

# On the Total Variation of the Jacobian

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*Keywords:* Jacobian, total variation, relaxation, singular measures, topological degree.  
*2000 Mathematics Subject Classification:* 49J45, 49K20, 35F30, 74B20, 74G70

# 1 Introduction

Well established theories in the Calculus of Variations and in Partial Differential Equations have been challenged in recent years by new phenomena in solid physics and in materials sciences which demand innovative approaches and new ideas. In this paper we address the study of the *Jacobian determinant*  $\det Du$  of fields  $u : \Omega \rightarrow \mathbb{R}^n$  outside the traditional regularity space  $W^{1,n}(\Omega; \mathbb{R}^n)$ .

The primary motivation that led to this subject is threefold:

- applications of high-temperature superconducting magnetic materials have had a tremendous impact in the development of a whole mathematical theory based on Ginzburg-Landau model, and where vorticity plays a very important role (see [15]). As pointed out by Jerrard and Sonner in [40], the formation of vortices is accompanied by highly localized defectiveness at points or along rays, and the ability to extend and interpret the mechanism of change of volume dictated by the Jacobian to the range  $p \in (n - 1, n)$  may shed some light into this theory;

- the formation of (radially symmetric) holes in rubber-like (nonlinear) elastic materials is studied in the theory of cavitation, and its advance is heavily hinged on the characterization of the *distributional Jacobian determinant* (see (1); see also (39) below) for certain ranges of  $p < n$ . This issue has attracted the attention of several mathematical researchers for the past twenty years, and although some progress has been made, pioneered by John Ball [4], [5], and followed by James and Spector [39], Müller and Spector [53], Sivaloganathan [57], by Marcellini [46], using an alternative (and closer to the point of view of the present paper) approach, and many others, we believe that we have only scratched the surface of a very rich field in the Calculus of Variations virtually unexplored until recently;

- the relevance of the distributional Jacobian determinant in the study of harmonic mappings with singularities (see [9]), and in the study of density results of smooth functions in  $H^1(B(0, 1); S^2)$ , where  $B(0, 1) \subset \mathbb{R}^3$ . Bethuel [6] showed that this density result holds for  $u \in H^1(B(0, 1); S^2)$  if  $\det Du = 0$ .

To fix the notations, we consider a *vector-valued* map  $u : \Omega \subset \mathbb{R}^n \rightarrow \mathbb{R}^n$ , defined on an open set  $\Omega$  of  $\mathbb{R}^n$ , for some  $n \geq 2$ . We denote by  $Du = Du(x)$  the *gradient* of  $u$  at  $x \equiv (x_1, x_2, \dots, x_n) \in \Omega$ , i.e., the  $n \times n$  matrix (*Jacobian matrix*) of the partial derivatives of  $u \equiv (u^1, u^2, \dots, u^n)$  and by

$$\det Du(x) := \frac{\partial(u^1, u^2, \dots, u^n)}{\partial(x_1, x_2, \dots, x_n)}$$

its *determinant* (*Jacobian determinant*).

If  $u \in W^{1,n}(\Omega; \mathbb{R}^n)$ , since  $|\det Du(x)| \leq n^{-n/2} |Du(x)|^n$ , then the Jacobian determinant  $\det Du$  is a function of class  $L^1(\Omega; \mathbb{R}^n)$ . In this case the set function

$$E \subset \Omega \longrightarrow m(E) := \int_E \det Du(x) \, dx$$

is a measure in  $\Omega$ , whose *total variation*  $|m|$  in  $\Omega$  is given by

$$|m|(\Omega) := \int_{\Omega} |\det Du(x)| \, dx.$$

When  $u \notin W^{1,n}(\Omega; \mathbb{R}^n)$  it may still be possible to consider the *distributional Jacobian determinant*

$$\text{Det } Du := \sum_{i=1}^n (-1)^{i+1} \frac{\partial}{\partial x_i} \left( u^1 \frac{\partial(u^2, \dots, u^n)}{\partial(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)} \right) \quad (1)$$

(or any other permutation in the set  $\{u^1, u^2, \dots, u^n\}$ , with the sign of the permutation), which coincides almost everywhere with the pointwise Jacobian determinant  $\det Du$  if  $u \in W^{1,n}(\Omega; \mathbb{R}^n)$ , but which may be different otherwise. The definition of the distributional Jacobian determinant  $\text{Det } Du$  is based on integration by parts of the formal expression in (1), after multiplication by a test function.

To render the definition mathematically precise it is then necessary to make some assumptions on  $u$ . We may assume that  $u^1$  (or, for symmetry reasons, also the full vector  $u$ ) is bounded and the gradient  $Du$  is of class  $L^{n-1}$  (or, more generally, the  $(n-1) \times n$  matrix  $(Du^2, \dots, Du^n)$  is of class  $L^{n-1}$ ), i.e.,  $u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1, n-1}(\Omega; \mathbb{R}^n)$ . Another possibility is to require that  $u \in W^{1, p}(\Omega; \mathbb{R}^n)$  for some  $p > n^2/(n+1)$  (the strict inequality is useful for compactness reasons); in fact, in this case by the Sobolev Imbedding Theorem  $u \in L^{n^2}(\Omega; \mathbb{R}^n)$  and the products in (1) are well defined in  $L^1$  because  $1/n^2 + (n-1) \cdot (n+1)/n^2 = 1$ . Local summability assumptions are also allowed. In this paper we assume that  $u \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^n) \cap W^{1, p}(\Omega; \mathbb{R}^n)$  for some  $p > n-1$ .

Since the fundamental work of Morrey [48], who treated weak continuity properties of  $\text{Det } Du$  in (1) (see also Reshetnyak [56]),  $\text{Det } Du$  has played a pivotal role in the *calculus of variations*, in addition to its well established one in *geometry*. Ball pointed out in [4] some relevant applications of the Jacobian determinant to *nonlinear elasticity*, and sharp weak continuity properties of the Jacobian has been investigated in a series of papers by Müller, starting with [49]. More detailed description of the state of the art in this subject may be found in Section 4.

In recent years, several attempts have been made to establish relations between the distribution  $\text{Det } Du$  and the “total variation” of the Jacobian determinant  $\det Du(x)$ . One possible definition is based on the following limit formula. Given  $u \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^n) \cap W^{1, p}(\Omega; \mathbb{R}^n)$  for some  $p > n-1$ , the *total variation*  $TV(u, \Omega)$  of the *Jacobian determinant* is defined by

$$TV(u, \Omega) = \inf \left\{ \liminf_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| \, dx : \right. \quad (2)$$

$$\left. u_h \rightharpoonup u \text{ weakly in } W^{1, p}(\Omega; \mathbb{R}^n), \, u_h \in W^{1, n}(\Omega; \mathbb{R}^n) \right\}.$$

Note that, a priori, definition (2) may depend on  $p$  and, more precisely, we should use the notation  $TV_p(u, \Omega)$  instead of  $TV(u, \Omega)$ . However, the representation formulas for  $TV(u, \Omega)$  given in this paper turn out to be independent of  $p$ , and, surprisingly, it can be shown that, for certain classes of functions  $u$ , *weak* convergence in  $W^{1, p}(\Omega; \mathbb{R}^n)$  may be equivalently replaced by *strong* convergence (see (26)). Similar definitions may be proposed under other summability assumptions on  $u$ .

This approach has been considered by Marcellini [45], Giaquinta, Modica and Souček [35], [36], Fonseca and Marcellini [27], Bouchitté, Fonseca and Malý [8]. In particular, Marcellini [45] and Fonseca and Marcellini [27] noticed that the total variation of the Jacobian determinant may have a nonzero singular part, while Bouchitté, Fonseca and Malý [8] proved that this singular part is a measure. Giaquinta, Modica and Souček [35], [36] found that the lower limit in (2) can be different from the total variation of the measure  $\text{Det } Du$ . More comments and references are given in Section 4.

Notice that it has been first noted by Malý [41] and by Giaquinta, Modica and Souček [35] (see also Jerrard and Soner [40]) that, for some maps  $u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1, p}(\Omega; \mathbb{R}^n)$  with  $p \in (n-1, n)$ , it may happen that the distribution  $\text{Det } Du$  is *identically equal* to zero while the *total variation* of the Jacobian determinant is *different* from zero. Also, when  $\text{Det } Du$  is a *measure*, it turns out that, in general, the total variation of the Jacobian determinant  $\det Du(x)$  is *not* the total variation of the measure  $\text{Det } Du$ . Some precise (from a quantitative point of view) examples illustrating this phenomenon are proposed in Section 10. In Section 9 we compute the total variation of a class of singular maps  $u : \Omega \rightarrow S^{n-1} \subset \mathbb{R}^n$ , playing a central role in the analysis of Jerrard and Soner [40], defined by

$$u(x) := \frac{w(x) - w(0)}{|w(x) - w(0)|}, \quad (3)$$

where  $w : \Omega \rightarrow \mathbb{R}^n$  is a locally Lipschitz-continuous map, classically differentiable at  $x = 0$  and such that  $\det Dw(0) \neq 0$ . We find that the total variation of the Jacobian determinant of  $u$  in  $\Omega$  (an open set of  $\mathbb{R}^n$  containing the origin) is equal to the measure  $\omega_n$  of the unit ball.

The aim of this paper is to give an explicit characterization of the total variation  $TV(u, \Omega)$  of the Jacobian determinant  $\det Du(x)$ , defined in (2), for some classes of functions  $u \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n)$  with  $p > n - 1$ , in particular for those  $u$  locally Lipschitz-continuous away from a given point  $x_0 \in \Omega$  (and thus with the Jacobian determinant  $\det Du$  possibly singular only at  $x_0$ ).

Statements of the main results are given in the following Section 2. In Section 3 we relate the notion of total variation of the Jacobian determinant to the *topological degree*. A relevant *geometrical interpretation* is given by Corollary 16 of Section 3. In particular, denoting by  $B_1$  the unit ball of  $\mathbb{R}^n$  and by  $S^{n-1} := \partial B_1$  its boundary, we prove that, if  $v : S^{n-1} \rightarrow S^{n-1}$  is a map of class  $C^1$  onto  $S^{n-1}$ , locally invertible with local inverse of class  $C^1$  at any point of  $S^{n-1}$ , and if  $u : B_1 \setminus \{0\} \rightarrow S^{n-1}$  is defined by  $u(x) := v\left(\frac{x}{|x|}\right)$ , then the total variation  $TV(u, B_1)$  of the Jacobian determinant of  $u$  may be expressed in terms of the *topological degree* of the maps  $v$  and  $\tilde{v}$ , where  $\tilde{v} : B_1 \rightarrow B_1$  is any *Lipschitz-continuous extension* of  $v$  to the unit ball  $B_1$ . Precisely,

$$TV(u, B_1) = \omega_n |\deg v| = \omega_n |\deg \tilde{v}|. \quad (4)$$

Note that formula (4) *does not hold*, in general, if the map  $v : S^{n-1} \rightarrow \mathbb{R}^n$  takes values on a set  $v(S^{n-1})$  not diffeomorphic to  $S^{n-1}$  (see Theorem 4 and the examples of Section 10).

Section 4 is dedicated to explaining how the study of the total variation  $TV(u, \Omega)$  fits squarely within the framework of *relaxation problems with nonstandard growth conditions*. In Section 5 we present a thorough study of the 2-d case, which plays a very special role. In fact, in two dimensions we are able to perform a deeper analysis and to find more general assumptions which allow us to characterize fully the total variation  $TV(u, \Omega)$ . In particular, it is possible to identify  $TV(u, \Omega)$  of maps  $u : B_1 \subset \mathbb{R}^2 \rightarrow \Gamma$ , with values on a set  $\Gamma$  which is the *boundary* of a simply connected domain  $D \subset \mathbb{R}^2$ , starshaped with respect to a point  $\xi$  in the *interior* of  $D$  (for example, when  $\Gamma = S^1$  is the boundary of the unit ball  $B_1$ ). We emphasize Lemma 23, which we call “*the umbrella lemma*”, and which plays a crucial role in our argument, as explained in Section 5.

In Section 7 we move on to the general  $n$ -dimensional framework, and in Section 8 we apply the results thus obtained to the study of relaxation of *polyconvex functionals*. Indeed, we provide an explicit representation formula for the related energy associated to the *polyconvex integral functional*

$$F(u, \Omega) := \int_{\Omega} g(M(Du)) \, dx, \quad (5)$$

where  $g : \mathbb{R}^N \rightarrow [0, +\infty)$  is a *convex function*,  $M(Du)$  is the map with values in  $\mathbb{R}^N$ ,  $N = \sum_{j=1}^n \binom{n}{j}^2$ , defined by

$$M(Du) := (Du, \text{adj}_2 Du, \dots, \text{adj}_{n-1} Du, \det Du),$$

and where  $\text{adj}_j Du$  denotes, for every  $j = 2, \dots, n-1$ , the matrix of all *minors*  $j \times j$  of  $Du$ .

Finally, in Section 9 we study in detail  $TV(u, \Omega)$  when  $u$  is as in (3). Additional 2-dimensional and 3-dimensional examples are proposed in Section 10. The special, but representative, case analyzed in Section 6 concerns maps  $u : B_1 \subset \mathbb{R}^2 \rightarrow \gamma = \gamma^+ \cup \gamma^-$ , where  $\gamma$  is the “*eight curve*”, i.e., the union of the two tangent circles  $\gamma^\pm = \left\{ (x_1, x_2) \in \mathbb{R}^2 : (x_1 \mp 1)^2 + (x_2)^2 = 1 \right\}$  in  $\mathbb{R}^2$ . In particular, we show (see Theorem 4 and Section 10) that in general formula (4), which relates the total variation  $TV(u, \Omega)$  of the Jacobian determinant with the topological degree, does not hold if the map  $u : B_1 \subset \mathbb{R}^2 \rightarrow \gamma$  takes values on the “*eight curve*”  $\gamma$ .

## 2 Statement of the main results

In this section we state several representation formulas for the total variation  $TV(u, \Omega)$  of the Jacobian determinant, defined in (2). We consider first the 2-d case in detail, and in the second part of this section we describe the general  $n$ -dimensional case.

The reason why we focus specifically on the case  $n = 2$  is twofold: the assumptions needed are more general than in the case  $n \geq 2$ ; we believe that it is easier to follow the main ideas of this paper, by dissociating the technical aspects from the methods.

In order to fix the notations, here we consider  $x_0 = 0$  and  $\Omega \subset \mathbb{R}^2$  is an open set containing the origin. With an obvious abuse of notation, we write  $u(x) = u(x_1, x_2) = u(\rho, \vartheta)$ , where  $(\rho, \vartheta)$ ,  $\rho \geq 0$ ,  $0 \leq \vartheta \leq 2\pi$ , are the *polar coordinates* in  $\mathbb{R}^2$ . We also denote by  $D_\tau u$  the *tangential derivative* of  $u$  (in the  $\tau = (-\sin \vartheta, \cos \vartheta)$  direction), which is related to the (vector-valued) derivative  $u_\vartheta$  by the formula

$$u_\vartheta =: \frac{\partial u(\rho \cos \vartheta, \rho \sin \vartheta)}{\partial \vartheta} = \rho[-u_{x_1} \sin \vartheta + u_{x_2} \cos \vartheta] = \rho D_\tau u.$$

We denote by  $v : [0, 2\pi] \rightarrow \Gamma \subset \mathbb{R}^2$  a Lipschitz-continuous map, with  $v(0) = v(2\pi)$ , with components  $v(\vartheta) = (v^1(\vartheta), v^2(\vartheta))$ , and with values on a curve  $\Gamma \supseteq v([0, 2\pi])$ . We assume that  $\Gamma$  can be parametrized in the following way

$$\Gamma = \{\xi + r(\vartheta)(\cos \vartheta, \sin \vartheta) : \vartheta \in [0, 2\pi]\}, \quad (6)$$

where  $r(\vartheta)$  is a piecewise  $C^1$ -function such that  $r(0) = r(2\pi)$ , and  $r(\vartheta) \geq r_0$  for every  $\vartheta \in [0, 2\pi]$  and for some  $r_0 > 0$ . Condition (6) reduces to saying that  $\Gamma$  is the boundary of a domain

$$D := \{\xi + \rho(\cos \vartheta, \sin \vartheta) : \vartheta \in [0, 2\pi], 0 \leq \rho \leq r(\vartheta)\}, \quad (7)$$

*starshaped* with respect to a point  $\xi$  in the *interior* of  $D$ . In Section 5 we prove the following result.

**Theorem 1 (General result in 2-d)** *Let  $u$  be a function of class  $W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  for some  $p \in (1, 2)$ . Let  $v : [0, 2\pi] \rightarrow \Gamma$ ,  $v(\vartheta) = (v^1(\vartheta), v^2(\vartheta))$ ,  $\vartheta \in [0, 2\pi]$ , be a Lipschitz-continuous map, with  $v(0) = v(2\pi)$  and  $\Gamma$  as in (6), and such that*

$$\lim_{\varrho \rightarrow 0} \|u(\varrho, \cdot) - v(\cdot)\|_{L^\infty((0, 2\pi); \mathbb{R}^2)} = 0. \quad (8)$$

*If the tangential derivative  $D_\tau u$  of  $u$  satisfies the bound*

$$\sup_{\varrho > 0} \frac{1}{\varrho^{2-p}} \int_{B_\varrho} |D_\tau u|^p dx = \sup_{\varrho > 0} \frac{1}{\varrho^{2-p}} \int_0^\varrho r^{1-p} dr \int_0^{2\pi} |u_\vartheta(r, \vartheta)|^p d\vartheta \leq M_0 \quad (9)$$

*for a positive constant  $M_0$ , then the total variation of  $u$  is given by*

$$TV(u, \Omega) = \int_\Omega |\det Du(x)| dx + \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta)v_\vartheta^2(\vartheta) - v^2(\vartheta)v_\vartheta^1(\vartheta)\} d\vartheta \right|.$$

Note that, by (8), there exists  $r > 0$  such that  $B_r \subset \Omega$  and  $u \in L^\infty(B_r; \mathbb{R}^2)$ . Therefore in the statement of Theorem 1 we have in fact  $u \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^2) \cap W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  for some  $p \in (1, 2)$ . Moreover, the assumption of Lipschitz-continuous of  $v$  can be replaced by the weaker assumption that  $v \in W^{1,p}((0, 2\pi); \mathbb{R}^2)$ ; however we shall not discuss this latter case in details.

Consider the particular case in which the map  $u = u(\rho, \vartheta)$  does not depend on  $\rho$ , that is  $u = u(\vartheta)$ . Then, as a function of  $\vartheta$ ,  $u = u(\vartheta) : [0, 2\pi] \rightarrow \mathbb{R}^2$  is a Lipschitz-continuous map and  $u(0) = u(2\pi)$ . Considered as a function of two variables, i.e.,  $u : \Omega = B_1 \rightarrow \mathbb{R}^2$  constant with respect to  $\rho \in (0, 1]$ , it turns out that  $u \in L^\infty(\Omega; \mathbb{R}^2) \cap W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  for every  $p \in [1, 2)$ , but  $u \notin W^{1,2}(\Omega; \mathbb{R}^2)$  unless  $u(\vartheta)$  is constant.

From the previous result, with  $u = v$ , we immediately obtain the following consequence.

**Corollary 2 (Radially independent maps in 2–d)** *Let  $\Gamma$  be as in (6), and let  $u = v : [0, 2\pi] \rightarrow \Gamma$  be a Lipschitz-continuous map such that  $v(0) = v(2\pi)$ . Then  $\det Du(x) = 0$  for almost every  $x \in \mathbb{R}^2$  and the total variation of the Jacobian determinant is given by*

$$TV(u, \Omega) = \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (10)$$

We observe that formula (10) has a relevant geometrical meaning; in fact the right hand side represents the “winding number” of the curve  $v = (v^1, v^2)$ . See Section 3 for a further discussion on the geometric interpretation of (10).

With the aim to compare the previous result with the  $n$ –dimensional results given below, we present the following equivalent formulation of Corollary 2.

**Corollary 3 (Analytic interpretation in 2–d)** *Let  $\Gamma$  be as in (6), and let  $v : [0, 2\pi] \rightarrow \Gamma$  be a Lipschitz-continuous map such that  $v(0) = v(2\pi)$ . Then the total variation  $TV(u, \Omega)$  is given by*

$$TV(u, \Omega) = \left| \int_{B_1} \det D\tilde{u}(x) dx \right|, \quad (11)$$

where  $\tilde{u} : B_1 \rightarrow \mathbb{R}^2$  is any Lipschitz-continuous extension of  $v$  to  $B_1$ .

Note the surprising fact that the integral in the right hand side of (11) (and in (10) as well) appears with the absolute value *outside* the integral sign, and not *inside*!

Another relevant 2–dimensional result is related to the “eight” curve in  $\mathbb{R}^2$ , i.e., to the union  $\gamma$  of the two circles  $\gamma^+, \gamma^-$ , of radius 1 with centers at  $(1, 0)$  and at  $(-1, 0)$  respectively. Some explicit examples related to the “eight” curve are given in Section 10. Below we present two *estimates*, an upper bound and a lower bound, which will allow us to study the examples in Section 10. However, we stress the fact that some cases related to the “eight” curve remain unsolved (see Remark 5 below). The following Theorem is proved in Section 6.

**Theorem 4 (The “eight” curve)** *Let  $\gamma = \gamma^+ \cup \gamma^- \subset \mathbb{R}^2$  be the union of the two circles of radius 1 with centers at  $(1, 0)$  and at  $(-1, 0)$ . Let  $v : [0, 2\pi] \rightarrow \gamma$  be a Lipschitz-continuous curve, with parametric representation  $v(\vartheta) = (v^1(\vartheta), v^2(\vartheta))$ ,  $\vartheta \in [0, 2\pi]$ , such that  $v(0) = v(2\pi)$ . Let  $(I_j)_{j \in \mathbb{N}}$  be a sequence of disjoint open intervals (possibly empty) of  $[0, 2\pi]$  such that the image  $v(I_j)$  is contained either in  $\gamma^+$  or in  $\gamma^-$ , and  $v(\vartheta) = (0, 0)$  when  $\vartheta \notin \cup_{j \in \mathbb{N}} I_j$ . Then, with  $u(x) := v(x/|x|)$ , the following upper estimate holds*

$$TV(u, B_1) \leq \frac{1}{2} \sum_{j \in \mathbb{N}} \left| \int_{I_j} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (12)$$

For the lower estimate, we denote by  $I_j^+$ , with the + sign, any previous interval  $I_j$  such that  $v(I_j) \subset \gamma^+$ , and by  $I_k^-$  any previous interval  $I_k$  such that  $v(I_k) \subset \gamma^-$ . Then we also have

$$TV(u, B_1) \geq \frac{1}{2} \left\{ \left| \sum_{j \in \mathbb{N}} \int_{I_j^+} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right| + \left| \sum_{k \in \mathbb{N}} \int_{I_k^-} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right| \right\}. \quad (13)$$

**Remark 5** *If the curve  $v : [0, 2\pi] \rightarrow \gamma = \gamma^+ \cup \gamma^-$  admits only two intervals  $I_1^+$  and  $I_2^-$  where respectively  $v(I_1^+) \subset \gamma^+$ ,  $v(I_2^-) \subset \gamma^-$ , then the above estimates for  $TV(u, B_1)$  are in fact equalities. The same happens if the intervals are three, say  $I_1^+$ ,  $I_2^-$  and  $I_3^+$ ; in fact this case can be reduced to the previous one by periodicity. If the intervals are four, say  $I_1^+$ ,  $I_2^-$ ,  $I_3^+$  and  $I_4^-$ , then we may have a gap between the lower bound and the upper bound stated in Theorem 4, unless the integral of  $v^1 v_\vartheta^2 - v^2 v_\vartheta^1$  has the same sign, respectively in  $I_1^+$ ,  $I_3^+$  and in  $I_2^-$ ,  $I_4^-$ . These considerations are utilized to study some of the examples of Section 10.*

Moving to the  $n$ -dimensional case, we first establish by Theorem 6 a general inequality between the total variation of the distributional determinant  $\text{Det } Du$  (see (1)), that we denote by  $|\text{Det } Du|(\Omega)$ , and the total variation  $TV(u, \Omega)$  of the Jacobian, defined in (2). Note that, in the first half of the statement of the next theorem, we *do not* assume that  $u \in W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$ , while in the second half, we require that  $u \in W_{\text{loc}}^{1,n}(\Omega \setminus \{0\}; \mathbb{R}^n)$ .

**Theorem 6 (Comparison between  $|\text{Det } Du|(\Omega)$  and  $TV(u, \Omega)$ )** *Let  $p > n-1$  and let  $u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n)$ . If  $TV(u, \Omega) < +\infty$ , then  $TV(u, \cdot)$  and  $\text{Det } Du$  are finite Radon measures,  $\text{det } Du \in L^1(\Omega)$ , and*

$$TV(u, A) = \int_A |\text{det } Du(x)| \, dx + \lambda_s(A) , \quad (14)$$

$$\text{Det } Du(A) = \int_A \text{det } Du(x) \, dx + \mu_s(A) , \quad (15)$$

for every open set  $A \subset \Omega$ , where  $\lambda_s, \mu_s$ , are finite Radon measures, singular with respect to the Lebesgue measure  $\mathcal{L}^n$ , and  $|\mu_s| \leq \lambda_s$ , i.e., for every open set  $A \subset \Omega$ ,

$$|\text{Det } Du|(A) \leq TV(u, A) . \quad (16)$$

If, in addition,  $u \in W_{\text{loc}}^{1,n}(\Omega \setminus \{0\}; \mathbb{R}^n)$ , then  $\lambda_s = \lambda \delta_0$ ,  $\mu_s = \mu \delta_0$ , for some constants  $\lambda \geq 0$ ,  $\mu \in \mathbb{R}$ , with  $|\mu| \leq \lambda$ , where  $\delta_0$  is the Dirac mass at the origin.

The proof of Theorem 6 is presented at the end of Section 4. We note that one of the main contributions of this paper is the identification of the *defect constants*  $\lambda \geq 0$ ,  $\mu \in \mathbb{R}$ . However, the arguments used in this work are self contained and independent of the tools and techniques needed to establish Theorem 6.

Let us denote by  $B_r$  the ball in  $\mathbb{R}^n$ ,  $n \geq 2$ , with center in 0 and radius  $r > 0$ . In particular,  $B_1$  is the ball of radius  $r = 1$  and  $\partial B_1 = S^{n-1}$  is its boundary.

We call the attention of the reader to the fact that, in dealing with the general  $n$ -dimensional case, we denote by  $v$  a map from  $S^{n-1}$  into  $\mathbb{R}^n$ , while in 2-d  $v = v(\vartheta)$  does not denote a map from  $S^1$  into  $\mathbb{R}^2$ , but instead a periodic function from  $[0, 2\pi]$  into  $\mathbb{R}^2$ . Therefore, if  $\bar{v}$  is the corresponding map from  $S^1$  into  $\mathbb{R}^2$ , then we have  $v(\vartheta) = \bar{v}(\cos \vartheta, \sin \vartheta)$ .

Let  $\omega_0 \in S^{n-1}$  be fixed. For every  $j \in \{1, 2, \dots, n-1\}$  let  $\tau_j : S^{n-1} \setminus \{\omega_0\} \rightarrow \partial B_1$  by a vector field of class  $C^1$  such that, for every  $x \in S^{n-1} \setminus \{\omega_0\}$ , the set of vectors  $\{\tau_1(\omega), \tau_2(\omega), \dots, \tau_{n-1}(\omega)\}$  is an *orthonormal basis* for the tangent plane to the surface  $\partial B_1$  at the point  $\omega$ .

