsubadditivity of $\mathcal{A}(u, \cdot)$.

The aim of this paper is to prove a more refined estimate from above of $\mathcal{A}(u, B_r)$ with respect to (1.5), see inequality (1.11) below. Such an estimate requires a better understanding of what we could call the singular part of \mathcal{A} , defined in general on an open set $\Omega \subseteq \mathbb{R}^2$ and for a function $v \in BV(\Omega; \mathbb{R}^2)$ as $\mathcal{A}(v, \Omega) - \int_{\Omega} f(\nabla v) dx$. Our estimate is based on a suitable area-minimizing function m defined on the rectangle

$$R := [0, \ell] \times [0, r],$$

where the minimization is taken among all functions having a Dirichlet condition on three of the four sides of R (see Figure 2, and Figure 3 for a schematic picture of the graph of m).

More precisely, the result is the following. Set

$$\partial_N R := [0, \ell] \times \{r\}, \quad \partial_D R := \partial R \setminus \partial_N R.$$

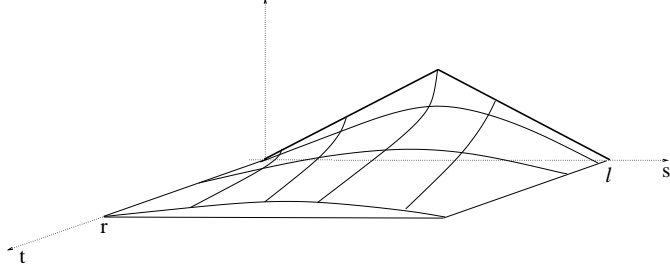


Figure 3: The graph of m , which is a minimal surface satisfying the Neumann condition on the frontal side $\partial_N \mathbf{R} = [0, \ell] \times \{r\}$ of \mathbf{R} and Dirichlet conditions on the remaining three sides.

Let us define the function $\varphi : \mathbb{R}^2 \rightarrow \mathbb{R}$ as

$$\varphi(s, t) := \frac{1}{2\sqrt{3}} (\ell - |2s - \ell|), \quad (s, t) \in \mathbb{R}^2. \quad (1.9)$$

The function φ is Lipschitz, piecewise affine, it is independent of t , it is nonnegative on \mathbf{R} and vanishes on the two parallel sides $\{0\} \times [0, r]$, $\{\ell\} \times [0, r]$ of $\partial_D \mathbf{R}$, and its graph on the last side $[0, \ell] \times \{r\}$ of $\partial_D \mathbf{R}$ consists of the two bold segments of the triangle \mathcal{T} having vertices $\alpha, 0, \beta$ in Figure 1. The graph of φ on \mathbf{R} is depicted in Figure 4.

Let m be the solution of the Dirichlet-Neumann minimum problem

$$\min \left\{ \int_{\mathbf{R}} \sqrt{1 + |\nabla f|^2} \, ds dt : f \in W^{1,1}(\mathbf{R}), f = \varphi \text{ on } \partial_D \mathbf{R} \right\}. \quad (1.10)$$

It is well known that m is analytic in the interior of \mathbf{R} . However, m is *not* Lipschitz, because its gradient blows up around the point $p = (\ell/2, 0)$; this fact is source of some technical difficulties in the proof of Theorem 1.1.

Define

$$\mathfrak{A}_{\min} := \int_{\mathbf{R}} \sqrt{1 + |\nabla m|^2} \, ds dt.$$

Note that \mathfrak{A}_{\min} depends nonlinearly on r , and

$$|\mathbf{R}| = r\ell < \mathfrak{A}_{\min} < |\mathbf{R}| + |\mathcal{T}| = r\ell + \frac{\ell^2}{4\sqrt{3}}.$$

Our result is the following.

Theorem 1.1. *Let $u \in BV(B_r; \{\alpha, \beta, \gamma\})$ be the function defined in (1.3). Then*

$$\mathcal{A}(u, B_r) \leq |B_r| + 3\mathfrak{A}_{\min}. \quad (1.11)$$

Remark 1.2. Observe that $\mathfrak{A}_{\min} < \frac{2r\ell}{\sqrt{3}}$, since $\frac{2r\ell}{\sqrt{3}}$ is the area of the “roof surface” composed of two rectangles having sides r and $\frac{\ell}{\sqrt{3}}$ in Figure 4, hence

$$3\mathfrak{A}_{\min} < 2\sqrt{3}r\ell < 4r\ell. \quad (1.12)$$

Inequalities (1.11) and (1.12) improve the estimate (1.5) given in [2]. Note also that $3\mathfrak{A}_{\min} > 3r\ell$, consistently with (1.6).

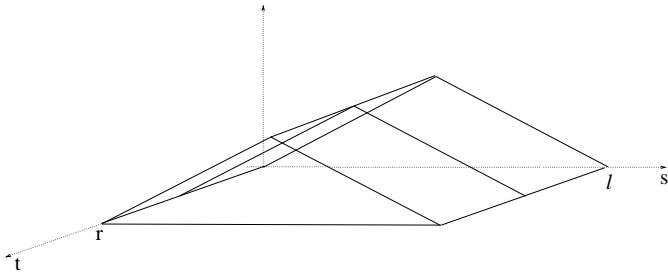


Figure 4: This surface represents the graph of φ on \mathbb{R} , and its area is $\frac{2r\ell}{\sqrt{3}}$, and it is larger than \mathfrak{A}_{\min} .

Remark 1.3. We believe (1.11) to be an *equality*, but we miss the proof of this assertion.

