

STABILITY OF BOUNDARY CONDITIONS FOR THE SADOWSKY FUNCTIONAL

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ABSTRACT. It has been proved by the authors that the (extended) Sadowsky functional can be deduced as the Γ -limit of the Kirchhoff energy on a rectangular strip, as the width of the strip tends to 0. In this paper we show that this Γ -convergence result is stable when affine boundary conditions are prescribed on the short sides of the strip. These boundary conditions include those corresponding to a Möbius band. This provides a rigorous justification of the original formal argument by Sadowsky about determining the equilibrium shape of a free-standing Möbius strip.

1. INTRODUCTION

The derivation of variational models for thin structures is one of the most fruitful applications of Γ -convergence in continuum mechanics. A typical example is a variational model for a two-dimensional structure deduced as Γ -limit of energies for bodies occupying cylindrical regions whose heights tend to zero. Quite often, such variational derivations focus on the asymptotic behavior of the bulk energy while partially or completely neglecting the contribution of external forces and/or boundary conditions. Usually, external forces, such as, e.g., dead loads, can be easily included in the analysis afterwards by using the stability of Γ -convergence with respect to continuous additive perturbations. On the other hand, boundary conditions may affect the Γ -limit of the bulk energy and, even if not so, they must be taken into account in the construction of the so-called recovery sequence. In some cases boundary conditions may be an essential feature of the structure under study: consider, for instance, a Möbius band, where the two ends of the strip are glued together after a half-twist.

In this paper we show that the Γ -convergence result leading to the derivation of the Sadowsky functional (see [14, 15]) is stable with respect to an appropriate set of boundary conditions, that include those corresponding to a Möbius band. This provides a rigorous justification of the original formal argument by Sadowsky [21, 31] aimed at determining the equilibrium shape of a free-standing Möbius strip. Moreover, as a consequence of the Euler-Lagrange equations for the limit problem, we deduce some geometric properties of Möbius strips at equilibrium.

In the last years the Sadowsky functional and, more in general, the theory of elastic ribbons have received a great deal of attention. Part of the reappraisal on the subject is due to the work [32] by Starostin and van der Heijden on elastic Möbius strips. Since then the literature has been increasing in several directions, as partially documented in the book [13] edited by Fosdick and Fried. Indeed, the mechanics of Möbius elastic ribbons has been studied, e.g., in [6, 28, 33]. The morphological stability of ribbons has been considered in [4, 9, 27, 28] and their helicoidal-to-spiral transition in [2, 29, 34, 35]. The relation between rods and ribbons, as well as the

derivation of viscoelastic models, has been investigated in [3, 7, 11, 19], while models of ribbons with moderate displacements have been deduced in [10, 16, 18]. Finally, for numerics and experiments on ribbons we refer to [5, 8, 25, 26, 36, 37, 38].

As a simple experiment shows, a Möbius strip made of an unstretchable material, when left to itself, adopts a sort of universal shape, independent of the material the band is made of (if sufficiently stiff to ignore gravity). To the best of our knowledge, Sadowsky was the first to address the problem (still open to this date) of determining the equilibrium shape of a free-standing unstretchable Möbius strip. In 1930 he formulated the problem variationally in terms of an energy (now known as the Sadowsky functional) obtained as a formal limit of the Kirchhoff energy for a Möbius band of vanishing width (see [20, 21, 31]).

This derivation was made precise much more recently in [14, 15], using the language of Γ -convergence, for an open narrow ribbon, that is, without including any kind of boundary conditions or topological constraints. More precisely, let $S_\varepsilon = (0, \ell) \times (-\varepsilon/2, \varepsilon/2)$ be the reference configuration of an inextensible isotropic strip, where the width ε is much smaller than the length ℓ . The Kirchhoff energy of the strip is

$$E_\varepsilon(u) = \frac{1}{\varepsilon} \int_{S_\varepsilon} |\Pi_u(x)|^2 dx,$$

where $u : S_\varepsilon \rightarrow \mathbb{R}^3$ is a $W^{2,2}$ -isometry and Π_u is the second fundamental form of the surface $u(S_\varepsilon)$. Note that by Gauss's Theorema Egregium $\det \Pi_u = 0$. In [14, 15] it has been proved that the Γ -limit of E_ε , as $\varepsilon \rightarrow 0$, provides an extension of the classical Sadowsky functional and is given by

$$E(y, d_1, d_2, d_3) = \int_0^\ell \bar{Q}(d'_1 \cdot d_3, d'_2 \cdot d_3) ds \quad (1.1)$$

where the unit vectors d_i are such that $r^T := (d_1 | d_2 | d_3) \in W^{1,2}((0, \ell); SO(3))$ and satisfy the nonholonomic constraint

$$d'_1 \cdot d_2 = 0, \quad (1.2)$$

while the deformation y of the centerline of the strip is related to the system of directors by the equation

$$y' = d_1. \quad (1.3)$$

In other words, the director d_1 is the tangent vector to the deformed centerline. The director d_2 describes the “transversal orientation” of the deformed strip, hence the constraint (1.2) means that the strip cannot bend within its own plane. The director d_3 represents the normal vector to the deformed strip. The energy depends on the two quantities $d'_1 \cdot d_3$ and $d'_2 \cdot d_3$, that represent the bending strain and the twisting strain of the strip, respectively. The limiting energy density \bar{Q} is given by

$$\bar{Q}(\mu, \tau) = \begin{cases} \frac{(\mu^2 + \tau^2)^2}{\mu^2} & \text{if } |\mu| > |\tau|, \\ 4\tau^2 & \text{if } |\mu| \leq |\tau|, \end{cases} \quad (1.4)$$

hence it is a convex function that coincides with the classical Sadowsky energy density for $|\mu| > |\tau|$.

The Γ -convergence result in [14, 15] is supplemented by suitable compactness properties, that guarantee convergence of minimizers of the Kirchhoff energy to minimizers of the (extended) Sadowsky functional (1.1).

As already mentioned, these results were proved without any kind of boundary conditions or topological constraints. Therefore, the question remained open of whether the Sadowsky functional correctly describes the behavior of narrow Möbius bands or of other closed narrow ribbons.

In this paper we give a positive answer to this question by considering prescribed affine boundary conditions on the short sides $\{0\} \times (-\varepsilon/2, \varepsilon/2)$ and $\{\ell\} \times (-\varepsilon/2, \varepsilon/2)$. We prove (see Theorem 2.4) that, as $\varepsilon \rightarrow 0$, the Γ -limit of the Kirchhoff energy is still given by the Sadowsky functional (1.1), where the frame (y, r) satisfies, in addition to (1.2)–(1.3), a set of boundary conditions that we now describe.

By translating and rotating the coordinate system, we may assume, with no loss of generality, that the side $\{0\} \times (-\varepsilon/2, \varepsilon/2)$ is clamped and that, on this short side, the ribbon is tangent to the undeformed centerline of the ribbon. In the limit problem this boundary condition leads to

$$y(0) = 0 \quad \text{and} \quad r(0) = I, \quad (1.5)$$

where I is the identity matrix. Similarly, the boundary condition on the side $\{\ell\} \times (-\varepsilon/2, \varepsilon/2)$ leads to

$$y(\ell) = \bar{y} \quad \text{and} \quad r(\ell) = \bar{r}, \quad (1.6)$$

where $\bar{y} \in \mathbb{R}^3$ is the ending point of the deformed centerline and $\bar{r} \in SO(3)$ is the orientation in the deformed configuration of the short side at ℓ .

We note that these boundary conditions can model both open and closed ribbons. In particular, a Möbius band satisfies the above boundary conditions with $\bar{y} = 0$ and $\bar{r} = (e_1 | -e_2 | -e_3)$. However, we point out that the geometrical boundary conditions (1.5)–(1.6) are insensitive to the number of full turns of the director d_2 along the centerline, thus a closed ribbon with an odd number of half-twists satisfies the same boundary conditions as a Möbius band. Alternatively, one may prescribe both the boundary conditions and the linking number of the strip (see, e.g., [1]). This will be addressed in future work.

In the last part of the paper we derive the Euler-Lagrange equations for the functional (1.1) with boundary conditions (1.5)–(1.6) and we discuss some of their consequences. In particular, we prove (see Theorem 6.3) that, under some regularity assumptions, the centerline of a developable Möbius band at equilibrium cannot be a planar curve.

We close this introduction by discussing the main mathematical difficulties in the proof of our main result (Theorem 2.4). Whereas compactness and the liminf inequality can be proved by relying on the results of [14, 15], the construction of the recovery sequence requires a highly non trivial modification. The first step in the construction consists in showing that one can assume the infinitesimally narrow ribbon to be “well-behaved”. To do so, at the level of the infinitesimal ribbon we perform several approximations in which we iteratively approximate and correct the approximating sequences on ever finer scales. In particular, it is essential to correct the boundary conditions at the end of each approximation step. This procedure is not trivial, because the approximation process involves the bending and twisting strains, $d'_1 \cdot d_3$ and $d'_2 \cdot d_3$, while the boundary conditions (1.5)–(1.6) are expressed as final conditions (see (3.1)–(3.2)) for the solution $r : [0, \ell] \rightarrow SO(3)$ of the ODE

system $r' = Ar$ in $(0, \ell)$, with initial condition $r(0) = I$, where

$$A = \begin{pmatrix} 0 & 0 & d'_1 \cdot d_3 \\ 0 & 0 & d'_2 \cdot d_3 \\ -d'_1 \cdot d_3 & -d'_2 \cdot d_3 & 0 \end{pmatrix}. \quad (1.7)$$

Thus, the correction process could spoil other essential properties. For this reason, one part of the modifications aims at making the sequence robust enough to be stable under the corrections. This argument is based on density results for framed curves preserving boundary conditions, proved in [23].

Plan of the paper. In Section 2 we set the problem, we prove compactness for deformations with equibounded energy, and we state the Γ -convergence result. Section 3 contains the approximation results that are key to prove the existence of a recovery sequence in Section 4. In Section 5 we derive the equilibrium equations for the limit boundary value problem and in the last section we focus on regular solutions of this problem in the case of a Möbius band.

Notation. Along the whole paper (e_1, e_2, e_3) and $(\underline{e}_1, \underline{e}_2)$ denote the canonical bases of \mathbb{R}^3 and \mathbb{R}^2 , respectively.

2. SETTING OF THE PROBLEM AND MAIN RESULT

In this section we recall the setting of the problem and state the main result (Theorem 2.4), which proves stability of Γ -convergence with respect to an appropriate set of geometric boundary conditions.