The following theorem provides a general *representation formula* for the total variation of the distributional determinant  $|\text{Det } Du|(\Omega)$ . Note that, under the assumption  $u \in W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$ , by formula (19) we give a representation of the total variation of the singular measure  $\mu_s$  in (14).

**Theorem 7 (Total variation of the distributional determinant)** *Let  $n \geq 2$  and let  $\Omega$  be an open set containing the origin. Let  $u \in W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n-1, n)$ . Let  $v : \partial B_1 = S^{n-1} \rightarrow \mathbb{R}^n$ ,  $v \in W^{1,\infty}(S^{n-1}; \mathbb{R}^n)$ ,  $v = (v^1, v^2, \dots, v^n)$ , be a Lipschitz-continuous map such that*

$$\lim_{\varrho \rightarrow 0^+} \max \{ |u(\varrho\omega) - v(\omega)| : \omega \in S^{n-1} \} = 0. \quad (17)$$

Let us assume that

$$\sup_{\varrho > 0} \frac{1}{\varrho^{n-p}} \int_{B_\varrho} |D_\tau u|^p dx \leq M_0 \quad (18)$$

for a positive constant  $M_0$ . If  $\det Du \in L^1(\Omega)$  then  $\text{Det } Du$  is a Radon measure and its total variation  $|\text{Det } Du|$  is given by

$$|\text{Det } Du|(\Omega) = \int_\Omega |\det Du(x)| dx \quad (19)$$

$$+ \frac{1}{n} \left| \int_{\partial B_1} \sum_{i=1}^n (-1)^{i+1} v^i(\omega) \frac{\partial(v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1} \right|.$$

Moreover, if we denote by  $\tilde{u} : B_1 \rightarrow \mathbb{R}^n$  any Lipschitz-continuous extension of  $v$  to  $B_1$ , then

$$|\text{Det } Du|(\Omega) = \int_\Omega |\det Du(x)| dx + \left| \int_{B_1} \det D\tilde{u}(x) dx \right|. \quad (20)$$

By assumption (17) there exists  $r > 0$  such that  $u \in L^\infty(B_r; \mathbb{R}^2)$ . Thus, in the statement of Theorem 7 (and in Theorem 10 below), we actually have that  $u$  is a function of class  $L_{\text{loc}}^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n-1, n)$ .

**Remark 8** *A simple calculation shows that in 2-d the last term on the right hand side of (19) reduces to*

$$\frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta \right|,$$

where  $v : [0, 2\pi] \rightarrow \mathbb{R}^2$  is the asymptotic limit map in (8). Indeed, denoting by  $\bar{v} : S^1 \rightarrow \mathbb{R}^2$  the map related to  $v$  through the condition  $v(\vartheta) := \bar{v}(\cos \vartheta, \sin \vartheta)$ , we have

$$\frac{dv^i}{d\vartheta} = \frac{\partial \bar{v}^i}{\partial x_1} (-\sin \vartheta) + \frac{\partial \bar{v}^i}{\partial x_2} \cos \vartheta, \quad i = 1, 2,$$

and, since the unit tangent vector  $\tau : [0, 2\pi] \rightarrow \partial B_1$  can be represented by  $\tau(\vartheta) = (-\sin \vartheta, \cos \vartheta)$ , we obtain

$$\frac{dv^i}{d\vartheta} = \frac{\partial \bar{v}^i}{\partial \tau}, \quad i = 1, 2.$$

With the notation  $\omega = \left( \frac{x_1}{|x|}, \frac{x_2}{|x|} \right) = (\cos \vartheta, \sin \vartheta) \in \partial B_1 = S^1$ , we finally have

$$\int_0^{2\pi} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta = \int_0^{2\pi} \left\{ \bar{v}^1 \frac{\partial \bar{v}^2}{\partial \tau} - \bar{v}^2 \frac{\partial \bar{v}^1}{\partial \tau} \right\} d\vartheta$$



$$= \int_{\partial B_1} \sum_{i=1}^2 (-1)^{i+1} \bar{v}^i(\omega) \frac{d\bar{v}^i}{d\tau}(\omega) dH^1.$$

Therefore (19) in 2-d becomes

$$|\text{Det } Du|(\Omega) = \int_{\Omega} |\det Du(x)| dx + \frac{1}{2} \left| \int_0^{2\pi} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta \right|,$$

and the conclusion of Theorem 1 now can be restated in the form

$$TV(u, \Omega) = |\text{Det } Du|(\Omega).$$

**Remark 9** In the case of the “eight” curve studied by Theorem 4, with  $v : [0, 2\pi] \rightarrow \gamma = \gamma^+ \cup \gamma^- \subset \mathbb{R}^2$  and  $u(x) = v(x/|x|)$ , we have

$$\begin{aligned} TV(u, B_1) &\geq \frac{1}{2} \left\{ \left| \sum_{j \in \mathbb{N}} \int_{I_j^+} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta \right| + \left| \sum_{k \in \mathbb{N}} \int_{I_k^-} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta \right| \right\} \\ &\geq \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} d\vartheta \right| = |\text{Det } Du|(B_1). \end{aligned} \quad (21)$$

Therefore, as in the general case (see Theorem 6 and (16) in particular),  $TV(u, B_1) \geq |\text{Det } Du|(B_1)$ . Moreover, in view of the inequalities in (21), we can easily find an example such that the strict inequality  $TV(u, B_1) > |\text{Det } Du|(B_1)$  holds. See Section 10.

Next we state the main result for the  $n$ -d case, analogous to Theorem 1. The proof of the theorem may be found in Section 7.

**Theorem 10 (General result in  $n$ -d)** Let  $n \geq 2$  and let  $\Omega$  be an open set containing the origin. Let  $u \in W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n-1, n)$  and let  $v \in W^{1,\infty}(S^{n-1}; \mathbb{R}^n)$  satisfying (17) and (18). If  $\det Du \notin L^1(\Omega)$  then  $TV(u, \Omega) = +\infty$ . If  $\det Du \in L^1(\Omega)$ , then the total variation of the distributional determinant  $|\text{Det } Du|(\Omega)$  is given by (19) and  $TV(u, \Omega) \geq |\text{Det } Du|(\Omega)$ . Moreover, if the quantity

$$\sum_{i=1}^n (-1)^{i+1} v^i \frac{\partial(v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})} \quad (22)$$

has constant sign  $H^{n-1}$ -almost everywhere on  $\partial B_1$ , then

$$TV(u, \Omega) = |\text{Det } Du|(\Omega). \quad (23)$$

In Section 9 we apply Theorem 10 to calculate explicitly the total variation of the singular map  $u : \Omega \setminus \{0\} \rightarrow \mathbb{R}^n$ ,  $u(x) = \frac{w(x) - w(0)}{|w(x) - w(0)|}$ , where  $w$  is a map differentiable at  $x = 0$ , with  $\det Dw(0) \neq 0$ , to obtain  $TV(u, \Omega) = |B_1| = \omega_n$ .

**Remark 11** We conjecture that formula (23) holds independently of the sign condition (22) for a certain subclass of mappings  $u$  with asymptotic limit  $v$  at  $x = 0$ , in particular if  $v : S^{n-1} \rightarrow \mathbb{R}^n$  takes values in  $S^{n-1}$ . Theorem 1 above asserts that this conjecture is true in the 2-dimensional case, and when  $v(S^1)$  is the set  $\Gamma$  in (6), boundary of a starshaped set. With the Example 48 we propose a 3-d case where the conjecture is also true. However, if  $v(S^{n-1})$  is not diffeomorphic to  $S^{n-1}$ , as in the case of the “eight” curve considered in Theorem 4 (see also the examples of Section 10), then the representation formula for  $TV(v, B_1)$  should take into account the topology of  $v(S^{n-1})$ .

As further applications of Theorem 10, now we consider radially independent maps  $u : \Omega \rightarrow \mathbb{R}^n$ , defined through a Lipschitz-continuous map  $v : S^{n-1} \rightarrow \mathbb{R}^n$  by the position

$$u(x) := v\left(\frac{x}{|x|}\right), \quad \forall x \in B_1 \setminus \{0\}.$$

Clearly  $u \in W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for every  $p \in [1, n)$ , but  $u \notin W^{1,n}(\Omega; \mathbb{R}^n)$  unless  $v$  is a constant function. We obtain immediately from Theorem 10 the following result.

**Corollary 12 (Radially independent maps)** *Let  $v : \partial B_1 = S^{n-1} \rightarrow \mathbb{R}^n$ ,  $v = (v^1, v^2, \dots, v^n)$ , be a Lipschitz-continuous map. For every open set  $\Omega$  containing the origin we consider the map  $u : \Omega \rightarrow \mathbb{R}^n$ , defined by  $u(x) := v(x/|x|)$  for  $x \in \Omega \setminus \{0\}$ . For every  $p \in (n-1, n)$  the total variation of the Jacobian of  $u$  is given by*

$$TV(u, \Omega) = \frac{1}{n} \left| \int_{\partial B_1} \sum_{i=1}^n (-1)^{i+1} v^i(\omega) \frac{\partial(v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1} \right|, \quad (24)$$

provided the quantity (22) has constant sign  $H^{n-1}$ -almost everywhere on  $\partial B_1$ .

The following result is similarly to Corollary 3, valid in the 2-d case.

**Corollary 13 (Analytic interpretation in  $n$ -d)** *Let  $v : S^{n-1} \rightarrow \mathbb{R}^n$  be a Lipschitz-continuous map, let  $\Omega$  be an open set containing the origin, and let  $u : \Omega \rightarrow \mathbb{R}^n$  be defined by  $u(x) := v(x/|x|)$  for  $x \in \Omega \setminus \{0\}$ . Denote by  $\tilde{u} : B_1 \rightarrow \mathbb{R}^n$  the Lipschitz-continuous extension of  $v$  to  $B_1$  given by  $\tilde{u}(0) = 0$  and*

$$\tilde{u}(x) := |x| \cdot v\left(\frac{x}{|x|}\right), \quad \forall x \in B_1 \setminus \{0\}.$$

If the Jacobian  $\det D\tilde{u}(x)$  has constant sign  $H^{n-1}$ -almost everywhere on  $B_1$ , then

$$TV(u, \Omega) = \left| \int_{B_1} \det D\tilde{u}(x) dx \right|. \quad (25)$$

**Remark 14** *Let us assume that  $v : S^{n-1} \rightarrow S^{n-1}$  is a map of class  $C^1$  onto  $S^{n-1}$ , locally invertible with  $C^1$  local inverse at any point of  $S^{n-1}$ . If  $\tilde{u}$  is defined as before by  $\tilde{u}(x) = |x| \cdot v(x/|x|)$ , then also  $\tilde{u} : B_1 \rightarrow B_1$  is a map of class  $C^1$  and it is locally invertible with  $C^1$  local inverse at any point of  $B_1 \setminus \{0\}$ . Then the assumption of Corollary 13 is satisfied. Indeed,  $\det D\tilde{u}(x) \neq 0$  for every  $x \in B_1 \setminus \{0\}$  and, by continuity,  $\det D\tilde{u}(x)$  has constant sign in  $B_1 \setminus \{0\}$ . We also notice that, by (101) of Lemma 39, when  $\eta(t) = t$  we have*

$$\det D\tilde{u}(x) = \sum_{i=1}^n (-1)^{i+1} v^i\left(\frac{x}{|x|}\right) \frac{\partial(v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})}\left(\frac{x}{|x|}\right),$$

therefore the sign assumption in Corollary 13 is equivalent to the sign assumption of Theorem 10.

A final remark about the definition (2) of the total variation  $TV(u, \Omega)$  of the Jacobian determinant  $\det Du(x)$ . As before, consider  $u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p > n-1$ . The definition in (2) of  $TV(u, \Omega)$  is based on the convergence of a generic sequence  $\{u_h\}_{h \in \mathbb{N}} \subset W^{1,n}(\Omega; \mathbb{R}^n)$  to  $u$  in

the *weak topology* of  $W^{1,p}(\Omega; \mathbb{R}^n)$ . Instead, we could consider the *strong norm topology* and give the following definition of  $TV^s(u, \Omega)$ :

$$TV^s(u, \Omega) = \inf \left\{ \liminf_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| \, dx : \right. \quad (26)$$

$$\left. u_h \rightarrow u \text{ strongly in } W^{1,p}(\Omega; \mathbb{R}^n), u_h \in W^{1,n}(\Omega; \mathbb{R}^n) \right\}.$$

Clearly we have

$$TV(u, \Omega) \leq TV^s(u, \Omega), \quad \forall u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n). \quad (27)$$

However it is interesting, and somewhat surprising, to observe that Theorems 1, 4 and 10 (as well as Corollaries 2 and 12) still hold if we replace  $TV(u, \Omega)$  by  $TV^s(u, \Omega)$ . In particular, under the assumptions of Theorems 1 and 10 we have indeed

$$TV(u, \Omega) = TV^s(u, \Omega), \quad (28)$$

for every open set  $\Omega \subset \mathbb{R}^n$ , and for every  $u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n)$  with  $p > n - 1$ .

### 3 Geometrical interpretation

In this section we give a geometrical interpretation of the results stated in Section 2, by means of the notion of topological degree of maps between manifolds.

We recall that if  $w : \Omega \rightarrow \mathbb{R}^n$  is a Lipschitz-continuous map, then the *topological degree of the map  $w$  at a point  $y \in \mathbb{R}^n$*  is

$$\deg(w, \Omega, y) := \sum_{x \in w^{-1}(y) \cap A(w)} \text{sign}(\det Dw(x)),$$

where  $A(w) = \{x \in \Omega : w \text{ is differentiable at } x\}$ . The *degree of the map  $w$  in the set  $\Omega$* , denoted by  $\deg w$ , is

$$\begin{aligned} \deg w &:= \frac{1}{|w(\Omega)|} \int_{w(\Omega)} \sum_{x \in w^{-1}(y) \cap A(w)} \text{sign}(\det Dw(x)) \, dy, \\ &= \frac{1}{|w(\Omega)|} \int_{w(\Omega)} \sum_{x \in w^{-1}(y)} \text{sign}(\det Dw(x)) \, dy \end{aligned} \quad (29)$$

(above we used the fact that, since  $w$  is a Lipschitz-continuous map, then the measure of the sets  $\Omega \setminus A(w)$  and of its image  $w(\Omega \setminus A(w))$  are equal to zero). See the books by Giaquinta, Modica and Souček [36] and by Fonseca and Gangbo [24] for more details.

It is well known that

$$\int_{\Omega} \det Dw(x) \, dx = \int_{w(\Omega)} \deg(w, \Omega, y) \, dy,$$

and thus

$$\deg w = \frac{1}{|w(\Omega)|} \int_{\Omega} \det Dw(x) \, dx. \quad (30)$$

Using of the symbol  $\#$  to denote the *cardinality* of the set, we have

$$\int_{\Omega} |\det Dw(x)| dx = \int_{w(\Omega)} \# \{x \in \Omega : w(x) = y\} dy. \quad (31)$$

For our purposes it is also useful to recall the definition of *degree of a map*  $v : S^{n-1} \rightarrow S^{n-1}$ ,  $v$  onto  $S^{n-1}$ . To this aim let us denote by  $T_{\omega}$  the tangential plane to  $S^{n-1}$  at the point  $\omega \in S^{n-1}$ . If  $v$  is Lipschitz-continuous, then for  $H^{n-1}$ -a.e.  $\omega \in S^{n-1}$  the differential  $dv_{\omega} : T_{\omega} \rightarrow T_{v(\omega)}$  exists. Similarly to the Euclidian case (29), the degree of  $v$  is defined by (see Chapter 5 of the book by Milnor [47])

$$\deg v := \frac{1}{n\omega_n} \int_{S^{n-1}} \sum_{\omega \in v^{-1}(\sigma)} \text{sign}(\det dv_{\omega}) dH_{\sigma}^{n-1}, \quad (32)$$

where, with an obvious abuse of notation, we denote by  $dv_{\omega}$  also the  $(n-1) \times (n-1)$  matrix representing the differential with respect to two fixed bases in  $T_{\omega}$  and  $T_{v(\omega)}$ . Using again the area formula for maps between manifolds, as in (30) we get

$$\deg v = \frac{1}{n\omega_n} \int_{S^{n-1}} \det dv_{\omega} dH_{\omega}^{n-1}. \quad (33)$$

Fix  $\omega_0 \in \partial B_1$  and denote by  $\tau_j : S^{n-1} \setminus \{\omega_0\} \rightarrow \mathbb{R}^n$ , for  $j \in \{1, 2, \dots, n-1\}$ , a vector field of class  $C^1$  such that, for every  $x \in S^{n-1} \setminus \{\omega_0\}$ , the set of vectors  $\{\tau_1(x), \tau_2(x), \dots, \tau_{n-1}(x)\}$  is an orthonormal basis for the tangent plane to the surface  $S^{n-1}$  at the point  $x$ . The following representation formula (34) for  $\deg v$  holds.

**Theorem 15** *Let  $v : S^{n-1} \rightarrow S^{n-1}$  be a Lipschitz-continuous map onto  $S^{n-1}$ . Then, for  $H^{n-1}$ -a.e.  $\omega \in S^{n-1}$ , we have*

$$\det dv_{\omega} = \sum_{i=1}^n (-1)^{i+1} v^i(\omega) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega). \quad (34)$$

Theorem 15 is proved below in this section. We deduce from Theorem 15 and Corollary 12 the following consequence.

**Corollary 16 (Geometric interpretation)** *Let  $v : S^{n-1} \rightarrow S^{n-1}$  be a map of class  $C^1$  and onto, and let  $u : B_1 \setminus \{0\} \rightarrow S^{n-1}$  be defined by  $u(x) := v(x/|x|)$ . If  $dv_{\omega}$  is not singular at any  $\omega \in S^{n-1}$ , i.e., if  $v$  is locally invertible with  $C^1$  local inverse at any point of  $S^{n-1}$ , then*

$$TV(u, B_1) = \omega_n |\deg v| = \omega_n |\deg \tilde{v}|, \quad (35)$$

where  $\tilde{v} : B_1 \rightarrow \mathbb{R}^n$  is any Lipschitz-continuous extension of  $v$  to  $B_1$ .

**Remark 17** *In two dimensions the total variation  $TV(u, B_1)$  can be expressed in terms of the degree as in (35) under the sole assumption that  $v$  maps  $S^1$  into a simple curve enclosing a starshaped domain (see Corollary 2). However, as shown in Section 10, this is not true anymore if  $v$  maps  $S^1$  into a non-simple curve, such as the “eight” curve.*

**Proof of Theorem 15.** Fix  $\omega \in S^{n-1}$  and denote by  $\{\tau_1, \tau_2, \dots, \tau_{n-1}\}$ ,  $\{\sigma_1, \sigma_2, \dots, \sigma_{n-1}\}$ , two orthonormal bases for the tangent planes to  $T_{\omega}$  and  $T_{v(\omega)}$ , respectively. With respect to these two bases the linear map  $dv_{\omega} : T_{\omega} \rightarrow T_{v(\omega)}$  is represented by the  $(n-1) \times (n-1)$  matrix with coefficients

$$(dv_{\omega})_{ij} = \left\langle \sigma_j, \frac{\partial v}{\partial \tau_i}(\omega) \right\rangle.$$

Therefore the matrix  $dv_\omega$  is the product of  $A$  and  $B$ , where  $A$  is the  $(n-1) \times n$  matrix whose rows are  $\frac{\partial v}{\partial \tau_i}$  and  $B$  is the  $n \times (n-1)$  matrix whose columns are  $\sigma_j$ . By (iii) of Lemma 41 we have

$$\det dv_\omega = \sum_{i=1}^n \det X_{,i}(A) \cdot \det X_i(B),$$

where

$$\det X_{,i}(A) = \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega),$$

and in view of (114)

$$\det X_i(B) = (-1)^{i+1} \nu_i(v(\omega)),$$

where  $\nu_i(v(\omega))$  is the  $i$ -th component of the outward unit normal  $S^{n-1}$  at  $v(\omega)$ , i.e.,  $\nu_i(v(\omega)) = v^i(\omega)$ . This concludes the proof of (34). ■

**Proof of Corollary 16.** From the previous theorem we deduce that, if  $dv_\omega$  is not singular at  $\omega \in S^{n-1}$ , then  $\det dv_\omega \neq 0$ . Therefore, the right hand side of (34) is different from zero and has constant sign, since by assumption the map  $v$  is of class  $C^1$ . The first equality in (35) follows from Theorem 15 and (24). The second equality is consequence of (25) and of (30). ■

We conclude by giving a geometrical interpretation of some of the estimates given in this paper. In the following statement we use again of the symbol  $\#$  to denote the *cardinality* of a set.

**Theorem 18** *Let  $v : S^{n-1} \rightarrow S^{n-1}$  be a Lipschitz-continuous map and let  $u : B_1 \setminus \{0\} \rightarrow S^{n-1}$  be defined by  $u(x) := v(x/|x|)$ . The total variation  $TV(u, B_1)$  of the Jacobian of  $u$  can be estimated by*

$$TV(u, B_1) \geq \omega_n |\deg v|, \quad (36)$$

$$TV(u, B_1) \leq \frac{1}{n} \int_{\partial B_1} \# \{x \in S^{n-1} : v(x) = \omega\} dH_\omega^{n-1}. \quad (37)$$

**Proof.** Inequality (36) follows from inequality  $TV(u, B_1) \geq |\text{Det } Du|(B_1)$ , equality (19) of Theorem 7 on the representation of  $|\text{Det } Du|(B_1)$ , and formula (34) of Theorem 15.

To prove (37), we apply the estimate (108) and formula (31). Precisely, we denote by  $\tilde{v} : B_1 \rightarrow \mathbb{R}^n$  the extension of  $v$  defined by  $\tilde{v}(0) = 0$  and

$$\tilde{v}(x) := |x| \cdot v\left(\frac{x}{|x|}\right), \quad \forall x \in B_1 \setminus \{0\}.$$

Let  $\varrho_h \rightarrow 0^+$  and define

$$u_h(x) := \begin{cases} \frac{1}{\varrho_h} \tilde{v}(x), & \text{if } x \in B_{\varrho_h} \\ u(x) := v(x/|x|), & \text{if } x \in B_1 \setminus B_{\varrho_h} \end{cases}.$$

Clearly  $u_h \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^n)$  and, by (31),

$$\begin{aligned} TV(u, B_1) &\leq \liminf_{h \rightarrow +\infty} \int_{B_1} |\det Du_h(x)| dx = \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h}} \left| \det D \frac{1}{\varrho_h} \tilde{v}(x) \right| dx \\ &= \int_{B_1} |\det D\tilde{v}(x)| dx = \int_{\tilde{v}(B_1)} \# \{x \in B_1 : \tilde{v}(x) = y\} dy, \end{aligned}$$

and, since  $\tilde{v}(B_1) \subseteq B_1$ ,

$$\begin{aligned} TV(u, B_1) &\leq \int_{B_1} \# \left\{ x \in S^{n-1} : v(x) = \frac{y}{|y|} \right\} dy \\ &= \int_0^1 \varrho^{n-1} d\varrho \int_{\partial B_1} \# \{x \in S^{n-1} : v(x) = \omega\} dH_\omega^{n-1} = \frac{1}{n} \int_{\partial B_1} \# \{x \in S^{n-1} : v(x) = \omega\} dH_\omega^{n-1}. \end{aligned}$$

■

## 4 Det $Du$ versus $\det Du$

In this section we give a brief overview of relations between  $\text{Det } Du$ ,  $\det Du$  and  $TV(u, \Omega)$ . We recall that the *Jacobian*  $\det Du$  is given by

$$\det Du(x) := \frac{\partial(u^1, u^2, \dots, u^n)}{\partial(x_1, x_2, \dots, x_n)} = \sum_{i=1}^n \frac{\partial u^1}{\partial x_i} (\text{adj } Du)_1^i, \quad (38)$$

where  $\text{adj } Du$  stands for the *adjugate* of  $Du$ , i.e., the transpose of the matrix of cofactors of  $Du$ . It is clear that when  $u \in W_{\text{loc}}^{1,n}(\Omega; \mathbb{R}^n)$  then  $\det Du \in L_{\text{loc}}^1(\Omega)$ . However, it is well known that, within some ranges of lower regularity for  $u$ , it is still possible to introduce a new concept of determinant which agrees with  $\det Du$  when  $u \in W_{\text{loc}}^{1,n}(\Omega; \mathbb{R}^n)$ .

Consider the *distributional Jacobian determinant*, which, as usual, is denoted by  $\text{Det } Du$  capitalized, and is given by

$$\text{Det } Du := \sum_{i=1}^n (-1)^{i+1} \frac{\partial}{\partial x_i} \left( u^1 \frac{\partial(u^2, \dots, u^n)}{\partial(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)} \right) = \sum_{i=1}^n \frac{\partial}{\partial x_i} \left( u^1 (\text{adj } Du)_1^i \right). \quad (39)$$

Note that  $\text{Det } Du$  is a *distribution* when  $u \in W^{1,p}(\Omega; \mathbb{R}^n)$ ,  $\text{adj } Du \in L^q(\Omega; \mathbb{R}^{n \times n})$ , with  $1/p + 1/q \leq 1 + 1/n$  (in particular, when  $u \in W_{\text{loc}}^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p > n^2/(n+1)$ ), or when  $u \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,n-1}(\Omega; \mathbb{R}^n)$  (actually, it suffices to require that  $u^1 \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^n)$ , and that the vector field of derivatives  $(Du^2, Du^3, \dots, Du^n) \in L_{\text{loc}}^{n-1}(\Omega; \mathbb{R}^{(n-1) \times n})$ ). In the latter case, it is clear that the products in (39) are in  $L_{\text{loc}}^1(\Omega)$ . Also, if  $u \in W^{1,p}(\Omega; \mathbb{R}^n)$  and  $\text{adj } Du \in L^q(\Omega; \mathbb{R}^{n \times n})$  with  $1/p + 1/q \leq 1 + 1/n$ , then this integrability property still holds by virtue of Hölder's inequality together with the fact that  $1/q + 1/(p^*) \leq 1$  and, due to the Sobolev Embedding Theorem,  $u \in L_{\text{loc}}^{p^*}(\Omega; \mathbb{R}^n)$ .

For smooth functions the Jacobian determinant  $\det Du(x)$  and the distributional Jacobian determinant  $\text{Det } Du$  coincide. In fact, if  $u \in W^{1,n}(\Omega; \mathbb{R}^n)$  then using the fact that the adjugate is divergence free, it is easy to see that (38) reduces to (39). Also, Müller, Tang and Yan proved in [54] that if  $u \in W^{1,n-1}(\Omega; \mathbb{R}^n)$  and if  $\text{adj } Du \in L^{n/(n-1)}(\Omega; \mathbb{R}^{n \times n})$  then  $\text{Det } Du = \det Du$  and it belongs to  $L^1(\Omega)$ . This relation may fail if  $u$  is not sufficiently regular. As an example, consider (see [36])

$$u(x) := \sqrt[n]{a^n + |x|^n} \frac{x}{|x|}, \quad \Omega := B_1,$$

where  $B_1$ , as in the previous sections, stands for the open ball in  $\mathbb{R}^n$  centered at zero and with radius one. Then  $u \in W^{1,p}(B_1; \mathbb{R}^n)$  for all  $p < n$ ,  $\det Du = 1$  a.e. in  $B_1$ , but

$$\text{Det } Du = \mathcal{L}^n \llcorner B_1 + \omega_n a^n \delta_0,$$

where  $\mathcal{L}^n$  denotes the Lebesgue measure in  $\mathbb{R}^n$  and  $\omega_n$  is the volume of the unit ball  $B_1$ . Similarly, as shown in [27], if  $u(x) := x/|x|$  then  $\det Du = 0$  a.e. in  $B_1$  and  $\text{Det } Du = \omega_n \delta_0$ .