The proof of (1.11) consists in exhibiting a sequence $\{v^\varepsilon\} \subset C^1(B_r; \mathbb{R}^2)$ converging to u in $L^1(\Omega; \mathbb{R}^2)$ and such that

$$\lim_{\varepsilon \rightarrow 0} \mathcal{A}(v^\varepsilon, B_r) = |B_r| + 3\mathfrak{A}_{\min}.$$

Note that, if equality holds in (1.11), then the sequence $\{v^\varepsilon\}$ is optimal. Note also that if the equality holds in (1.11), the nonlocality nature of $\mathcal{A}(u, \cdot)$ proved in [2], becomes transparent.

We conclude this introduction with the following observation. As shown by inequality (1.8), there is no concentration of two-dimensional area on the triple junction; from the explicit construction given in the proof of Theorem 1.1, it turns out that what concentrates on the triple junction is the Steiner graph depicted in bold in Figure 1 (b), which is one-dimensional and does not contribute to the computation of $\mathcal{A}(u, B_r)$.

2 Proof of Theorem 1.1

Let us preliminarily show that (1.10) has a unique solution. Given an open set $E \subseteq \mathbb{R}^2$ and a function $f \in W^{1,1}(E)$, recall that the integral

$$\int_E \sqrt{1 + |\nabla f|^2} \, dsdt$$

is the area of the graph of f on E , and it is $L^1(E)$ -lower semicontinuous. Moreover, its $L^1(E)$ -lower semicontinuous envelope is naturally defined on the whole of $BV(E)$, and can be expressed using a distributional formulation [1], [4]; for a function $f \in BV(E)$ it is denoted by

$$\int_E \sqrt{1 + |Df|^2}.$$

Define the doubled rectangle $\widehat{\mathbb{R}}$ as

$$\widehat{\mathbb{R}} := [0, \ell] \times [0, 2r],$$

and observe that $\varphi : \widehat{\mathbb{R}} \rightarrow [0, +\infty)$ is symmetric with respect to the line $\{t = r\}$. From [4, Theorem 15.9] the minimum problem with Dirichlet condition

$$\min \left\{ \int_{\widehat{\mathbb{R}}} \sqrt{1 + |\nabla f|^2} \, dsdt : f \in W^{1,1}(\widehat{\mathbb{R}}), f = \varphi \text{ on } \partial\widehat{\mathbb{R}} \right\} \quad (2.1)$$

has a solution, that we denote by \widehat{m} . Moreover, \widehat{m} is unique, and is analytic in the interior of \widehat{R} [4]. Let us denote by m the restriction of \widehat{m} to R . Then m is the unique solution of the Dirichlet-Neumann minimum problem (1.10). Observe that

$$0 \leq m \leq \|\varphi\|_{L^\infty(\partial R)} \quad \text{on } R. \quad (2.2)$$

In what follows we indicate by $D \subset \mathbb{R}^2$ an open disk containing the closure of \widehat{R} .

Remark 2.1. From [4, Theorem 15.9] it follows that \widehat{m} solves the following minimum problem in $BV(D)$:

$$\min \left\{ \int_{\widehat{R}} \sqrt{1 + |Df|^2} + \int_{\partial \widehat{R}} |f - \varphi| \, d\mathcal{H}^1 : f \in BV(D), f = \varphi \text{ on } D \setminus \widehat{R} \right\}, \quad (2.3)$$

where \mathcal{H}^1 is the one-dimensional Hausdorff measure, and the boundary integral in (2.3) involves the trace of f on $\partial \widehat{R}$, which is well defined \mathcal{H}^1 -almost everywhere.

Let us now begin the proof of Theorem 1.1.

Step 1: reduction to a sequence of Lipschitz maps. We claim that, in order to prove (1.11), it is sufficient to construct a sequence $\{u^\varepsilon\} \subset \text{Lip}(B_r; \mathbb{R}^2)$ converging to u in $L^1(B_r; \mathbb{R}^2)$ such that

$$\lim_{\varepsilon \rightarrow 0} \mathcal{A}(u^\varepsilon, B_r) = |B_r| + 3\mathfrak{A}_{\min}, \quad (2.4)$$

where we recall (see the Introduction) that, on a Lipschitz map $v = (v_1, v_2) \in \text{Lip}(\Omega; \mathbb{R}^2)$, the relaxed functional $\mathcal{A}(v, \Omega)$ defined in (1.1) has still the usual expression

$$\mathcal{A}(v, \Omega) = \int_{\Omega} \sqrt{1 + |\nabla v_1|^2 + |\nabla v_2|^2 + \left(\frac{\partial v_1}{\partial x} \frac{\partial v_2}{\partial y} - \frac{\partial v_1}{\partial y} \frac{\partial v_2}{\partial x} \right)^2} \, dx dy. \quad (2.5)$$

To prove the claim it is enough to show that for any $v \in L^1(\Omega; \mathbb{R}^2)$ we have

$$\mathcal{A}(v, \Omega) = \inf \left\{ \liminf_{\varepsilon \rightarrow 0} \mathcal{A}(v^\varepsilon, \Omega) : \{v^\varepsilon\} \subset \text{Lip}(\Omega; \mathbb{R}^2), v^\varepsilon \rightarrow v \text{ in } L^1(\Omega; \mathbb{R}^2) \right\}. \quad (2.6)$$