We consider the interval $I = (0, \ell)$ with $\ell > 0$. For $0 < \varepsilon \ll 1$ let $S_\varepsilon = I \times (-\varepsilon/2, \varepsilon/2)$ be the reference configuration of an inextensible elastic narrow strip. We assume the energy density of the strip, $Q : \mathbb{R}_{\text{sym}}^{2 \times 2} \rightarrow [0, +\infty)$, to be an isotropic and quadratic function of the second fundamental form. The Kirchhoff energy of the strip is

$$E_\varepsilon(u) = \frac{1}{\varepsilon} \int_{S_\varepsilon} Q(\Pi_u(x)) dx,$$

where the second fundamental form of u , $\Pi_u : S_\varepsilon \rightarrow \mathbb{R}_{\text{sym}}^{2 \times 2}$, is defined by

$$(\Pi_u)_{ij} = n_u \cdot \partial_i \partial_j u,$$

and

$$n_u = \partial_1 u \times \partial_2 u$$

is the unit normal to $u(S_\varepsilon)$. Due to the inextensibility constraint deformations $u : S_\varepsilon \rightarrow \mathbb{R}^3$ satisfy the relations $\partial_i u \cdot \partial_j u = \delta_{ij}$, where δ_{ij} is the Kronecker delta. We denote the space of $W^{2,2}$ -isometries of S_ε by

$$W_{\text{iso}}^{2,2}(S_\varepsilon; \mathbb{R}^3) := \{u \in W^{2,2}(S_\varepsilon; \mathbb{R}^3) : \partial_i u \cdot \partial_j u = \delta_{ij} \text{ a.e. in } S_\varepsilon\}.$$

Since the energy density Q is isotropic, it depends on Π_u only through the trace and the determinant of Π_u . On the other hand, the inextensibility constraint and Gauss's Theorema Egregium imply that the Gaussian curvature is equal to zero, that is, $\det \Pi_u = 0$. Thus, the energy may be expressed in terms of $\text{tr} \Pi_u$ only. Equivalently, since $|\Pi|^2 + 2 \det \Pi = (\text{tr} \Pi)^2$ for every $\Pi \in \mathbb{R}_{\text{sym}}^{2 \times 2}$, we can write the

energy in terms of the norm of Π_u . With these considerations in mind, up to a multiplicative constant, the energy can be written as

$$E_\varepsilon(u) = \frac{1}{\varepsilon} \int_{S_\varepsilon} |\Pi_u(x)|^2 dx.$$

We shall require deformations u to satisfy “clamped” boundary conditions at $x_1 = 0$ and $x_1 = \ell$. By composing deformations with a rigid motion, we may assume, without loss of generality, that

$$u(0, x_2) = x_2, \quad \partial_1 u(0, x_2) = e_1 \quad \text{for } x_2 \in (-\varepsilon/2, \varepsilon/2).$$

To set the boundary conditions at $x_1 = \ell$, we fix $\bar{y} \in \mathbb{R}^3$ and $\bar{r}^T = (\bar{d}_1 | \bar{d}_2 | \bar{d}_3) \in SO(3)$ and require that

$$u(\ell, 0) = \bar{y}, \quad \partial_i u(\ell, x_2) = \bar{d}_i \quad \text{for } x_2 \in (-\varepsilon/2, \varepsilon/2),$$

for $i = 1, 2$. We note that the imposed boundary conditions keep straight the sections at $x_1 = 0$ and $x_1 = \ell$, or, in other words, the image of $u(0, \cdot)$ and $u(\ell, \cdot)$ are straight lines. Moreover, the inextensibility constraint implies that $|\bar{y}| \leq \ell$: indeed,

$$|\bar{y}| = |u(\ell, 0) - u(0, 0)| \leq \int_0^\ell |\partial_1 u(x_1, 0)| dx_1 = \ell.$$

We thus consider as domain of the energy E_ε the admissible class

$$\mathcal{A}_\varepsilon = \left\{ u \in W_{\text{iso}}^{2,2}(S_\varepsilon; \mathbb{R}^3) : u(0, x_2) = x_2, \partial_1 u(0, x_2) = e_1, \right. \\ \left. u(\ell, 0) = \bar{y}, \partial_i u(\ell, x_2) = \bar{d}_i, i = 1, 2 \right\},$$

where all equalities are in the sense of traces.

If $|\bar{y}| = \ell$, the midline $I \times \{0\}$ of the strip cannot deform and the cross sections cannot twist around the midline, because otherwise the “fibers” $I \times \{\pm\varepsilon\}$ would get shorter or longer. In other words, if $|\bar{y}| = \ell$, the whole strip cannot deform. This is proved in the next lemma.

Lemma 2.1. *Let $\varepsilon > 0$ and let $u \in W_{\text{iso}}^{2,2}(S_\varepsilon; \mathbb{R}^3)$ be such that $u(0) = 0$, $\nabla u = (e_1 | e_2)$ on $\{0\} \times (-\varepsilon/2, \varepsilon/2)$, $u(\ell, 0) = \ell e_1$, and ∇u constant on $\{\ell\} \times (-\varepsilon/2, \varepsilon/2)$. Then $u(x) = x_1 e_1 + x_2 e_2$ on S_ε .*

Proof. Since $|u(0, 0) - u(\ell, 0)| = \ell = |(0, 0) - (\ell, 0)|$, by [12, Lemma 2.4] the gradient ∇u is constant on the line segment $L = I \times \{0\}$. Hence, $\nabla u = (e_1 | e_2)$ on L , because L intersects $\{0\} \times (-\varepsilon/2, \varepsilon/2)$ and $\nabla u = (e_1 | e_2)$ on this set. This implies, in particular, that $\nabla u = (e_1 | e_2)$ on $\{\ell\} \times (-\varepsilon/2, \varepsilon/2)$.

Assume by contradiction that there exists $\hat{x} \in S_\varepsilon$ such that $\nabla u(\hat{x}) \neq (e_1 | e_2)$. Let $C_{\nabla u}$ be the set of points x for which ∇u is constant in a neighborhood of x . If $\hat{x} \in S_\varepsilon \setminus C_{\nabla u}$, then there exists a unique line segment $[\hat{x}] \subset S_\varepsilon$ with both endpoints on the boundary ∂S_ε such that the deformation gradient ∇u is constant on $[\hat{x}]$, see [12]. Since $[\hat{x}]$ must intersect L or $\{0\} \times (-\varepsilon/2, \varepsilon/2)$ or $\{\ell\} \times (-\varepsilon/2, \varepsilon/2)$, we obtain a contradiction. If $\hat{x} \in C_{\nabla u}$, then the boundary of the connected component of $C_{\nabla u}$ to which \hat{x} belongs, contains at least a segment that intersects L or $\{0\} \times (-\varepsilon/2, \varepsilon/2)$ or $\{\ell\} \times (-\varepsilon/2, \varepsilon/2)$, providing again a contradiction. \square

By Lemma 2.1 and the above considerations we have that: if $|\bar{y}| > \ell$, then $\mathcal{A}_\varepsilon = \emptyset$; if $|\bar{y}| = \ell$, then \mathcal{A}_ε is either the empty set or reduces to the single map $u_0(x) = x_1 e_1 + x_2 e_2$, according to (\bar{d}_1, \bar{d}_2) being different or equal to (e_1, e_2) . Hence, the only

non trivial case is $|\bar{y}| < \ell$ (note that, if $|\bar{y}| < \ell$, then $\mathcal{A}_\varepsilon \neq \emptyset$ for $\varepsilon > 0$ small enough by Remark 2.5 below).

Hereafter, we shall always assume that $|\bar{y}| < \ell$.

2.1. Change of variables. We now change variables in order to rewrite the energy on the fixed domain

$$S = I \times \left(-\frac{1}{2}, \frac{1}{2}\right).$$

We introduce the rescaled version $y : S \rightarrow \mathbb{R}^3$ of u by setting

$$y(x_1, x_2) = u(x_1, \varepsilon x_2).$$

We have that

$$\nabla_\varepsilon y(x) = \nabla u(x_1, \varepsilon x_2),$$

where the scaled gradient is defined by

$$\nabla_\varepsilon \cdot = (\partial_1 \cdot \mid \varepsilon^{-1} \partial_2 \cdot).$$

In particular, if $u \in W_{\text{iso}}^{2,2}(S_\varepsilon; \mathbb{R}^3)$, the map y belongs to the space of *scaled isometries*

$$W_{\text{iso},\varepsilon}^{2,2}(S; \mathbb{R}^3) := \{y \in W^{2,2}(S; \mathbb{R}^3) : |\partial_1 y| = \varepsilon^{-1} |\partial_2 y| = 1, \partial_1 y \cdot \varepsilon^{-1} \partial_2 y = 0 \text{ in } S\},$$

and

$$u \in \mathcal{A}_\varepsilon \iff y \in \mathcal{A}_\varepsilon^s,$$

where the admissible class of scaled isometries $\mathcal{A}_\varepsilon^s$ is defined by

$$\begin{aligned} \mathcal{A}_\varepsilon^s = \{y \in W_{\text{iso},\varepsilon}^{2,2}(S; \mathbb{R}^3) : & y(0, 0) = 0, \partial_1 y(0, x_2) = e_1, \partial_2 y(0, x_2) = \varepsilon e_2, \\ & y(\ell, 0) = \bar{y}, \partial_1 y(\ell, x_2) = \bar{d}_1, \partial_2 y(\ell, x_2) = \varepsilon \bar{d}_2\}. \end{aligned} \quad (2.1)$$

We define the scaled unit normal to $y(S)$ by

$$n_{y,\varepsilon} = \partial_1 y \times \varepsilon^{-1} \partial_2 y$$

and the scaled second fundamental form of $y(S)$ by

$$\Pi_{y,\varepsilon} = \begin{pmatrix} n_{y,\varepsilon} \cdot \partial_1 \partial_1 y & \varepsilon^{-1} n_{y,\varepsilon} \cdot \partial_1 \partial_2 y \\ \varepsilon^{-1} n_{y,\varepsilon} \cdot \partial_1 \partial_2 y & \varepsilon^{-2} n_{y,\varepsilon} \cdot \partial_2 \partial_2 y \end{pmatrix},$$

so that $\Pi_u(x_1, \varepsilon x_2) = \Pi_{y,\varepsilon}(x_1, x_2)$. Finally, we denote the scaled energy by

$$J_\varepsilon(y) = \int_S |\Pi_{y,\varepsilon}(x)|^2 dx \quad (2.2)$$

and we have $J_\varepsilon(y) = E_\varepsilon(u)$.

2.2. Statement of the main results. As ε approaches zero, the convergence of the admissible deformations leads naturally, as shown in Lemma 2.3 below, to the admissible class

$$\begin{aligned} \mathcal{A}_0 = \{(y, r) \in W^{2,2}(I; \mathbb{R}^3) \times W^{1,2}(I; SO(3)) : & r^T = (d_1 | d_2 | d_3), \\ & y' = d_1, d_1' \cdot d_2 = 0, y(0) = 0, r(0) = I, y(\ell) = \bar{y}, r(\ell) = \bar{r}\}. \end{aligned} \quad (2.3)$$

Proposition 2.2. *Assume $|\bar{y}| < \ell$. Then $\mathcal{A}_0 \neq \emptyset$.*

Proof. The proposition follows from [24, Proposition 3.1]. We provide here an explicit construction for the reader's convenience.

Given \bar{y} and \bar{r} , with $|\bar{y}| < \ell$, we shall construct a pair $(y, r) \in \mathcal{A}_0$. To satisfy easily the constraint $d'_1 \cdot d_2 = 0$, we shall build y by "glueing" together straight curves and arcs of circles.

We start by giving two definitions.

The pair $(y, r) : [\ell^i, \ell^e] \rightarrow \mathbb{R}^3 \times SO(3)$, where $\ell^i < \ell^e$, with $y(t) = te_1 + \hat{y}$, $d_1(t) = e_1$, $d_2(t) = \cos(\alpha t + \beta)e_2 + \sin(\alpha t + \beta)e_3$, for some $\hat{y} \in \mathbb{R}^3$, $\alpha, \beta \in \mathbb{R}$, and $d_3(t) = d_1(t) \wedge d_2(t)$ shall be called a *straight frame* starting at $y(\ell^i)$, parallel to e_1 , and that rotates $d_2(\ell^i)$ to $d_2(\ell^e)$. Given three generic unit vectors d, b^i, b^e such that $d \cdot b^i = d \cdot b^e = 0$, and a point x , we can similarly define a straight frame, starting at x , parallel to d , and that rotates b^i to b^e . Trivially, the straight frames satisfy the conditions $y' = d_1$ and $d'_1 \cdot d_2 = 0$.

The pair $(y, r) : [\ell^i, \ell^e] \rightarrow \mathbb{R}^3 \times SO(3)$, where $\ell^i < \ell^e$, with $y(t) = \sigma(\cos(\alpha + t/\sigma)e_2 + \sin(\alpha + t/\sigma)e_3) + \hat{y}$, for some $\hat{y} \in \mathbb{R}^3$, $\alpha, \sigma \in \mathbb{R}$, $\sigma \neq 0$, $d_1(t) = y'$, $d_2(t) = e_1$, and $d_3(t) = d_1(t) \wedge d_2(t)$ shall be called a *circular frame*, starting at $y(\ell^i)$, orthogonal to e_1 , and that rotates $d_1(\ell^i)$ to $d_1(\ell^e)$. If convenient, instead of specifying the starting point $y(\ell^i)$ we may specify the ending point $y(\ell^e)$. Given three generic unit vectors b, d^i, d^e such that $b \cdot d^i = b \cdot d^e = 0$, and a point x , we can similarly define a circular frame, starting at x , orthogonal to b , and that rotates d^i to d^e . Clearly, the circular frames also satisfy the conditions $y' = d_1$ and $d'_1 \cdot d_2 = -d_1 \cdot d'_2 = 0$.