These examples suggest that, at least for some ranges of  $p$ , when  $\text{Det } Du$  is a Radon measure then its absolutely continuous part with respect to the  $n$ -dimensional Lebesgue measure reduces to  $\det Du$ . Indeed, this holds when  $u \in W^{1,p}(\Omega; \mathbb{R}^n)$  and  $\text{adj } Du \in L^q(\Omega; \mathbb{R}^{n \times n})$  with  $1/p + 1/q \leq 1 + 1/n$  (see [50]); see also Theorem 6.

The presence of singular measures in  $\text{Det } Du$  is in perfect agreement with recent experiments, which suggest that, in addition to bulk energy, surface contributions and singular measures may also be energetically relevant, thus disfavoring the creation of extremely small cavities (see [16], [30] and [31]). These considerations have motivated the search for a characterization of the singular measures which may appear in the description of the distributional Jacobian determinant. If we do not impose any geometrical or analytical restrictions on the function  $u$ , then it is possible to attain Radon measures with support of arbitrary Hausdorff dimension. Precisely, it was proven by Müller [52] (see also [50]) that, given  $\alpha \in (0, n)$ , there exists a compact set  $K \subset B_1$  with Hausdorff dimension  $\alpha$ , and there exists  $u \in W^{1,p}(B_1; \mathbb{R}^n) \cap C^0(\overline{B_1})$  for all  $p < n$ , such that

$$\text{Det } Du = \det Du \mathcal{L}^n \llcorner B_1 + \mu_s, \quad (40)$$

where  $\mu_s$  is a positive Radon measure, singular with respect to  $\mathcal{L}^n$ , and such that  $\text{supp } \mu_s = K$ . The situation is dramatically different if  $u \in W^{n-1}(\Omega, S^{n-1})$ , as it can be shown that if  $\text{Det } Du$  is a finite, signed, Radon measure then  $\text{Det } Du$  is a finite integer combination of Dirac masses (see Brezis and Nirenberg [11], [12]). The use of *BMO* and Hardy spaces allows one to obtain higher integrability results along the lines of Müller [49], [51], and Coifman, Lions, Meyers and Semmes [17]. As an example, it can be shown that if  $u \in W^{1,n}(\Omega; \mathbb{R}^n)$  is such that  $\det Du \geq 0$ , then (see also Brezis, Fusco and Sbordone [10] and Iwaniec and Sbordone [38])  $\det Du \log(2 + \det Du) \in L^1_{\text{loc}}(\Omega)$ .

As mentioned before, in this paper we assume that  $u$  is a function of class

$$W^{1,p}(\Omega; \mathbb{R}^n) \cap W^{1,\infty}_{\text{loc}}(\Omega \setminus \{0\}; \mathbb{R}^n)$$

for some  $p \in (n-1, n)$  and for an open set  $\Omega \subset \mathbb{R}^n$  containing the origin. The definition of the total variation  $TV(u, \Omega)$  introduced in (2) follows the approach commonly used for variational problems with non-standard growth and coercivity conditions (see [1], [2], [8], [14], [25], [27], [43], [36], [45], [46]). The aim of this paper is to characterize  $TV(u, \Omega)$ . In [27] Fonseca and Marcellini accomplished this for  $u(x) = x/|x|$ . Fonseca and Malý [25], and Bouchitté, Fonseca and Malý [8] set up the problem into a broader context. Precisely, if  $f : \Omega \times \mathbb{R}^{n \times n} \rightarrow \mathbb{R}$  is a *Carathéodory function*, then the *effective* (or *relaxed*) energy is defined as

$$\mathcal{F}_{p,q}(u, \Omega) := \inf \left\{ \liminf_{h \rightarrow \infty} \int_{\Omega} f(x, Du_h) dx : u_h \in W^{1,q}_{\text{loc}}, u_h \rightharpoonup u \text{ in } W^{1,p} \right\}. \quad (41)$$

In the case, where  $f(x, \xi) := g(\det \xi)$  and  $g : \mathbb{R} \rightarrow [0, +\infty)$  is a convex function, then (see [14], [21], [25])

$$\mathcal{F}_{p,n}(u, \Omega) \geq \int_{\Omega} g(\det Du(x)) dx \quad \text{if } p \geq n-1,$$

and if  $p > n-1$  then (see [8])

$$\mathcal{F}_{p,n}(u, \Omega) = \int_{\Omega} g(\det Du(x)) dx + \mu_s(\Omega),$$

for some Radon measure  $\mu_s$ , singular with respect to the Lebesgue measure  $\mathcal{L}^n$ . For a general integrand  $f$ , and under the growth condition  $0 \leq f(x, \xi) \leq C(1 + |\xi|^q)$ , with  $p > \frac{n-1}{n}q$ , we have

$$\mathcal{F}_{p,q}(u, \Omega) = h_u \mathcal{L}^n \llcorner \Omega + \lambda_s, \quad (42)$$

where (see [1])  $h_u \leq Qf(x, Du)$ , and  $\lambda_s$  is a singular measure. If  $f = f(\xi)$  then it can be shown that (see [8], [25])

$$h_u = Qf(Du), \quad (43)$$

where  $Qf$  stands for the *quasiconvexification* of  $f$ , precisely (see [18], [48])

$$Qf(\xi) := \inf \left\{ \int_{(0,1)^n} f(\xi + D\varphi(x)) dx : \varphi \in C_0^1(\Omega; \mathbb{R}^n) \right\}.$$

This may no longer be true when  $f$  depends also on  $x$  and  $p < q$  (although it is still valid if  $f(x, \cdot)$  is convex, see [1]). Indeed, Gangbo [29] constructed an example where  $f(x, \xi) = \chi_K(x) |\det \xi|$ , and  $h_u = f$  if and only if  $\mathcal{L}^N(\partial K) = 0$ . Hence, in general, (43) fails and  $f^{**}(x, \nabla u) \leq h_u$  is the only known lower bound (see also [1], [8], [25], [27], [43], [44]).

Further understanding of the total variation  $TV(u, \Omega)$  asks for mastery of weak convergence of minors for  $p < n$ . Works by Ball [4], Dacorogna and Murat [20], Giaquinta, Modica and Souček [36], and Reshetnyak [56], established that

$$u_h \rightharpoonup u \text{ in } W^{1,n}(\Omega; \mathbb{R}^n) \implies \det Du_h \rightharpoonup \det Du$$

in the sense of measures, where we recall that a sequence  $\{\mu_h\}$  of Radon measures is said to *converge in the sense of measures* to a Radon measure  $\mu$  in  $\Omega$  if for every  $\varphi \in C_c(\Omega; \mathbb{R})$  we have

$$\int_{\Omega} \varphi d\mu_h \rightarrow \int_{\Omega} \varphi d\mu.$$

Müller [49] has shown that, if in addition  $\det Du_h \geq 0$ , then  $\det Du_h \rightharpoonup \det Du$  weakly in  $L^1(\Omega)$ . Moreover, if  $u_h \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^n)$  and  $\{\text{adj } Du_h\}$  is bounded in  $L^q(\Omega; \mathbb{R}^{d \times n})$  with  $p \geq n - 1$ ,  $q \geq n/(n - 1)$ , one of these two inequalities being strict, then

$$\det Du_h \rightharpoonup \det Du \quad \text{in the sense of measures.}$$

Also, if  $u_h \in W^{1,n}(\Omega; \mathbb{R}^n)$ ,  $u_h \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^n)$  and  $p > n - 1$ , then

$$\text{adj } Du_h \rightharpoonup \text{adj } Du \quad \text{in } L^{p/(n-1)} \quad \forall p > n - 1. \quad (44)$$

A complete characterization of weak convergence of the determinant has been obtained by Fonseca, Leoni and Malý in [26], where it was shown that, if the sequence  $\{u_h\} \subset W^{1,n}(\Omega; \mathbb{R}^n)$  converges to a function  $u$  in  $L^1(\Omega; \mathbb{R}^n)$ , if  $\{u_h\}$  is bounded in  $W^{1,n-1}(\Omega; \mathbb{R}^n)$ , and if  $\det Du_h \rightharpoonup \mu$  for some Radon measure  $\mu$ , then

$$\frac{d\mu}{d\mathcal{L}^n} = \det Du, \quad \text{a.e. } x \in \Omega. \quad (45)$$

For related works we refer to [2], [4], [14], [18], [19], [21], [28], [29], [32], [36], [42], [48], [49], [54].

What can we then say about the singular measure  $\mu_s$  in (40), its significance and interpretation, and what are the relations, if any, between the total variation of  $\text{Det } Du$ , i.e.  $|\text{Det } Du|(\Omega)$ , and  $TV(u, \Omega)$ ? An answer is given by Theorem 6, which contemplates a general framework where only integrability assumptions are considered, and no structural properties of the function  $u$  are prescribed. Next we present the proof of this result.

**Proof of Theorem 6.** Since  $TV(u, \Omega) < +\infty$ , by (42) and (43)  $TV(u, \cdot)$  is a finite Radon measure, and it admits the Radon-Nikodym decomposition (14). In particular, it follows that  $\det Du \in L^1(\Omega)$ .



Let  $\delta > 0$  be fixed and consider a sequence  $\{u_h\}_{h \in \mathbb{N}} \subset C^1(\Omega; \mathbb{R}^n)$  such that  $u_h \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^n)$ , with  $p > n - 1$ , and

$$TV(u, \Omega) + \delta \geq \lim_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h| dx. \quad (46)$$

We first observe that, without loss of generality, we may assume that the sequence of the first components  $\{u_h^1\}_{h \in \mathbb{N}}$  is bounded in  $L^\infty(\Omega)$ . Indeed, under the notation  $M := \|u^1\|_\infty$ , it suffices to consider the truncation

$$w_h^1(x) := \begin{cases} -M & \text{if } u_h^j(x) \leq -M \\ u_h^j(x) & \text{if } -M \leq u_h^j(x) \leq M \\ M & \text{if } u_h^j(x) \geq M \end{cases},$$

and to set  $w_h := (w_h^1, u_h^2, \dots, u_h^n)$ , for every  $h \in \mathbb{N}$ . It is easy to verify that, as  $h \rightarrow +\infty$ ,  $w_h$  converges to  $u$  in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  and, since  $|\det Dw_h| \leq |\det Du_h|$ , for almost every  $x \in \Omega$ , inequality (46) still holds with  $\{u_h\}_{h \in \mathbb{N}}$  replaced by  $\{w_h\}_{h \in \mathbb{N}}$ .

Since  $TV(u, \Omega) < +\infty$ , by (46) the sequence  $\{\det Du_h\}_{h \in \mathbb{N}}$  is bounded in  $L^1(\Omega)$ , therefore, up to a subsequence (not relabeled)  $\det Du_h \rightharpoonup^* \tilde{\mu}$  as  $h \rightarrow +\infty$ , where  $\tilde{\mu}$  is a *finite* Radon measure. By (45) we have

$$\frac{d\tilde{\mu}}{d\mathcal{L}^n} = \det Du, \quad \text{a.e. } x \in \Omega. \quad (47)$$

Next we prove that the distribution  $\text{Det } Du$  coincides with  $\tilde{\mu}$  on  $C_0^1(\Omega)$  and hence, by regularization and density, on  $C_0^0(\Omega)$ . To prove this, for fixed  $\varphi \in C_0^1(\Omega)$  we have

$$\begin{aligned} \langle \text{Det } Du, \varphi \rangle &= - \int_{\Omega} \sum_{i=1}^n u^i (\text{adj } Du)_1^i \frac{\partial \varphi}{\partial x_i} dx \\ &= - \lim_{h \rightarrow \infty} \int_{\Omega} \sum_{i=1}^n u_h^i (\text{adj } Du_h)_1^i \frac{\partial \varphi}{\partial x_i} dx = \lim_{h \rightarrow \infty} \int_{\Omega} \det Du_h \varphi dx = \langle \tilde{\mu}, \varphi \rangle. \end{aligned}$$

Here we have used the facts that, since  $u_h \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^n)$  for  $p > n - 1$ , then  $\text{adj } Du_h$  weakly converge to  $\text{adj } Du$  in  $L^{p/(n-1)}$ , and since the sequence  $\{u_h^1\}_{h \in \mathbb{N}}$  is bounded in  $L^\infty(\Omega)$  and converges as  $h \rightarrow +\infty$  to  $u^1$  in  $L^p(\Omega)$ , it also converges to  $u^1$  in  $L^q(\Omega)$ , for every  $q < +\infty$ , in particular for  $q = \frac{p}{p-(n-1)}$ , the conjugate exponent of  $\frac{p}{n-1}$ . Therefore, in view of (47), we deduce the Radon-Nikodym decomposition for  $\text{Det } Du$  as asserted in (15).

Let  $A$  be an open subset of  $\Omega$  and let  $\varphi \in C_0^1(A; \mathbb{R})$  be such that  $\|\varphi\|_\infty \leq 1$ . By (46), a similar argument yields

$$\begin{aligned} |\langle \text{Det } Du, \varphi \rangle| &= \left| \int_A \sum_{i=1}^n u^i (\text{adj } Du)_1^i \frac{\partial \varphi}{\partial x_i} dx \right| = \lim_{h \rightarrow \infty} \left| \int_A \sum_{i=1}^n u_h^i (\text{adj } Du_h)_1^i \frac{\partial \varphi}{\partial x_i} dx \right| \\ &= \lim_{h \rightarrow \infty} \left| \int_A \det Du_h \varphi dx \right| \leq \limsup_{k \rightarrow \infty} \|\varphi\|_\infty \int_A |\det Du_k| dx \leq TV(u, A) + \delta. \end{aligned}$$

It suffices to let  $\delta \rightarrow 0^+$ , and to take the supremum over all such functions  $\varphi$ , to conclude (16), i.e.,  $|\text{Det } Du|(A) \leq TV(u, A)$ .

Suppose now, in addition, that  $u \in W_{\text{loc}}^{1,n}(\Omega \setminus \{0\}; \mathbb{R}^n)$ . Let  $A$  be an open subset of  $\Omega$  such that  $0 \notin A$ . We recall that for every sequence  $u_h$  which converges to  $u$  in the weak topology of  $W^{1,p}(A; \mathbb{R}^n)$  for some  $p > n - 1$ , with  $u, u_h \in W_{\text{loc}}^{1,n}(A; \mathbb{R}^n)$  for every  $h \in \mathbb{N}$ , we have (see [19]; see also Theorem 35)

$$\liminf_{h \rightarrow +\infty} \int_A |\det Du_h| dx \geq \int_A |\det Du| dx.$$

Hence

$$TV(u, A) = \int_A |\det Du| dx,$$

whenever  $A$  is an open subset of  $\Omega$  and  $0 \notin A$ . Therefore we conclude that  $\text{supp } \lambda_s \subset \{0\}$ , and thus  $\lambda_s = \lambda \delta_0$  for some constant  $\lambda \geq 0$ , where  $\delta_0$  is the Dirac measure at the origin.

On the other hand, in view of the inequality  $|\text{Det } Du|(A) \leq TV(u, A)$  in (16), it follows that  $\text{supp } \mu_s \subset \text{supp } \lambda_s \subset \{0\}$ , therefore  $\mu_s = \mu \delta_0$ , for some constant  $\mu \in \mathbb{R}$ , with  $|\mu| \leq \lambda$ , where we have used (16) once more. ■

**Remark 19** *The result stated in Theorem 6 holds also under the assumption that  $u \in W^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p > n^2/(n+1)$ . Indeed, in this case, instead of truncating the sequence  $\{u_h^1\}_{h \in \mathbb{N}}$  we use the fact that, by Kondrachev's Compact Embedding Theorem,  $u_h \rightarrow u$  strongly in  $L^{n^2}$ , with  $n^2$  being the conjugate exponent of  $n^2/(n^2-1)$ . Again,  $\{Du_h\}_{h \in \mathbb{N}}$  weakly converges in  $L^p(\Omega; \mathbb{R}^{n \times n})$  and the sequence  $\{\text{adj } Du_h\}_{h \in \mathbb{N}}$  weakly converges in  $L^{n^2/(n^2-1)}$ .*

## 5 The general 2-d case

Let  $n = 2$ . For every  $\xi = (\xi^1, \xi^2) \in \mathbb{R}^2$ ,  $\xi \neq 0$ , we denote by  $\text{Arg } \xi$  the unique angle in  $[-\pi, \pi)$  such that

$$\cos \text{Arg } \xi = \frac{\xi^1}{|\xi|}, \quad \sin \text{Arg } \xi = \frac{\xi^2}{|\xi|}.$$

As before, we denote by  $B_r$  the circle in  $\mathbb{R}^2$  with center in 0 and radius  $r > 0$ . Then  $B_1$  is the circle of radius  $r = 1$  and  $\partial B_1 = S^1$  is its boundary. If  $\alpha, \beta \in [0, 2\pi]$ ,  $\alpha < \beta$ , then  $S(\alpha, \beta)$  stands for the *polar sector* given by

$$S(\alpha, \beta) := \{\xi = \rho(\cos \vartheta, \sin \vartheta) \in \mathbb{R}^2 : \rho \leq 1, \vartheta \in [\alpha, \beta]\}.$$

In the sequel  $v : [0, 2\pi] \rightarrow \mathbb{R}^2$  is a Lipschitz-continuous *closed curve*, i.e.,  $v(0) = v(2\pi)$ , that we represent as  $v = (v^1, v^2) = (v^1(\vartheta), v^2(\vartheta))$ , with  $\vartheta \in [0, 2\pi]$ . We shall denote by  $v_\vartheta := (v_\vartheta^1, v_\vartheta^2)$  the gradient of  $v$ , which exists for almost every  $\vartheta \in [0, 2\pi]$ . If  $v(\vartheta) \neq 0$  for every  $\vartheta \in [0, 2\pi]$ , then we denote by  $A_v(\vartheta)$  the quantity

$$A_v(\vartheta) := \text{Arg } v(0) + \int_0^\vartheta \frac{v^1(t) v_\vartheta^2(t) - v^2(t) v_\vartheta^1(t)}{|v(t)|^2} dt.$$

There exists a simple relation between  $A_v$  and  $\text{Arg } v$ , which is inferred from the next lemma.

**Lemma 20** *If  $v : [0, 2\pi] \rightarrow \mathbb{R}^2$  is a Lipschitz-continuous curve such that  $v(\vartheta) \neq 0$  for every  $\vartheta \in [0, 2\pi]$ , then, for every  $\alpha, \beta \in [0, 2\pi]$  with  $\alpha < \beta$ , there exists  $k \in \mathbb{Z}$  such that*

$$A_v(\beta) - A_v(\alpha) = \text{Arg } v(\beta) - \text{Arg } v(\alpha) + 2k\pi. \quad (48)$$

**Proof.** Assume first that  $v \in C^1([0, 2\pi]; \mathbb{R}^2)$  and that there exist at most a finite number of angles  $\vartheta_i \in [0, 2\pi)$  such that either  $v^1(\vartheta_i) = 0$  or  $v^2(\vartheta_i) = 0$ . Then, for every  $\vartheta \neq \vartheta_i$  (since  $v^1(\vartheta_i) \neq 0$ ) we have

$$\frac{d}{d\vartheta} \operatorname{Arg} v(\vartheta) = \frac{d}{d\vartheta} \arctan \frac{v^2(\vartheta)}{v^1(\vartheta)} = \frac{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)}{|v(\vartheta)|^2}.$$

The result then follows by integrating this equality and recalling that, each time that  $v(\vartheta)$  crosses the half line  $\{(x, y) \in \mathbb{R}^2 : x < 0, y = 0\}$ , and this may happen at most a finite number of times (necessarily for  $\vartheta$  equal to some  $\vartheta_i$ , where  $v^2(\vartheta_i) = 0$ ), the function  $\operatorname{Arg} v(\vartheta)$  has a jump of  $\pm 2\pi$ .

In the general case, we approximate  $v$  by a sequence  $\{v_j\}_{j \in \mathbb{N}}$  of curves of class  $C^1([0, 2\pi]; \mathbb{R}^2)$  such that  $\{v_j\}_{j \in \mathbb{N}}$  uniformly converges to  $v$  and  $\{dv_j/d\vartheta\}_{j \in \mathbb{N}}$  converges to  $dv/d\vartheta$  in  $L^p([0, 2\pi])$  for every  $p \in [1, +\infty)$ . We may construct the curves  $v_j$  so that  $v_j(\vartheta) \neq 0$  for all  $\vartheta \in [0, 2\pi]$  and either  $v^1(\vartheta_i) = 0$  or  $v^2(\vartheta_i) = 0$  only for finitely many  $i$ . Moreover, if  $\operatorname{Arg} v(\vartheta) \neq -\pi$ , then  $\operatorname{Arg} v_j(\vartheta) \rightarrow \operatorname{Arg} v(\vartheta)$ , while, if  $\operatorname{Arg} v(\vartheta) = -\pi$ , then, up to a subsequence,  $\operatorname{Arg} v_j(\vartheta) \rightarrow \operatorname{Arg} v(\vartheta) = -\pi$  or  $\operatorname{Arg} v_j(\vartheta) \rightarrow \pi$ . Finally, the quantity

$$A_{v_j}(\beta) - A_{v_j}(\alpha) = \int_{\alpha}^{\beta} \frac{v_j^1 v_{j,\vartheta}^2 - v_j^2 v_{j,\vartheta}^1}{|v_j|^2} dt$$

converges, as  $j \rightarrow +\infty$ , to  $A_v(\beta) - A_v(\alpha)$ . From the relation

$$A_{v_j}(\beta) - A_{v_j}(\alpha) = \operatorname{Arg} v_j(\beta) - \operatorname{Arg} v_j(\alpha) + 2k_j\pi,$$

valid for every  $j \in \mathbb{N}$  and for some  $k_j \in \mathbb{Z}$ , we see that the sequence  $k_j$  is bounded, since  $\operatorname{Arg} v_j(\beta), \operatorname{Arg} v_j(\alpha) \in [-\pi, \pi)$ . Then, up to a subsequence, we obtain the conclusion (48) as  $j \rightarrow +\infty$ . ■

As in Section 2, we denote by  $\Gamma$  a curve in  $\mathbb{R}^2$  parametrized in the following way

$$\Gamma := \{\xi + r(\vartheta)(\cos \vartheta, \sin \vartheta) : \vartheta \in [0, 2\pi]\}, \quad (49)$$

where  $r(\vartheta)$  is a piecewise  $C^1$  function such that  $r(0) = r(2\pi)$ , and  $r(\vartheta) \geq r_0$  for every  $\vartheta \in [0, 2\pi]$  and for some  $r_0 > 0$ . Condition (49) means that  $\Gamma$  is the Lipschitz-continuous boundary of a domain

$$D := \{\xi + \varrho(\cos \vartheta, \sin \vartheta) : \vartheta \in [0, 2\pi], 0 \leq \varrho \leq r(\vartheta)\},$$

*starshaped* with respect to a point  $\xi$  in the *interior* of  $D$ . In the sequel it is understood that the function  $r(\vartheta)$  is extended to  $\mathbb{R}$  by periodicity.

**Lemma 21** *Let  $\Gamma$  be as in (49) and let  $v : [0, 2\pi] \rightarrow \Gamma$  be a Lipschitz-continuous map. If  $\operatorname{Arg}(v(0) - \xi) = 0$ , then the curve  $v$  may be represented in the form*

$$v(\vartheta) = \xi + r(A_{v-\xi}(\vartheta))(\cos A_{v-\xi}(\vartheta), \sin A_{v-\xi}(\vartheta)) \quad (50)$$

for all  $\vartheta \in [0, 2\pi]$ .

**Proof.** Since  $A_{v-\xi}(0) = \operatorname{Arg}(v(0) - \xi) = 0$ , by Lemma 20 for every  $\vartheta \in [0, 2\pi]$  there exists  $k \in \mathbb{Z}$  such that  $A_{v-\xi}(\vartheta) = \operatorname{Arg}(v(\vartheta) - \xi) + 2k\pi$ . Also, as  $v(\vartheta) \in \Gamma$  for all  $\vartheta$ , we have

$$r(A_{v-\xi}(\vartheta)) = r(\operatorname{Arg}(v(\vartheta) - \xi)) = |v(\vartheta) - \xi|,$$

and we obtain

$$\begin{aligned} & r(A_{v-\xi}(\vartheta))(\cos A_{v-\xi}(\vartheta), \sin A_{v-\xi}(\vartheta)) \\ &= |v(\vartheta) - \xi|(\cos \operatorname{Arg}(v(\vartheta) - \xi), \sin \operatorname{Arg}(v(\vartheta) - \xi)) = v(\vartheta) - \xi. \end{aligned}$$

■

**Remark 22** Under the assumptions of Lemma 21, from the representation formula (50) for  $v(\vartheta)$  it follows that, if  $A_{v-\xi}(\alpha) = A_{v-\xi}(\beta)$ , then  $v(\alpha) = v(\beta)$ . Conversely, if  $v(\alpha) = v(\beta)$ , then there exists  $k \in \mathbb{Z}$  such that  $A_{v-\xi}(\alpha) = A_{v-\xi}(\beta) + 2k\pi$ . However, notice that if  $\Gamma$  is the boundary of a simply connected domain which is not starshaped with respect to  $\xi$ , then the conclusion of Lemma 21 may not be true. In particular, the condition  $A_{v-\xi}(\alpha) = A_{v-\xi}(\beta)$  may not imply that  $v(\alpha) = v(\beta)$ .

The next Lemma 23 plays a central role in this section.

**Lemma 23 (The ‘‘umbrella’’ lemma)** Let  $\Gamma = \{\xi + r(\vartheta)(\cos \vartheta, \sin \vartheta)\}$  and let  $v : [0, 2\pi] \rightarrow \Gamma$  be a Lipschitz-continuous map. If  $\alpha, \beta \in [0, 2\pi]$ ,  $\alpha < \beta$ , are such that  $A_{v-\xi}(\alpha) = A_{v-\xi}(\beta)$ , then for every  $\varepsilon > 0$  there exists a Lipschitz-continuous map  $w : S(\alpha, \beta) \rightarrow \mathbb{R}^2$  satisfying the boundary conditions

$$\begin{cases} w(1, \vartheta) = v(\vartheta), & \forall \vartheta \in [\alpha, \beta] \\ w(\varrho, \alpha) = w(\varrho, \beta) = \xi + \varrho(v(\alpha) - \xi), & \forall \varrho \in [0, 1] \end{cases} \quad (51)$$

and such that

$$\int_{S(\alpha, \beta)} |\det Dw(x)| \, dx < \varepsilon. \quad (52)$$

**Proof.** We can assume, without loss of generality, that  $\text{Arg}(v(0) - \xi) = 0$ . Fix  $h \in \mathbb{N}$  and set

$$w_h(\varrho, \vartheta) := \xi + \varrho r(\varphi_h(\varrho, \vartheta))(\cos \varphi_h(\varrho, \vartheta), \sin \varphi_h(\varrho, \vartheta)), \quad (53)$$

where, for every  $\varrho \in [0, 1]$  and for every  $\vartheta \in [\alpha, \beta]$ ,

$$\varphi_h(\varrho, \vartheta) = \varrho^h A_{v-\xi}(\vartheta) + (1 - \varrho^h) A_{v-\xi}(\alpha).$$

Since  $\varphi_h(1, \vartheta) = A_{v-\xi}(\vartheta)$ ,  $\varphi_h(\varrho, \alpha) = \varphi_h(\varrho, \beta) = A_{v-\xi}(\alpha)$ , by the representation formula (50) of Lemma 21 we obtain the validity of the boundary conditions (51).