To prove (2.6) let $\{v^\varepsilon\} \subset \text{Lip}(\Omega; \mathbb{R}^2)$ be such that $\lim_{\varepsilon \rightarrow 0} \mathcal{A}(v^\varepsilon, \Omega)$ equals the right hand side of (2.6). Take a standard convolution kernel $\rho_\delta : \mathbb{R}^2 \rightarrow [0, +\infty)$ supported in the disk of radius $\delta > 0$ centered at the origin, and define $v_{\varepsilon, \delta} := v^\varepsilon * \rho_\delta \in \mathcal{C}^1(\Omega; \mathbb{R}^2)$. Then the dominated convergent theorem implies that $\lim_{\delta \rightarrow 0^+} \mathbb{A}(v_{\varepsilon, \delta}, \Omega) = \mathcal{A}(v^\varepsilon, \Omega)$. Therefore a diagonal argument implies that $\mathcal{A}(v, \Omega)$ is smaller than or equal to the right hand side of (2.6); on the other hand the converse inequality is immediate.

We now pass to define the sequence $\{u^\varepsilon\}$: to do this, we need to specify various subsets of B_r . Define S_ε^b as

$$S_\varepsilon^b := \left\{ (x, y) \in \overline{B}_r : |x| \leq \frac{\varepsilon}{2}, y \geq \frac{\varepsilon}{2\sqrt{3}} \right\}, \quad (2.7)$$

and let S_ε^c (resp. S_ε^a) be the counterclockwise rotation of S_ε^b of $2\pi/3$ (resp. of $4\pi/3$), see Figure 6 (a). Denote by T_ε the open equilateral triangle of side ε having the baricenter at the origin as in Figure 6 (b). Let

$$A_\varepsilon := A \setminus \left(S_\varepsilon^b \cup T_\varepsilon \cup S_\varepsilon^c \right), \quad B_\varepsilon := B \setminus \left(S_\varepsilon^a \cup T_\varepsilon \cup S_\varepsilon^c \right), \quad C_\varepsilon := C \setminus \left(S_\varepsilon^a \cup T_\varepsilon \cup S_\varepsilon^b \right).$$

Step 2: definition of u^ε on $A_\varepsilon \cup B_\varepsilon \cup C_\varepsilon$. We set

$$u^\varepsilon := \begin{cases} \alpha & \text{in } A_\varepsilon, \\ \beta & \text{in } B_\varepsilon, \\ \gamma & \text{in } C_\varepsilon. \end{cases} \quad (2.8)$$

Note that $\mathcal{A}(u^\varepsilon, (A_\varepsilon \cup B_\varepsilon \cup C_\varepsilon) \cap B_r) = |A_\varepsilon \cap B_r| + |B_\varepsilon \cap B_r| + |C_\varepsilon \cap B_r|$, hence

$$\lim_{\varepsilon \rightarrow 0^+} \mathcal{A}(u^\varepsilon, (A_\varepsilon \cup B_\varepsilon \cup C_\varepsilon) \cap B_r) = |B_r|. \quad (2.9)$$

Before passing to the next step a comment is in order. The construction of the sequence $\{u^\varepsilon\}$ on the three cygar-shaped sets S_ε^b , S_ε^c , S_ε^a makes use of a rescaled version of the minimizer m (where the rescaling has also a correction which takes into account that m is defined on a rectangle): however, m is not Lipschitz, and therefore the resulting sequence would not be in $\text{Lip}(B_r; \mathbb{R}^2)$, and step 1 would be inapplicable. We need therefore a further smoothing argument with a new positive parameter σ , which we now describe, and which shows that m can be approximated by a Lipschitz function that will be denoted by $m_{\sigma, \varphi}$, with the property that

$$\left| \int_{\mathbb{R}} \sqrt{1 + |\nabla m|^2} \, dsdt - \int_{\mathbb{R}} \sqrt{1 + |\nabla m_{\sigma, \varphi}|^2} \, dsdt \right|$$

becomes as small as we want, provided σ tends to zero (see step 5 below).

We begin by smoothing the function φ in a neighbourhood of the points where it is not differentiable. Given $\sigma \in (0, \ell/4)$ let $\varphi_\sigma : \mathbb{R}^2 \rightarrow \mathbb{R}$ be a function with the following properties:

- $\varphi_\sigma \in \mathcal{C}^2(\mathbb{R}^2)$, and φ_σ is symmetric with respect to the line $\{t = r\}$;
- $\varphi_\sigma = \varphi$ on $\widehat{\mathbb{R}} \setminus (B_\sigma(p) \cup B_\sigma(\widehat{p}))$, where $B_\sigma(p)$ (resp. $B_\sigma(\widehat{p})$) is the disk of radius σ centered at $p = (\ell/2, 0)$ (resp. at $\widehat{p} := (\ell/2, 2r)$), and $\varphi_\sigma \leq \varphi$;
- $\|\varphi - \varphi_\sigma\|_{L^1(\widehat{\mathbb{R}})} = O(\sigma)$.

The graph of φ_σ over the segment $[0, \ell] \times \{0\}$ coincides with the graph of φ out of an interval centered at p with length 2σ and it is, roughly speaking, smoothed by a sort of arc of circle around the edge.

We now smoothen the rectangle $\widehat{\mathbb{R}}$. Denote by $\widehat{\mathbb{R}}_\sigma \subset \widehat{\mathbb{R}}$ the \mathcal{C}^2 convex set, symmetric with respect to the point $(\ell/2, r)$, the boundary of which is obtained by smoothing the four vertices of $\partial\widehat{\mathbb{R}}$ in a disk of radius σ centered at each vertex, and with $\partial\widehat{\mathbb{R}}_\sigma = \partial\widehat{\mathbb{R}}$ out of the four disks.