It is also convenient to denote by S_{PQ} the segment whose endpoints are P and Q .

Given these definitions we prove the proposition by first assuming $\bar{y} \neq 0$. Let

$$\delta := \frac{1}{12} \min\{|\bar{y}|, \ell - |\bar{y}|\}.$$

We define (y, r) in several steps.

- (1) If e_1 is not orthogonal to the segment $S_{0\bar{y}}$, let $(y^0, r^0) : [0, \delta] \rightarrow \mathbb{R}^3 \times SO(3)$ be a circular frame starting at 0, orthogonal to e_2 , and that rotates e_1 to a unit vector $\bar{d}_1^0 := (y^0)'(\delta)$ orthogonal to $S_{0\bar{y}}$. We set $P^0 := y^0(\delta)$ and $\bar{d}_2^0 := e_2$.
- (2) If e_1 is orthogonal to the segment $S_{0\bar{y}}$, let $(y^0, r^0) : \{0\} \rightarrow \mathbb{R}^3 \times SO(3)$, with $y^0(0) = 0$, $r^0(0) = I$, and let $P^0 := 0$, $\bar{d}_1^0 := e_1$, and $\bar{d}_2^0 := e_2$.
- (3) If $\bar{d}_1 \neq -\bar{d}_1^0$, let $(y^\ell, r^\ell) : [\ell - \delta, \ell] \rightarrow \mathbb{R}^3 \times SO(3)$ be a map such that $d_1^\ell(\ell - \delta) = -\bar{d}_1^0$, $d_2^\ell(\ell - \delta) = \bar{d}_2^0$, $y^\ell(\ell) = \bar{y}$, and $r^\ell(\ell) = \bar{r}$. This can be achieved in the following way: let a be a unit vector such that $a \cdot \bar{d}_1 = a \cdot \bar{d}_1^0 = 0$; we glue together a straight frame parallel to $-\bar{d}_1^0$ that rotates \bar{d}_2^0 to a , with a circular frame orthogonal to a that rotates $-\bar{d}_1^0$ to \bar{d}_1 , and finally with a straight frame ending at \bar{y} , parallel to \bar{d}_1 and that rotates a to \bar{d}_2 . We set $P^\ell := y^\ell(\ell - \delta)$.
- (4) If $\bar{d}_1 = -\bar{d}_1^0$, let $(y^\ell, r^\ell) : \{\ell\} \rightarrow \mathbb{R}^3 \times SO(3)$, with $y^\ell(\ell) = \bar{y}$, $r^\ell(\ell) = \bar{r}$, and let $P^\ell := \bar{y}$ and $\bar{d}_2^\ell := \bar{d}_2$.
- (5) Let

$$s^0 := \{P^0 + t\bar{d}_1^0 : t \in (0, +\infty)\} \quad \text{and} \quad s^\ell := \{P^\ell + t\bar{d}_1^0 : t \in (0, +\infty)\},$$

and note that the distance between s^0 and s^ℓ is larger than 10δ and smaller than $\ell - 10\delta$. Let now $Q^0 \in s^0$ and $Q^\ell \in s^\ell$ be such that the segment $S_{Q^0Q^\ell}$

is orthogonal to \bar{d}_1^0 . The length of the curve obtained by glueing together the curve y^0 , the segment $S_{P^0Q^0}$, the segment $S_{Q^0Q^\ell}$, the segment $S_{Q^\ell P^\ell}$, and the curve y^ℓ , has a minimum value that is at most $\ell - 6\delta$. Therefore, we can choose Q^0 and Q^ℓ such that the total length of this curve is exactly equal to $\ell + (4 - \pi)\delta$. We denote by d^p the unit vector parallel to $S_{Q^0Q^\ell}$ pointing towards Q^ℓ .

(6) We are now in a position to define (y, r) . Let b be a unit vector orthogonal to the plane containing the points P^0 , Q^0 , and Q^ℓ (note that P^ℓ belongs to this plane, too). We consider the following curves:

- i) Let η^0 be the distance between P^0 and Q^0 (note that $\eta^0 > 3\delta$) and let $\tilde{\delta}^i := \delta$ if case (1) holds, and $\tilde{\delta}^i := 0$ if case (2) holds. Let $(y^i, r^i) : [\tilde{\delta}^i, \tilde{\delta}^i + \eta^0 - \delta] \rightarrow \mathbb{R}^3 \times SO(3)$ be a straight frame starting at P^0 , parallel to \bar{d}_1^0 , and that rotates \bar{d}_2^0 to b .
- ii) Let η^ℓ be the distance between P^ℓ and Q^ℓ (note that $\eta^\ell > \delta$) and let $\tilde{\delta}^e := \delta$ if case (3) holds, and $\tilde{\delta}^e := 0$ if case (4) holds. Let $(y^e, r^e) : [\ell - \tilde{\delta}^e - \eta^\ell + \delta, \ell - \tilde{\delta}^e] \rightarrow \mathbb{R}^3 \times SO(3)$ be a straight frame ending at P^ℓ , parallel to $-\bar{d}_1^0$, and that rotates b to \bar{d}_2^ℓ in case (3) and to \bar{d}_2^ℓ in case (4).
- iii) Let $(y^{ci}, r^{ci}) : [\tilde{\delta}^i + \eta^0 - \delta, \tilde{\delta}^i + \eta^0 - \delta + \pi\delta/2] \rightarrow \mathbb{R}^3 \times SO(3)$ be a circular frame starting at $y^i(\tilde{\delta}^i + \eta^0 - \delta)$, orthogonal to b , and that rotates \bar{d}_1^0 to d^p .
- iv) Let $(y^{ce}, r^{ce}) : [\ell - \tilde{\delta}^e - \eta^\ell + \delta - \pi\delta/2, \ell - \tilde{\delta}^e - \eta^\ell + \delta] \rightarrow \mathbb{R}^3 \times SO(3)$ be a circular frame ending at $y^e(\ell - \tilde{\delta}^e - \eta^\ell + \delta)$, orthogonal to b , and that rotates d^p to $-\bar{d}_1^0$.
- v) Let $(y^p, r^p) : [\tilde{\delta}^i + \eta^0 - \delta + \pi\delta/2, \ell - \tilde{\delta}^e - \eta^\ell + \delta - \pi\delta/2] \rightarrow \mathbb{R}^3 \times SO(3)$ be a straight frame, starting at $y^{ci}(\tilde{\delta}^i + \eta^0 - \delta + \pi\delta/2)$, with $(r^p(t))^T = (d^p | b | d^p \wedge b)$.

We define $(y, r) : [0, \ell] \rightarrow \mathbb{R}^3 \times SO(3)$ as the function equal to (y^0, r^0) , (y^i, r^i) , (y^{ci}, r^{ci}) , (y^p, r^p) , (y^{ce}, r^{ce}) , (y^e, r^e) , and (y^ℓ, r^ℓ) on the respective domains. It is easy to check that y and r have the desired regularity and satisfy all the conditions in the definition on \mathcal{A}_0 .

If $\bar{y} = 0$, one can consider first the map $(y^a, r^a) : [3\ell/4, \ell] \rightarrow \mathbb{R}^3 \times SO(3)$ defined by $(y^a(t), r^a(t)) = ((t - \ell)\bar{d}_1, \bar{r})$ and then repeat the previous argument with $3\ell/4$ in place of ℓ , and with $y^a(3\ell/4) = -\ell/4\bar{d}_1$ in place of \bar{y} . \square

The next lemma shows that the compactness result [14, Lemma 2.1] remains true under our set of boundary conditions.

Lemma 2.3. *Let (y_ε) be a sequence of scaled isometries such that $y_\varepsilon \in \mathcal{A}_\varepsilon^s$ for every $\varepsilon > 0$ and*

$$\sup_\varepsilon J_\varepsilon(y_\varepsilon) < \infty.$$

Then, up to a subsequence, there exists $(y, r) \in \mathcal{A}_0$ such that

$$y_\varepsilon \rightharpoonup y \text{ in } W^{2,2}(S; \mathbb{R}^3), \quad \nabla_\varepsilon y_\varepsilon \rightharpoonup (d_1 | d_2) \text{ in } W^{1,2}(S; \mathbb{R}^{3 \times 2}), \quad (2.4)$$

and

$$\Pi_{y_\varepsilon, \varepsilon} \rightharpoonup \begin{pmatrix} d'_1 \cdot d_3 & d'_2 \cdot d_3 \\ d'_2 \cdot d_3 & \gamma \end{pmatrix} \text{ in } L^2(S; \mathbb{R}_{\text{sym}}^{2 \times 2})$$

with $\gamma \in L^2(S)$.

Proof of Lemma 2.3. The proof is an easy adaptation of that of [14, Lemma 2.1] with slight modifications due to the presence of boundary conditions. In particular, estimates (3.2) in [14] imply that the sequence (y_ε) is uniformly bounded in $W^{2,2}(S; \mathbb{R}^3)$ (without any additive constant). In addition, the boundary conditions for y and r are satisfied owing to (2.4), the continuity of traces, and the compact embedding of $W^{2,2}(S; \mathbb{R}^3)$ in $C(\bar{S})$ (in fact, in $C^{0,\lambda}(\bar{S})$ for every $\lambda \in (0, 1)$), which implies uniform convergence on \bar{S} of weakly converging sequences in $W^{2,2}(S; \mathbb{R}^3)$. More precisely, the conditions $y(0) = 0$ and $y(\ell) = \bar{y}$ follow from passing to the limit in $y_\varepsilon(0, 0) = 0$ and $y_\varepsilon(\ell, 0) = \bar{y}$, respectively, using that $y_\varepsilon \rightharpoonup y$ in $W^{2,2}(S; \mathbb{R}^3)$, hence uniformly on \bar{S} . The equality $y' = r^T e_1$ is a consequence of the definition of r and (2.4). The condition $y'(0) = e_1$ follows from $\partial_1 y_\varepsilon(0, x_2) = e_1$, the fact that $\partial_1 y_\varepsilon \rightharpoonup y'$ in $W^{1,2}(S; \mathbb{R}^3)$, and the continuity of the trace. Similarly, the condition $d_2(0) = e_2$ follows from $\partial_2 y_\varepsilon(0, x_2) = \varepsilon e_2$, the fact that $\frac{\partial_2 y_\varepsilon}{\varepsilon} \rightharpoonup d_2$ in $W^{1,2}(S; \mathbb{R}^3)$, and the continuity of the trace. This implies that $d_3(0) = d_1(0) \times d_2(0) = e_3$, hence $r(0) = I$. Analogously, one deduces that $r(\ell) = \bar{r}$. \square

The following theorem is the main result of the paper. It proves that the functionals J_ε defined in (2.2) with domain $\mathcal{A}_\varepsilon^s$ (see (2.1)) Γ -converge to the functional

$$E(y, d_1, d_2, d_3) := \int_0^\ell \bar{Q}(d'_1 \cdot d_3, d'_2 \cdot d_3) ds$$

with domain \mathcal{A}_0 (see (2.3)), where \bar{Q} defined in (1.4).