Now we evaluate the left hand side in (52). We observe that, for a generic function  $u(x) = (u^1(\varrho, \vartheta), u^2(\varrho, \vartheta))$ , with the notation  $\frac{\partial u^i}{\partial \varrho} = u_{\varrho}^i$ ,  $\frac{\partial u^i}{\partial \vartheta} = u_{\vartheta}^i$  ( $i = 1, 2$ ), in general we have

$$\det Du(x) = \frac{1}{\varrho} \begin{vmatrix} u_{\varrho}^1(\varrho, \vartheta) & u_{\vartheta}^1(\varrho, \vartheta) \\ u_{\varrho}^2(\varrho, \vartheta) & u_{\vartheta}^2(\varrho, \vartheta) \end{vmatrix}. \quad (54)$$

For the function  $w_h$  we obtain

$$\int_{S(\alpha, \beta)} |\det Dw_h(x)| \, dx = \int_0^1 d\varrho \int_{\alpha}^{\beta} \left| \frac{\partial (w_h^1, w_h^2)}{\partial (\varrho, \vartheta)} \right| d\vartheta.$$

Let us compute the partial derivatives of  $w_h$

$$\begin{cases} \frac{\partial w_h^1}{\partial \varrho} = r(\varphi_h) \cos \varphi_h + \varrho \frac{\partial \varphi_h}{\partial \varrho} [r'(\varphi_h) \cos \varphi_h - r(\varphi_h) \sin \varphi_h] \\ \frac{\partial w_h^1}{\partial \vartheta} = \varrho \frac{\partial \varphi_h}{\partial \vartheta} [r'(\varphi_h) \cos \varphi_h - r(\varphi_h) \sin \varphi_h] \\ \frac{\partial w_h^2}{\partial \varrho} = r(\varphi_h) \sin \varphi_h + \varrho \frac{\partial \varphi_h}{\partial \varrho} [r'(\varphi_h) \sin \varphi_h + r(\varphi_h) \cos \varphi_h] \\ \frac{\partial w_h^2}{\partial \vartheta} = \varrho \frac{\partial \varphi_h}{\partial \vartheta} [r'(\varphi_h) \sin \varphi_h + r(\varphi_h) \cos \varphi_h] \end{cases}$$

and the Jacobian determinant of  $w_h$

$$\frac{\partial (w_h^1, w_h^2)}{\partial (\varrho, \vartheta)} = \varrho r^2(\varphi_h) \frac{\partial \varphi_h}{\partial \vartheta} = \varrho^{h+1} r^2(\varphi_h) A'_{v-\xi}(\vartheta).$$

Thus we obtain

$$\int_{S(\alpha, \beta)} |\det Dw_h(x)| dx = \int_0^1 \varrho^{h+1} d\varrho \int_\alpha^\beta r^2(\varphi_h) |A'_{v-\xi}(\vartheta)| d\vartheta = \frac{c}{h+2},$$

where we denote by  $c$  the constant

$$c := \sup_{h \in \mathbb{N}} \left\{ \int_\alpha^\beta r^2(\varphi_h) |A'_{v-\xi}(\vartheta)| d\vartheta \right\}.$$

The conclusion follows by choosing  $h \in \mathbb{N}$  sufficiently large. ■

**Remark 24** *As we pointed out already, the previous Lemma 23 plays a central role in our analysis. We informally call Lemma 23 as “the umbrella lemma”, since the geometric representation of the graph of the map  $w : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  considered in Lemma 23 is some sort of “umbrella” (under some mathematical tolerance and human imagination!). In fact, let us consider for simplicity the case where the image  $\Gamma$  of the map  $v$  is the unit circle  $[0, 2\pi] \subset \mathbb{R}^2$  centered around  $\xi = 0$ . Then the graph of  $w$  is a subset of  $S^1$ : it “starts” from the center  $\xi = 0$  (the starting point of the “umbrella-stick”, in correspondence to  $\varrho = 0$ ) and it “ends” for  $\varrho = 1$ , at the surface  $\{w(1, \vartheta) = v(\vartheta) : \vartheta \in [\alpha, \beta]\} \subset S^1$ , which can be interpreted as the upper surface of the open umbrella, to protect one from the rain. Moreover, by (52), like an umbrella, the total volume of the image of  $w$  is small (large upper surface, small volume! In our 2- $d$  case, we have a 2-dimensional “picture” of an umbrella, with large upper length and small area).*

*We refer to Figures 1, 2 and 3, where we represented the image of the map  $w_h(\varrho, \vartheta)$  in (53) under three particular choices of the parameters. Precisely, for fixed  $h \in \mathbb{N}$  we considered  $w_h : S(\alpha, \beta) \rightarrow B_1$  (i.e.,  $r(\varphi_h(\varrho, \vartheta))$  in (53) identically equal to 1 and  $\xi = 0$ ) given by*

$$\begin{cases} w_h(\varrho, \vartheta) = \varrho (\cos \varphi_h(\varrho, \vartheta), \sin \varphi_h(\varrho, \vartheta)) \\ \varphi_h(\varrho, \vartheta) = \varrho^h A_v(\vartheta) + (1 - \varrho^h) A_v(\alpha) \end{cases}, \quad (55)$$

*where  $A_v : [\alpha, \beta] \rightarrow \mathbb{R}$  is a function such that  $A_v(\alpha) = A_v(\beta)$ . The common value of  $A_v$  at  $\vartheta = \alpha$  and  $\vartheta = \beta$  is the asymptotic value of the angle  $\varphi_h(\varrho, \vartheta)$  as  $\varrho \rightarrow 0^+$  and it represents the angle which the umbrella-stick forms with the  $x$ -axis. At  $\varrho = 1$  the angle  $\varphi_h(1, \vartheta)$  holds  $A_v(\vartheta)$ ; therefore the maximum  $M$  and the minimum  $m$  of  $A_v(\vartheta)$  represent the bounds for the angle  $\varphi_h(1, \vartheta)$  of the image  $w(1, \vartheta)$  at the surface  $S^1$  of the ball  $B_1$ . These pictures has been made by Emanuele Paolini, starting from the analytic expression of  $w$  in (55). We thank him for the beautiful job.*

**Lemma 25** *Let  $f : [a, b] \rightarrow \mathbb{R}$  be a continuous function, piecewise strictly monotone in  $[a, b]$  (with a finite number of monotonicity intervals) and such that  $f(a) < f(b)$ . Then there exists a partition  $a = \alpha_0 < \alpha_1 < \dots < \alpha_N = b$  of  $[a, b]$  such that, for every  $i = 1, 2, \dots, N$ , either  $f$  is strictly increasing in  $[\alpha_{i-1}, \alpha_i]$ , or  $f(\alpha_{i-1}) = f(\alpha_i)$ .*

**Proof.** Let  $\alpha_0 = a$ . If  $f$  is (strictly) decreasing in a right neighborhood of  $\alpha_0$ , then we define

$$\alpha_1 := \max \{ \vartheta \in [\alpha_0, b] : f(\vartheta) = f(\alpha_0) \}.$$

Since  $f$  is continuous,  $\alpha_1$  is well defined. Moreover, since  $f(\alpha_1) = f(\alpha_0) < f(b)$ , then  $\alpha_1 < b$  and  $f$  is (strictly) increasing in a right neighborhood of  $\alpha_1$ . Next we define

$$\alpha_2 := \max \{ \vartheta \in [\alpha_1, b] : f(\vartheta) \leq f(b) \text{ and } f \text{ is strictly increasing in } [\alpha_1, \vartheta] \}.$$

If  $\alpha_2 = b$  then the lemma follows. Otherwise, if  $\alpha_2 < b$  and  $f(\alpha_2) = f(b)$ , then we set  $\alpha_3 = b$  and again the lemma follows. Finally, if  $\alpha_2 < b$  and  $f(\alpha_2) < f(b)$ , then  $f$  is strictly decreasing in a right

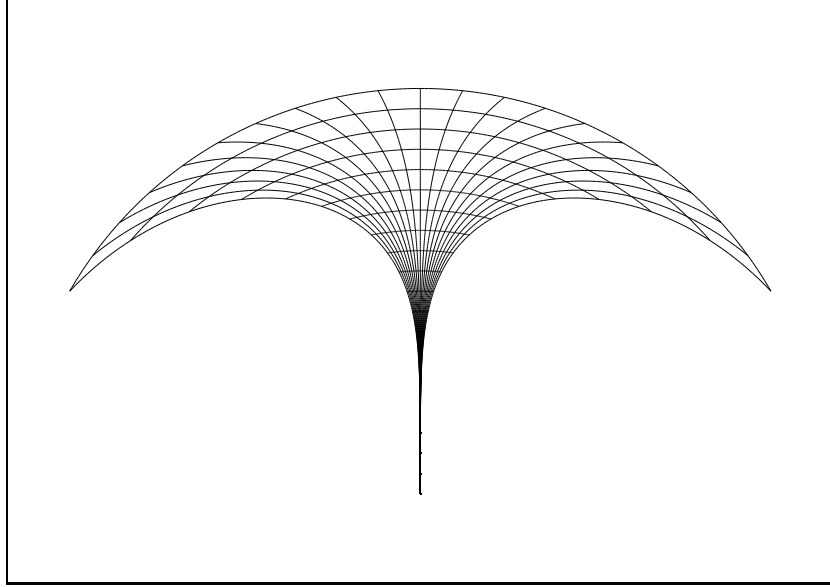


Figure 1: A 2 -  $d$  image of the map  $w$  defined in (55), with  $h = 4$ , for a particular (piecewise linear) function  $A_u(\vartheta)$ . The angle which the *umbrella-stick* forms with the  $x$ -axis is given by  $A_u(\alpha) = A_u(\beta) = \pi/2$ . The *maximum*  $M$  and the *minimum*  $m$  of  $A_u(\vartheta) = \varphi(1, \vartheta)$ , which give the bounds for the *angles* of the image  $w(1, \vartheta)$  at the surface  $S^1$  of the ball  $B_1$ , in this case are equal to  $m = \pi/6$ ,  $M = 5\pi/6$ , respectively. Note that the map is radially linear when  $\vartheta = \alpha$  and  $\vartheta = \beta$ , where the angle of the image is equal to  $\pi/2$ .

neighborhood of  $\alpha_2$ , and we may argue as we did before starting from  $\alpha_0$ . If, instead,  $f$  is (strictly) increasing in a right neighborhood of  $\alpha_0$ , then we may use the same argument as we did before starting from  $\alpha_1$ .

Since the number of intervals of monotonicity is finite, after a finite number of iterations we reach the conclusion. ■

**Lemma 26** *Let  $v : [0, 2\pi] \rightarrow \Gamma$  be a Lipschitz-continuous map. Let  $\alpha, \beta \in [0, 2\pi]$ ,  $\alpha < \beta$ , be such that  $A_{v-\xi}(\alpha) = A_{v-\xi}(\beta)$ . If  $A_{v-\xi}(\vartheta)$  is piecewise strictly monotone in  $[\alpha, \beta]$  (with a finite number of monotonicity intervals) then*

$$\int_{\alpha}^{\beta} \{ (v^1(\vartheta) - \xi^1) v_{\vartheta}^2(\vartheta) - (v^2(\vartheta) - \xi^2) v_{\vartheta}^1(\vartheta) \} d\vartheta = 0.$$

**Proof.** Without loss of generality we assume that  $\xi = (0, 0)$ . Since  $A_v(\vartheta)$  is piecewise strictly monotone in  $[\alpha, \beta]$  and  $A_v(\alpha) = A_v(\beta)$ , there exists a partition of the interval  $[\alpha, \beta]$ ,  $\alpha = \vartheta_0 < \vartheta_1 < \dots < \vartheta_N = \beta$ ,  $N \geq 2$ , such that, for every  $i = 1, 2, \dots, N$ , the real function  $A_v(\vartheta)$  is strictly increasing in  $[\vartheta_{i-1}, \vartheta_i]$  and is strictly decreasing in  $[\vartheta_i, \vartheta_{i+1}]$  (or viceversa). We will prove the lemma by an induction argument based on the number  $N$  of these maximal intervals of monotonicity.

Let us first assume that  $N = 2$ . Hence there exists  $\vartheta_1 \in (\alpha, \beta)$  such that  $A_v(\vartheta)$  is strictly increasing in  $[\alpha, \vartheta_1]$  and is strictly decreasing in  $[\vartheta_1, \beta]$ , or conversely. To fix the ideas, let us assume that  $A_v(\vartheta)$  is strictly increasing in  $[\alpha, \vartheta_1]$ . For every  $(\varrho, \vartheta) \in S(\alpha, \beta)$  let us define  $\tilde{v}(\varrho, \vartheta) := \varrho v(\vartheta)$ . If  $A_v(\vartheta_1) - A_v(\alpha) \leq 2\pi$ , then  $\tilde{v}$  restricted to the interior of  $S(\alpha, \vartheta_1)$  and  $S(\vartheta_1, \beta)$  is one-to-one. Moreover the images  $\tilde{v}(S(\alpha, \vartheta_1))$  and  $\tilde{v}(S(\vartheta_1, \beta))$  are equal. Therefore, by the area formula,

$$\int_{S(\alpha, \vartheta_1)} |\det D\tilde{v}(x)| dx = \text{area}(\tilde{v}(S(\alpha, \vartheta_1))) = \text{area}(\tilde{v}(S(\vartheta_1, \beta))) = \int_{S(\vartheta_1, \beta)} |\det D\tilde{v}(x)| dx.$$

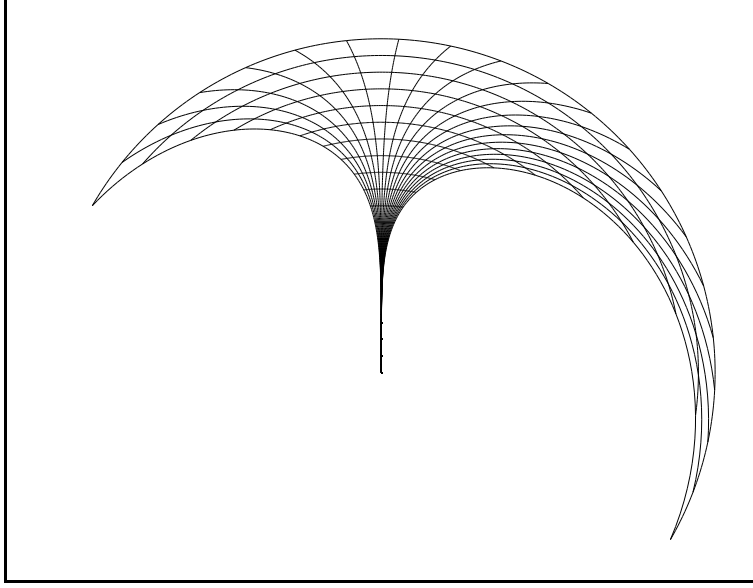


Figure 2: Another 2 –  $d$  image of the map  $w$  defined in (55), with  $h = 4$ , for a different choice of the piecewise linear function  $A_u(\vartheta)$ . In this case we obtain an *asymmetric* umbrella. Again  $A_u(\alpha) = A_u(\beta) = \pi/2$ , while in this case  $m = -\pi/6$ ,  $M = 5\pi/6$ . The map is not one-to-one: only the image points with angles  $-\pi/6$  and  $5\pi/6$  may be assumed once; all the other points are hit at least twice; the points with angle  $\pi/2$  are hit at least three times.

Since  $\det D\tilde{v} \geq 0$  in  $S(\alpha, \vartheta_1)$  and  $\det D\tilde{v} \leq 0$  in  $S(\vartheta_1, \beta)$ , we obtain

$$\int_{S(\alpha, \vartheta_1)} \det D\tilde{v}(x) \, dx = \text{area}(\tilde{v}(S(\alpha, \vartheta_1))) = \text{area}(\tilde{v}(S(\vartheta_1, \beta))) = - \int_{S(\vartheta_1, \beta)} \det D\tilde{v}(x) \, dx.$$

By using again (54), we have

$$\det D\tilde{v}(\varrho, \vartheta) = \frac{1}{\varrho} \begin{vmatrix} v^1(\vartheta) & \varrho v_{\vartheta}^1(\vartheta) \\ v^2(\vartheta) & \varrho v_{\vartheta}^2(\vartheta) \end{vmatrix} = v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta) = A_v(\vartheta) |v(\vartheta)|^2. \quad (56)$$

Therefore, as claimed,

$$\begin{aligned} 0 &= \int_{S(\alpha, \vartheta_1)} \det D\tilde{v}(x) \, dx = \int_0^1 \varrho \, d\varrho \int_{\alpha}^{\beta} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} \, d\vartheta \\ &= \frac{1}{2} \int_{\alpha}^{\beta} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} \, d\vartheta. \end{aligned}$$

If  $2k\pi < A_v(\vartheta_1) - A_v(\alpha) \leq 2\pi(k+1)$  for some  $k \geq 1$ , then we denote by  $\vartheta' \in (\alpha, \vartheta_1)$ ,  $\vartheta'' \in (\vartheta_1, \beta)$  the points such that  $A_v(\vartheta') = A_v(\vartheta'') = 2k\pi$ . Again, using the area formula, we have

$$\int_{S(\alpha, \vartheta_1)} |\det D\tilde{v}(x)| \, dx = \int_{S(\alpha, \vartheta')} |\det D\tilde{v}(x)| \, dx + \int_{S(\vartheta', \vartheta_1)} |\det D\tilde{v}(x)| \, dx = k \text{area } D + \text{area } E,$$

where  $D$  is the domain in (7) enclosed by  $\Gamma$  and  $E$  is the domain represented in polar coordinates by

$$E = \{\varrho(\cos A_v(\vartheta), \sin A_v(\vartheta)) : \vartheta \in [\vartheta', \vartheta_1], 0 \leq \varrho \leq r(\vartheta)\}$$

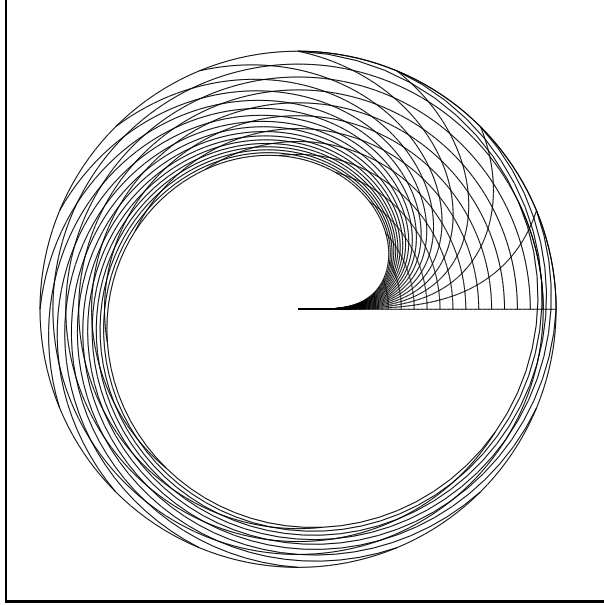


Figure 3: Map  $w : S(\alpha, \beta) \rightarrow B_1$  in (55). Here we fixed  $h = 3$ , while the angle which the *umbrella-stick* forms with the  $x$ -axis is equal to  $A_u(\alpha) = A_u(\beta) = 0$ . The bounds for the *angle* of the image  $w(1, \vartheta)$ , at the surface  $S^1$  of the unit ball  $B_1$ , in this case are equal to  $m = 0$ ,  $M = 2\pi + \pi/2$ . The map is radially linear when the angle  $A_u(\vartheta)$  of the image is 0 (and this happens only if  $\vartheta = \alpha = \beta$ , when  $\varphi(1, \vartheta) = A_u(\vartheta) = 0$ ). The map  $w$  is not one-to-one: due to the overlapping phenomenon, some points with  $\varrho$  close to 1 and  $0 \leq \varphi < \pi/2$  are assumed at least four times.

$$= \{ \varrho (\cos A_v(\vartheta), \sin A_v(\vartheta)) : \vartheta \in [\vartheta_1, \vartheta''] , 0 \leq \varrho \leq r(\vartheta) \} .$$

Therefore, we also have

$$\int_{S(\vartheta_1, \beta)} |\det D\tilde{v}(x)| dx = \int_{S(\vartheta_1, \vartheta'')} |\det D\tilde{v}(x)| dx + \int_{S(\vartheta'', \beta)} |\det D\tilde{v}(x)| dx = \text{area } E + k \text{ area } D .$$

Arguing as before we get the thesis (with  $N = 2$ )

$$\begin{aligned} \frac{1}{2} \int_{\alpha}^{\beta} \{ v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta) \} d\vartheta &= \int_{S(\alpha, \beta)} \det D\tilde{v}(x) dx \\ &= \int_{S(\alpha, \vartheta_1)} |\det D\tilde{v}(x)| dx - \int_{S(\vartheta_1, \beta)} |\det D\tilde{v}(x)| dx = 0 . \end{aligned}$$

By induction, we assume that the result is true if there are  $N - 1$  maximal intervals of monotonicity for the function  $A_v(\vartheta)$ . Then we consider the case where there are  $N$  of such intervals, with endpoints  $\alpha = \vartheta_0 < \vartheta_1 < \dots < \vartheta_N = \beta$ . Without loss of generality, we can assume that  $A_v(\vartheta)$  is strictly increasing in  $[\alpha, \vartheta_1]$  and is strictly decreasing in  $[\vartheta_1, \vartheta_2]$ . If  $A_v(\alpha) > A_v(\vartheta_2)$ , then there exists  $\gamma \in (\vartheta_1, \vartheta_2)$  such that  $A_v(\gamma) = A_v(\alpha)$ ; since the thesis holds for the case of two intervals  $[\alpha, \vartheta_1]$ ,  $[\vartheta_1, \gamma]$ , we obtain

$$\int_{\alpha}^{\gamma} \{ v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1 \} d\vartheta = 0 ; \tag{57}$$



the thesis also holds for the  $N - 1$  intervals  $[\gamma, \vartheta_2], [\vartheta_2, \vartheta_3], \dots, [\vartheta_{N-1}, \beta]$ , and so we have

$$\int_{\gamma}^{\beta} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta = 0,$$

which, together with (57), yields the conclusion if  $A_v(\alpha) > A_v(\vartheta_2)$ .

If  $A_v(\alpha) = A_v(\vartheta_2)$ , the same argument works with  $\gamma = \vartheta_2$ . If  $A_v(\alpha) < A_v(\vartheta_2)$  then there exists  $\delta \in (\alpha, \vartheta_1)$  such that  $A_v(\delta) = A_v(\vartheta_2)$  and, as before, by considering the two intervals  $[\delta, \vartheta_1], [\vartheta_1, \vartheta_2]$ , we have

$$\int_{\delta}^{\vartheta_2} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta = 0. \quad (58)$$

Then we “modify” the function  $v(\vartheta)$  by “cutting out” the interval  $(\delta, \vartheta_2)$  from  $[\alpha, \beta]$ ; more precisely, we define in the interval  $[\alpha + [\vartheta_2 - \delta], \beta]$

$$w(\vartheta) := \begin{cases} v(\vartheta - [\vartheta_2 - \delta]), & \text{if } \alpha + [\vartheta_2 - \delta] \leq \vartheta \leq \vartheta_2 \\ v(\vartheta), & \text{if } \vartheta_2 \leq \vartheta \leq \beta \end{cases}.$$

Then  $A_w(\vartheta)$  is piecewise strictly monotone in  $[\alpha + [\vartheta_2 - \delta], \beta]$ , with  $N - 1$  monotonicity intervals. By the induction assumption we have

$$0 = \int_{\alpha + [\vartheta_2 - \delta]}^{\beta} \{w^1 w_{\vartheta}^2 - w^2 w_{\vartheta}^1\} d\vartheta = \int_{\alpha}^{\delta} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta + \int_{\vartheta_2}^{\beta} \{v^1 v_{\vartheta}^2 - v^2 v_{\vartheta}^1\} d\vartheta,$$

which, together with (58), yields the conclusion. ■

**Lemma 27** *Let  $v : [0, 2\pi] \rightarrow \Gamma$  be a Lipschitz-continuous map. Let  $A_{v-\xi}(\vartheta)$  be piecewise strictly monotone in  $[a, b]$  (with a finite number of monotonicity intervals). For every  $\varepsilon > 0$  there exists a Lipschitz-continuous map  $w : B_1 \rightarrow \mathbb{R}^2$  such that  $w(1, \vartheta) = v(\vartheta)$  for every  $\vartheta \in [0, 2\pi]$ , and*

$$\int_{B_1} |\det Dw(x)| dx < \varepsilon + \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} d\vartheta \right|.$$

**Proof.** If  $A_{v-\xi}(0) = A_{v-\xi}(2\pi)$  then the result follows from Lemma 23. Otherwise, we may assume, without loss of generality, that  $A_{v-\xi}(0) < A_{v-\xi}(2\pi)$ . By Lemma 25 we can consider a partition of  $[0, 2\pi]$  by means of points  $0 = \alpha_0 < \alpha_1 < \dots < \alpha_N = 2\pi$  such that, for every  $i = 1, 2, \dots, N$ , either  $A_{v-\xi}$  is strictly increasing in  $[\alpha_{i-1}, \alpha_i]$ , or  $A_{v-\xi}(\alpha_{i-1}) = A_{v-\xi}(\alpha_i)$ . Denote by  $I$  the set of indices

$$I := \{i \in \{1, 2, \dots, N\} : A_{v-\xi}(\alpha_{i-1}) = A_{v-\xi}(\alpha_i)\}.$$

Given  $\varepsilon > 0$ , if  $i \in I$  we denote by  $w_i : S(\alpha_{i-1}, \alpha_i) \rightarrow \mathbb{R}^2$  the Lipschitz-continuous map provided by Lemma 23, satisfying the boundary conditions

$$\begin{cases} w_i(1, \vartheta) = v(\vartheta), & \forall \vartheta \in [\alpha_{i-1}, \alpha_i] \\ w_i(\varrho, \alpha_{i-1}) = w_i(\varrho, \alpha_i) = \xi + \varrho(v(\alpha_{i-1}) - \xi), & \forall \varrho \in [0, 1] \end{cases}$$

and the bound

$$\int_{S(\alpha_{i-1}, \alpha_i)} |\det Dw_i(x)| dx < \varepsilon. \quad (59)$$

We then define the Lipschitz-continuous map  $w : B_1 \rightarrow \mathbb{R}^2$  by setting

$$w(\varrho, \vartheta) := \begin{cases} \xi + \varrho(v(\vartheta) - \xi), & \forall \vartheta \in [\alpha_{i-1}, \alpha_i], \text{ if } i \notin I \\ w_i(\varrho, \vartheta), & \forall \vartheta \in [\alpha_{i-1}, \alpha_i], \text{ if } i \in I \end{cases},$$

and for every  $\varrho \in [0, 1]$ . In particular,  $w$  satisfies the boundary condition  $w(1, \vartheta) = v(\vartheta)$ . Moreover, if  $\vartheta \in [\alpha_{i-1}, \alpha_i]$  for some  $i \notin I$ , in view of (56), we have

$$|\det Dw(x)| = |\det D[\xi + \varrho(v(\vartheta) - \xi)]| \quad (60)$$

$$= (v^1(\vartheta) - \xi^1) v_\vartheta^2(\vartheta) - (v^2(\vartheta) - \xi^2) v_\vartheta^1(\vartheta),$$

where we have used the fact that  $A_{v-\xi}(\vartheta)$  is strictly increasing for  $\vartheta \in [\alpha_{i-1}, \alpha_i]$ . By (59) and (60) we obtain

$$\begin{aligned} \int_{B_1} |\det Dw(x)| dx &= \sum_{i \in I} \int_{S(\alpha_{i-1}, \alpha_i)} |\det Dw_i(x)| dx + \sum_{i \notin I} \int_{S(\alpha_{i-1}, \alpha_i)} |\det Dw(x)| dx \\ &\leq \varepsilon \cdot \#(I) + \sum_{i \notin I} \int_0^1 \varrho d\varrho \int_{\alpha_{i-1}}^{\alpha_i} \{(v^1(\vartheta) - \xi^1) v_\vartheta^2(\vartheta) - (v^2(\vartheta) - \xi^2) v_\vartheta^1(\vartheta)\} d\vartheta \\ &= \varepsilon \cdot \#(I) + \sum_{i \notin I} \frac{1}{2} \int_{\alpha_{i-1}}^{\alpha_i} \{(v^1(\vartheta) - \xi^1) v_\vartheta^2(\vartheta) - (v^2(\vartheta) - \xi^2) v_\vartheta^1(\vartheta)\} d\vartheta. \end{aligned}$$

By Lemma 26, for every  $i \in I$  we have

$$\int_{\alpha_{i-1}}^{\alpha_i} \{(v^1(\vartheta) - \xi^1) v_\vartheta^2(\vartheta) - (v^2(\vartheta) - \xi^2) v_\vartheta^1(\vartheta)\} d\vartheta = 0,$$

hence

$$\begin{aligned} \int_{B_1} |\det Dw(x)| dx &\leq \varepsilon \cdot N + \frac{1}{2} \int_0^{2\pi} \{(v^1(\vartheta) - \xi^1) v_\vartheta^2(\vartheta) - (v^2(\vartheta) - \xi^2) v_\vartheta^1(\vartheta)\} d\vartheta \\ &= \varepsilon \cdot N + \frac{1}{2} \int_0^{2\pi} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta. \end{aligned}$$

■

Next we consider maps  $u = u(\varrho, \vartheta)$  depending explicitly on  $\varrho$  as well. We assume first that  $u$  is a smooth map in the unit ball  $B_1 \subset \mathbb{R}^2$ .