Step 3: definition of $f_{\sigma, \varphi}$. Let \widehat{f}_σ be the solution of

$$\inf \left\{ \int_{\widehat{\mathbb{R}}_\sigma} \sqrt{1 + |\nabla f|^2} \, dsdt : f \in \text{Lip}(\widehat{\mathbb{R}}_\sigma), f = \varphi_\sigma \text{ on } \partial\widehat{\mathbb{R}}_\sigma \right\}. \quad (2.10)$$

The existence of the Lipschitz function \widehat{f}_σ is guaranteed by [4, Theorem 12.10], since $\widehat{\mathbb{R}}_\sigma$ is convex of class \mathcal{C}^2 and φ_σ is of class \mathcal{C}^2 .

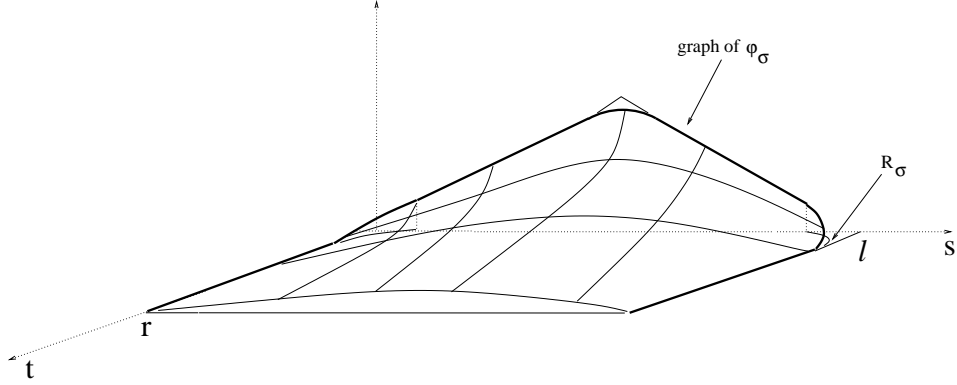


Figure 5: The smoothed sets $R_\sigma \subset R$, and (in bold) the graph of the smoothed boundary datum φ_σ on ∂R_σ but the frontal side. We also depict the graph of the Lipschitz minimizer f_σ on R_σ .

We now use the fact that \widehat{m} solves (2.3), by comparing the area of the graph of \widehat{m} with the area of the competitor function $\widehat{f}_{\sigma,\varphi}$ defined as follows:

$$\widehat{f}_{\sigma,\varphi} := \begin{cases} \widehat{f}_\sigma & \text{in } \widehat{R}_\sigma, \\ \varphi & \text{in } D \setminus \widehat{R}_\sigma. \end{cases}$$

Observe that $\widehat{f}_{\sigma,\varphi} \in BV(D)$ is discontinuous in a neighbourhood of the points p and \widehat{p} . Since \widehat{m} solves (2.3), it follows that

$$\int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt \leq \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{f}_{\sigma,\varphi}|^2} \, dsdt + \int_{\partial \widehat{R}} |\widehat{f}_{\sigma,\varphi} - \varphi| \, d\mathcal{H}^1.$$

We have

$$\int_{\partial \widehat{R}} |\widehat{f}_{\sigma,\varphi} - \varphi| \, d\mathcal{H}^1 = \int_{\partial \widehat{R} \cap (B_\sigma(p) \cup B_\sigma(\widehat{p}))} |\widehat{f}_{\sigma,\varphi} - \varphi| \, d\mathcal{H}^1 = O(\sigma).$$

Hence

$$\int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt \leq \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{f}_{\sigma,\varphi}|^2} \, dsdt + O(\sigma). \quad (2.11)$$

We now look for a converse inequality. From [4, Theorem 15.9] it follows that \widehat{f}_σ solves the following minimum problem in $BV(D)$:

$$\min \left\{ \int_{\widehat{R}_\sigma} \sqrt{1 + |Df|^2} + \int_{\partial \widehat{R}_\sigma} |f - \varphi_\sigma| \, d\mathcal{H}^1 : f \in BV(D), f = \varphi_\sigma \text{ on } D \setminus \widehat{R}_\sigma \right\}. \quad (2.12)$$

We compare the area of the graph of \widehat{f}_σ with the area of the competitor function defined as

$$\begin{cases} \widehat{m} & \text{in } \widehat{R}_\sigma, \\ \varphi_\sigma & \text{in } D \setminus \widehat{R}_\sigma. \end{cases} \quad (2.13)$$

Observe that the function in (2.13) is discontinuous in a neighbourhood of the points p and \widehat{p} , and along the four arcs $\partial \widehat{R}_\sigma \setminus (\partial \widehat{R} \cap \partial \widehat{R}_\sigma)$.