Theorem 2.4. *As $\varepsilon \rightarrow 0$, the functionals J_ε , with domain $\mathcal{A}_\varepsilon^s$, Γ -converge to the limit functional E , with domain \mathcal{A}_0 , in the following sense:*

- (i) (liminf inequality) *for every $(y, r) \in \mathcal{A}_0$ and every sequence (y_ε) such that $y_\varepsilon \in \mathcal{A}_\varepsilon^s$ for every $\varepsilon > 0$, $y_\varepsilon \rightharpoonup y$ in $W^{2,2}(S; \mathbb{R}^3)$, and $\nabla_\varepsilon y_\varepsilon \rightharpoonup (d_1 | d_2)$ in $W^{1,2}(S; \mathbb{R}^{3 \times 2})$, we have that*

$$\liminf_{\varepsilon \rightarrow 0} J_\varepsilon(y_\varepsilon) \geq E(y, d_1, d_2, d_3);$$

- (ii) (recovery sequence) *for every $(y, r) \in \mathcal{A}_0$ there exists a sequence (y_ε) such that $y_\varepsilon \in \mathcal{A}_\varepsilon^s$ for every $\varepsilon > 0$, $y_\varepsilon \rightharpoonup y$ in $W^{2,2}(S; \mathbb{R}^3)$, $\nabla_\varepsilon y_\varepsilon \rightharpoonup (d_1 | d_2)$ in $W^{1,2}(S; \mathbb{R}^{3 \times 2})$, and*

$$\limsup_{\varepsilon \rightarrow 0} J_\varepsilon(y_\varepsilon) \leq E(y, d_1, d_2, d_3).$$

Remark 2.5. By combining Proposition 2.2 and Theorem 2.4–(ii) we deduce, in particular, that $\mathcal{A}_\varepsilon^s \neq \emptyset$, hence $\mathcal{A}_\varepsilon \neq \emptyset$ for $\varepsilon > 0$ small enough. For the boundary conditions of a Möbius band an explicit construction was provided by Sadowsky in [30], see also [20].

The liminf inequality can be proved exactly as in [14].

The proof of the existence of a recovery sequence is based on the approximation results of the next section and is postponed to Section 4. The strategy proceeds as follows. The limiting energy density \bar{Q} is obtained by relaxing the zero determinant constraint with respect to the weak convergence in L^2 . More precisely, [14, Lemma 3.1] shows that the lower semicontinuous envelope of the functional

$$M \in L^2((0, \ell); \mathbb{R}_{\text{sym}}^{2 \times 2}) \mapsto \begin{cases} \int_0^\ell |M(s)|^2 ds & \text{if } \det M = 0, \\ +\infty & \text{otherwise,} \end{cases}$$

with respect to the weak topology of $L^2((0, \ell); \mathbb{R}_{\text{sym}}^{2 \times 2})$ is given by the functional

$$\mathcal{F}(M) := \int_0^1 (|M|^2 + 2|\det M|) dt \quad (2.5)$$

for $M \in L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$. The density \bar{Q} is then defined by the minimization problem

$$\bar{Q}(\mu, \tau) = \min_{\gamma \in \mathbb{R}} \left\{ |M|^2 + 2|\det M| : M = \begin{pmatrix} \mu & \tau \\ \tau & \gamma \end{pmatrix} \right\}, \quad (2.6)$$

from which equation (1.4) easily follows.

Given a frame $(y, r) \in \mathcal{A}_0$, one can define $\mu := d'_1 \cdot d_3$, $\tau := d'_2 \cdot d_3$, and γ as a solution of the minimization problem (2.6). By the relaxation result there exists a sequence $(M^j) \subset L^2((0, \ell); \mathbb{R}_{\text{sym}}^{2 \times 2})$ with $\det M^j = 0$ for every j , such that $(M^j)_{11} \rightharpoonup \mu$ and $(M^j)_{12} \rightharpoonup \tau$ weakly in $L^2(0, \ell)$, and

$$\int_0^\ell |M^j(s)|^2 ds \rightarrow \int_0^\ell \bar{Q}(\mu, \tau) ds = E(y, d_1, d_2, d_3). \quad (2.7)$$

By approximation one can assume M^j to be smooth and by a diagonal argument it is enough to construct a recovery sequence for M^j for every j . To do so, the first step consists in building a new frame $(y^j, r_j) \in \mathcal{A}_0$ such that $(d_1^j)' \cdot d_3^j = (M^j)_{11}$ and $(d_2^j)' \cdot d_3^j = (M^j)_{12}$. To ensure that (y^j, r_j) still satisfy the boundary conditions at $x_1 = 0$ and $x_1 = \ell$ (and so, it belongs in fact to \mathcal{A}_0), we need a refinement of the relaxation result [14, Lemma 3.1], showing that the sequence (M^j) in (2.7) can be modified in such a way to accommodate the boundary conditions. This is the content of next section.

3. SMOOTH APPROXIMATION OF INFINITESIMAL RIBBONS

We set $\ell = 1$ and $I = (0, 1)$. The letter I will also denote the identity matrix. All results in this section remain true with obvious changes for intervals of arbitrary length $\ell \in (0, \infty)$. We define $\mathfrak{A} \subset \mathbb{R}^{3 \times 3}$ to be the span of $e_1 \otimes e_3 - e_3 \otimes e_1$ and $e_2 \otimes e_3 - e_3 \otimes e_2$. For a given $A \in L^2(I; \mathfrak{A})$ we define $r_A : I \rightarrow SO(3)$ to be the solution of the ODE system

$$r'_A = A r_A \quad \text{in } I$$

with initial condition $r_A(0) = I$. We will call a map $A \in L^2(I; \mathfrak{A})$ *nondegenerate* on a measurable set $J \subset I$ if $J \cap \{A_{13} \neq 0\}$ has positive measure. When the set J is not specified, it is understood to be $J = I$.

For all $A \in L^2(I; \mathfrak{A})$ we define

$$\Gamma_A := \int_0^1 r_A^T(t) e_1 dt. \quad (3.1)$$

We fix some nondegenerate $A^{(0)} \in L^2(I; \mathfrak{A})$ and set $\bar{r} = r_{A^{(0)}}(1)$ and $\bar{\Gamma} = \Gamma_{A^{(0)}}$. A map $A \in L^2(I; \mathfrak{A})$ is said to be *admissible* if it satisfies

$$r_A(1) = \bar{r} \quad \text{and} \quad \Gamma_A = \bar{\Gamma}. \quad (3.2)$$

Note that, if $(y, r) \in \mathcal{A}_0$ (see (2.3)), then $r = r_A$ with A given by (1.7). In particular, A is admissible in the sense of (3.2) with \bar{r} given by the boundary condition at ℓ and $\bar{\Gamma} = \bar{y}$.

For $M \in \mathbb{R}_{\text{sym}}^{2 \times 2}$ we define $A_M \in \mathfrak{A}$ by setting $(A_M)_{13} = M_{11}$ and $(A_M)_{23} = M_{12}$.

Let \mathcal{F} be the functional defined in (2.5). In [14, Lemma 3.1] it has been proved that for every $M \in L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$ there exists a sequence $(M_n) \subset L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$ such that $\det M_n = 0$ for every n , $M_n \rightharpoonup M$ weakly in $L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$, and $\mathcal{F}(M_n) \rightarrow \mathcal{F}(M)$. The main purpose of this section is to prove the following refinement of this result.

Proposition 3.1. *Let $M \in L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$ be such that $M_{11} \neq 0$ on a set of positive measure and A_M is admissible. Then there exist $\lambda_n \in C^\infty(\bar{I})$ and $p_n \in C^\infty(\bar{I}; \mathbb{S}^1)$ such that, setting $M_n := \lambda_n p_n \otimes p_n$, we have*

- (i) $p_n \cdot e_1 > 0$ everywhere on \bar{I} , $p_n = e_1$ near ∂I , and A_{M_n} is admissible for every n ;
- (ii) $M_n \rightharpoonup M$ weakly in $L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$;
- (iii) $\mathcal{F}(M_n) \rightarrow \mathcal{F}(M)$.

The next lemma is the key tool that allows us to correct the boundary conditions at each approximation step.

Lemma 3.2. *Let $A \in L^2(I; \mathfrak{A})$ and assume that there is a set $J \subset I$ of positive measure such that A is nondegenerate on J . Then every L^2 -dense subspace \tilde{E} of*

$$\{\hat{A} \in L^2(I; \mathfrak{A}) : \hat{A} = 0 \text{ a.e. in } I \setminus J\}$$

contains a finite dimensional subspace E such that, whenever $A_n \in L^2(I; \mathfrak{A})$ converge to A weakly in $L^2(I; \mathfrak{A})$, then there exist $\hat{A}_n \in E$ converging to zero in E and such that

$$r_{A_n + \hat{A}_n}(1) = r_A(1) \quad \text{and} \quad \Gamma_{A_n + \hat{A}_n} = \Gamma_A$$

for every n large enough.

Proof. By [23, Proposition 3.3], if A is nondegenerate on J , then it is also nondegenerate in the sense of [23, Definition 3.1]. Hence, the lemma follows directly from [23, Theorem 3.2]. \square

Remark 3.3. If $\tilde{E} \subset L^\infty(I; \mathfrak{A})$, the sequence \hat{A}_n provided by the lemma converges to zero uniformly, since E is finite dimensional and all norms are topologically equivalent in finite dimension. We shall use this remark several times in the following.

We now prove several approximation results for nondegenerate and admissible functions in $L^2(I; \mathfrak{A})$, that preserve admissibility.

Lemma 3.4. *Let $A \in L^2(I; \mathfrak{A})$ be nondegenerate and admissible. Then there exist admissible $A^{(n)} \in L^2(I; \mathfrak{A})$ such that $A^{(n)} \rightarrow A$ strongly in $L^2(I; \mathfrak{A})$ and $A_{13}^{(n)} A_{23}^{(n)} \neq 0$ on a set of positive measure, independent of n .*

Proof. We may assume that $A_{13} A_{23} = 0$ almost everywhere, since otherwise there is nothing to prove. Since A is nondegenerate, there exist two disjoint sets J_1, J_2 of positive measure on which A_{13} does not vanish. Let $\tilde{A}^{(n)} : I \rightarrow \mathfrak{A}$ be equal to A except on J_2 where we set $\tilde{A}_{23}^{(n)} = \frac{1}{n}$. Since $A_{23} = 0$ on J_2 , we see that $\tilde{A}^{(n)}$ converges to A strongly in $L^2(I; \mathfrak{A})$.

Let \tilde{E} be the set of maps in $L^\infty(I; \mathfrak{A})$ that vanish a.e. on $I \setminus J_1$. By Lemma 3.2 there exist $\hat{A}_n \in \tilde{E}$ converging to zero uniformly and such that $A^{(n)} := \tilde{A}^{(n)} + \hat{A}_n^{(n)}$ is admissible. By construction we have $A_{13}^{(n)} A_{23}^{(n)} = \frac{1}{n} A_{13} \neq 0$ on J_2 , and $A^{(n)} \rightarrow A$ strongly in $L^2(I; \mathfrak{A})$. \square

For $c > 0$ we set

$$\mathfrak{A}_c := \{A \in \mathfrak{A} : |A_{13}| \geq c \text{ and } |A_{23}| \geq c\}.$$

Lemma 3.5. *Let $A \in L^2(I; \mathfrak{A})$ be nondegenerate and admissible. Then there exist admissible $A^{(n)} \in L^2(I; \mathfrak{A}_{1/n})$ such that $A^{(n)} \rightarrow A$ strongly in $L^2(I; \mathfrak{A})$.*

Proof. In view of Lemma 3.4 we may assume, without loss of generality, that $A_{13}A_{23}$ differs from zero on a set J_∞ of positive measure. For $k \in \mathbb{N}$ define

$$J_k := \left\{ t \in I : |A_{13}(t)| \geq \frac{1}{k} \text{ and } |A_{23}(t)| \geq \frac{1}{k} \right\}.$$

Clearly $J_k \uparrow J_\infty$, as $k \rightarrow +\infty$. Since J_∞ has positive measure, there exists $K \in \mathbb{N}$ such that J_K has positive measure. By definition, A is nondegenerate on J_K . Denote by \tilde{E} the set of maps in $L^\infty(I; \mathfrak{A})$ that vanish a.e. in $I \setminus J_K$. Now define $\tilde{A}^{(n)} : I \rightarrow \mathfrak{A}$ as follows: for $(i, j) = (2, 3)$ and $(i, j) = (1, 3)$ set

$$\tilde{A}_{ij}^{(n)}(t) = \begin{cases} A_{ij}(t) & \text{if } |A_{ij}(t)| > \frac{1}{n}, \\ \frac{1}{n} & \text{if } |A_{ij}(t)| \leq \frac{1}{n}. \end{cases}$$

Since $|\tilde{A}^{(n)} - A| \leq \frac{2}{n}$, we have that $\tilde{A}^{(n)} \rightarrow A$ strongly in $L^2(I; \mathfrak{A})$. Hence, by Lemma 3.2 there exist a finite dimensional subspace E of \tilde{E} and some $\hat{A}^{(n)} \in E$ such that $\hat{A}^{(n)} \rightarrow 0$ uniformly and $A^{(n)} := \tilde{A}^{(n)} + \hat{A}^{(n)}$ is admissible.