**Lemma 28 (The integral of the Jacobian for smooth maps)** *Let  $u \in W^{1,\infty}(B_1; \mathbb{R}^2)$ . For every  $r \in (0, 1]$  we have*

$$\int_{B_r} \det Du(x) dx = \frac{1}{2} \int_0^{2\pi} \left\{ u^1(r, \vartheta) \frac{\partial u^2}{\partial \vartheta}(r, \vartheta) - u^2(r, \vartheta) \frac{\partial u^1}{\partial \vartheta}(r, \vartheta) \right\} d\vartheta. \quad (61)$$

**Proof.** If first  $u \in C^2(B_1; \mathbb{R}^2)$ , by the divergence theorem, we have for every  $r \in (0, 1)$

$$\int_{B_r} \det Du(x) dx = \int_{B_r} \operatorname{div} \left( u^1 \frac{\partial u^2}{\partial x_2}, -u^1 \frac{\partial u^2}{\partial x_1} \right) dx = \int_{\partial B_r} \left\{ u^1 \frac{\partial u^2}{\partial x_2} \nu^1 - u^1 \frac{\partial u^2}{\partial x_1} \nu^2 \right\} dH^1, \quad (62)$$

where  $\nu = (\nu^1, \nu^2)$  is the exterior normal to  $\partial B_r$  and  $dH^1 = ds = r d\vartheta$  is the element of arclength. A standard approximation argument yields formula (62) for every  $u \in W^{1,\infty}(B_1; \mathbb{R}^2)$  and for every  $r \in (0, 1)$  (since  $u \in W^{1,\infty}(\partial B_r; \mathbb{R}^2)$  for every  $r \in (0, 1)$  too).

With an obvious abuse of notation we write  $u$  in polar coordinates  $(\varrho, \vartheta)$ , i.e.,  $u(x_1, x_2) = u(\varrho, \vartheta)$ . We have

$$\frac{\partial u}{\partial x_1} = \frac{\partial u}{\partial \varrho} \frac{\partial \varrho}{\partial x_1} + \frac{\partial u}{\partial \vartheta} \frac{\partial \vartheta}{\partial x_1} = \frac{\partial u}{\partial \varrho} \cos \vartheta - \frac{\partial u}{\partial \vartheta} \frac{\sin \vartheta}{\varrho},$$

$$\frac{\partial u}{\partial x_2} = \frac{\partial u}{\partial \varrho} \frac{\partial \varrho}{\partial x_2} + \frac{\partial u}{\partial \vartheta} \frac{\partial \vartheta}{\partial x_2} = \frac{\partial u}{\partial \varrho} \sin \vartheta + \frac{\partial u}{\partial \vartheta} \frac{\cos \vartheta}{\varrho}.$$

Since on  $\partial B_r$  the exterior normal reduces to  $\nu = (\nu^1, \nu^2) = (\cos \vartheta, \sin \vartheta)$ , from (62) we obtain

$$\begin{aligned} \frac{\partial u^2}{\partial x_2} \nu^1 - \frac{\partial u^2}{\partial x_1} \nu^2 &= \left( \frac{\partial u^2}{\partial \varrho} \sin \vartheta + \frac{\partial u^2}{\partial \vartheta} \frac{\cos \vartheta}{\varrho} \right) \cos \vartheta - \left( \frac{\partial u^2}{\partial \varrho} \cos \vartheta - \frac{\partial u^2}{\partial \vartheta} \frac{\sin \vartheta}{\varrho} \right) \sin \vartheta \\ &= \frac{\partial u^2}{\partial \varrho} \sin \vartheta \cos \vartheta + \frac{1}{\varrho} \frac{\partial u^2}{\partial \vartheta} \cos^2 \vartheta - \frac{\partial u^2}{\partial \varrho} \sin \vartheta \cos \vartheta + \frac{1}{\varrho} \frac{\partial u^2}{\partial \vartheta} \sin^2 \vartheta = \frac{1}{\varrho} \frac{\partial u^2}{\partial \vartheta}. \end{aligned}$$

Thus on  $\partial B_r$ , since  $dH^1 = r d\vartheta$ , we get

$$\int_{B_r} \det Du(x) dx = \int_{\partial B_r} \left\{ u^1 \frac{\partial u^2}{\partial x_2} \nu^1 - u^1 \frac{\partial u^2}{\partial x_1} \nu^2 \right\} dH^1 = \int_0^{2\pi} u^1 \frac{\partial u^2}{\partial \vartheta} d\vartheta.$$

For symmetric reasons, starting now from  $\det Du(x) = -(u^2 \cdot u_{x_2}^1)_{x_1} + (u^2 \cdot u_{x_1}^1)_{x_2}$ , we also obtain

$$\int_{B_r} \det Du(x) dx = \int_0^{2\pi} -u^2 \frac{\partial u^1}{\partial \vartheta} d\vartheta,$$

and thus, for every value of a real parameter  $\lambda$ ,

$$\int_{B_r} \det Du(x) dx = \lambda \int_0^{2\pi} u^1 \frac{\partial u^2}{\partial \vartheta} d\vartheta + (1 - \lambda) \int_0^{2\pi} -u^2 \frac{\partial u^1}{\partial \vartheta} d\vartheta$$

and, in particular, for  $\lambda = 1/2$ , the conclusion in (61). ■

Now we start to consider functions  $u$  of class  $W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  for some  $p \in (1, 2)$ .

**Lemma 29** *Let  $u$  be a map satisfying the assumptions of Theorem 1. There exists a sequence  $\varrho_j \rightarrow 0$  such that*

$$\begin{aligned} \lim_{j \rightarrow +\infty} \int_0^{2\pi} \left\{ u^1(\varrho_j, \vartheta) \frac{\partial u^2}{\partial \vartheta}(\varrho_j, \vartheta) - u^2(\varrho_j, \vartheta) \frac{\partial u^1}{\partial \vartheta}(\varrho_j, \vartheta) \right\} d\vartheta \\ = \int_0^{2\pi} \left\{ v^1(\vartheta) \frac{dv^2}{d\vartheta}(\vartheta) - v^2(\vartheta) \frac{dv^1}{d\vartheta}(\vartheta) \right\} d\vartheta. \end{aligned}$$

**Proof.** First we use assumption (9). Recall that  $u \in W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  for some  $p \in (1, 2)$ . By Lemma 38 (valid in the general  $n$ -dimensional case) there exists a sequence  $\varrho_j \rightarrow 0$  such that

$$(\varrho_j)^{p-1} \int_{\partial B_{\varrho_j}} |D_\tau u|^p dH^1 \leq cM_0.$$

Since

$$(\varrho_j)^{p-1} \int_{\partial B_{\varrho_j}} |D_\tau u|^p dH^1 = \int_0^{2\pi} \left| \frac{\partial u}{\partial \vartheta}(\varrho_j, \vartheta) \right|^p d\vartheta,$$

we deduce that  $\left\{ \frac{\partial u}{\partial \vartheta}(\varrho_j, \cdot) \right\}_{j \in \mathbb{N}}$  is a bounded sequence in  $L^p(0, 2\pi)$ . By assumption (8)  $\{u(\varrho_j, \cdot)\}_{j \in \mathbb{N}}$  converges to  $v(\cdot)$  in  $L^\infty((0, 2\pi); \mathbb{R}^2)$ . Since  $\left\{ \frac{\partial u}{\partial \vartheta}(\varrho_j, \cdot) \right\}_{j \in \mathbb{N}}$  remains bounded in  $L^p((0, 2\pi); \mathbb{R}^2)$ , then it converges to  $\frac{\partial v}{\partial \vartheta}$  in the weak topology of  $L^p((0, 2\pi); \mathbb{R}^2)$  as  $j \rightarrow +\infty$ . We obtain the conclusion

$$\begin{aligned} \lim_{j \rightarrow +\infty} \int_0^{2\pi} \left\{ u^1(\varrho_j, \vartheta) \frac{\partial u^2}{\partial \vartheta}(\varrho_j, \vartheta) - u^2(\varrho_j, \vartheta) \frac{\partial u^1}{\partial \vartheta}(\varrho_j, \vartheta) \right\} d\vartheta \\ = \int_0^{2\pi} \left\{ v^1(\vartheta) \frac{dv^2}{d\vartheta}(\vartheta) - v^2(\vartheta) \frac{dv^1}{d\vartheta}(\vartheta) \right\} d\vartheta. \end{aligned}$$

■

We are ready to give the proof of Theorem 1. We divide the proof into four steps, and we will refer to the preliminary lemmas above and to Lemma 37, valid in the general  $n$ -dimensional case.

**Proof of Theorem 1. Step 1 (lower bound).** Let  $u$  be a function of class  $W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  for some  $p \in (1, 2)$ . Let us observe that, by assumption (8), there exists  $r > 0$  such that  $B_r \subset \Omega$  and  $u \in L^\infty(B_r; \mathbb{R}^2)$ . Let  $\varrho_j \rightarrow 0$  be the sequence of the previous Lemma 29 and let  $j \in \mathbb{N}$  be sufficiently large so that  $B_{\varrho_j} \subset B_r$ . For such values of  $j \in \mathbb{N}$ , we use the estimate (97) of Lemma 37 to obtain

$$TV(u, \Omega) \geq \int_{\Omega \setminus B_{\varrho_j}} |\det Du(x)| dx + \left| \int_{B_{\varrho_j}} \det D\tilde{u}(x) dx \right|,$$

where  $\tilde{u} : B_{\varrho_j} \rightarrow \mathbb{R}^2$  is any Lipschitz-continuous map such that  $\tilde{u}(x) = u(x)$  on  $\partial B_{\varrho_j}$ . By formula (61) of Lemma 28 (valid on each ball  $B_{\varrho_j}$ ), since  $\tilde{u} = u$ ,  $\partial\tilde{u}/\partial\vartheta = \partial u/\partial\vartheta$  on  $\partial B_{\varrho_j}$ , we have

$$\begin{aligned} TV(u, \Omega) &\geq \int_{\Omega \setminus B_{\varrho_j}} |\det Du(x)| dx \\ &+ \left| \frac{1}{2} \int_0^{2\pi} \left\{ u^1(\varrho_j, \vartheta) \frac{\partial u^2}{\partial \vartheta}(\varrho_j, \vartheta) - u^2(\varrho_j, \vartheta) \frac{\partial u^1}{\partial \vartheta}(\varrho_j, \vartheta) \right\} d\vartheta \right|. \end{aligned}$$

Letting  $j \rightarrow +\infty$ , by Lemma 29 we obtain the lower bound

$$TV(u, \Omega) \geq \int_{\Omega} |\det Du(x)| dx + \frac{1}{2} \left| \int_0^{2\pi} \left\{ v^1(\vartheta) \frac{dv^2}{d\vartheta}(\vartheta) - v^2(\vartheta) \frac{dv^1}{d\vartheta}(\vartheta) \right\} d\vartheta \right|. \quad (63)$$

**Step 2 (upper bound - first part).** To assert the opposite inequality in (63), let us first assume that  $u$  is radially symmetric, i.e.,  $u = v = v(\vartheta) = u(\vartheta)$ , and that it satisfies the assumptions of Lemma 27. By the conclusion of Lemma 27, given  $\varepsilon > 0$  there exists a Lipschitz-continuous map  $w : B_1 \rightarrow \mathbb{R}^2$  such that  $w(1, \vartheta) = v(\vartheta)$  for every  $\vartheta \in [0, 2\pi]$  and

$$\int_{B_1} |\det Dw(x)| dx < \varepsilon + \frac{1}{2} \left| \int_0^{2\pi} \left\{ v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta) \right\} d\vartheta \right|.$$

For every  $h \in \mathbb{N}$  we set

$$u_h(\varrho, \vartheta) := \begin{cases} w(\varrho h, \vartheta), & \text{if } 0 \leq \varrho \leq 1/h \\ v(\vartheta), & \text{if } \varrho \geq 1/h \end{cases}.$$

Then  $\{u_h\}_{h \in \mathbb{N}}$  converges to  $u$  in  $L^p(\Omega)$  and

$$\int_{\Omega} |Du_h(x) - Du(x)|^p dx = \int_{B_{1/h}} |hDw(\varrho h, \vartheta)|^p dx = h^{p-2} \int_{B_1} |Dw(x)|^p dx$$

and so, since  $1 \leq p < 2$ ,  $\{Du_h\}_{h \in \mathbb{N}}$  converges to  $Du$  strongly in  $L^p(B_1; \mathbb{R}^{2 \times 2})$  and finally

$$\int_{\Omega} |\det Du_h(x)| dx = \int_{B_{1/h}} |h^2 \det Dw(\varrho h, \vartheta)| dx = \int_{B_1} |\det Dw(x)| dx$$

$$< \varepsilon + \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} d\vartheta \right|.$$

Therefore, making use of the definition (26) of the total variation of the Jacobian  $TV^s(u, \Omega)$  in the *strong* topology, we can conclude that

$$TV^s(u, \Omega) \leq \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} d\vartheta \right|.$$

This inequality, together with

$$TV(u, \Omega) \leq TV^s(u, \Omega), \quad \forall u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n),$$

and with Step 1, yields the conclusion

$$TV(u, \Omega) = TV^s(u, \Omega) = \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_{\vartheta}^2(\vartheta) - v^2(\vartheta) v_{\vartheta}^1(\vartheta)\} d\vartheta \right| \quad (64)$$

when  $u = v = v(\vartheta) = u(\vartheta)$  satisfies the assumptions of Lemma 27.

**Step 3 (upper bound - second part).** As in the previous Step 2, we still assume that  $u = v = v(\vartheta) = u(\vartheta)$ , but we no longer require that the conditions of Lemma 27 are satisfied. Without loss of generality, we can assume that  $\text{Arg}(v(0) - \xi) = 0$  and thus, by Lemma 21, the map  $v(\vartheta)$  may be represented as

$$v(\vartheta) = \xi + r(A_{v-\xi}(\vartheta)) (\cos A_{v-\xi}(\vartheta), \sin A_{v-\xi}(\vartheta)).$$

Construct a sequence  $\{A_k(\vartheta)\}_{k \in \mathbb{N}}$  of piecewise affine functions, Lipschitz-continuous with bounded Lipschitz constants, satisfying the conditions

$$\begin{cases} A_k(0) = 0, & \forall k \in \mathbb{N}, \\ A'_k(\vartheta) \neq 0, & \text{a.e. } \vartheta \in [0, 2\pi], \quad \forall k \in \mathbb{N}, \\ A_k \rightarrow A_{v-\xi} & \text{in } C^0([0, 2\pi]), \\ A'_k(\vartheta) \rightarrow A'_{v-\xi}(\vartheta), & \text{a.e. } \vartheta \in [0, 2\pi], \\ |A'_k(\vartheta)| \leq L_0, & \text{a.e. } \vartheta \in [0, 2\pi], \quad \forall k \in \mathbb{N}, \end{cases}$$

and define

$$v_k(\vartheta) := \xi + r(A_k(\vartheta)) (\cos A_k(\vartheta), \sin A_k(\vartheta)).$$

Then the map  $v_k(\vartheta)$  satisfies all the assumptions of the previous Step 2 and

$$\begin{cases} v_k \rightarrow v & \text{in } C^0([0, 2\pi]), \\ \left\| \frac{dv_k}{d\vartheta} \right\|_{L^\infty(0, 2\pi)} \leq L_0, & \forall k \in \mathbb{N}, \end{cases} .$$

We prove that  $\left\{ \frac{dv_k}{d\vartheta} \right\}_{k \in \mathbb{N}}$  converges to  $\frac{dv}{d\vartheta}$  for almost every  $\vartheta \in [0, 2\pi]$ , as  $k \rightarrow +\infty$ . To this aim, let us recall that

$$v, v_k : [0, 2\pi] \rightarrow \Gamma = \{ \xi + r(\vartheta) (\cos \vartheta, \sin \vartheta) : \vartheta \in [0, 2\pi] \},$$

where  $r(\vartheta)$  is a piecewise  $C^1$ -function, i.e., there exist a finite number of points  $0 \leq a_0 < a_1 < \dots < a_N \leq 2\pi$ , such that  $r(\vartheta)$  is a function of class  $C^1([a_{j-1}, a_j])$  for every  $j = 1, 2, \dots, N$ . Define

$$E := \{ \vartheta \in [0, 2\pi] : \exists j = 1, 2, \dots, N : A_{v-\xi}(\vartheta) = a_j \} .$$

Then

$$A'_{v-\xi}(\vartheta) = 0 \quad \text{and} \quad v'(\vartheta) = 0, \quad \text{a.e. } \vartheta \in E, \quad (65)$$

and for almost every  $\vartheta \in [0, 2\pi]$  we have

$$\frac{dv_k}{d\vartheta} = A'_k(\vartheta) \{ r'(A_k(\vartheta)) (\cos A_k(\vartheta), \sin A_k(\vartheta)) + r(A_k(\vartheta)) (-\sin A_k(\vartheta), \cos A_k(\vartheta)) \} \quad (66)$$

As  $k \rightarrow +\infty$ ,  $\{ r'(A_k(\vartheta)) \}_{k \in \mathbb{N}}$  converges to  $r'(A_{v-\xi})$  for every  $\vartheta \notin E$ . Thus, by (66), we have that  $\left\{ \frac{dv_k}{d\vartheta} \right\}_{k \in \mathbb{N}}$  converges to  $\frac{dv}{d\vartheta}$  for almost every  $\vartheta \in [0, 2\pi] \setminus E$ . On the other hand, by (65), for almost every  $\vartheta \in E$ ,  $\{ A'_k(\vartheta) \}_{k \in \mathbb{N}}$  converges to  $A'_{v-\xi}(\vartheta) = 0$  and, since  $\{ r'(A_k(\vartheta)) \}_{k \in \mathbb{N}}$  is uniformly bounded, by (66) we can conclude that  $\left\{ \frac{dv_k}{d\vartheta} \right\}_{k \in \mathbb{N}}$  converges to  $0 = \frac{dv}{d\vartheta}$  for almost every  $\vartheta \in E$ , as  $k \rightarrow +\infty$ .

Therefore  $\{ v_k(\vartheta) \}_{k \in \mathbb{N}}$  converges to  $v(\vartheta)$  in the strong topology of  $W^{1,q}(B_1; \mathbb{R}^2)$  for every  $q \geq 1$ , as  $k \rightarrow +\infty$ , and this implies that the map  $u_k(\varrho, \vartheta) := v_k(\vartheta)$  (independent of  $\varrho$ ), which belongs to  $W^{1,p}(B_1; \mathbb{R}^2)$  for every  $p \in [1, 2)$  converges to  $u(\varrho, \vartheta) = v(\vartheta)$ , as  $k \rightarrow +\infty$ , in the strong topology of  $W^{1,p}(B_1; \mathbb{R}^2)$  for every  $p \in [1, 2)$ . From Step 2, and in particular from (64), we deduce that

$$\begin{aligned} TV(u, \Omega) &\leq TV^s(u, \Omega) \leq \liminf_{k \rightarrow +\infty} TV^s(u_k, \Omega) = \liminf_{k \rightarrow +\infty} TV^s(u_k, \Omega) \\ &= \lim_{k \rightarrow +\infty} \frac{1}{2} \left| \int_0^{2\pi} \left\{ v_k^1 \frac{\partial v_k^2}{\partial \vartheta} - v_k^2 \frac{\partial v_k^1}{\partial \vartheta} \right\} d\vartheta \right| = \frac{1}{2} \left| \int_0^{2\pi} \left\{ v^1 \frac{dv^2}{d\vartheta} - v^2 \frac{dv^1}{d\vartheta} \right\} d\vartheta \right|. \end{aligned}$$

By (63) of Step 1 we finally obtain

$$TV(u, \Omega) = TV^s(u, \Omega) = \frac{1}{2} \left| \int_0^{2\pi} \{ v^1 v_\vartheta^2 - v^2 v_\vartheta^1 \} d\vartheta \right| .$$

**Step 4 (upper bound - third part).** Here we study the general case, with  $u = u(\varrho, \vartheta)$  explicitly depending on  $\varrho$  too. The proof of this step, in  $n = 2$  dimensions, is similar to the proof given in the  $n$ -dimensional case in Step 3 of Section 7. However we are able to make some simplifications and, in particular, we do not need the use of Lemma 39. For this reason, and also to make the idea of the proof clearer, we provide some details of the proof in this setting.

Using the argument of Lemma 36, for every  $u \in L^\infty(\Omega; \mathbb{R}^2) \cap W^{1,p}(\Omega; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^2)$  with  $p > 1$ , it can be shown that admissible sequences for  $TV^s(u, \Omega)$ , defined in (26), may be required to assume prescribed boundary values, precisely

$$TV^s(u, \Omega) = \inf \left\{ \liminf_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| dx : \right. \quad (67)$$

$$\left. u_h \rightarrow u \text{ strongly in } W^{1,p}(\Omega; \mathbb{R}^2), u_h \in u + W_0^{1,\infty}(\Omega; \mathbb{R}^2) \right\}.$$

Thus, for every  $\varepsilon > 0$ , there exists a map  $w \in v + W_0^{1,\infty}(B_1; \mathbb{R}^2)$  such that

$$\int_{B_1} |\det Dw(x)| dx < \varepsilon + TV^s(v, B_1). \quad (68)$$

In view of Lemma 38 (valid in the general  $n$ -dimensional case) there exists a sequence  $(\varrho_h)_{h \in \mathbb{N}}$  converging to zero, such that

$$(\varrho_j)^{p-1} \int_{\partial B_{\varrho_j}} |D_\tau u|^p dH^1 = \int_0^{2\pi} |u_\vartheta(\varrho_h, \vartheta)|^p d\vartheta \leq M_0, \quad \forall h \in \mathbb{N}. \quad (69)$$

Introduce the sequence of functions

$$u_h(\varrho, \vartheta) := \begin{cases} w\left(\frac{\varrho}{\varrho_h(1-\sigma_h)}, \vartheta\right), & \text{if } 0 \leq \varrho \leq \varrho_h(1-\sigma_h) \\ \eta_h(\varrho)v(\vartheta) + [1-\eta_h(\varrho)]u(\varrho_h, \vartheta), & \text{if } \varrho_h(1-\sigma_h) < \varrho < \varrho_h, \\ u(\varrho, \vartheta), & \text{if } \varrho_h \leq \varrho \end{cases}$$

where  $\sigma_h := (\varrho_h)^{\frac{2-p}{p-1}}$  and  $\eta_h$  is a *cut-off* function, i.e.,  $\eta_h(\varrho) = 1$  if  $0 \leq \varrho \leq \varrho_h(1-\sigma_h)$ ,  $\eta_h(\varrho) = 0$  if  $\varrho_h \leq \varrho \leq 1$ ,  $\eta_h(\varrho)$  is linear in the interval  $[\varrho_h(1-\sigma_h), \varrho_h]$ . Notice that  $u_h \rightarrow u$  in  $L^p(B_1; \mathbb{R}^2)$ , as  $h \rightarrow +\infty$ , and that the sequence of gradients  $(Du_h)_{h \in \mathbb{N}}$  converges in  $L^p$  to  $Du$ . Indeed

$$\begin{aligned} \int_{\Omega} |Du_h - Du|^p dx &\leq c_1 \int_{B_{\varrho_h(1-\sigma_h)}} \left| Dw\left(\frac{x}{\varrho_h(1-\sigma_h)}\right) \right|^p dx + c_1 \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \frac{|v_\vartheta|^p}{|x|^p} dx \\ &+ c_1 \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \frac{|u_\vartheta(\varrho_h, \vartheta)|^p}{|x|^p} dx + \frac{c_1}{\varrho_h^p \sigma_h^p} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \left| u\left(\varrho_h \frac{x}{|x|}\right) - v\left(\frac{x}{|x|}\right) \right|^p dx + c_1 \int_{B_{\varrho_h}} |Du|^p dx \\ &\leq c_2 \varrho_h^{2-p} + c_2 \varrho_h^{2-p} \sigma_h \int_0^{2\pi} |u_\vartheta(\varrho_h, \vartheta)|^p d\vartheta + c_2 \frac{\varrho_h^{2-p}}{\sigma_h^{p-1}} \|u(\varrho_h, \vartheta) - v(\vartheta)\|_{L^\infty(0, 2\pi)}^p + c_1 \int_{B_{\varrho_h}} |Du|^p dx, \end{aligned}$$

and this quantity goes to zero since  $\sigma_h = (\varrho_h)^{\frac{2-p}{p-1}}$ . Therefore, by (68) we get

$$\begin{aligned} TV^s(u, \Omega) &\leq \liminf_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| dx \\ &= \int_{B_1} |\det Dw(x)| dx + \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx + \int_{\Omega} |\det Du(x)| dx \\ &\leq \varepsilon + TV^s(v, B_1) + \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx + \int_{\Omega} |\det Du(x)| dx. \end{aligned} \quad (70)$$