Since \widehat{f}_σ solves the minimum problem (2.12), it follows that

$$\begin{aligned} \int_{\widehat{R}_\sigma} \sqrt{1 + |\nabla \widehat{f}_\sigma|^2} \, dsdt &\leq \int_{\widehat{R}_\sigma} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt + \int_{\partial \widehat{R}_\sigma} |\widehat{m} - \varphi_\sigma| \, d\mathcal{H}^1 \\ &\leq \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt + \int_{\partial \widehat{R}_\sigma} |\widehat{m} - \varphi_\sigma| \, d\mathcal{H}^1. \end{aligned} \quad (2.14)$$

We have

$$\begin{aligned} \int_{\partial \widehat{R}_\sigma} |\widehat{m} - \varphi_\sigma| \, d\mathcal{H}^1 &= \int_{\partial \widehat{R}_\sigma \cap (B_\sigma(p) \cup B_\sigma(\widehat{p}))} |\widehat{m} - \varphi_\sigma| \, d\mathcal{H}^1 + \int_{\partial \widehat{R}_\sigma \setminus (\partial \widehat{R} \cap \partial \widehat{R}_\sigma)} |\widehat{m} - \varphi_\sigma| \, d\mathcal{H}^1 \\ &= O(\sigma) + \int_{\partial \widehat{R}_\sigma \setminus (\partial \widehat{R} \cap \partial \widehat{R}_\sigma)} |\widehat{m} - \varphi_\sigma| \, d\mathcal{H}^1 \leq O(\sigma), \end{aligned} \quad (2.15)$$

where the last inequality is a consequence of (2.2). Hence from (2.14) it follows that

$$\int_{\widehat{R}_\sigma} \sqrt{1 + |\nabla \widehat{f}_\sigma|^2} \, dsdt \leq \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt + O(\sigma). \quad (2.16)$$

Observe now that

$$\begin{aligned} \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{f}_{\sigma,\varphi}|^2} \, dsdt &= \int_{\widehat{R}_\sigma} \sqrt{1 + |\nabla \widehat{f}_\sigma|^2} \, dsdt + \int_{\widehat{R} \setminus \widehat{R}_\sigma} \sqrt{1 + |\nabla \varphi|^2} \, dsdt \\ &\leq \int_{\widehat{R}_\sigma} \sqrt{1 + |\nabla \widehat{f}_\sigma|^2} \, dsdt + O(\sigma) \sqrt{1 + (\text{lip}(\varphi))^2} \\ &= \int_{\widehat{R}_\sigma} \sqrt{1 + |\nabla \widehat{f}_\sigma|^2} \, dsdt + O(\sigma). \end{aligned} \quad (2.17)$$

Therefore, using (2.16), from (2.17) we deduce

$$\int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{f}_{\sigma,\varphi}|^2} \, dsdt \leq \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt + O(\sigma). \quad (2.18)$$

From (2.11) and (2.18) it follows that

$$\left| \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{f}_{\sigma,\varphi}|^2} \, dsdt - \int_{\widehat{R}} \sqrt{1 + |\nabla \widehat{m}|^2} \, dsdt \right| \leq O(\sigma),$$

hence by symmetry

$$\left| \int_{\widehat{R}} \sqrt{1 + |\nabla f_{\sigma,\varphi}|^2} \, dsdt - \int_{\widehat{R}} \sqrt{1 + |\nabla m|^2} \, dsdt \right| \leq O(\sigma), \quad (2.19)$$

where

$$f_{\sigma,\varphi} := \begin{cases} \widehat{f}_\sigma & \text{in } \widehat{R}_\sigma, \\ \varphi & \text{in } \widehat{R} \setminus \widehat{R}_\sigma. \end{cases}$$

Step 4: definition of $m_{\sigma,\varphi}$. We define the function $m_{\sigma,\varphi} : \mathbb{R} \rightarrow \mathbb{R}$ as follows:

$$m_{\sigma,\varphi}(s, t) := \begin{cases} f_{\sigma,\varphi}\left(s, \frac{(t-\sigma)r}{r-\sigma}\right) & \text{if } (s, t) \in \mathbb{R}, t \geq \sigma, \\ \frac{t}{\sigma}\varphi_{\sigma}(s, t) + \left(1 - \frac{t}{\sigma}\right)\varphi(s, t) & \text{if } (s, t) \in \mathbb{R}, t \leq \sigma. \end{cases} \quad (2.20)$$

Observe that $m_{\sigma,\varphi} \in \text{Lip}(\mathbb{R})$.

Step 5. We have

$$\left| \int_{\mathbb{R}} \sqrt{1 + |\nabla m|^2} dsdt - \int_{\mathbb{R}} \sqrt{1 + |\nabla m_{\sigma,\varphi}|^2} dsdt \right| \leq O(\sigma). \quad (2.21)$$

We define $N_{\sigma} := \{(s, t) \in \mathbb{R} : t \leq \sigma\}$, and we split

$$\int_{\mathbb{R}} \sqrt{1 + |\nabla m_{\sigma,\varphi}|^2} dsdt = \int_{\mathbb{R} \setminus N_{\sigma}} \sqrt{1 + |\nabla m_{\sigma,\varphi}|^2} dsdt + \int_{N_{\sigma}} \sqrt{1 + |\nabla m_{\sigma,\varphi}|^2} dsdt. \quad (2.22)$$

Let us first estimate the second integral on the right hand side of (2.22). Note that $\|\frac{\partial}{\partial s} m_{\sigma,\varphi}\|_{L^{\infty}(N_{\sigma})} = O(1)$ since φ and φ_{σ} are Lipschitz on \mathbb{R} . Moreover $\|\frac{\partial}{\partial t} m_{\sigma,\varphi}\|_{L^{\infty}(N_{\sigma})} = O(1) + \frac{1}{\sigma}O(\|\varphi - \varphi_{\sigma}\|_{L^{\infty}(N_{\sigma})}) = O(1)$. It follows that

$$\int_{N_{\sigma}} \sqrt{1 + |\nabla m_{\sigma,\varphi}|^2} dsdt = O(\sigma), \quad (2.23)$$

since $|N_{\sigma}| = O(\sigma)$.