Almost everywhere on $I \setminus J_K$ we have

$$A^{(n)} = \tilde{A}^{(n)} \in \mathfrak{A}_{1/n},$$

whereas on J_K , for large n , we have $\tilde{A}^{(n)} = A$ and thus,

$$|A_{13}^{(n)}| \geq |A_{13}| - \|\hat{A}^{(n)}\|_{L^\infty} \geq \frac{1}{2K}.$$

The same holds for $A_{23}^{(n)}$. Hence $A^{(n)} \in L^2(I; \mathfrak{A}_{1/n})$ for any n large enough. Moreover, $A^{(n)} = \tilde{A}^{(n)} + \hat{A}^{(n)} \rightarrow A$ strongly in $L^\infty(I; \mathfrak{A})$ and the proof is concluded. \square

We denote by $\mathcal{P}(I; X)$ the piecewise constant functions from I into the set X , i.e., $f \in \mathcal{P}(I; X)$ if there exists a finite covering of I by disjoint nondegenerate intervals on each of which f is constant. Moreover, we set $\mathcal{P}(I) := \mathcal{P}(I; \mathbb{R})$.

Lemma 3.6. *Let $A \in L^2(I; \mathfrak{A})$ be nondegenerate and admissible. Then there exist $c_n > 0$ and admissible $A^{(n)} \in \mathcal{P}(I; \mathfrak{A}_{c_n})$ such that $A^{(n)} \rightarrow A$ strongly in $L^2(I; \mathfrak{A})$.*

Proof. In view of Lemma 3.5 we may assume that A take values in $\mathfrak{A}_{2\varepsilon}$ for some $\varepsilon > 0$. Hence there exist $\tilde{A}^{(n)} \in \mathcal{P}(I; \mathfrak{A}_\varepsilon)$ which converge to A strongly in $L^2(I; \mathfrak{A})$. Indeed, for $i = 1, 2$, we can write A_{i3} as difference of the positive and negative parts, A_{i3}^+ and A_{i3}^- , which are not both smaller than ε . It is well known that there exist increasing sequences of simple measurable functions $S_{i3}^{\pm(n)}$ such that $\varepsilon \leq S_{i3}^{\pm(n)} \leq A_{i3}^\pm$ and $S_{i3}^{\pm(n)} \rightarrow A_{i3}^\pm$ a.e. in I . Since the sets where the simple functions $S_{i3}^{\pm(n)}$ are constant are measurable, they can be approximated in measure from inside by finite unions of intervals. Hence, the same result holds for $S_{i3}^{\pm(n)} \in \mathcal{P}(I; [\varepsilon, +\infty))$. By Lebesgue's theorem the sequences $S_{i3}^{(n)} := S_{i3}^{+(n)} - S_{i3}^{-(n)}$ strongly converge in $L^2(I; \mathfrak{A})$ to A_{i3} . Applying Lemma 3.2 with $\tilde{E} = \mathcal{P}(I; \mathfrak{A})$, we find $\hat{A}^{(n)} \in \mathcal{P}(I; \mathfrak{A})$ converging to zero uniformly and such that $A^{(n)} := \tilde{A}^{(n)} + \hat{A}^{(n)}$ is admissible for n large enough. Clearly, $A^{(n)} \in \mathcal{P}(I; \mathfrak{A}_{\varepsilon/2})$ for n large. \square

For $A \in \mathfrak{A}$ we define

$$\gamma_A := \frac{A_{23}^2}{A_{13}},$$

with $\gamma_A := +\infty$ if $A_{13} = 0$ and $A_{23} \neq 0$, whereas $\gamma_A := 0$ if $A_{13} = A_{23} = 0$.

Lemma 3.7. *For all nondegenerate and admissible $A \in L^2(I; \mathfrak{A})$ and all $\gamma \in L^2(I)$ there exist $\lambda_n \in \mathcal{P}(I)$ and $p_n \in \mathcal{P}(I; \mathbb{S}^1)$ with $\lambda_n \neq 0$, $p_n \cdot \underline{e}_1 > 0$ on I and $p_n = \underline{e}_1$ near ∂I , such that*

$$A^{(n)} = A_{\lambda_n p_n \otimes p_n}$$

is admissible, $(A^{(n)}, \gamma_{A^{(n)}}) \rightharpoonup (A, \gamma)$ weakly in $L^2(I; \mathfrak{A} \times \mathbb{R})$, and

$$\mathcal{F} \begin{pmatrix} A_{13}^{(n)} & A_{23}^{(n)} \\ A_{23}^{(n)} & \gamma_{A^{(n)}} \end{pmatrix} \rightarrow \mathcal{F} \begin{pmatrix} A_{13} & A_{23} \\ A_{23} & \gamma \end{pmatrix}. \quad (3.3)$$

Proof. We may assume without loss of generality that $\gamma \in \mathcal{P}(I)$. In fact, if the lemma is true for all $\gamma \in \mathcal{P}(I)$, then, by approximation and a standard diagonal procedure, it is true also for arbitrary $\gamma \in L^2(I)$. For the same reason, in view of Lemma 3.6 we may assume that $A \in \mathcal{P}(I; \mathfrak{A}_c)$ for some $c > 0$. Hence the map

$$M := \begin{pmatrix} A_{13} & A_{23} \\ A_{23} & \gamma \end{pmatrix}$$

is piecewise constant and never zero. Since M_{12} is bounded away from zero, the eigenvectors of M have components along \underline{e}_1 and \underline{e}_2 bounded away from zero. We choose $a(x) \in \mathbb{S}^1$ among both eigenvectors and among both signs to be an eigenvector of $M(x)$ for which $a(x) \cdot \underline{e}_1$ is maximal; in particular, $a \cdot \underline{e}_1 \geq c$ for a positive constant c . Define

$$\tilde{a} = \begin{cases} a^\perp & \text{if } a \cdot \underline{e}_2 < 0, \\ -a^\perp & \text{if } a \cdot \underline{e}_2 > 0, \end{cases}$$

where $a^\perp = (-a_2, a_1)$. Then \tilde{a} is piecewise constant and $\tilde{a} \cdot \underline{e}_1 > 0$.

Denote by λ_1 the eigenvalue corresponding to a , and by λ_2 the other one. Like M itself, the function

$$\Lambda := |\lambda_1| + |\lambda_2|$$

is piecewise constant and never zero. Moreover, there exist piecewise constant functions $\theta : I \rightarrow [0, 1]$ and $\sigma^{(i)} : I \rightarrow \{-1, 1\}$ such that the following spectral decomposition holds:

$$M = \Lambda (\sigma^{(1)} \theta a \otimes a + \sigma^{(2)} (1 - \theta) \tilde{a} \otimes \tilde{a}).$$

Let $\chi_n : I \rightarrow \{0, 1\}$ be such that $\chi_n \rightharpoonup \theta$ weakly* in $L^\infty(I)$ and define

$$\sigma_n := \begin{cases} \sigma^{(1)} \chi_n + \sigma^{(2)} (1 - \chi_n) & \text{on } (\frac{1}{n}, 1 - \frac{1}{n}), \\ 1 & \text{elsewhere,} \end{cases}$$

and

$$\tilde{p}_n := \begin{cases} \chi_n a + (1 - \chi_n) \tilde{a} & \text{on } (\frac{1}{n}, 1 - \frac{1}{n}), \\ \underline{e}_1 & \text{elsewhere.} \end{cases}$$

Finally, let

$$\tilde{M}_n := \sigma_n \Lambda \tilde{p}_n \otimes \tilde{p}_n.$$

Since we can write

$$\widetilde{M}_n = \begin{cases} \Lambda (\chi_n \sigma^{(1)} a \otimes a + (1 - \chi_n) \sigma^{(2)} \widetilde{a} \otimes \widetilde{a}) & \text{on } (\frac{1}{n}, 1 - \frac{1}{n}), \\ \Lambda e_1 \otimes e_1 & \text{elsewhere,} \end{cases}$$

we have that \widetilde{M}_n converges to M weakly* in $L^\infty(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$. Moreover,

$$\int_0^1 |\widetilde{M}_n|^2 dt = \int_0^1 \Lambda^2 dt = \int_0^1 (|M|^2 + 2|\det M|) dt \quad \text{for all } n. \quad (3.4)$$

Let $\widetilde{A}^{(n)} := A_{\widetilde{M}_n}$. Since $A = A_M$, we have that $\widetilde{A}^{(n)}$ converges to A weakly* in $L^\infty(I; \mathfrak{A})$. Moreover, $\gamma_{\widetilde{A}^{(n)}} = (\widetilde{M}_n)_{22}$ since $\det \widetilde{M}_n = 0$, hence $\gamma_{\widetilde{A}^{(n)}}$ weakly* converges to $M_{22} = \gamma$ in $L^\infty(I)$. Condition (3.4) rewrites as

$$\mathcal{F} \begin{pmatrix} A_{13}^{(n)} & A_{23}^{(n)} \\ A_{23}^{(n)} & \gamma_{A^{(n)}} \end{pmatrix} = \mathcal{F} \begin{pmatrix} A_{13} & A_{23} \\ A_{23} & \gamma \end{pmatrix} \quad \text{for all } n. \quad (3.5)$$

We now modify $\widetilde{A}^{(n)}$ in such a way to make it admissible. Let $J \subset (\frac{1}{4}, \frac{3}{4})$ be an open interval on which M is constant. Denote by \widetilde{E} the set of maps in $\mathcal{P}(I; \mathfrak{A})$ that vanish a.e. in $I \setminus J$. Since A is nondegenerate on J , by Lemma 3.2 there is a finite dimensional subspace $E \subset \widetilde{E}$ and $\widehat{A}^{(n)} \in E$ converging to zero uniformly such that $A^{(n)} := \widetilde{A}^{(n)} + \widehat{A}^{(n)}$ is admissible. Clearly, $A^{(n)}$ is piecewise constant and $A^{(n)}$ converges to A weakly* in $L^\infty(I; \mathfrak{A})$.