We evaluate the last integral in the right hand side. For  $\varrho_h(1 - \sigma_h) < \varrho < \varrho_h$  we have

$$\begin{aligned} \det Du_h &= \frac{1}{\varrho} \begin{vmatrix} \frac{\partial u_h^1(\varrho, \vartheta)}{\partial \varrho} & \frac{\partial u_h^1(\varrho, \vartheta)}{\partial \vartheta} \\ \frac{\partial u_h^2(\varrho, \vartheta)}{\partial \varrho} & \frac{\partial u_h^2(\varrho, \vartheta)}{\partial \vartheta} \end{vmatrix} \\ &= \frac{1}{\varrho} \begin{vmatrix} \eta_h'(\varrho) [v^1(\vartheta) - u^1(\varrho_h, \vartheta)] & \eta_h(\varrho) \frac{\partial v^1(\vartheta)}{\partial \vartheta} + [1 - \eta_h(\varrho)] \frac{\partial u_h^1(\varrho_h, \vartheta)}{\partial \vartheta} \\ \eta_h'(\varrho) [v^2(\vartheta) - u^2(\varrho_h, \vartheta)] & \eta_h(\varrho) \frac{\partial v^2(\vartheta)}{\partial \vartheta} + [1 - \eta_h(\varrho)] \frac{\partial u_h^2(\varrho_h, \vartheta)}{\partial \vartheta} \end{vmatrix}, \end{aligned}$$

and thus, since  $|\eta_h'(\varrho)| \leq \frac{c}{\sigma_h \varrho_h}$  for some constant  $c_1$ , we have

$$\begin{aligned} &\int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h| dx \\ &\leq \frac{c_1}{\sigma_h \varrho_h} \int_{\varrho_h(1-\sigma_h)}^{\varrho_h} d\varrho \int_0^{2\pi} |v(\vartheta) - u(\varrho_h, \vartheta)| \cdot \left\{ \left| \frac{\partial v(\vartheta)}{\partial \vartheta} \right| + \left| \frac{\partial u(\varrho_h, \vartheta)}{\partial \vartheta} \right| \right\} d\vartheta \\ &\leq c_1 \sup \{ |v(\vartheta) - u(\varrho_h, \vartheta)| : \vartheta \in [0, 2\pi] \} \cdot \int_0^{2\pi} \left\{ \left| \frac{\partial v(\vartheta)}{\partial \vartheta} \right| + \left| \frac{\partial u(\varrho_h, \vartheta)}{\partial \vartheta} \right| \right\} d\vartheta. \end{aligned}$$

By (69) there exists a new constant  $c_3$  such that

$$\int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h| dx \leq c_3 \sup \{ |v(\vartheta) - u(\varrho, \vartheta)| : \vartheta \in [0, 2\pi] \}$$

and thus, by assumption (8) and by (70), letting  $\varepsilon \rightarrow 0$  we obtain

$$TV(u, \Omega) \leq TV^s(u, \Omega) \leq \int_{\Omega} |\det Du| dx + TV(v, B_1).$$

This upper bound, together with the lower bound of Step 1, yields the conclusion.  $\blacksquare$

## 6 The “eight” curve

Let us denote by  $\gamma$  the image of the “eight” curve, i.e., the union of the two circles  $\gamma^+$  and  $\gamma^-$  of radius 1, respectively of center at  $(1, 0)$  and at  $(-1, 0)$ . Below we will use some elementary representation formulas for  $\gamma^+$  and  $\gamma^-$ . Precisely, for  $\gamma^+$  we will use the representation formulas

$$\gamma^+ := \{(\xi_1, \xi_2) \in \mathbb{R}^2 : \xi_1^2 + \xi_2^2 - 2\xi_1 = 0\}, \quad (71)$$

$$\xi \in \gamma^+ \setminus (0, 0) \iff \begin{cases} \xi_1 = 2 \cos^2 \text{Arg } \xi \\ \xi_2 = 2 \cos \text{Arg } \xi \cdot \sin \text{Arg } \xi \end{cases}. \quad (72)$$

With the aim to prove Theorem 4, we start with some preliminary results concerning a map  $w$  with values in the circle  $\gamma^+$ .



**Lemma 30** Let  $w : [0, 2\pi] \rightarrow \gamma^+$  be a Lipschitz-continuous curve such that  $w(0) = (2, 0)$ . The real function  $R(\vartheta)$  defined by  $R(\vartheta) := 0$  if  $w(\vartheta) = (0, 0)$  and by

$$R(\vartheta) := \frac{w^1(\vartheta) w_\vartheta^2(\vartheta) - w^2(\vartheta) w_\vartheta^1(\vartheta)}{|w(\vartheta)|^2}, \quad \text{if } w(\vartheta) \neq (0, 0), \quad (73)$$

is bounded in  $[0, 2\pi]$  by a constant depending only on the Lipschitz constant of  $w$ . Moreover, if

$$A_w(\vartheta) = \int_0^\vartheta \frac{w^1(t) w_\vartheta^2(t) - w^2(t) w_\vartheta^1(t)}{|w(t)|^2} dt \quad (74)$$

then, for every  $\alpha, \beta \in [0, 2\pi]$  such that  $w(\alpha) \neq (0, 0)$  and  $w(\beta) \neq (0, 0)$ , there exists  $k \in \mathbb{Z}$  such that

$$A_w(\beta) - A_w(\alpha) = \text{Arg } w(\beta) - \text{Arg } w(\alpha) + k\pi. \quad (75)$$

**Proof. Step 1 (boundedness of  $R(\vartheta)$ ).** Let  $L$  be the Lipschitz constant of  $w$ . If  $|w(\vartheta)| \geq \frac{1}{2}$ , then there exists a constant  $c$  such that

$$|R(\vartheta)| \leq cL. \quad (76)$$

On the other hand, if  $|w(\vartheta)| < \frac{1}{2}$  then, since  $[w^1(\vartheta)]^2 + [w^2(\vartheta)]^2 - 2w^1(\vartheta) = 0$ , we deduce that  $|w(\vartheta)|^2 = 2w^1(\vartheta)$  and  $w^1(\vartheta) = 1 - \sqrt{1 - [w^2(\vartheta)]^2}$ . Taking the derivative of both sides we obtain

$$w_\vartheta^1(\vartheta) = \frac{w^2(\vartheta) w_\vartheta^2(\vartheta)}{\sqrt{1 - [w^2(\vartheta)]^2}}.$$

Therefore, if  $w(\vartheta) \neq (0, 0)$ , for almost every  $\vartheta$  we also have

$$\begin{aligned} R(\vartheta) &= \frac{w^1(\vartheta) w_\vartheta^2(\vartheta) - w^2(\vartheta) w_\vartheta^1(\vartheta)}{|w(\vartheta)|^2} = \frac{w_\vartheta^2(\vartheta)}{2} - \frac{w^2(\vartheta) w_\vartheta^1(\vartheta)}{2w^1(\vartheta)} \\ &= \frac{w_\vartheta^2(\vartheta)}{2} \left( 1 - \frac{[w^2(\vartheta)]^2}{\left(1 - \sqrt{1 - [w^2(\vartheta)]^2}\right) \cdot \sqrt{1 - [w^2(\vartheta)]^2}} \right). \end{aligned}$$

The derivative of the real function  $g(t) = 1 - \sqrt{1 - t}$  satisfies the condition  $g'(t) \geq 1/2$  for every  $t \in [0, 1]$ ; thus we have

$$1 - \sqrt{1 - [w^2(\vartheta)]^2} \geq \frac{1}{2} [w^2(\vartheta)]^2.$$

We deduce that

$$|R(\vartheta)| \leq \frac{1}{2} |w_\vartheta^2(\vartheta)| \left( 1 + \frac{2}{\sqrt{1 - [w^2(\vartheta)]^2}} \right),$$

and again (76) holds for an appropriate constant  $c$  since  $|w^2(\vartheta)| < \frac{1}{2}$ . This proves the first assertion of the lemma.

**Step 2 (proof of (75) under special assumptions).** To prove assertion (75) we first make the further assumption that there exist  $N$  disjoint open intervals  $(\alpha_i, \beta_i)$  such that

$$0 = \alpha_1 < \beta_1 \leq \alpha_2 < \beta_2 \leq \dots \leq \alpha_N < \beta_N = 2\pi,$$

and  $w(\vartheta) = (0, 0)$  if and only if  $\vartheta \in [0, 2\pi] \setminus \cup_{i=1}^N (\alpha_i, \beta_i)$ . Fix  $\alpha, \beta \in (0, 2\pi)$  such that  $w(\alpha) \neq (0, 0)$  and  $w(\beta) \neq (0, 0)$ . If  $\alpha, \beta \in (\alpha_i, \beta_i)$  for some  $i \in \{1, 2, \dots, N\}$ , then, using an argument similar to that of the first part of Lemma 20, we have

$$A_w(\beta) - A_w(\alpha) = \text{Arg } w(\beta) - \text{Arg } w(\alpha). \quad (77)$$

Otherwise, if there exists  $i \in \{1, 2, \dots, N\}$  such that

$$\alpha_i < \alpha < \beta_i \leq \alpha_{i+1} < \beta < \beta_{i+1}, \quad (78)$$

then we apply (77) to the interval  $(\alpha, \beta_i - \varepsilon)$  to obtain

$$A_w(\beta_i - \varepsilon) - A_w(\alpha) = \text{Arg } w(\beta_i - \varepsilon) - \text{Arg } w(\alpha).$$

In the limit as  $\varepsilon \rightarrow 0^+$ , since when  $w(\vartheta) \in \gamma^+ \setminus \{(0, 0)\}$  then  $\text{Arg } w(\vartheta) \in (-\frac{\pi}{2}, \frac{\pi}{2})$ , we obtain

$$A_w(\beta_i) - A_w(\alpha) = \pm \frac{\pi}{2} - \text{Arg } w(\alpha), \quad (79)$$

where the sign  $+$  holds if  $w^2(\vartheta) > 0$  as  $\vartheta \rightarrow \beta_i^-$ , and the sign  $-$  holds otherwise. Similarly, we have

$$A_w(\beta) - A_w(\alpha_{i+1}) = \text{Arg } w(\beta) - \left(\pm \frac{\pi}{2}\right) \quad (80)$$

and, adding side by side (79) and (80), yields

$$A_w(\beta) - A_w(\alpha) = \text{Arg } w(\beta) - \text{Arg } w(\alpha) + k\pi,$$

where  $k \in \{-1, 0, 1\}$ . The general case, when (78) is not necessarily satisfied, follows from the previous case by iteration.

**Step 3 (proof of (75)).** Let  $w : [0, 2\pi] \rightarrow \gamma^+$  be a Lipschitz-continuous map. Let  $\{I_j\}_{j \in \mathbb{N}}$  be a sequence of disjoint open intervals (possibly empty) such that  $w(\vartheta) \neq (0, 0)$  if and only if  $\vartheta \in \cup_{j \in \mathbb{N}} I_j$ . For every  $h \in \mathbb{N}$  we define

$$w_h(\vartheta) := \begin{cases} w(\vartheta) & \text{if } \vartheta \in \cup_{j=1}^h I_j \\ (0, 0) & \text{if } \vartheta \notin \cup_{j=1}^h I_j \end{cases}.$$

Then the sequence of Lipschitz constants  $L_h$  of  $w_h$  is bounded. Moreover  $w_h$  converges uniformly to  $w$  in  $[0, 2\pi]$ , as  $h \rightarrow +\infty$ , and the corresponding sequence  $\{R_h(\vartheta)\}_{h \in \mathbb{N}}$  converge to  $R(\vartheta)$  almost everywhere in  $[0, 2\pi]$ . Therefore, integrating (73), we deduce that  $\{A_{w_h}(\vartheta)\}_{h \in \mathbb{N}}$  converges to  $A_w(\vartheta)$  uniformly in  $[0, 2\pi]$ .

Let  $\alpha, \beta \in [0, 2\pi]$  be such that  $w(\alpha) \neq (0, 0)$  and  $w(\beta) \neq (0, 0)$ . For  $h$  large enough we also have  $w_h(\alpha) = w(\alpha) \neq (0, 0)$  and  $w_h(\beta) = w(\beta) \neq (0, 0)$  and, by the previous step,

$$A_{w_h}(\beta) - A_{w_h}(\alpha) = \text{Arg } w(\beta) - \text{Arg } w(\alpha) + k_h\pi.$$

Since the sequence  $k_h$  is bounded, we can pass to the limit in a subsequence and we arrive at the conclusion (75). ■

**Lemma 31** *Under the same assumptions of the previous Lemma 30, for every  $\vartheta \in [0, 2\pi]$  we have*

$$w(\vartheta) = 2 \cos A_w(\vartheta) (\cos A_w(\vartheta), \sin A_w(\vartheta)) . \quad (81)$$

**Proof.** Recall that  $w(0) = (2, 0)$  and so  $\text{Arg } w(\vartheta) = 0$ . By Lemma 30, if  $w(\vartheta) \neq (0, 0)$ , then there exists  $k_\vartheta \in \mathbb{Z}$  such that  $\text{Arg } w(\vartheta) = A_w(\vartheta) + k_\vartheta\pi$ . By (72) we deduce the conclusion

$$\begin{cases} w^1(\vartheta) = 2 \cos^2 \text{Arg } w(\vartheta) = 2 \cos^2 A_w(\vartheta) \\ w^2(\vartheta) = \sin 2 \text{Arg } w(\vartheta) = \sin 2 A_w(\vartheta) = 2 \sin A_w(\vartheta) \cdot \cos A_w(\vartheta) \end{cases} .$$

If  $w(\vartheta_0) = (0, 0)$  and there exists a sequence  $\vartheta_i \rightarrow \vartheta_0$  such that  $w(\vartheta_i) \neq (0, 0)$  for every  $i \in \mathbb{N}$ , then (81) holds for  $\vartheta = \vartheta_i$ . Since  $A_w(\vartheta)$  is a continuous function, (81) holds for  $\vartheta = \vartheta_0$  as well.

If  $w(\vartheta_0) = (0, 0)$  and a sequence  $\vartheta_i \rightarrow \vartheta_0$  such that  $w(\vartheta_i) \neq (0, 0)$  for every  $i \in \mathbb{N}$  does not exist, then there exists an interval  $(\vartheta_0 - \delta, \vartheta_0 + \delta)$ , with  $\delta > 0$ , such that  $w(\vartheta)$  is identically equal to  $(0, 0)$  in  $(\vartheta_0 - \delta, \vartheta_0 + \delta)$ . In this case let us denote by  $(\alpha, \beta)$  the largest interval containing  $\vartheta_0$  with this property; since  $R(\vartheta) = 0$  in  $(\alpha, \beta)$  we have  $A_w(\alpha) = A_w(\vartheta_0)$ . On the other hand (81) holds for  $\vartheta = \alpha$  since  $(\alpha, \beta)$  is an extremal interval; hence

$$\begin{aligned} w(\vartheta_0) = (0, 0) &= w(\alpha) = 2 \cos A_w(\alpha) (\cos A_w(\alpha), \sin A_w(\alpha)) \\ &= 2 \cos A_w(\vartheta_0) (\cos A_w(\vartheta_0), \sin A_w(\vartheta_0)) . \end{aligned}$$

■

The next lemma is similar to the “umbrella” Lemma 23, with the main difference that here the starting point of the “umbrella-stick” is placed at a boundary point of the circle  $\gamma^+$ .

**Lemma 32 (The “umbrella” lemma for the “eight” curve)** *Let  $w : [0, 2\pi] \rightarrow \gamma^+$  be a Lipschitz-continuous curve. Assume that there exist  $\alpha, \beta \in [0, 2\pi]$ ,  $\alpha < \beta$ , such that  $A_w(\alpha) = A_w(\beta)$ . Then, for every  $\varepsilon > 0$ , there exists a Lipschitz-continuous map  $\tilde{w} : S(\alpha, \beta) \rightarrow \mathbb{R}^2$  satisfying the boundary conditions*

$$\begin{cases} \tilde{w}(1, \vartheta) = w(\vartheta), & \forall \vartheta \in [\alpha, \beta] \\ \tilde{w}(\varrho, \alpha) = \varrho w(\alpha), & \forall \varrho \in [0, 1] \\ \tilde{w}(\varrho, \beta) = \varrho w(\beta), & \forall \varrho \in [0, 1] \end{cases}$$

(note that  $w(\alpha) = w(\beta)$ ) and such that

$$\int_{S(\alpha, \beta)} |\det D\tilde{w}(x)| \, dx < \varepsilon .$$

**Proof.** For fixed  $h \in \mathbb{N}$  we set

$$\tilde{w}_h(\varrho, \vartheta) := 2\varrho \cos \varphi_h(\varrho, \vartheta) (\cos \varphi_h(\varrho, \vartheta), \sin \varphi_h(\varrho, \vartheta)) ,$$

where

$$\varphi_h(\varrho, \vartheta) := \varrho^h A_w(\vartheta) + (1 - \varrho^h) A_w(\alpha) .$$

Let us test the boundary conditions of  $\tilde{w}(\varrho, \vartheta)$ . By Lemma 31, for every  $\vartheta \in [\alpha, \beta]$  we have

$$\tilde{w}_h(1, \vartheta) = 2 \cos A_w(\vartheta) (\cos A_w(\vartheta), \sin A_w(\vartheta)) = (w_h^1(\vartheta), w_h^2(\vartheta)) = w(\vartheta) ,$$

and, for every  $\varrho \in [0, 1]$ ,

$$\tilde{w}_h(\varrho, \alpha) = 2\varrho \cos A_w(\alpha) (\cos A_w(\alpha), \sin A_w(\alpha)) = \varrho (w_h^1(\alpha), w_h^2(\alpha)) = \varrho w(\alpha).$$

Similarly  $\tilde{w}_h(\varrho, \beta) = \varrho w(\beta)$  for every  $\varrho \in [0, 1]$ . Using an argument similar to the one used in Lemma 23, we can see that (we do not denote in the matrix the dependence on  $h$ )

$$\det D\tilde{w}_h(x) = \frac{1}{\varrho} \begin{vmatrix} \tilde{w}_\varrho^1(\varrho, \vartheta) & \tilde{w}_\vartheta^1(\varrho, \vartheta) \\ \tilde{w}_\varrho^2(\varrho, \vartheta) & \tilde{w}_\vartheta^2(\varrho, \vartheta) \end{vmatrix} = 4\varrho^h \cos^2 \varphi_h(\varrho, \vartheta) A'_w(\vartheta).$$

By Lemma 30 the function

$$A'_w(\vartheta) = \frac{w^1(\vartheta) w_\vartheta^2(\vartheta) - w^2(\vartheta) w_\vartheta^1(\vartheta)}{|w(\vartheta)|^2}$$

is bounded; thus there exists a constant  $c$  such that

$$\int_{S(\alpha, \beta)} |\det D\tilde{w}_h(x)| dx \leq c \int_0^1 \varrho^{h+1} d\varrho = \frac{c}{h+2},$$

and this concludes the proof of our lemma. ■

**Lemma 33** *Let  $w : [0, 2\pi] \rightarrow \gamma^+$  be a Lipschitz-continuous map. If  $\alpha, \beta \in [0, 2\pi]$ ,  $\alpha < \beta$ , are such that  $A_w(\alpha) = A_w(\beta)$ , and if the function  $A_w(\vartheta)$  is piecewise strictly monotone in  $[\alpha, \beta]$  (with a finite number of monotonicity intervals), then*

$$\int_\alpha^\beta \{w^1(\vartheta) w_\vartheta^2(\vartheta) - w^2(\vartheta) w_\vartheta^1(\vartheta)\} d\vartheta = 0.$$

**Proof.** This result can be proved just as in Lemma 26. ■

**Lemma 34** *Let  $u : [0, 2\pi] \rightarrow \gamma = \gamma^+ \cup \gamma^-$  be a Lipschitz-continuous map. Assume that there exist  $N$  disjoint open intervals  $I_j \subset [0, 2\pi]$  such that  $u(I_j)$  is contained either in  $\gamma^+$  or in  $\gamma^-$  for every  $j = 1, 2, \dots, N$ , and  $u(\vartheta) = (0, 0)$  when  $\vartheta \notin \cup_{j=1}^N I_j$ . Assume, in addition, that the function*

$$\vartheta \longrightarrow u^1(\vartheta) u_\vartheta^2(\vartheta) - u^2(\vartheta) u_\vartheta^1(\vartheta) \quad (82)$$

*has piecewise constant sign in  $[0, 2\pi]$ . Then, for every  $\varepsilon > 0$ , there exists a Lipschitz-continuous map  $\tilde{w} : B_1 \rightarrow \mathbb{R}^2$  satisfying the boundary condition  $\tilde{w}(1, \vartheta) = u(\vartheta)$  for every  $\vartheta \in [0, 2\pi]$ , and such that*

$$\int_{B_1} |\det D\tilde{w}(x)| dx < \varepsilon + \frac{1}{2} \sum_{j=1}^N \left| \int_{I_j} \{u^1(\vartheta) u_\vartheta^2(\vartheta) - u^2(\vartheta) u_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (83)$$

**Proof.** Fix  $j \in \{1, 2, \dots, N\}$  and assume that  $u(I_j) \subset \gamma^+$ . We follow the method of proof of Lemma 27, using Lemma 32 (in place of Lemma 23) and Lemma 33 (in place of Lemma 26). Setting  $I_j := (\alpha_j, \beta_j)$ , we construct a Lipschitz-continuous map  $\tilde{w}_j : S(\alpha_j, \beta_j) \rightarrow \mathbb{R}^2$  satisfying the boundary conditions

$$\begin{cases} \tilde{w}_j(1, \vartheta) = u(\vartheta), & \forall \vartheta \in [\alpha_j, \beta_j] \\ \tilde{w}_j(\varrho, \alpha_j) = \varrho \cdot u(\alpha_j) = (0, 0), & \forall \varrho \in [0, 1] \\ \tilde{w}_j(\varrho, \beta_j) = \varrho \cdot u(\beta_j) = (0, 0), & \forall \varrho \in [0, 1] \end{cases} \quad (84)$$

and the estimate

$$\int_{S(\alpha_j, \beta_j)} |\det D\tilde{w}_i(x)| dx < \frac{\varepsilon}{N} + \frac{1}{2} \left| \int_{I_j} \{u^1(\vartheta) u_\vartheta^2(\vartheta) - u^2(\vartheta) u_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (85)$$

A similar conclusion holds if, instead, we have  $u(I_j) \subset \gamma^-$ . Then the result follows by taking  $\tilde{w} : B_1 \rightarrow \mathbb{R}^2$  defined by

$$\tilde{w}(\varrho, \vartheta) := \begin{cases} \tilde{w}_j(\varrho, \vartheta), & \forall \vartheta \in (\alpha_j, \beta_j) = I_j \\ (0, 0), & \forall \vartheta \notin \cup_{j=1}^N I_j \end{cases}.$$

■

**Proof of Theorem 4. Step 1 (lower bound - first part).** Let  $v : [0, 2\pi] \rightarrow \gamma = \gamma^+ \cup \gamma^-$  be a Lipschitz-continuous map. With  $u(x) := v(x/|x|)$  then  $u \in L^\infty(B_1; \mathbb{R}^2) \cap W^{1,p}(B_1; \mathbb{R}^2) \cap W_{\text{loc}}^{1,\infty}(B_1 \setminus \{0\}; \mathbb{R}^2)$  for every  $p \in (1, 2)$ . By (90) (lower bound obtained in Lemma 36 in the general  $n$ -dimensional case) we have

$$TV(u, B_1) \geq \left| \int_{B_1} \det D\tilde{u}(x) dx \right|,$$

where  $\tilde{u} : B_1 \rightarrow \mathbb{R}^2$  is any Lipschitz-continuous map which assumes the boundary value  $\tilde{u} = u$  on  $\partial B_1$  (e.g.,  $\tilde{u}(x) = |x| v(x/|x|) = |x| u(x)$  for  $x \in B_1 \setminus \{0\}$  and  $\tilde{u}(0) = 0$ ). By formula (61) of Lemma 28 (valid on  $B_r$  for every  $r \in (0, 1]$ ), since  $\tilde{u} = u$ ,  $\partial\tilde{u}/\partial\vartheta = \partial u/\partial\vartheta$  on  $\partial B_1$ , we have

$$TV(u, B_1) \geq \left| \frac{1}{2} \int_0^{2\pi} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (86)$$

As in the statement of Theorem 4, we denote by  $(I_j)_{j \in \mathbb{N}}$  a sequence of disjoint open intervals in  $[0, 2\pi]$  (possibly empty) such that the image  $v(I_j)$  is contained either in  $\gamma^+$  or in  $\gamma^-$ , and  $v(\vartheta) = (0, 0)$  when  $\vartheta \notin \cup_{j \in \mathbb{N}} I_j$ . Then we can write (86) equivalently

$$TV(u, B_1) \geq \frac{1}{2} \left| \sum_{j \in \mathbb{N}} \int_{I_j} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (87)$$

**Step 2 (lower bound - second part).** Let  $\delta > 0$  and let  $\{u_h = (u_h^1, u_h^2)\}_{h \in \mathbb{N}}$  be a sequence in  $W^{1,2}(B_1; \mathbb{R}^2)$  converging to  $u$  in the weak topology of  $W^{1,p}(B_1; \mathbb{R}^2)$ ,  $p \in (1, 2)$ , and such that

$$TV(u, B_1) + \delta \geq \lim_{h \rightarrow +\infty} \int_{B_1} |\det Du_h(x)| dx.$$

Let  $u_h^+ := (|u_h^1|, u_h^2) \in W^{1,2}(B_1; \mathbb{R}^2)$ , which converges to  $u^+ = (|u^1|, u^2)$  in the weak topology of  $W^{1,p}(B_1; \mathbb{R}^2)$  as  $h \rightarrow +\infty$ . Since  $|\det Du_h^+(x)| = |\det Du_h(x)|$  for almost every  $x \in B_1$ , we obtain

$$TV(u, B_1) + \delta \geq \lim_{h \rightarrow +\infty} \int_{B_1} |\det Du_h(x)| dx = \lim_{h \rightarrow +\infty} \int_{B_1} |\det Du_h^+(x)| dx \geq TV(u^+, B_1).$$

The total variation of the map  $u^+ : B_1 \rightarrow \gamma^+$  can be obtained using the formula (10), with  $u^+ = (|u^1|, u^2) = (v^1, v^2)$ ; therefore, as  $\delta \rightarrow 0^+$  we have

$$TV(u, B_1) \geq TV(u^+, B_1) = \frac{1}{2} \left| \int_0^{2\pi} \left\{ |v^1| \frac{dv^2}{d\vartheta} - v^2 \frac{d|v^1|}{d\vartheta} \right\} d\vartheta \right|.$$

Recall that  $v^1(\vartheta) > 0$  if  $\vartheta \in I_j$ , where  $v(I_j) \subset \gamma^+$  (and analogously  $v^1(\vartheta) < 0$  if  $v(I_j) \subset \gamma^-$ , while  $v^1(\vartheta) = 0$  if  $\vartheta \notin \cup_{j \in \mathbb{N}} I_j$ ). Again, as in the statement of Theorem 4, we denote by  $I_j^+$ , with the + sign, any interval  $I_j$  such that  $v(I_j) \subset \gamma^+$ , and by  $I_k^-$  any interval  $I_k$  such that  $v(I_k) \subset \gamma^-$ . Thus

$$TV(u, B_1) \geq \frac{1}{2} \left| \sum_{j \in \mathbb{N}} \int_{I_j^+} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta - \sum_{k \in \mathbb{N}} \int_{I_k^-} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right|. \quad (88)$$

**Step 3 (lower bound - conclusion).** Using the results of the previous Steps 1 and 2, in particular (87) and (88), we have

$$TV(u, B_1) \geq \frac{1}{2} \max_{\pm} \left| \sum_{j \in \mathbb{N}} \int_{I_j^+} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \pm \sum_{k \in \mathbb{N}} \int_{I_k^-} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right|.$$

Since  $\max_{\pm} |a \pm b| = |a| + |b|$ , we finally obtain the lower bound (13).