On the other hand it is not difficult to prove that there exist two positive constants $C_1^{\sigma}, C_2^{\sigma}$ such that $C_1^{\sigma} \leq C_2^{\sigma}$, $C_1^{\sigma} = 1 + O(\sigma)$, $C_2^{\sigma} = 1 + O(\sigma)$, and

$$C_1^{\sigma} \int_{\mathbb{R}} \sqrt{1 + |\nabla f_{\sigma,\varphi}|^2} dsdt \leq \int_{\mathbb{R} \setminus N_{\sigma}} \sqrt{1 + |\nabla m_{\sigma,\varphi}|^2} dsdt \leq C_2^{\sigma} \int_{\mathbb{R}} \sqrt{1 + |\nabla f_{\sigma,\varphi}|^2} dsdt. \quad (2.24)$$

Then (2.21) follows from (2.23), (2.24) and (2.19).

Step 6: definition of $u^{\varepsilon,\sigma}$ on $S_{\varepsilon}^b \cup S_{\varepsilon}^c \cup S_{\varepsilon}^a$. We set

$$\xi = (\xi_1, \xi_2) := \frac{\beta - \alpha}{\ell} \in \mathbb{S}^1, \quad \eta = (\eta_1, \eta_2) := \xi^{\perp},$$

where $^{\perp}$ denotes the counterclockwise rotation of $\pi/2$.

Let $\psi_{\varepsilon} : \left[\frac{\varepsilon}{2\sqrt{3}}, r\right] \rightarrow [0, r]$ be the unique increasing affine function mapping $\left[\frac{\varepsilon}{2\sqrt{3}}, r\right]$ into $[0, r]$. Note that for any $y \in \left[\frac{\varepsilon}{2\sqrt{3}}, r\right]$ we have

$$\psi'(y) = \frac{r}{r - \frac{\varepsilon}{2\sqrt{3}}} =: \kappa_{\varepsilon}, \quad \lim_{\varepsilon \rightarrow 0} \kappa_{\varepsilon} = 1. \quad (2.25)$$

Recalling the definition of $m_{\sigma,\varphi}$ in (2.20) we set

$$u^{\varepsilon,\sigma}(x, y) := \alpha + \left(\frac{1}{2} + \frac{x}{\varepsilon}\right)\ell\xi + m_{\sigma,\varphi}\left(\frac{\ell}{2} + \frac{\ell x}{\varepsilon}, \psi_{\varepsilon}(y)\right)\eta, \quad (x, y) \in S_{\varepsilon}^b. \quad (2.26)$$

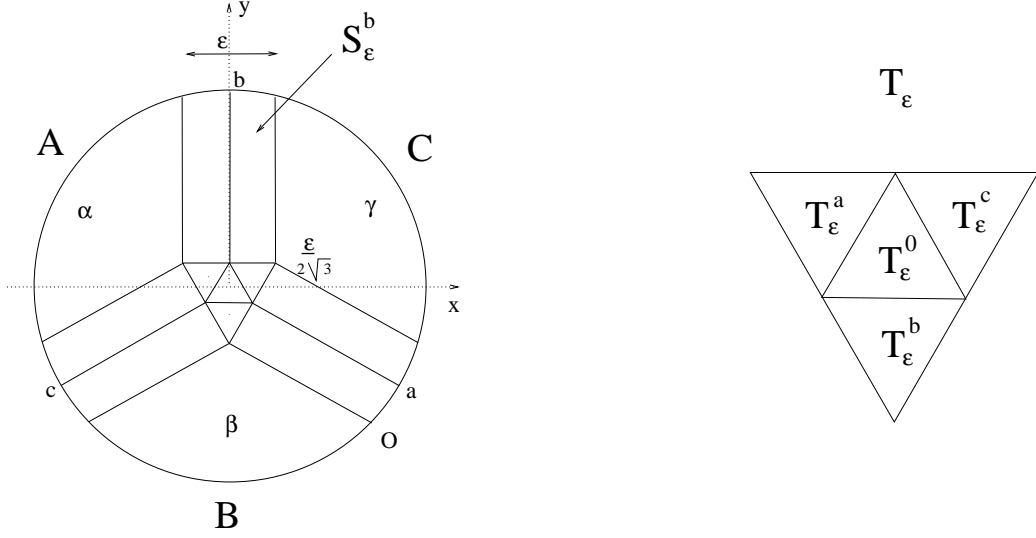


Figure 6: (a): the “cygar-shaped” set S_ε^b defined in (2.7), and its rotated $S_\varepsilon^c, S_\varepsilon^a$. The central triangle T_ε of side ε , further subdivided into four triangles $T_\varepsilon^a, T_\varepsilon^b, T_\varepsilon^c, T_\varepsilon^0$, is depicted also in (b) on a larger scale.