We claim that $\gamma_{A^{(n)}}$ converges to γ weakly* in $L^\infty(I)$. It is enough to show that

$$\gamma_{A^{(n)}} - \gamma_{\widetilde{A}^{(n)}} \rightarrow 0 \quad \text{uniformly on } I. \quad (3.6)$$

We first note that there is a constant $c > 0$ such that for all n

$$|\widetilde{A}_{13}^{(n)}| = |(\widetilde{M}_n)_{11}| = |\sigma_n \Lambda (\widetilde{p}_n \cdot e_1)^2| \geq c \quad \text{on } I. \quad (3.7)$$

The same is true (with a smaller constant) for $A_{13}^{(n)}$ for large n , since $\widehat{A}^{(n)} \rightarrow 0$ uniformly. Therefore, using that $\widetilde{A}^{(n)}$ and $A^{(n)}$ are uniformly bounded, we obtain

$$\begin{aligned} |\gamma_{A^{(n)}} - \gamma_{\widetilde{A}^{(n)}}| &= \left| \frac{(A_{23}^{(n)})^2}{A_{13}^{(n)}} - \frac{(\widetilde{A}_{23}^{(n)})^2}{\widetilde{A}_{13}^{(n)}} \right| = \left| \frac{(A_{23}^{(n)})^2 \widetilde{A}_{13}^{(n)} - (\widetilde{A}_{23}^{(n)})^2 A_{13}^{(n)}}{A_{13}^{(n)} \widetilde{A}_{13}^{(n)}} \right| \\ &\leq \frac{1}{c^2} \left(|\widetilde{A}_{13}^{(n)}| |(A_{23}^{(n)})^2 - (\widetilde{A}_{23}^{(n)})^2| + |(\widetilde{A}_{23}^{(n)})^2| |\widetilde{A}_{13}^{(n)} - A_{13}^{(n)}| \right) \\ &\leq C |\widehat{A}_{23}^{(n)}| + C |\widehat{A}_{13}^{(n)}|. \end{aligned}$$

which implies (3.6).

Equation (3.3) follows from (3.5), (3.6), and the uniform convergence of $\widehat{A}^{(n)}$.

It remains to show that $A^{(n)}$ is of the form $A_{\lambda_n p_n \otimes p_n}$ for some λ_n and p_n satisfying the desired properties. Keeping in mind that $A_{13}^{(n)} \neq 0$ due to (3.7), we define

$$p_n := \alpha_n \begin{pmatrix} A_{13}^{(n)} \\ A_{23}^{(n)} \end{pmatrix}, \quad (3.8)$$

where

$$\alpha_n := \frac{\text{sgn } A_{13}^{(n)}}{\left((A_{13}^{(n)})^2 + (A_{23}^{(n)})^2 \right)^{1/2}},$$

and $\lambda_n := A_{13}^{(n)} + \gamma_{A^{(n)}}$. It is easy to check that $A^{(n)} = A_{\lambda_n p_n \otimes p_n}$, and λ_n and p_n have all the stated properties. In particular, $p_n = \tilde{p}_n$ on $I \setminus J$, hence $p_n = \underline{e}_1$ near ∂I , and by a consequence of (3.7)

$$|\lambda_n| = \left| A_{13}^{(n)} + \frac{(A_{23}^{(n)})^2}{A_{13}^{(n)}} \right| = \left| \frac{(A_{13}^{(n)})^2 + (A_{23}^{(n)})^2}{A_{13}^{(n)}} \right| \geq |A_{13}^{(n)}| \geq c$$

for a suitable constant $c > 0$. \square

We are now in a position to prove the main result of this section, namely Proposition 3.1.

Proof of Proposition 3.1. Let us assume that $M = \lambda p \otimes p$, where $p : I \rightarrow \mathbb{S}^1$ and $\lambda : I \rightarrow \mathbb{R} \setminus \{0\}$ are piecewise constant, $p \cdot \underline{e}_1 > 0$ on I and $p = \underline{e}_1$ near ∂I . In view of Lemma 3.7 this constitutes no loss of generality, provided we prove strong rather than weak convergence in Proposition 3.1–(ii). Indeed, since $M_{11} \neq 0$ on a set of positive measure, then A_M is nondegenerate and we can apply Lemma 3.7 to $A = A_M$ and $\gamma = M_{22}$. Then there exists a sequence $M^{(n)} = \lambda_n p_n \otimes p_n$ with $0 \neq \lambda_n \in \mathcal{P}(I)$ and $p_n \in \mathcal{P}(I; \mathbb{S}^1)$ as in Lemma 3.7. To each element of this sequence we can apply the version of Proposition 3.1 that we are going to prove obtaining a sequence $M_k^{(n)} := \lambda_n^k p_n^k \otimes p_n^k$ such that $M_k^{(n)}$ converges to $M^{(n)}$ strongly in $L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$ and $\mathcal{F}(M_k^{(n)}) \rightarrow \mathcal{F}(M^{(n)})$, as $k \rightarrow \infty$. The required sequence is then given by $M_{k_n}^{(n)}$, where (k_n) is an increasing sequence such that $\|M_{k_n}^{(n)} - M^{(n)}\|_{L^2} + |\mathcal{F}(M_{k_n}^{(n)}) - \mathcal{F}(M^{(n)})| < \frac{1}{2^n}$ for every n .

Let us write $p(t) = e^{i\theta(t)}$ with argument $\theta \in \mathcal{P}(I)$. Since p is a Lipschitz continuous function of θ , we can mollify the argument to obtain smooth $\tilde{p}_n : I \rightarrow \mathbb{S}^1$ converging to p boundedly in measure, that is, \tilde{p}_n converges in measure and $\sup_n \|\tilde{p}_n\|_{L^\infty} < +\infty$. Note that for every n large enough we have $\tilde{p}_n = \underline{e}_1$ near ∂I , as well as

$$\tilde{p}_n \cdot \underline{e}_1 \geq c \tag{3.9}$$

for some constant $c > 0$. The latter follows from the same property for p , which is stable under mollification of the argument. By mollifying λ we obtain smooth $\tilde{\lambda}_n$ converging to λ boundedly in measure such that

$$|\tilde{\lambda}_n| \geq c \tag{3.10}$$

for some constant $c > 0$ and for every n large enough, since a similar inequality holds for λ . Observe that both \tilde{p}_n and $\tilde{\lambda}_n$ are well-defined and smooth up to the boundary of I .

Let us define $\tilde{M}_n := \tilde{\lambda}_n \tilde{p}_n \otimes \tilde{p}_n$. Since $\tilde{M}_n \rightarrow M$ boundedly in measure, we have that $A_{\tilde{M}_n} \rightarrow A_M$ and $\gamma_{A_{\tilde{M}_n}} = (\tilde{M}_n)_{22} \rightarrow M_{22} = \gamma_{A_M}$ in the same sense.

We now modify $A_{\tilde{M}_n}$ in such a way to make it admissible. Let $J \subset (1/4, 3/4)$ be a nondegenerate open interval on which λ and p are constant. Let $\tilde{E} = C_0^\infty(J; \mathfrak{A})$ be the space of smooth functions with compact support. Since A_M is admissible and nondegenerate on J , by Lemma 3.2 there exists a finite dimensional subspace $E \subset \tilde{E}$ and some $\hat{A}^{(n)} \in E$ converging to zero uniformly such that $A^{(n)} := \hat{A}^{(n)} + A_{\tilde{M}_n}$ are admissible. Clearly, $A^{(n)}$ is smooth up to the boundary and $A^{(n)} \rightarrow A_M$ boundedly in measure.

We claim that $\gamma_{A^{(n)}} \rightarrow \gamma_{A_M}$ boundedly in measure. First of all, by (3.9) and (3.10) there exists a constant $c > 0$ such that $|(\widetilde{M}_n)_{11}| = |\widetilde{\lambda}_n| |\widetilde{p}_n \cdot \underline{e}_1|^2 \geq c$. This implies that also $A_{13}^{(n)} = (\widetilde{M}_n)_{11} + \widehat{A}_{13}^{(n)}$ is bounded away from zero for n large enough, because $\widehat{A}_{13}^{(n)}$ converges uniformly to 0. Therefore, we can argue as in the proof of Lemma 3.7 and show that $\gamma_{A^{(n)}} - \gamma_{A_{\widetilde{M}_n}} \rightarrow 0$ uniformly. Since $\gamma_{A_{\widetilde{M}_n}} \rightarrow \gamma_{A_M}$ boundedly in measure, this proves the claim.

We now define p_n as in (3.8) and $\lambda_n := A_{13}^{(n)} + \gamma_{A^{(n)}}$, so that $A^{(n)} = A_{\lambda_n p_n \otimes p_n}$. Since $A^{(n)}$ is smooth up to the boundary and $A_{13}^{(n)}$ is bounded away from zero for n large, the functions p_n and λ_n are smooth and $p_n \cdot \underline{e}_1 > 0$ on \bar{I} . By construction $p_n = \widetilde{p}_n$ on $I \setminus J$, hence $p_n = \underline{e}_1$ near ∂I .

Finally, since $(\lambda_n p_n \otimes p_n)_{22} = \gamma_{A^{(n)}}$, we have that $\lambda_n p_n \otimes p_n \rightarrow M$ boundedly in measure, hence strongly in $L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$. This implies condition (iii). \square

4. THE RECOVERY SEQUENCE

In this section we prove part (ii) of Theorem 2.4, namely the existence of a recovery sequence.

Proof of Theorem 2.4 – (ii). Let $(y, r) \in \mathcal{A}_0$. We set

$$M := \begin{pmatrix} d'_1 \cdot d_3 & d'_2 \cdot d_3 \\ d'_2 \cdot d_3 & \gamma \end{pmatrix},$$

where $\gamma \in L^2(I)$ is such that

$$\overline{Q}(d'_1 \cdot d_3, d'_2 \cdot d_3) = |M|^2 + 2|\det M| \quad \text{a.e. in } I.$$

Such a γ can indeed be chosen measurable. Moreover, $\gamma \in L^2(I)$ because by minimality, comparing M to the same matrix with 0 in place of γ , we have

$$\gamma^2 \leq |M|^2 + 2|\det M| \leq M_{11}^2 + 4M_{12}^2 \quad \text{a.e. in } I,$$

and the right-hand side is in $L^1(I)$.

Since $|\bar{y}| < \ell$, the director d_1 cannot be constant, thus $M_{11} = d'_1 \cdot d_3 \neq 0$ on a set of positive measure. Moreover, the boundary conditions satisfied by (y, r) guarantee that A_M is admissible in the sense of (3.2) with respect to the data \bar{r} and $\bar{\Gamma} = \bar{y}$. By Proposition 3.1 there exist $\lambda_j \in C^\infty(\bar{I})$ and $p_j \in C^\infty(\bar{I}; \mathbb{S}^1)$ such that $M^j := \lambda_j p_j \otimes p_j$ satisfies

- (i) $p_j \cdot \underline{e}_1 > 0$ everywhere on \bar{I} , $p_j = \underline{e}_1$ near ∂I , and A_{M^j} is admissible;
- (ii) $M^j \rightharpoonup M$ weakly in $L^2(I; \mathbb{R}_{\text{sym}}^{2 \times 2})$;
- (iii) there holds

$$\lim_{j \rightarrow \infty} \int_0^\ell |M^j|^2 dt = \int_0^\ell (|M|^2 + 2|\det M|) dt.$$

Let $r_j : I \rightarrow SO(3)$ be the solution of the Cauchy problem

$$\begin{cases} (r_j)' = A_{M^j} r_j & \text{in } I, \\ r_j(0) = I. \end{cases} \quad (4.1)$$

Since M_j is smooth, so is r_j . Moreover, since A_{M^j} is admissible, we have that $r_j(\ell) = \bar{r}$ and

$$\int_0^\ell r_j^T(t) \underline{e}_1 dt = \bar{y}.$$

For $t \in I$ we define

$$d_k^j(t) := r_j^T(t)e_k \quad \text{for } k = 1, 2, 3, \quad y^j(t) := \int_0^t d_1^j(s) ds,$$

and we observe that $y^j(\ell) = \bar{y}$. One can show that $y^j \rightharpoonup y$ weakly in $W^{2,2}(I; \mathbb{R}^3)$; see, for instance, the proof of [17, Lemma 4.2]. Moreover, it follows from (4.1) that

$$\begin{aligned} (d_1^j)' \cdot d_2^j &= (M^j)_{11} d_3^j \cdot d_2^j = 0, \\ (d_2^j)' \cdot d_3^j &= (M^j)_{12} d_3^j \cdot d_3^j = (M^j)_{12} \\ (d_1^j)' \cdot d_3^j &= (M^j)_{11}. \end{aligned} \tag{4.2}$$