**Step 4 (upper bound).** Assume first that  $u : [0, 2\pi] \rightarrow \gamma = \gamma^+ \cup \gamma^-$  is a Lipschitz-continuous map satisfying the further assumptions of Lemma 34. In particular, we assume that there exist  $N$  disjoint open intervals  $I_j \subset [0, 2\pi]$  such that  $u(I_j)$  is contained either in  $\gamma^+$  or in  $\gamma^-$  for every  $j = 1, 2, \dots, N$ , and  $u(\vartheta) = (0, 0)$  when  $\vartheta \notin \cup_{j=1}^N I_j$ . We also assume that the function  $\vartheta \rightarrow u^1(\vartheta) u_\vartheta^2(\vartheta) - u^2(\vartheta) u_\vartheta^1(\vartheta)$  has piecewise constant sign in  $[0, 2\pi]$ . Then, for every  $\varepsilon > 0$ , there exists a Lipschitz-continuous map  $\tilde{w} : B_1 \rightarrow \mathbb{R}^2$  satisfying the boundary condition  $\tilde{w}(1, \vartheta) = u(\vartheta)$  for  $\vartheta \in [0, 2\pi]$ , and (83). For every  $h \in \mathbb{N}$  we define

$$u_h(\varrho, \vartheta) := \begin{cases} u(\vartheta), & \text{if } 1/h \leq \varrho \leq 1, \\ \tilde{w}(\varrho h, \vartheta), & \text{if } 0 \leq \varrho \leq 1/h. \end{cases}$$

As in Step 2 of the proof of Theorem 1,  $u_h$  converges to  $u$  strongly in  $W^{1,p}(B_1; \mathbb{R}^2)$  for every  $p \in (1, 2)$ , as  $h \rightarrow +\infty$ . Finally, by (83),

$$\begin{aligned} \int_{B_1} |\det Du_h(x)| dx &= \int_{B_{1/h}} |h^2 \det Dw(\varrho h, \vartheta)| dx = \int_{B_1} |\det D\tilde{w}(\varrho, \vartheta)| dx \\ &< \varepsilon + \frac{1}{2} \sum_{j=1}^N \left| \int_{I_j} \{u^1(\vartheta) u_\vartheta^2(\vartheta) - u^2(\vartheta) u_\vartheta^1(\vartheta)\} d\vartheta \right|, \end{aligned}$$

and thus we obtain the conclusion (12) in this case, i.e.,

$$TV(u, B_1) \leq TV^s(u, B_1) \leq \frac{1}{2} \sum_{j=1}^N \left| \int_{I_j} \{u^1 u_\vartheta^2 - u^2 u_\vartheta^1\} d\vartheta \right|.$$

**Step 5 (upper bound again).** Consider first the case where  $u : [0, 2\pi] \rightarrow \gamma$  satisfies the conditions of the previous Step 4, with the possible exception that the function  $\vartheta \rightarrow u^1(\vartheta) u_\vartheta^2(\vartheta) - u^2(\vartheta) u_\vartheta^1(\vartheta)$  has piecewise constant sign in  $[0, 2\pi]$ . Assume further that there exist  $N$  disjoint open intervals  $I_j \subset [0, 2\pi]$  such that  $u(I_j)$  is contained either in  $\gamma^+$  or in  $\gamma^-$  for every  $j = 1, 2, \dots, N$ , and  $u(\vartheta) = (0, 0)$  when  $\vartheta \notin \cup_{j=1}^N I_j$ . We proceed in a way similar to that of Step 3 of the proof of Theorem 1. We consider one of such intervals  $I_j$  such that  $u(I_j) \subset \gamma^+$  and, without loss of generality, we can assume that  $u(0) = (2, 0) \in \gamma^+$ . By Lemma 31, the map  $u(\vartheta)$  can be represented in the form

$$u(\vartheta) = 2 \cos A_w(\vartheta) (\cos A_w(\vartheta), \sin A_w(\vartheta))$$

for  $\vartheta \in I_j$ . As in Step 3 of the proof of Theorem 1, we may find a sequence  $(u_{j,k})_{k \in \mathbb{N}}$ , with  $u_{j,k} : I_j \rightarrow \mathbb{R}^2$ , such that, as  $k \rightarrow +\infty$ ,

$$\begin{cases} u_{j,k} \rightarrow u & \text{in } C^0(\overline{I_j}), \\ \frac{du_{j,k}}{d\vartheta} \rightarrow \frac{du}{d\vartheta} & \text{strongly in } L^q(I_j) \quad \forall q \geq 1 \end{cases}.$$

Moreover  $u_{j,k}(\vartheta) = u(\vartheta)$  for  $\vartheta \in \partial I_j$ , and  $u_{j,k}^1 du_{j,k}^2/d\vartheta - u_{j,k}^2 du_{j,k}^1/d\vartheta$  has piecewise constant sign in  $I_j$ . Then the map  $u_{j,k}(\vartheta)$  satisfies all the assumptions of the previous Step 4. We define

$$u_k(\vartheta) := \begin{cases} u_{j,k}(\vartheta), & \text{if } \vartheta \in I_j \\ (0, 0), & \text{if } \vartheta \notin \cup_j I_j \end{cases}.$$

Clearly the maps  $u_k(\vartheta)$  converge to  $u$  in the strong topology of  $W^{1,p}(B_1; \mathbb{R}^2)$  for every  $p \in [1, 2)$ , as  $k \rightarrow +\infty$ , and from Step 4 we obtain the upper bound (12) under our assumptions, i.e.,

$$TV(u, B_1) \leq TV^s(u, B_1) \leq \liminf_{k \rightarrow +\infty} TV^s(u_k, B_1) \quad (89)$$

$$= \lim_{k \rightarrow +\infty} \frac{1}{2} \sum_{j=1}^N \left| \int_{I_j} \left\{ u_{j,k}^1 \frac{du_{j,k}^2}{d\vartheta} - u_{j,k}^2 \frac{du_{j,k}^1}{d\vartheta} \right\} d\vartheta \right| = \frac{1}{2} \sum_{j=1}^N \left| \int_{I_j} \left\{ u^1 \frac{du^2}{d\vartheta} - u^2 \frac{du^1}{d\vartheta} \right\} d\vartheta \right|.$$

Finally, when the intervals  $I_j$  are infinitely many, the upper bound (12) is deduced from the previous case of finitely many intervals  $I_j$  ( $j = 1, 2, \dots, N$ ), by approximating  $u$  with

$$u_N(\vartheta) := \begin{cases} u(\vartheta), & \text{if } \vartheta \in \cup_{j=1}^N I_j \\ (0, 0), & \text{if } \vartheta \notin \cup_{j=1}^N I_j \end{cases}.$$

Indeed, applying (89) to each  $u_N$  and passing to the limit as  $N \rightarrow +\infty$ , we obtain

$$\begin{aligned} TV(u, B_1) &\leq TV^s(u, B_1) \leq \liminf_{N \rightarrow +\infty} TV^s(u_N, B_1) \\ &\leq \lim_{N \rightarrow +\infty} \frac{1}{2} \sum_{j=1}^N \left| \int_{I_j} \left\{ u^1 \frac{du^2}{d\vartheta} - u^2 \frac{du^1}{d\vartheta} \right\} d\vartheta \right| = \frac{1}{2} \sum_{j=1}^{\infty} \left| \int_{I_j} \left\{ u^1 \frac{du^2}{d\vartheta} - u^2 \frac{du^1}{d\vartheta} \right\} d\vartheta \right|. \end{aligned}$$

■

## 7 The $n$ -dimensional case

In this section we prove Theorem 10. We first recall a lower semicontinuity result, valid for *polyconvex* integrands (and for *quasiconvex* integrands as well), related to the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p$  below the critical exponent  $n$ . These may be called *non-standard* lower semicontinuity results, as opposed to the *classical* setting of lower semicontinuity results in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  when  $p$  is equal to the *growth* exponent of the integrand  $f$  (see Morrey [48], Acerbi and Fusco [3], Marcellini [44]). We refer to *polyconvex* integrals as in Theorem 35 below, of the type

$$\int_{\Omega} f(Du) dx, \quad \text{with } 0 \leq f(\xi) \leq c(1 + |\xi|^p).$$

In the case considered here, the integrand  $f(\xi) := |\det \xi| \leq n^{-n/2} |Du(x)|^n$  has growth exponent equal to  $n$ , while we need to consider the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p < n$ .

Theorem 35 has been proved by Marcellini [44], [45] for  $p > n^2/(n+1)$  and by Dacorogna and Marcellini [19] for  $p > n-1$  ( $p \geq 1$  if  $n = 2$ ). A limiting case, with  $p = n-1$ , has been considered under different assumptions by Acerbi and Dal Maso [2], Celada and Dal Maso [14], Dal Maso and Sbordone [21] and by Fusco and Hutchinson [28]. The *relaxation* in this context has been first considered by Fonseca and Marcellini [27].

Precisely the following theorem holds (we limit ourselves to quote here the polyconvex case, related to maps  $u : \Omega \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$  with  $m = n$ ). Given a map  $u : \Omega \rightarrow \mathbb{R}^n$ , we denote by  $M(Du)$  the vector-valued map

$$M(Du) = (Du, \text{adj}_2 Du, \dots, \text{adj}_{n-1} Du, \det Du) \in \mathbb{R}^N,$$

where, for  $j = 2, \dots, n-1$ ,  $\text{adj}_j Du$  denotes the matrix of all minors  $j \times j$  of  $Du$  and  $N = \sum_{j=1}^n \binom{n}{j}^2$  (in particular  $N = 5$  if  $n = 2$ ).

**Theorem 35 (Lower semicontinuity below the critical exponent)** *Let  $\Omega$  be an open set of  $\mathbb{R}^n$ . Let  $g : \mathbb{R}^N \rightarrow \mathbb{R}$  be a nonnegative convex function. Then*

$$\liminf_{h \rightarrow +\infty} \int_{\Omega} g(M(Du_h)) \, dx \geq \int_{\Omega} g(M(Du)) \, dx,$$

for every sequence  $u_h$  which converge to  $u$  in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p > n-1$ , with  $u, u_h \in W_{\text{loc}}^{1,n}(\Omega; \mathbb{R}^n)$  for every  $h \in \mathbb{N}$ .

The following Lemmas 36, 37 and 38, are also used in the 2-dimensional Section 5. The first result stated in Lemma 36 gives a lower bound for the total variation. It is a variant of Lemma 5.1 (see also Lemma 2.3) by Marcellini [45], who considered the general *quasiconvex case* with the exponent  $p$  below the critical growth exponent  $n$ , precisely  $n^2/(n+1) < p < n$ .

**Lemma 36 (Lower bound - first estimate)** *Let  $u \in L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n-1, n)$ . The following estimate holds*

$$TV(u, \Omega) \geq \left| \int_{\Omega} \det D\tilde{u}(x) \, dx \right|, \quad (90)$$

whenever  $\tilde{u} : \Omega \rightarrow \mathbb{R}^n$  is a Lipschitz-continuous map which agrees with  $u$  on the boundary of  $\Omega$ , i.e.,  $\tilde{u}(x) = u(x)$  on  $\partial\Omega$ .

**Proof.** For fixed  $p \in (n-1, n)$ ,  $\delta > 0$ , consider a sequence  $\{u_h\}_{h \in \mathbb{N}}$  in  $W^{1,n}(\Omega; \mathbb{R}^n)$  that converges to  $u$  in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$ , and such that

$$\lim_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| \, dx \leq TV(u, \Omega) + \delta. \quad (91)$$

Let  $M := \|u\|_{L^\infty(\Omega; \mathbb{R}^n)} \in \mathbb{R}$ . Truncate each  $u_h$  into  $w_h = (w_h^1, w_h^2, \dots, w_h^n)$  whose components are given by

$$w_h^j(x) := \begin{cases} -M & \text{if } u_h^j(x) \leq -M \\ u_h^j(x) & \text{if } -M \leq u_h^j(x) \leq M \\ M & \text{if } u_h^j(x) \geq M \end{cases}, \quad \forall j = 0, 1, \dots, n.$$

Clearly  $\{u_h\}_{h \in \mathbb{N}}$  still converges to  $u$ , as  $h \rightarrow +\infty$ , in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  and the  $L^\infty$ -norm  $\|w_h\|_{L^\infty(\Omega; \mathbb{R}^n)}$  is uniformly bounded as  $h \in \mathbb{N}$ . Moreover, since

$$w_h(x) \neq u_h(x) \quad \implies \quad \det Dw_h(x) = 0,$$



we obtain  $|\det Dw_h(x)| \leq |\det Du_h(x)|$  for almost every  $x \in \Omega$ , and

$$\liminf_{h \rightarrow +\infty} \int_{\Omega} |\det Dw_h(x)| dx \leq \lim_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| dx < TV(u, \Omega) + \delta.$$

Therefore, without loss of generality, passing to a subsequence, we can assume that the limit relation (91) holds, together with the uniform bound

$$\sup_{h \in \mathbb{N}} \|u_h\|_{L^\infty(\Omega; \mathbb{R}^n)} = M < +\infty. \quad (92)$$

Let  $\Omega_0$  be an open set compactly contained in  $\Omega$  and let  $R := \text{dist}(\Omega_0, \partial\Omega)/2$ , with  $0 \in \Omega_0$ . For every  $k \in \mathbb{N}$  set

$$\Omega_i := \left\{ x \in \Omega : \text{dist}(x, \Omega_0) < \frac{iR}{k} \right\}, \quad \forall i = 1, 2, \dots, k.$$

For every  $i = 1, 2, \dots, k$ , consider a Lipschitz continuous *cut-off* scalar function  $\varphi_i : \Omega \rightarrow [0, 1]$ , defined by

$$\varphi_i(x) := \begin{cases} 1 & \text{if } x \in \Omega_{i-1} \\ 0 \leq \varphi \leq 1, & |D\varphi_i| \leq \frac{k+1}{R} \quad \text{if } x \in \Omega_i \setminus \Omega_{i-1} \\ 0 & \text{if } x \in \Omega \setminus \Omega_i \end{cases}.$$

Again, for every  $i = 1, 2, \dots, k$ , and for  $h \in \mathbb{N}$ , define

$$w_{h,i}(x) := (1 - \varphi_i(x))u(x) + \varphi_i(x)u_h(x).$$

Then  $w_{h,i}(x) = u(x)$  for every  $x \in \Omega \setminus \Omega_i$ , and in particular for every  $x \in \Omega \setminus \Omega_0$ . Since  $u(x)$  is a smooth map in  $\Omega \setminus \Omega_0$  and since  $w_{h,i}(x)$  and  $\tilde{u}(x)$  are smooth maps in  $\Omega$ , which coincide with  $u(x)$  on the boundary  $\partial\Omega$ , using the fact that the integral of the Jacobian depends only on the trace at the boundary, we have

$$\begin{aligned} \left| \int_{\Omega} \det D\tilde{u}(x) dx \right| &= \left| \int_{\Omega} \det Dw_{h,i}(x) dx \right| \leq \int_{\Omega} |\det Dw_{h,i}(x)| dx \\ &= \int_{\Omega_{i-1}} |\det Du_h(x)| dx + \int_{\Omega_i \setminus \Omega_{i-1}} |\det Dw_{h,i}(x)| dx + \int_{\Omega \setminus \Omega_i} |\det Du(x)| dx. \end{aligned}$$

Letting  $h \rightarrow +\infty$ , taking into account the limit relation (91), summing up the above relation with respect to  $i = 1, 2, \dots, k$ , and dividing both sides by  $k$ , we obtain

$$\begin{aligned} \left| \int_{\Omega} \det D\tilde{u}(x) dx \right| &\leq TV(u, \Omega) + \delta \\ &+ \frac{1}{k} \limsup_{h \rightarrow +\infty} \sum_{i=1}^k \int_{\Omega_i \setminus \Omega_{i-1}} |\det Dw_{h,i}(x)| dx + \int_{\Omega \setminus \Omega_0} |\det Du(x)| dx. \end{aligned} \quad (93)$$

We estimate the second integral in the right hand side. To this aim, we recall the inequality (2.9) of Marcellini [44], valid for every *quasiconvex* function  $f(\xi)$  with  $q \in [1, +\infty)$  growth: there exists a positive constant  $c$  such that, for every  $\xi, \eta$ ,

$$|f(\xi) - f(\eta)| \leq c \left( 1 + |\xi|^{q-1} + |\eta|^{q-1} \right) |\xi - \eta|. \quad (94)$$

When applied to the quasiconvex function  $f(\xi) := |\det \xi|$ , since  $|\det \xi| \leq n^{-n/2} |\xi|^n$ , from the previous inequality with  $q = n$  we deduce that

$$\left| |\det \xi| - |\det \eta| \right| \leq c \left( 1 + |\xi|^{n-1} + |\eta|^{n-1} \right) |\xi - \eta|. \quad (95)$$

As  $Dw_{h,i}(x) = D[(1 - \varphi_i(x))u(x) + \varphi_i(x)u_h(x)]$ , in  $\Omega_i \setminus \Omega_{i-1}$  we have

$$\begin{aligned} |Dw_{h,i}(x) - \varphi_i(x)Du_h(x)| &\leq |D\varphi_i(x)| \cdot |u_h(x) - u(x)| \\ &+ |1 - \varphi_i(x)| \cdot |Du(x)| \leq \frac{k+1}{R} |u_h(x) - u(x)| + |Du(x)|. \end{aligned}$$

From (95) with  $\xi := Dw_{h,i}(x)$  and  $\eta := \varphi_i(x)Du_h(x)$  we obtain

$$\begin{aligned} &\left| |\det Dw_{h,i}(x)| - |\det \varphi_i(x)Du_h(x)| \right| \\ &\leq c \left( 1 + |Dw_{h,i}(x)|^{n-1} + |\varphi_i(x)Du_h(x)|^{n-1} \right) |Dw_{h,i}(x) - \varphi_i(x)Du_h(x)| \\ &\leq c \left( 1 + |Dw_{h,i}(x)|^{n-1} + |Du_h(x)|^{n-1} \right) \left[ \frac{k+1}{R} |u_h(x) - u(x)| + |Du(x)| \right]. \end{aligned}$$

Set  $M_1 := \|Du\|_{L^\infty(\Omega \setminus \Omega_0; \mathbb{R}^{n \times n})} \in \mathbb{R}$ . Then, since  $p > n - 1$ , for the second integral in the right hand side of (93) we have the following bound

$$\begin{aligned} &\int_{\Omega_i \setminus \Omega_{i-1}} |\det Dw_{h,i}(x)| dx \leq \int_{\Omega_i \setminus \Omega_{i-1}} |\det \varphi_i(x)Du_h(x)| dx \\ &+ c \int_{\Omega_i \setminus \Omega_{i-1}} \left\{ \left( 1 + |Dw_{h,i}(x)|^{n-1} + |Du_h(x)|^{n-1} \right) \cdot \left[ \frac{k+1}{R} |u_h(x) - u(x)| + M_1 \right] \right\} dx \\ &\leq \int_{\Omega_i \setminus \Omega_{i-1}} |\det \varphi_i(x)Du_h(x)| dx + c \left\{ \int_{\Omega_i \setminus \Omega_{i-1}} \left( 1 + |Dw_{h,i}(x)|^{n-1} + |Du_h(x)|^{n-1} \right)^{\frac{p}{n-1}} dx \right\}^{\frac{n-1}{p}} \\ &\quad \cdot \left\{ \int_{\Omega_i \setminus \Omega_{i-1}} \left[ \frac{k}{(R-r)} |u_h(x) - u(x)| + M_1 \right]^{\frac{p}{p-(n-1)}} dx \right\}^{\frac{p-(n-1)}{p}}. \end{aligned}$$

The sequences  $\{u_h\}_{h \in \mathbb{N}}$  and  $\{w_h\}_{h \in \mathbb{N}}$  converge to  $u$  in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  and the  $L^p$ -norm of their gradients remains bounded. Up to a subsequence, as  $h \rightarrow +\infty$ , the difference  $\{u_h(x) - u(x)\}_{h \in \mathbb{N}}$  converges almost everywhere to zero. By taking into account the uniform bound (92), we can go to the limit as  $h \rightarrow +\infty$  and we obtain

$$\begin{aligned} &\limsup_{h \rightarrow +\infty} \sum_{i=1}^k \int_{\Omega_i \setminus \Omega_{i-1}} |\det Dw_{h,i}(x)| dx \\ &\leq \limsup_{h \rightarrow +\infty} \sum_{i=1}^k \int_{\Omega_i \setminus \Omega_{i-1}} |\det \varphi_i(x)Du_h(x)| dx + c_1 \cdot M_1 |\Omega_i \setminus \Omega_{i-1}|^{\frac{p-(n-1)}{p}} \end{aligned}$$

$$\leq \limsup_{h \rightarrow +\infty} \sum_{i=1}^k \int_{\Omega_i \setminus \Omega_{i-1}} |\varphi_i(x)|^n \cdot |\det Du_h(x)| dx + c_1 M_1 |\Omega_i \setminus \Omega_{i-1}|^{\frac{p-(n-1)}{p}} \quad (96)$$

$$\leq \limsup_{h \rightarrow +\infty} \int_{\Omega \setminus \Omega_0} |\det Du_h(x)| dx + c_1 k M_1 |\Omega \setminus \Omega_0|^{\frac{p-(n-1)}{p}} = TV(u, \Omega) + \delta + c_1 k M_1 |\Omega \setminus \Omega_0|^{\frac{p-(n-1)}{p}}.$$

From (93) and (96) we deduce that

$$\begin{aligned} & \left| \int_{\Omega} \det D\tilde{u}(x) dx \right| \leq TV(u, \Omega) + \delta \\ & + \frac{1}{k} \left\{ TV(u, \Omega) + \delta + c_1 k M_1 |\Omega \setminus \Omega_0|^{\frac{p-(n-1)}{p}} \right\} + \int_{\Omega \setminus \Omega_0} |\det Du(x)| dx. \end{aligned}$$

Letting  $k \rightarrow +\infty$ ,  $\Omega_0 \rightarrow \Omega$  and  $\delta \rightarrow 0^+$ , we conclude

$$\left| \int_{\Omega} \det D\tilde{u}(x) dx \right| \leq TV(u, \Omega).$$

■

**Lemma 37 (Lower bound - second estimate)** *Let  $u$  be a function of class  $L^\infty(\Omega; \mathbb{R}^n) \cap W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n-1, n)$ . For every  $r > 0$  such that  $B_r \subset \Omega$  the following estimate holds*

$$TV(u, \Omega) \geq \int_{\Omega \setminus B_r} |\det Du(x)| dx + \left| \int_{B_r} \det D\tilde{u}(x) dx \right|, \quad (97)$$

where  $\tilde{u} : B_r \rightarrow \mathbb{R}^n$  is any Lipschitz-continuous map which coincides with  $u$  on the boundary of  $B_r$ , i.e.,  $\tilde{u}(x) = u(x)$  on  $\partial B_r$ .

**Proof.** Fix  $\delta > 0$  and consider a sequence  $\{u_h\}_{h \in \mathbb{N}}$  in  $W^{1,n}(\Omega; \mathbb{R}^n)$  which converges to  $u$  in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$  for some  $p \in (n-1, n)$  and such that (91) holds. For every  $r > 0$  such that  $B_r \subset \Omega$  we have

$$\begin{aligned} TV(u, \Omega) + \delta & \geq \lim_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| dx \geq \liminf_{h \rightarrow +\infty} \int_{\Omega \setminus B_r} |\det Du_h(x)| dx \\ & + \liminf_{h \rightarrow +\infty} \int_{B_r} |\det Du_h(x)| dx \geq \liminf_{h \rightarrow +\infty} \int_{\Omega \setminus B_r} |\det Du_h(x)| dx + TV(u, B_r). \end{aligned}$$

We estimate the term  $TV(u, B_r)$  with (90). Moreover, since  $u, u_h$  belong to  $W^{1,n}(\Omega \setminus B_r; \mathbb{R}^n)$  for every  $h \in \mathbb{N}$  (and  $u_h$  converge to  $u$  in the weak topology of  $W^{1,p}(\Omega \setminus B_r; \mathbb{R}^n)$  for  $p > n-1$ ), we can apply the lower semicontinuity result below the critical exponent stated in Theorem 35. We reach the conclusion (97) as  $\delta \rightarrow 0^+$ . ■

**Lemma 38** *Let  $u \in W^{1,p}(B_1; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(B_1 \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in [1, n)$ . If*

$$\frac{1}{\varrho^{n-p}} \int_{B_\varrho} |D_\tau u|^p dx \leq M_0$$

for every  $\varrho \in (0, 1)$  and for some positive constant  $M_0$ , then there exists a constant  $c(n, p)$  and a sequence  $\varrho_j \rightarrow 0$  such that

$$\frac{1}{\varrho_j^{n-p-1}} \int_{\partial B_{\varrho_j}} |D_\tau u|^p dH^{n-1} \leq c(n, p) M_0.$$

**Proof.** For every  $j \geq 2$  we have

$$\int_{1/(2j)}^{1/j} d\varrho \int_{\partial B_\varrho} |D_\tau u|^p dH^{n-1} \leq \int_{B_{1/j}} |D_\tau u|^p dx \leq \frac{M_0}{j^{n-p}}. \quad (98)$$

Therefore there exist  $\varrho_j \in \left(\frac{1}{2j}, \frac{1}{j}\right)$  such that

$$\int_{\partial B_{\varrho_j}} |D_\tau u|^p dH^{n-1} \leq \frac{3M_0}{j^{n-p-1}}; \quad (99)$$

in fact, if (99) does not hold, then for every  $\varrho \in \left(\frac{1}{2j}, \frac{1}{j}\right)$  we should have

$$\int_{\partial B_\varrho} |D_\tau u|^p dH^{n-1} \geq \frac{3M_0}{j^{n-p-1}}$$

and thus

$$\int_{1/(2j)}^{1/j} d\varrho \int_{\partial B_\varrho} |D_\tau u|^p dH^{n-1} \geq \frac{3M_0}{j^{n-p-1}} \cdot \frac{1}{2j} > \frac{M_0}{j^{n-p}},$$

which is in contradiction with (98). Since  $\frac{1}{2j} < \varrho_j < \frac{1}{j}$ , we deduce that  $\varrho_j \rightarrow 0$ , and that  $\left(\frac{1}{j}\right)^{n-p-1} \leq \varrho_j^{n-p-1}$  if  $p \geq n-1$ , while  $\left(\frac{1}{j}\right)^{n-p-1} \leq (2\varrho_j)^{n-p-1}$  if  $p < n-1$ . From (99) we finally have

$$\int_{\partial B_{\varrho_j}} |D_\tau u|^p dH^{n-1} \leq \frac{3M_0}{j^{n-p-1}} \leq c(n, p) M_0 \varrho_j^{n-p-1},$$

where  $c(n, p) = 3$  if  $p \in [n-1, n)$ ,  $c(n, p) = 3 \cdot 2^{n-p-1}$  if  $p \in [1, n-1)$ . ■

We denote a generic element of the surface of the unit ball  $\partial B_1 = S^{n-1}$  by  $\omega$ . Let  $\omega_0 \in S^{n-1}$  be fixed. For every  $j \in \{1, 2, \dots, n-1\}$  let  $\tau_j : S^{n-1} - \{\omega_0\} \rightarrow S^{n-1}$  by a vector field of class  $C^1$  such that, for every  $x \in S^{n-1} - \{\omega_0\}$ , the set of vectors  $\{\tau_1(\omega), \tau_2(\omega), \dots, \tau_{n-1}(\omega)\}$  is an orthonormal basis for the tangent plane to the surface  $S^{n-1}$  at the point  $\omega$ . Without loss of generality (up to a change of sign to one of the vectors) we can assume that  $\tau_1(\omega), \tau_2(\omega), \dots, \tau_{n-1}(\omega)$  have the property that, if we denote by  $\nu(\omega)$  the *exterior* normal unit vector to  $S^{n-1}$  at  $\omega$ , then the system of vectors  $\{\nu(\omega), \tau_1(\omega), \dots, \tau_{n-1}(\omega)\}$  is a positively oriented basis of  $\mathbb{R}^n$ . I.e.,

$$\nu(\omega) \wedge \tau_1(\omega) \wedge \dots \wedge \tau_{n-1}(\omega) = e_1 \wedge e_2 \wedge \dots \wedge e_n$$

or, equivalently, that the determinant of the matrix whose column vectors are the components of  $\nu(\omega), \tau_1(\omega), \dots, \tau_{n-1}(\omega)$  with respect to  $e_1, e_2, \dots, e_n$ , is equal to 1.