Observe that $u^{\varepsilon,\sigma} = (u_1^{\varepsilon,\sigma}, u_2^{\varepsilon,\sigma}) \in C^\infty(S_\varepsilon^b; \mathbb{R}^2)$, $u^{\varepsilon,\sigma} = \alpha$ on $\{(x, y) \in S_\varepsilon^b : x = -\varepsilon/2\}$, and $u^{\varepsilon,\sigma} = \beta$ on $\{(x, y) \in S_\varepsilon^b : x = \varepsilon/2\}$. Write for simplicity

$$\tilde{m} = m_{\sigma,\varphi}.$$

We have

$$\nabla u_1^{\varepsilon,\sigma} = \left(\frac{\ell \xi_1}{\varepsilon} + \frac{\tilde{m}_s}{\varepsilon} \ell \eta_1, \tilde{m}_t \kappa_\varepsilon \eta_1 \right), \quad \nabla u_2^{\varepsilon,\sigma} = \left(\frac{\ell \xi_2}{\varepsilon} + \frac{\tilde{m}_s}{\varepsilon} \ell \eta_2, \tilde{m}_t \kappa_\varepsilon \eta_2 \right),$$

where \tilde{m}_s, \tilde{m}_t denote the partial derivatives of \tilde{m} with respect to s and t respectively, and are evaluated at $(\frac{\ell}{2} + \frac{\ell x}{\varepsilon}, \psi_\varepsilon(y))$. Hence

$$\begin{aligned} |\nabla u_1^{\varepsilon,\sigma}|^2 + |\nabla u_2^{\varepsilon,\sigma}|^2 &= \frac{1}{\varepsilon^2} \left\{ \ell^2 |\xi|^2 + (\tilde{m}_s)^2 \ell^2 |\eta|^2 + 2\tilde{m}_s \ell^2 (\xi_1 \eta_1 + \xi_2 \eta_2) \right\} + (\tilde{m}_t)^2 \kappa_\varepsilon^2 |\eta|^2 \\ &= \frac{1}{\varepsilon^2} \left\{ \ell^2 + (\tilde{m}_s)^2 \ell^2 \right\} + (\tilde{m}_t)^2 \kappa_\varepsilon^2, \end{aligned} \quad (2.27)$$

where we have used $|\xi| = |\eta| = 1$ and $\xi_1 \eta_1 + \xi_2 \eta_2 = 0$.

Moreover

$$\left(\frac{\partial u_1^{\varepsilon,\sigma}}{\partial x} \frac{\partial u_2^{\varepsilon,\sigma}}{\partial y} - \frac{\partial u_1^{\varepsilon,\sigma}}{\partial y} \frac{\partial u_2^{\varepsilon,\sigma}}{\partial x} \right)^2 = \frac{1}{\varepsilon^2} (\ell \tilde{m}_t \kappa_\varepsilon (\xi_1 \eta_2 - \xi_2 \eta_1))^2 = \frac{1}{\varepsilon^2} (\ell \tilde{m}_t \kappa_\varepsilon)^2, \quad (2.28)$$

where again \tilde{m}_s, \tilde{m}_t are evaluated at $(\frac{\ell x}{\varepsilon} + \frac{\ell}{2}, \psi_\varepsilon(y))$, and we have used $\xi_1 \eta_2 - \xi_2 \eta_1 = 1$. Therefore

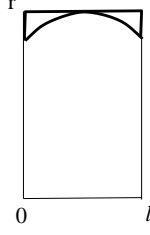


Figure 7: The set P_ε is bounded by the bold contour.

from (2.27) and (2.28) we obtain

$$\begin{aligned} & 1 + |\nabla u_1^{\varepsilon, \sigma}|^2 + |\nabla u_2^{\varepsilon, \sigma}|^2 + \left(\frac{\partial u_1^{\varepsilon, \sigma}}{\partial x} \frac{\partial u_2^{\varepsilon, \sigma}}{\partial y} - \frac{\partial u_1^{\varepsilon, \sigma}}{\partial y} \frac{\partial u_2^{\varepsilon, \sigma}}{\partial x} \right)^2 \\ &= 1 + (\tilde{m}_t)^2 \kappa_\varepsilon^2 + \frac{\ell^2}{\varepsilon^2} \left(1 + (\tilde{m}_s)^2 + (\tilde{m}_t)^2 \kappa_\varepsilon^2 \right) = 1 + \frac{\ell^2}{\varepsilon^2} \left(1 + (\tilde{m}_s)^2 + (\tilde{m}_t)^2 \kappa_\varepsilon^2 \left(1 + \frac{\varepsilon^2}{\ell^2} \right) \right). \end{aligned}$$

As a consequence

$$\begin{aligned} \mathbb{A}(u^\varepsilon, S_\varepsilon^b) &= \frac{\ell}{\varepsilon} \int_{S_\varepsilon^b} \sqrt{1 + \left[\tilde{m}_s \left(\frac{\ell}{2} + \frac{\ell x}{\varepsilon}, \psi_\varepsilon(y) \right) \right]^2 + \left[\tilde{m}_t \left(\frac{\ell}{2} + \frac{\ell x}{\varepsilon}, \psi_\varepsilon(y) \right) \right]^2 \kappa_\varepsilon^2 \left(1 + \frac{\varepsilon^2}{\ell^2} \right) + O(\varepsilon^2)} dx dy \\ &= \frac{1}{\kappa_\varepsilon} \int_{\mathbb{R} \setminus P_\varepsilon} \sqrt{1 + [\tilde{m}_s(s, t)]^2 + [\tilde{m}_t(s, t)]^2 \kappa_\varepsilon^2 \left(1 + \frac{\varepsilon^2}{\ell^2} \right) + O(\varepsilon^2)} ds dt, \end{aligned} \quad (2.29)$$

where the last equality follows by making the change of variables

$$\Phi : (s, t) \in \mathbb{R} \rightarrow \Phi(s, t) := \left(\frac{\varepsilon}{\ell} \left(s - \frac{\ell}{2} \right), \psi_\varepsilon^{-1}(t) \right) = (x, y) \in \left[-\frac{\varepsilon}{2}, \frac{\varepsilon}{2} \right] \times \left[\frac{\varepsilon}{2\sqrt{3}}, r \right] \supset S_\varepsilon^b,$$

and $P_\varepsilon := \mathbb{R} \setminus \Phi^{-1}(S_\varepsilon^b)$ (see Figure 7). Hence, recalling also the second equality in (2.25),

$$\lim_{\varepsilon \rightarrow 0} \mathbb{A}(u^{\varepsilon, \sigma}, S_\varepsilon^b) = \int_{\mathbb{R}} \sqrt{1 + (\tilde{m}_s)^2 + (\tilde{m}_t)^2} ds dt. \quad (2.30)$$