Since the functions p_j are smooth on the interval \bar{I} , they can be extended smoothly to \mathbb{R} . For $(t, s) \in \mathbb{R}^2$ we consider

$$\Phi_j(t, s) := (t - sp_j(t) \cdot e_2) e_1 + sp_j(t) \cdot e_1 e_2.$$

Since

$$\nabla \Phi_j(t, s) = \begin{pmatrix} 1 - sp_j'(t) \cdot e_2 & -p_j(t) \cdot e_2 \\ sp_j'(t) \cdot e_1 & p_j(t) \cdot e_1 \end{pmatrix}, \tag{4.3}$$

we have that

$$(\det \nabla \Phi_j)(t, 0) = p_j(t) \cdot e_1 > 0 \quad \text{for every } t \in \bar{I},$$

hence, there exist an interval $I_j \supset \bar{I}$ and $\eta_j > 0$ such that

$$\det \nabla \Phi_j > 0 \quad \text{on } \bar{I}_j \times [-\eta_j, \eta_j].$$

By the Implicit Function Theorem there exists $\rho > 0$ such that, if $(t, s), (t', s') \in \bar{I}_j \times [-\eta_j, \eta_j]$, then

$$0 < |t - t'|^2 + |s - s'|^2 \leq \rho^2 \quad \Rightarrow \quad \Phi_j(t, s) \neq \Phi_j(t', s').$$

On the other hand, using the definition of Φ_j , we have that, up to choosing η_j smaller if needed, there exists a constant $c > 0$ such that, if $(t, s), (t', s') \in \bar{I}_j \times [-\eta_j, \eta_j]$, then

$$|t - t'| \geq \frac{\rho}{2} \quad \Rightarrow \quad |\Phi_j(t, s) - \Phi_j(t', s')| \geq c.$$

These two facts together imply that, up to choosing η_j smaller, Φ_j is injective on $\bar{I}_j \times [-\eta_j, \eta_j]$. By the invariance of domain theorem the set $U_j := \Phi_j(I_j \times (-\eta_j, \eta_j))$ is open and, since both $\nabla \Phi_j$ and $(\det \nabla \Phi_j)^{-1}$ are continuous on $\bar{I}_j \times [-\eta_j, \eta_j]$, the inverse Φ_j^{-1} belongs to $C^1(\bar{U}_j; \mathbb{R}^2)$. Since

$$\Phi_j(t, 0) = te_1 \quad \text{for every } t \in I_j,$$

there exists $\varepsilon_j > 0$ such that $S_\varepsilon \subset U_j$ for any $0 < \varepsilon \leq \varepsilon_j$, in other words Φ_j^{-1} is defined on S_ε for $0 < \varepsilon \leq \varepsilon_j$.

For $(t, s) \in I \times \mathbb{R}$ we now define

$$v_j(t, s) := y^j(t) + sb_j(t)$$

where

$$b_j(t) := -p_j(t) \cdot e_2 d_1^j(t) + p_j(t) \cdot e_1 d_2^j(t)$$

for every $t \in I$, and

$$u_j(x) := v_j(\Phi_j^{-1}(x))$$

for $x \in S_\varepsilon$.

By the definitions above

$$\nabla v_j = ((y^j)' + sb_j'|b_j), \quad (\nabla u_j)(\Phi_j)\nabla\Phi_j = \nabla v_j. \quad (4.4)$$

By means of (4.2) one can check that

$$(b_j)' \cdot d_3^j = 0, \quad |b_j'| = |p_j'|.$$

With these identities at hand one can show that $(\nabla v_j)^T \nabla v_j = (\nabla\Phi_j)^T \nabla\Phi_j$, that is, $(\nabla u_j)^T \nabla u_j = I$. Clearly, $u_j(\cdot, 0) = y^j$ and $\partial_1 u_j(\cdot, 0) = (y^j)' = d_1^j$. Moreover, we have

$$(\nabla u_j)(\Phi_j)\partial_s\Phi_j = \partial_s v_j = b_j. \quad (4.5)$$

Using that $p_j \cdot e_1 \neq 0$, one readily deduces that

$$\nabla u_j(\cdot, 0) = (d_1^j | d_2^j). \quad (4.6)$$

Taking derivatives with respect to s on both sides of (4.5), we see that

$$\nabla^2 u_j(\Phi_j)\partial_s\Phi_j \cdot \partial_s\Phi_j = 0,$$

and therefore

$$A_{u_j}(\Phi_j)\partial_s\Phi_j \cdot \partial_s\Phi_j = 0. \quad (4.7)$$

Taking derivatives in (4.6), we see that

$$(A_{u_j}(\cdot, 0))_{11} = d_3^j \cdot \partial_1 \partial_1 u_j(\cdot, 0) = d_3^j \cdot (d_1^j)' = (M^j)_{11}$$

and similarly that $(A_{u_j}(\cdot, 0))_{12} = (M^j)_{12}$. On the other hand, since $\partial_s\Phi_j$ is orthogonal to p_j , we have that $M^j \partial_s\Phi_j = 0$. Using (4.7) and the fact that $p_j \cdot e_1 \neq 0$, we conclude that $A_{u_j}(\cdot, 0) = M^j$.

We now prove that u_j satisfies the boundary conditions, namely $u_j \in \mathcal{A}_\varepsilon$. To this aim, we remark that condition (i) ensures that $\Phi_j(0, s) = (0, s)$ and $\Phi_j(\ell, s) = (\ell, s)$ for every s . This implies that $u_j(0, 0) = v_j(0, 0) = y^j(0) = 0$ and $u_j(\ell, 0) = v_j(\ell, 0) = y^j(\ell) = \bar{y}$. Again by condition (i) we have that $p_j'(0) = p_j'(\ell) = 0$ and $b_j = d_2^j$ close to ∂I , hence $b_j'(0) = (d_2^j)'(0)$ and $b_j'(\ell) = (d_2^j)'(\ell)$. From (4.1) it follows that $r_j'(0) = A_{M_j}(0)r_j(0) = A_{\lambda_j e_1 \otimes e_1}$, hence $(d_2^j)'(0) = 0$. Analogously, one can show that $(d_2^j)'(\ell) = 0$. By (4.3) we deduce that $\nabla\Phi_j(0, s) = \nabla\Phi_j(\ell, s) = I$ for every s . We now use (4.4) to conclude that $\nabla u_j(0, x_2) = (d_1^j(0) | b_j(0))$ and $\nabla u_j(\ell, x_2) = (d_1^j(\ell) | b_j(\ell))$ for $x_2 \in (-\varepsilon_j, \varepsilon_j)$. By the initial condition in (4.3) and the fact that $p_j(0) = e_1$ we have that $\nabla u_j(0, x_2) = (e_1 | e_2)$ for $x_2 \in (-\varepsilon_j, \varepsilon_j)$. Since $r_j(\ell) = \bar{r}$ and $p_j(\ell) = e_1$, we have that $\nabla u_j(\ell, x_2) = (\bar{d}_1 | \bar{d}_2)$ for $x_2 \in (-\varepsilon_j, \varepsilon_j)$.

We are now in a position to define the recovery sequence. For ε small enough, the maps $y_\varepsilon^j : S \rightarrow \mathbb{R}^3$ given by $y_\varepsilon^j(x_1, x_2) = u^j(x_1, \varepsilon x_2)$ are well-defined scaled C^2 -isometries of S such that

$$\nabla_\varepsilon y_\varepsilon^j = (\nabla u^j)(T_\varepsilon) \rightarrow \nabla u^j(\cdot, 0) = (d_1^j | d_2^j) \quad \text{strongly in } W^{1,2}(S; \mathbb{R}^{3 \times 2}),$$

as $\varepsilon \rightarrow 0$; here $T_\varepsilon x = (x_1, \varepsilon x_2)$. Set $A_\varepsilon^j := A_{y_\varepsilon^j}$. Then since $A_{u^j}(x_1, 0) = M^j(x_1)$, we see that $A_\varepsilon^j \rightarrow M^j$ strongly in $L^2(S; \mathbb{R}_{\text{sym}}^{2 \times 2})$, as $\varepsilon \rightarrow 0$. Hence,

$$\lim_{\varepsilon \rightarrow 0} J_\varepsilon(y_\varepsilon^j) = \lim_{\varepsilon \rightarrow 0} \int_S |A_\varepsilon^j|^2 dx = \int_0^\ell |M^j|^2 dt.$$

By a diagonal argument we obtain the desired result. \square

5. EQUILIBRIUM EQUATIONS FOR THE SADOWSKY FUNCTIONAL

In this section we consider the minimization problem for the Sadowsky functional (1.1) on the class \mathcal{A}_0 introduced in (2.3) and the corresponding Euler-Lagrange equations.

5.1. Existence of a solution. Let $\chi_{\mathcal{A}_0}$ be the indicator function of the set \mathcal{A}_0 . Since the density function \bar{Q} is convex and $\bar{Q}(\mu, \tau) \geq \mu^2 + \tau^2$ for any $\mu, \tau \in \mathbb{R}$, it is easy to prove that the functional $E + \chi_{\mathcal{A}_0}$ is $(W^{2,2} \times W^{1,2})$ -weakly lower semicontinuous and coercive. By the direct method there exists a minimizer of E in \mathcal{A}_0 . Uniqueness is not ensured since \bar{Q} is not strictly convex.

5.2. Euler-Lagrange equations. Let $|\bar{y}| < \ell$ and let (y, r) be a minimizer of E on \mathcal{A}_0 . We always write $r^T = (d_1 | d_2 | d_3)$. A system of Euler-Lagrange equations for (y, r) has been derived in [22]. In that paper the energy is considered as a function of $\kappa = d'_1 \cdot d_2$, $\mu = d'_1 \cdot d_3$ and $\tau = -d'_2 \cdot d_3$, so that the constraint $d'_1 \cdot d_2 = 0$ corresponds to assuming $\kappa = 0$. Taking $\dot{\kappa} = 0$ in [22, eq. (12)], one obtains that (y, r) satisfies the second and the third equation in [22, eq. (14)]. Note that, since $|\bar{y}| < \ell$, one can rule out the degenerate case where the curve y is a straight line. From these considerations we obtain the following proposition.

Proposition 5.1 (Equilibrium equations). *Let $|\bar{y}| < \ell$ and let (y, r) be a minimizer of E on \mathcal{A}_0 with $r^T = (d_1 | d_2 | d_3)$. Then there exist Lagrange multipliers $\lambda_1, \lambda_2 \in \mathbb{R}^3$ such that the following boundary value problem is satisfied:*

$$\left\{ \begin{array}{ll} \frac{\partial \bar{Q}}{\partial \tau}(d'_1 \cdot d_3, d'_2 \cdot d_3) = (\lambda_2 + \lambda_1 \wedge y) \cdot d_1 & \text{a.e. in } (0, \ell), \\ \frac{\partial \bar{Q}}{\partial \mu}(d'_1 \cdot d_3, d'_2 \cdot d_3) = (\lambda_2 + \lambda_1 \wedge y) \cdot d_2 & \text{a.e. in } (0, \ell), \\ (d_1, d_2, d_3) \in SO(3) & \text{a.e. in } (0, \ell), \\ d'_1 \cdot d_2 = 0 & \text{a.e. in } (0, \ell), \\ y(0) = 0, \quad y(\ell) = \bar{y} \\ r(0) = I, \quad r(\ell) = \bar{r}. \end{array} \right. \quad (5.1)$$

Proof. The second and the third equation in [22, eq. (14)] are

$$\begin{aligned} \frac{\partial \bar{Q}}{\partial \tau}(d'_1(t) \cdot d_3(t), d'_2(t) \cdot d_3(t)) &= \left(\lambda_2 - \lambda_1 \wedge \int_t^\ell d_1(s) ds \right) \cdot d_1(t), \\ \frac{\partial \bar{Q}}{\partial \mu}(d'_1(t) \cdot d_3(t), d'_2(t) \cdot d_3(t)) &= \left(\lambda_2 - \lambda_1 \wedge \int_t^\ell d_1(s) ds \right) \cdot d_2(t). \end{aligned}$$

Since $d_1 = y'$, we have that $\int_t^\ell d_1(s) ds = \bar{y} - y(t)$. The thesis follows by replacing the multiplier λ_2 with $\lambda_2 - \lambda_1 \wedge \bar{y}$. \square

Remark 5.2. The two partial derivatives on the left-hand side of the first two equations in (5.1) have the mechanical meaning of a twisting and bending moment,

respectively, and are given by

$$\begin{aligned}\frac{\partial \bar{Q}}{\partial \tau}(\mu, \tau) &= \begin{cases} 4\tau \frac{\mu^2 + \tau^2}{\mu^2} & \text{if } |\mu| > |\tau|, \\ 8\tau & \text{if } |\mu| \leq |\tau|, \end{cases} \\ \frac{\partial \bar{Q}}{\partial \mu}(\mu, \tau) &= \begin{cases} 2 \frac{\mu^4 - \tau^4}{\mu^3} & \text{if } |\mu| > |\tau|, \\ 0 & \text{if } |\mu| \leq |\tau|. \end{cases}\end{aligned}$$

The next proposition shows that on a closed planar curve like a circle, where the curvature is always positive, the constraint $d'_1 \cdot d_2 = 0$ is incompatible with the boundary condition $\bar{r} = (e_1| - e_2| - e_3)$. As a consequence, the centerline of a developable Möbius band, if planar, must contain a segment.