We recall that from (2.21) it follows that

$$\int_{\mathbb{R}} \sqrt{1 + (\tilde{m}_s)^2 + (\tilde{m}_t)^2} ds dt = \mathfrak{A}_{\min} + O(\sigma). \quad (2.31)$$

Hence, employing the same construction used in step 6 in the strips S_ε^c and S_ε^a , and using (2.31) we obtain

$$\lim_{\varepsilon \rightarrow 0} \mathbb{A}(u^{\varepsilon, \sigma}, S_\varepsilon^b \cup S_\varepsilon^c \cup S_\varepsilon^a) = 3\mathfrak{A}_{\min} + O(\sigma). \quad (2.32)$$

Step 7: definition of u^ε on T_ε . We divide T_ε into four closed equilateral triangles $T_\varepsilon^a, T_\varepsilon^b, T_\varepsilon^c$ and T_ε^0 as in Figure 6 (b). We set the value of u^ε on T_ε^0 as the baricenter of α, β, γ , namely

$$u^\varepsilon := 0 \quad \text{in } T_\varepsilon^0. \quad (2.33)$$

We define u^ε on T_ε^b so that:

- (i) the value of u^ε on the bottom vertex of T_ε^b is β ;
- (ii) the value of u^ε on the top side of T_ε^b is the baricenter of α, β, γ (the zero vector);
- (iii) u^ε is affine.

Note that u^ε does not depend on x . We make the similar constructions on T_ε^a and on T_ε^c . We compute

$$\mathcal{A}(u^\varepsilon, T_\varepsilon) = \mathcal{A}(u^\varepsilon, T_\varepsilon^0) + \mathcal{A}(u^\varepsilon, T_\varepsilon^a) + \mathcal{A}(u^\varepsilon, T_\varepsilon^b) + \mathcal{A}(u^\varepsilon, T_\varepsilon^c) = O(\varepsilon^2) + O(\varepsilon),$$

since on T_ε^0 the integrand is 1, and on $T_\varepsilon \setminus T_\varepsilon^0$ the integrand is $O(\varepsilon^{-1})$. Hence

$$\lim_{\varepsilon \rightarrow 0} \mathcal{A}(u^\varepsilon, T_\varepsilon) = 0. \quad (2.34)$$

Finally, let us define u^ε as in (2.8) on $A_\varepsilon \cup B_\varepsilon \cup C_\varepsilon$, as in step 7 on T_ε , and on $S_\varepsilon^b \cup S_\varepsilon^c \cup S_\varepsilon^a$ let

$$u^\varepsilon := u^{\varepsilon, \sigma_\varepsilon},$$

where $\{\sigma_\varepsilon\} \subset (0, +\infty)$ is a sequence such that

$$\lim_{\varepsilon \rightarrow 0^+} \sigma_\varepsilon = 0. \quad (2.35)$$

From (2.8), (2.26), (2.33), and (i)-(iii) it follows that

$$\{u^\varepsilon\} \subset \text{Lip}(B_r; \mathbb{R}^2), \quad \lim_{\varepsilon \rightarrow 0} \int_{B_r} |u^\varepsilon - u| \, dx dy = 0. \quad (2.36)$$

Moreover

$$\mathcal{A}(u^\varepsilon, B_r) = \mathcal{A}(u^\varepsilon, (A_\varepsilon \cup B_\varepsilon \cup C_\varepsilon) \cap B_r) + \mathcal{A}(u^\varepsilon, S_\varepsilon^b) + \mathcal{A}(u^\varepsilon, S_\varepsilon^c) + \mathcal{A}(u^\varepsilon, S_\varepsilon^a) + \mathcal{A}(u^\varepsilon, T_\varepsilon). \quad (2.37)$$

Then (1.11) follows from (2.36), step 1, (2.37), (2.9), (2.32), (2.35) and (2.34). \square

Remark 2.2. Theorem 1.1 is still valid with a similar statement, and easy modifications in the proof, under less restrictive hypotheses on u (however always under the assumption $n = k = 2$). In the following two specific cases (of increasing generality):

- $u : \mathbb{R}^2 \rightarrow \{\alpha, \beta, \gamma\}$ is a function jumping only along three different radii of B_r meeting at the origin with arbitrary angles;
- $u : \mathbb{R}^2 \rightarrow \{\alpha, \beta, \gamma\}$ is a function jumping only along three curves of class \mathcal{C}^1 meeting only at the origin, each curve being without self-intersections and connecting the origin with ∂B_r , and provided the three curves have equal length,

we expect the theorem to be true and, *in addition*, the corresponding sequence $\{u^\varepsilon\}$ to be optimal, namely the value $\lim_{\varepsilon \rightarrow 0^+} \mathcal{A}(u^\varepsilon, B_r)$ to be equal to the $L^1(B_r; \mathbb{R}^2)$ -lower semicontinuous envelope of $\mathbb{A}(\cdot, B_r)$ evaluated at u .

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