Proposition 5.3. *Let $(y, r) \in W^{2,2}(I; \mathbb{R}^3) \times W^{1,2}(I; SO(3))$ be such that $r^T = (d_1|d_2|d_3)$, $y' = d_1$, and $d'_1 \cdot d_2 = 0$ a.e. on I . If the curve y is planar and $d'_1 \cdot d_3 > 0$ a.e. in I , then d_2 is constant and orthogonal to the plane of the curve.*

Proof. Let $\mu := d'_1 \cdot d_3$ and let k be a normal unit vector to the plane of the curve, that is, $|k| = 1$ and $d_1 \cdot k \equiv 0$. Since $d'_1 = \mu d_3$, we have that $d_1 \wedge d'_1 = -\mu d_2$. Using the fact that $\mu(s) > 0$ for a.e. $s \in I$, we deduce that $d_2 = -d_1 \wedge d'_1 / \mu$ a.e. in I . On the other hand, both d_1 and d'_1 are orthogonal to k (indeed, $d'_1 \cdot k = (d_1 \cdot k)' = 0$), hence d_2 is parallel to k a.e. in I . By continuity d_2 must be constantly equal to k or to $-k$ in I . \square

6. REGULAR MÖBIUS BANDS AT EQUILIBRIUM

It is easy to construct a developable Möbius band by adding segments to the centerline in a way that it remains planar, see Example 6.4. On the other hand, we can show that a developable Möbius band, whose centerline is regular and planar, cannot satisfy the equilibrium equations. In other words, the centerline of a regular developable Möbius band at equilibrium cannot be planar.

The regularity notion that we need, is the following.

Definition 6.1. A solution $(y, r) \in W^{2,2}(I; \mathbb{R}^3) \times W^{1,2}(I; SO(3))$ of the boundary value problem (5.1) with $r^T = (d_1|d_2|d_3)$ is said to be *regular* if there exists a family \mathcal{F} of pairwise disjoint open subintervals of $(0, \ell)$ such that $|(0, \ell) \setminus \cup \mathcal{F}| = 0$ and such that in every open interval $J \in \mathcal{F}$ the curvature $\mu = d'_1 \cdot d_3$ is (a.e.) either strictly positive, strictly negative, or zero.

Remark 6.2. An example of a function $\mu \in L^2(0, 1)$ that is not regular in the sense of Definition 6.1 is the characteristic function of a fat Cantor set in $(0, 1)$ (or of any closed set with positive measure and empty interior).

In the next theorem we show that a regular solution of the Euler-Lagrange equations with boundary conditions $\bar{y} = 0$ and $\bar{r} = (e_1| - e_2| - e_3)$ cannot be planar. In the proof we use in a crucial way the expression of \bar{Q} for small curvatures, which is exactly the region where \bar{Q} differs from the classical Sadowsky energy density.

Theorem 6.3. *Assume that (y, r) be a regular solution of (5.1) with $\bar{y} = 0$ and $\bar{r} = (e_1| - e_2| - e_3)$. Then the curve y is not planar, i.e., d_1 does not lie on a plane.*

Proof. Assume by contradiction that d_1 lies on a plane, which we assume to be orthogonal to a unit vector k , that is, $d_1(s) \cdot k = 0$ for every $s \in (0, \ell)$. We set $\mu := d'_1 \cdot d_3$ and $\tau := -d'_2 \cdot d_3$.

Let \mathcal{F} be a family of pairwise disjoint open subintervals of $(0, \ell)$ as in Definition 6.1 and let $J \in \mathcal{F}$. If $\mu(s) > 0$ for a.e. $s \in J$, then, by applying Proposition 5.3 on the interval J we have that d_2 is constantly equal to k or $-k$ in J , hence $d'_2 = 0$. By definition of τ , this implies that $\tau = 0$ in J . The same conclusion is true if $\mu(s) < 0$ for a.e. $s \in J$. If, instead, $\mu(s) = 0$ for a.e. $s \in J$, then the curvature vanishes on the interval and the curve is a segment. Therefore, globally the curve is a union of segments and of arcs with $\tau = 0$.

Let us set $S := \cup\{J \in \mathcal{F} : \mu = 0 \text{ a.e. in } J\}$ and $C := \cup\{J \in \mathcal{F} : \mu \neq 0 \text{ a.e. in } J\}$.

On the set S we have $\mu = 0$, which corresponds to the regime $|\mu| \leq |\tau|$, while on C we have $\tau = 0$, corresponding to the opposite regime $|\mu| > |\tau|$.

Hence, using also the fact that $y(\ell) = 0$, equations (5.1) become

$$\begin{cases} 8\tau(t) = (\lambda_2 + \lambda_1 \wedge y(t)) \cdot d_1(t) \\ 0 = (\lambda_2 + \lambda_1 \wedge y(t)) \cdot d_2(t) \end{cases} \quad \text{on } S, \quad (6.1)$$

$$\begin{cases} 0 = (\lambda_2 + \lambda_1 \wedge y(t)) \cdot d_1(t) \\ 2\mu(t) = (\lambda_2 + \lambda_1 \wedge y(t)) \cdot d_2(t) \end{cases} \quad \text{on } C. \quad (6.2)$$

Then we have

$$\lambda_2 - \lambda_1 \wedge y(t) = \begin{cases} 8\tau(t) d_1(t) + (\lambda_2 + \lambda_1 \wedge y(t)) \cdot d_3(t) d_3(t) & \text{on } S \\ 2\mu(t) d_2(t) + (\lambda_2 + \lambda_1 \wedge y(t)) \cdot d_3(t) d_3(t) & \text{on } C \end{cases} \quad (6.3)$$

and, as a consequence, the curvatures μ and τ turn out to be continuous on the sets S and C . By the closure assumption $\bar{y} = 0$, we have that $C \neq \emptyset$. On the other hand, by the twisting assumption $d_2(\ell) = -e_2 = -d_2(0)$ we have that $S \neq \emptyset$, too. By the first equation in (6.1) and the second equation in (6.2) we also have that at the boundary points between the two regions S and C there exist finite the limits from the left and from the right of μ and τ . Denoting by t_0 one of such points, with S on the left and C on the right to fix ideas, by continuity of the left-hand side in (6.3) we have that

$$8\tau(t_0^-)d_1(t_0) = 2\mu(t_0^+)d_2(t_0),$$

where we have set

$$\tau(t_0^-) := \lim_{t \rightarrow t_0^-} \tau(t), \quad \mu(t_0^+) := \lim_{t \rightarrow t_0^+} \mu(t).$$

Since $d_1(t_0)$ and $d_2(t_0)$ are orthogonal, we deduce that $\tau(t_0^-) = \mu(t_0^+) = 0$. On the other hand, $\tau = 0$ on C and $\mu = 0$ on S , hence $\tau(t_0^+) = \mu(t_0^-) = 0$. Thus, we conclude that the functions μ and τ are globally continuous and must vanish at the boundary points between S and C .

From the first equation in (6.1) it follows that τ is constant. Indeed, on every interval J of S the curve y is affine, that is, y is of the form $y(t) = d_1 t + c$ with

constants d_1 and c . Therefore, on J we have

$$\begin{aligned} 8\tau(t) &= \left(\lambda_2 + \lambda_1 \wedge y(t) \right) \cdot d_1 \\ &= \lambda_2 \cdot d_1 + t\lambda_1 \wedge d_1 \cdot d_1 + \lambda_1 \wedge c \cdot d_1 \\ &= \lambda_2 \cdot d_1 + \lambda_1 \wedge c \cdot d_1. \end{aligned}$$

Being constant and equal to zero at the boundary points, $\tau = 0$ on S . We conclude that $\tau = 0$ on $[0, \ell]$ and this gives a contradiction, since the boundary condition on r cannot be satisfied. \square

We conclude with an explicit example of a developable Möbius band, whose centerline is planar. Because of the previous theorem it cannot satisfy the equilibrium equations and thus, it cannot be a minimizer of the Sadowsky functional (1.1).

Example 6.4 (Non-minimal developable Möbius band). We consider the framed curve (y, r) given by

$$\begin{aligned} y(t) &= \begin{cases} (t, 0, 0) & \text{if } t \in (0, 1), \\ (1 + \sin(t-1), 0, 1 - \cos(t-1)) & \text{if } t \in (1, 1 + \pi), \\ (2 + \pi - t, 0, 2) & \text{if } t \in (1 + \pi, 2 + \pi), \\ (\sin(t-2), 0, 1 - \cos(t-2)) & \text{if } t \in (2 + \pi, 2 + 2\pi), \end{cases} \\ d_1(t) &= \begin{cases} (1, 0, 0) & \text{if } t \in (0, 1), \\ (\cos(t-1), 0, \sin(t-1)) & \text{if } t \in (1, 1 + \pi), \\ (-1, 0, 0) & \text{if } t \in (1 + \pi, 2 + \pi), \\ (\cos(t-2), 0, \sin(t-2)) & \text{if } t \in (2 + \pi, 2 + 2\pi), \end{cases} \\ d_2(t) &= \begin{cases} (0, \cos(\pi t), \sin(\pi t)) & \text{if } t \in (0, 1), \\ (0, -1, 0) & \text{if } t \in (1, 1 + \pi), \\ (0, -1, 0) & \text{if } t \in (1 + \pi, 2 + \pi), \\ (0, -1, 0) & \text{if } t \in (2 + \pi, 2 + 2\pi), \end{cases} \\ d_3(t) = d_1(t) \wedge d_2(t) &= \begin{cases} (0, -\sin(\pi t), \cos(\pi t)) & \text{if } t \in (0, 1), \\ (\sin(t-1), 0, -\cos(t-1)) & \text{if } t \in (1, 1 + \pi), \\ (0, 0, 1) & \text{if } t \in (1 + \pi, 2 + \pi), \\ (\sin(t-2), 0, -\cos(t-2)) & \text{if } t \in (2 + \pi, 2 + 2\pi). \end{cases} \end{aligned}$$

The boundary conditions $y(0) = y(\ell) = 0$, $r(0) = I$ and $r(\ell) = (e_1 | -e_2 | -e_3)$ with $\ell = 2 + 2\pi$ are satisfied. For $t \in (0, 1)$ the director d_2 rotates from e_2 to $-e_2$, while it is constantly equal to $-e_2$ for $t \in (1, \ell)$. Since d_1 is constant on $(0, 1)$ and it always belongs to the plane x_1x_3 , we have that $d_1' \cdot d_2 = 0$, hence $(y, r) \in \mathcal{A}_0$. However, (y, r) cannot be a minimizer since the curve y belongs to the plane x_1x_3 .

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