If  $v : S^{n-1} \rightarrow \mathbb{R}^n$ ,  $v \in W^{1,\infty}(S^{n-1}; \mathbb{R}^n)$ ,  $v = (v^1, v^2, \dots, v^n)$ , is a Lipschitz-continuous map, we denote by  $D_\tau v$  the vector of  $\mathbb{R}^{n-1}$  whose components are  $D_{\tau_1} v, D_{\tau_2} v, \dots, D_{\tau_{n-1}} v$ .

**Lemma 39** *Let  $v \in W^{1,\infty}(S^{n-1}; \mathbb{R}^n)$ ,  $\eta \in C^1([0, 1])$ , with  $\eta(0) = 0$  and let  $w(x) = \eta(|x|) v\left(\frac{x}{|x|}\right)$ . For almost every  $x \in B_1$  we have*

$$|Dw(x)|^2 = \left| \eta'(|x|) v\left(\frac{x}{|x|}\right) \right|^2 + \frac{\eta^2(|x|)}{|x|^2} \left| D_\tau v\left(\frac{x}{|x|}\right) \right|^2; \quad (100)$$

$$\det Dw(x) = \frac{\eta'(|x|)\eta^{n-1}(|x|)}{|x|^{n-1}} \sum_{i=1}^n (-1)^{i-1} v^i \left( \frac{x}{|x|} \right) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})} \left( \frac{x}{|x|} \right). \quad (101)$$

Moreover, if  $\eta(t) = t$  for every  $t \in [0, 1]$ , then

$$\int_{B_1} \det Dw(x) dx = \frac{1}{n} \int_{\partial B_1} \sum_{i=1}^n (-1)^{i-1} v^i(\omega) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1}. \quad (102)$$

**Proof.** Since  $v : S^{n-1} \rightarrow \mathbb{R}^n$  is a Lipschitz-continuous map, then  $D_\tau v(\omega)$  exists (in the classical sense)  $H^{n-1}$  almost everywhere on  $S^{n-1}$  and the map  $x \rightarrow v(x/|x|)$  is classically differentiable for almost every  $x \in B_1$ . Let  $x \neq 0$  be a point of  $B_1$  where  $v(x/|x|)$  is differentiable; since the vectors

$$\nu = \nu \left( \frac{x}{|x|} \right), \tau_1 = \tau_1 \left( \frac{x}{|x|} \right), \dots, \tau_{n-1} = \tau_{n-1} \left( \frac{x}{|x|} \right),$$

form a basis of  $\mathbb{R}^n$ , for every  $i = 1, 2, \dots, n$  we have

$$Dw^i(x) = \frac{\partial w^i(x)}{\partial \nu} \nu + \sum_{j=1}^{n-1} \frac{\partial w^i(x)}{\partial \tau_j} \tau_j = \eta'(|x|) v^i \left( \frac{x}{|x|} \right) \nu + \frac{\eta(|x|)}{|x|} \sum_{j=1}^{n-1} \frac{\partial v^i(x/|x|)}{\partial \tau_j} \tau_j,$$

and thus we obtain (100). Moreover,  $Dw(x)$  is equal to the matrix  $\{Dw^1(x), Dw^2(x), \dots, Dw^n(x)\}$ . If we express each column of  $Dw(x)$  as linear combination of the elements of the basis  $\{\nu, \tau_1, \dots, \tau_{n-1}\}$ , since  $w(x) = \eta(|x|) v \left( \frac{x}{|x|} \right)$ , we obtain the matrix

$$Dw(x) = \begin{pmatrix} \eta'(|x|) v^1 \left( \frac{x}{|x|} \right) & \eta'(|x|) v^2 \left( \frac{x}{|x|} \right) & \dots & \eta'(|x|) v^n \left( \frac{x}{|x|} \right) \\ \frac{\eta(|x|)}{|x|} \frac{\partial v^1}{\partial \tau_1} \left( \frac{x}{|x|} \right) & \frac{\eta(|x|)}{|x|} \frac{\partial v^2}{\partial \tau_1} \left( \frac{x}{|x|} \right) & \dots & \frac{\eta(|x|)}{|x|} \frac{\partial v^n}{\partial \tau_1} \left( \frac{x}{|x|} \right) \\ \dots & \dots & \dots & \dots \\ \frac{\eta(|x|)}{|x|} \frac{\partial v^1}{\partial \tau_{n-1}} \left( \frac{x}{|x|} \right) & \frac{\eta(|x|)}{|x|} \frac{\partial v^2}{\partial \tau_{n-1}} \left( \frac{x}{|x|} \right) & \dots & \frac{\eta(|x|)}{|x|} \frac{\partial v^n}{\partial \tau_{n-1}} \left( \frac{x}{|x|} \right) \end{pmatrix}.$$

Thus the determinant of the matrix  $Dw(x)$ , computed by developing the first row, is given by (101). By integrating over  $B_1$  both sides of (101), with  $\eta(t) = t$  for every  $t \in [0, 1]$ , since  $\frac{\eta'(|x|)\eta^{n-1}(|x|)}{|x|^{n-1}} = 1$ , we obtain

$$\begin{aligned} \int_{B_1} \det Dw(x) dx &= \int_{B_1} \sum_{i=1}^n (-1)^{i-1} v^i \left( \frac{x}{|x|} \right) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})} \left( \frac{x}{|x|} \right) dx \\ &= \int_0^1 d\rho \int_{\partial B_\rho} \sum_{i=1}^n (-1)^{i-1} v^i \left( \frac{x}{|x|} \right) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})} \left( \frac{x}{|x|} \right) dH^{n-1} \\ &= \int_0^1 \rho^{n-1} d\rho \int_{\partial B_1} \sum_{i=1}^n (-1)^{i-1} v^i(\omega) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1} \\ &= \frac{1}{n} \int_{\partial B_1} \sum_{i=1}^n (-1)^{i-1} v^i(\omega) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1}. \end{aligned}$$

■

**Lemma 40** *Let  $\Omega$  be an open set containing the origin. Let us assume that, for some  $p \in (n-1, n)$ ,  $u \in W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  satisfies*

$$\sup_{\varrho > 0} \frac{1}{\varrho^{n-p}} \int_{B_\varrho} |D_\tau u|^p dx \leq M_0$$

for a positive constant  $M_0$ . Let  $v \in W^{1,\infty}(S^{n-1}; \mathbb{R}^n)$  be such that

$$\lim_{\varrho \rightarrow 0^+} \max \{|u(\varrho\omega) - v(\omega)| : \omega \in \partial B_1\} = 0.$$

Then there exists a sequence  $\varrho_j \rightarrow 0$  such that

$$\lim_{j \rightarrow +\infty} \int_{B_1} \det Dw_j(x) dx = \int_{B_1} \det Dw(x) dx, \quad (103)$$

where  $w_j(x) := |x| u\left(\varrho_j \frac{x}{|x|}\right)$  and  $w(x) := |x| v\left(\frac{x}{|x|}\right)$ .

**Proof.** Let  $\varrho_j$  be the real sequence converging to zero of Lemma 38. By assumption  $w_j(x) := |x| u\left(\varrho_j \frac{x}{|x|}\right)$  converges to  $w(x) := |x| v\left(\frac{x}{|x|}\right)$  uniformly in  $B_1$ . Let us prove that  $w_j$  weakly converge in  $W^{1,p}(B_1; \mathbb{R}^n)$  to  $w$ . In fact, by (100) of Lemma 39 we have

$$|Dw_j(x)|^2 = \left| u\left(\varrho_j \frac{x}{|x|}\right) \right|^2 + \varrho_j^2 \left| D_\tau u\left(\varrho_j \frac{x}{|x|}\right) \right|^2$$

and thus the  $L^p$  norm of  $Dw_j$  remains bounded. In fact, by Lemma 38,

$$\begin{aligned} \int_{B_1} |Dw_j(x)|^p dx &\leq c_1 + c_2 \varrho_j^p \int_{B_1} \left| D_\tau u\left(\varrho_j \frac{x}{|x|}\right) \right|^p dx = c_1 + c_2 \varrho_j^p \int_0^1 dr \int_{\partial B_r} \left| D_\tau u\left(\varrho_j \frac{x}{|x|}\right) \right|^p dH^{n-1} \\ &= c_1 + c_2 \varrho_j^p \int_0^1 \frac{r^{n-1}}{\varrho_j^{n-1}} dr \int_{\partial B_{\varrho_j}} |D_\tau u(y)|^p dH_y^{n-1} \\ &= c_1 + \frac{c_2}{n \varrho_j^{n-p-1}} \int_{\partial B_{\varrho_j}} |D_\tau u(y)|^p dH_y^{n-1} = c_1 + \frac{c_2}{n} c(n, p) M_0. \end{aligned}$$

By (101) we also have, with  $\alpha = p/(n-1)$ ,

$$\begin{aligned} \int_{B_1} |\det Dw_j(x)|^\alpha dx &\leq c_3 \varrho_j^{\alpha(n-1)} \int_{B_1} \left| u\left(\varrho_j \frac{x}{|x|}\right) \right|^\alpha \left| D_\tau u\left(\varrho_j \frac{x}{|x|}\right) \right|^{\alpha(n-1)} dx \\ &\leq c_4 \varrho_j^{\alpha(n-1)} \varrho_j^{1-n} \int_{\partial B_{\varrho_j}} |D_\tau u(y)|^p dH_y^{n-1} = c_4 \frac{1}{\varrho_j^{n-1-p}} \int_{\partial B_{\varrho_j}} |D_\tau u(y)|^p dH_y^{n-1}, \end{aligned} \quad (104)$$

which is bounded, again by Lemma 38. Therefore, since  $\alpha > 1$ , to obtain the conclusion (103) it is sufficient to prove that

$$\lim_{j \rightarrow +\infty} \int_{B_1} \varphi \det Dw_j(x) dx = \int_{B_1} \varphi \det Dw(x) dx, \quad \forall \varphi \in C_0^1(B_1). \quad (105)$$

Since  $p > n - 1$ , we apply Reshetnyak's [56] weak continuity result on the matrix  $\text{adj}_{n-1} Dw_j$  of minors  $(n - 1) \times (n - 1)$  of  $Dw_j$ , which weakly converge in  $L^{\frac{p}{p-1}}$  to the corresponding matrix  $\text{adj}_{n-1} Dw$  of minors of  $Dw$  (see 44). By the uniform convergence of  $w_j$  to  $w$ , for every  $\varphi \in C_0^1(B_1)$  we get the conclusion

$$\begin{aligned} \lim_{j \rightarrow +\infty} \int_{B_1} \varphi \det Dw_j dx &= \lim_{j \rightarrow +\infty} - \int_{B_1} w_j^1 \frac{\partial(\varphi, w_j^2, \dots, w_j^n)}{\partial(x_1, x_2, \dots, x_n)} dx \\ &= - \int_{B_1} w^1 \frac{\partial(\varphi, w^2, \dots, w^n)}{\partial(x_1, x_2, \dots, x_n)} dx = \int_{B_1} \varphi \det Dw dx. \end{aligned}$$

■

**Proof of Theorem 7.** Let  $u \in L_{\text{loc}}^\infty(\Omega; \mathbb{R}^2) \cap W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n - 1, n)$ . Let  $\{\varepsilon_h\}_{h \in \mathbb{N}}$  be a sequence converging to zero and consider the *convolution*  $u_h := u * \eta_{\varepsilon_h}$  of  $u$  with a smooth *mollifier*  $\eta_{\varepsilon_h}$ . For every  $h \in \mathbb{N}$ ,  $u_h \in C^1(\Omega_h; \mathbb{R}^n)$ , where  $\Omega_h = \{x \in \Omega : \text{dist}(x, \partial\Omega) > \varepsilon_h\}$ . Moreover, for every  $\Omega' \subset\subset \Omega \setminus \{0\}$ ,  $u_h \rightarrow u$  uniformly in  $\Omega'$ ,  $Du_h(x) \rightarrow Du(x)$  for every  $x \in \Omega \setminus E$ , where  $E$  is a Borel set of zero measure, and the sequence  $\{u_h\}_{h \in \mathbb{N}}$  is Lipschitz-continuous in  $\Omega'$ , with a Lipschitz constant independent of  $h$ . Denote by  $N_0$  the set of real numbers given by

$$N_0 := \{\varrho > 0 : H^{n-1}(\partial B_\varrho \cap E) > 0\}.$$

If  $B_r \subset\subset \Omega$  then we have

$$0 = |E \cap B_r| = \int_0^r H^{n-1}(\partial B_\varrho \cap E) d\varrho,$$

and thus the one-dimensional Lebesgue measure of  $N_0$  is equal to zero. We can repeat the proof of Lemma 40 to reach the same conclusion for a sequence  $\{\varrho_j\}_{j \in \mathbb{N}} \subset (0, r)$ ,  $\{\varrho_j\}_{j \in \mathbb{N}} \cap N_0 = \emptyset$ ,  $\varrho_j \rightarrow 0$ .

Since  $u_h \rightarrow u$  uniformly on  $B_{\varrho_j}$ ,  $D_\tau u_h(x) \rightarrow D_\tau u(x)$   $H^{n-1}$ -almost everywhere on  $B_{\varrho_j}$ , and the sequence  $\{u_h\}_{h \in \mathbb{N}}$  is Lipschitz-continuous on  $B_{\varrho_j}$  with a Lipschitz constant independent of  $h$ , then  $D_\tau u_h \rightarrow D_\tau u$  in  $L^q(\partial B_{\varrho_j})$  for every  $q \geq 1$ . Fixed  $\varphi \in C_0^1(\Omega)$  and denoting by  $\nu = \nu(x) = (\nu^1, \nu^2, \dots, \nu^n)$  the *exterior* normal unit vector to  $\partial B_{\varrho_j}$ , we have

$$\begin{aligned} \int_{\Omega \setminus B_{\varrho_j}} u^1 \frac{\partial(\varphi, u^2, \dots, u^n)}{\partial(x_1, x_2, \dots, x_n)} dx &= \lim_{h \rightarrow +\infty} \int_{\Omega \setminus B_{\varrho_j}} u_h^1 \frac{\partial(\varphi, u_h^2, \dots, u_h^n)}{\partial(x_1, x_2, \dots, x_n)} dx \\ &= - \lim_{h \rightarrow +\infty} \left\{ \int_{\Omega \setminus B_{\varrho_j}} \varphi \frac{\partial(u_h^1, u_h^2, \dots, u_h^n)}{\partial(x_1, x_2, \dots, x_n)} dx \right. \\ &\quad \left. + \int_{\partial B_{\varrho_j}} u_h^1 \sum_{i=1}^n (-1)^{i-1} \varphi \frac{\partial(u_h^2, u_h^3, \dots, u_h^n) \nu^i}{\partial(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)} dH^{n-1} \right\} \\ &= - \int_{\Omega \setminus B_{\varrho_j}} \varphi \det Du dx - \int_{\partial B_{\varrho_j}} u^1 \sum_{i=1}^n (-1)^{i-1} \varphi \frac{\partial(u^2, u^3, \dots, u^n) \nu^i}{\partial(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)} dH^{n-1}. \end{aligned}$$

By the analytic expression (114) of  $\nu$ , together with (iii) of Lemma 41, with the notation  $w_j(x) := |x| u\left(\varrho_j \frac{x}{|x|}\right)$ , we obtain

$$\int_{\Omega \setminus B_{\varrho_j}} u^1 \frac{\partial(\varphi, u^2, \dots, u^n)}{\partial(x_1, x_2, \dots, x_n)} dx = - \int_{\Omega \setminus B_{\varrho_j}} \varphi \det Du dx - \int_{\partial B_{\varrho_j}} u^1 \varphi \frac{\partial(u^2, u^3, \dots, u^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})} dH^{n-1}$$

$$= - \int_{\Omega \setminus B_{\varrho_j}} \varphi \det Du \, dx - \int_{\partial B_1} w_j^1 \varphi(\varrho_j \omega) \frac{\partial (w_j^2, w_j^3, \dots, w_j^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})} dH^{n-1} \quad (106)$$

$$\begin{aligned} &= - \int_{\Omega \setminus B_{\varrho_j}} \varphi \det Du \, dx - \int_{\partial B_1} w_j^1 \varphi(\varrho_j \omega) \sum_{i=1}^n (-1)^{i-1} \frac{\partial (w_j^2, w_j^3, \dots, w_j^n) \nu^i}{\partial (x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)} dH^{n-1} \\ &= - \int_{\Omega \setminus B_{\varrho_j}} \varphi \det Du \, dx - \int_{B_1} \frac{\partial (w_j^1 \varphi(\varrho_j \frac{x}{|x|}), w_j^2, \dots, w_j^n)}{\partial (x_1, x_2, \dots, x_n)} dx \\ &= - \int_{\Omega \setminus B_{\varrho_j}} \varphi \det Du \, dx - \int_{B_1} \varphi\left(\varrho_j \frac{x}{|x|}\right) \det Dw_j \, dx - \int_{B_1} w_j^1 \frac{\partial (\varphi(\varrho_j \frac{x}{|x|}), w_j^2, \dots, w_j^n)}{\partial (x_1, x_2, \dots, x_n)} dx. \end{aligned}$$

As  $j \rightarrow +\infty$  the quantity  $\varphi\left(\varrho_j \frac{x}{|x|}\right)$  converges to  $\varphi(0)$  uniformly in  $B_1$ . Then, by the bound (104) and by (105), we obtain

$$\lim_{j \rightarrow +\infty} \int_{B_1} \varphi\left(\varrho_j \frac{x}{|x|}\right) \det Dw_j(x) \, dx = \int_{B_1} \varphi(0) \det Dw(x) \, dx.$$

Moreover, as in the proof of Lemma 40, the sequence  $\{|Dw_j|\}_{j \in \mathbb{N}}$  is bounded in  $L^p(B_1)$  and

$$\left| \int_{B_1} w_j^1 \frac{\partial (\varphi(\varrho_j \frac{x}{|x|}), w_j^2, \dots, w_j^n)}{\partial (x_1, x_2, \dots, x_n)} dx \right| \leq c_1 \varrho_j \int_{B_1} \frac{|w_j| |Dw_j|^{n-1}}{|x|} dx \leq c_2 \varrho_j,$$

which converges to zero as  $j \rightarrow +\infty$ . Therefore, since  $\det Du \in L^1(\Omega)$ , letting  $j \rightarrow +\infty$  in (106) we obtain

$$\int_{\Omega} u^1 \frac{\partial (\varphi, u^2, \dots, u^n)}{\partial (x_1, x_2, \dots, x_n)} dx = - \int_{\Omega} \varphi \det Du \, dx - \varphi(0) \int_{B_1} \det Dw \, dx,$$

with  $w(x) := |x| v\left(\frac{x}{|x|}\right)$ ; i.e.,

$$\text{Det } Du = \det Du + m_0 \delta_0, \quad \text{where } m_0 = \int_{B_1} \det Dw \, dx.$$

Then, the total variation  $|\text{Det } Du|(\Omega)$  of  $\text{Det } Du$  is equal to

$$|\text{Det } Du|(\Omega) = \int_{\Omega} |\det Du| \, dx + |m_0|,$$

which agrees with the conclusion (20).  $\blacksquare$

**Proof of Theorem 10. Step 1 (lower bound).** We first notice that, by virtue of (17), there exists  $r > 0$  such that  $u \in L^\infty(B_r; \mathbb{R}^n)$ . Let  $p \in (n-1, n)$ . Let  $\varrho_j \rightarrow 0$  be the sequence of the Lemma 38, and consider  $j \in \mathbb{N}$  sufficiently large so that  $B_{\varrho_j} \subset B_r \subset \Omega$ . By the estimate (97) of Lemma 37 we have

$$\text{TV}(u, \Omega) \geq \int_{\Omega \setminus B_{\varrho_j}} |\det Du(x)| \, dx + \left| \int_{B_{\varrho_j}} \det D\tilde{u}(x) \, dx \right|, \quad (107)$$



where  $\tilde{u} : B_{\varrho_j} \rightarrow \mathbb{R}^n$  is any Lipschitz-continuous map which assumes the boundary value  $\tilde{u}(x) = u(x)$  on  $\partial B_{\varrho_j}$ . In particular, we consider the extension  $\tilde{u} = \tilde{w}_j$  given by  $\tilde{w}_j(x) := \frac{|x|}{\varrho_j} u\left(\varrho_j \frac{x}{|x|}\right)$ , and, using a change of variables, we have

$$\int_{B_{\varrho_j}} \det D\tilde{w}_j(x) dx = \int_{B_1} \det Dw_j(x) dx,$$

where  $w_j(x) := |x| u\left(\varrho_j \frac{x}{|x|}\right)$ . Letting  $j \rightarrow +\infty$  in (107), by Lemma 40 we get

$$\begin{aligned} TV(u, \Omega) &\geq \liminf_{j \rightarrow +\infty} \int_{\Omega \setminus B_{\varrho_j}} |\det Du(x)| dx + \lim_{j \rightarrow +\infty} \left| \int_{B_{\varrho_j}} \det D\tilde{w}_j(x) dx \right| \\ &= \int_{\Omega} |\det Du(x)| dx + \lim_{j \rightarrow +\infty} \left| \int_{B_1} \det Dw_j(x) dx \right| = \int_{\Omega} |\det Du(x)| dx + \left| \int_{B_1} \det Dw(x) dx \right|, \end{aligned}$$

where  $w(x) := |x| v\left(\frac{x}{|x|}\right)$ . We represent  $\det Dw(x)$  using (102) of Lemma 39, and we obtain the lower bound

$$\begin{aligned} TV(u, \Omega) &\geq \int_{\Omega} |\det Du(x)| dx + \left| \int_{B_1} \det Dw(x) dx \right| = \int_{\Omega} |\det Du(x)| dx \\ &+ \frac{1}{n} \left| \int_{\partial B_1} \sum_{i=1}^n (-1)^{i-1} v^i(\omega) \frac{\partial(v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1} \right|. \end{aligned}$$

**Step 2 (upper bound in the radially symmetric case).** Here we assume that  $u(x) := v(x/|x|)$ . Let  $\varrho_h$  be a sequence of positive numbers converging to zero as  $h \rightarrow +\infty$  and let  $h \in \mathbb{N}$  be sufficiently large so that  $B_{\varrho_h} \subset \Omega$ . As before, we use the notation  $w(x) := |x| v(x/|x|)$ , and we define

$$u_h(x) := \begin{cases} \frac{|x|}{\varrho_h} v\left(\frac{x}{|x|}\right) = \frac{1}{\varrho_h} w(x) = w\left(\frac{x}{\varrho_h}\right), & \text{if } x \in B_{\varrho_h}, \\ u(x) = v\left(\frac{x}{|x|}\right), & \text{if } x \in \Omega \setminus B_{\varrho_h} \end{cases}.$$

Then  $\{u_h\}_{h \in \mathbb{N}}$  converges to  $u$  in the *strong* norm topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$ . Therefore we can use the definition (26) of  $TV^s(u, \Omega)$  and, since  $\det Du(x) = 0$  in  $\Omega \setminus B_{\varrho_h}$  we have

$$TV^s(u, \Omega) \leq \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h}} \left| \frac{1}{\varrho_h^n} \det Dw\left(\frac{x}{\varrho_h}\right) \right| dx = \int_{B_1} |\det Dw(x)| dx = \left| \int_{B_1} \det Dw(x) dx \right|, \quad (108)$$

where the last equality follows from the fact that, by assumption,  $\det Dw(x)$  has constant sign in  $B_1$ . In fact, by (101) of Lemma 39, with  $\eta(|x|) = |x|$ , we have

$$\det Dw(x) = \sum_{i=1}^n (-1)^{i-1} v^i\left(\frac{x}{|x|}\right) \frac{\partial(v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial(\tau_1, \tau_2, \dots, \tau_{n-1})}\left(\frac{x}{|x|}\right),$$

and thus, by the *sign condition* (22), the left hand side has constant sign as well as the right hand side. Therefore, from Step 1 and from (108), when  $u(x) := v(x/|x|)$  we get

$$TV(u, \Omega) = TV^s(u, \Omega) = TV(v, B_1)$$

$$= \frac{1}{n} \left| \int_{\partial B_1} \sum_{i=1}^n (-1)^{i+1} v^i(\omega) \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}(\omega) dH^{n-1} \right|.$$

We explicitly observe that, as a consequence of what we have shown in Steps 1 and 2, we have achieved the proof of Theorem 12 in the radially symmetric case; moreover, the representation formula for  $TV(v, \Omega)$  is independent of the open set  $\Omega$  containing the origin.

**Step 3 (upper bound in the general case).** By Lemma 38 there exists a sequence  $(\varrho_h)_{h \in \mathbb{N}}$ , converging to zero as  $h \rightarrow +\infty$ , and such that

$$\frac{1}{\varrho_h^{n-p-1}} \int_{\partial B_{\varrho_h}} |D_\tau u|^p dH^{n-1} \leq c(n, p) M_0. \quad (109)$$

For every  $h \in \mathbb{N}$ , we denote by  $\sigma_h$  a real sequence in  $(0, 1)$  to be chosen later (see (112)). For every  $h = 1, 2, \dots$ , let  $\eta_h(\varrho)$  be a *cut-off* function such that  $\eta_h(\varrho) = 1$  if  $0 \leq \varrho \leq \varrho_h(1 - \sigma_h)$ ,  $\eta_h(\varrho) = 0$  if  $\varrho_h \leq \varrho \leq 1$ ,  $\eta_h(\varrho)$  is linear in the interval  $[\varrho_h(1 - \sigma_h), \varrho_h]$ . Fix  $\varepsilon > 0$ . From Step 2 there exists a Lipschitz-continuous map  $w : B_1 \rightarrow \mathbb{R}^n$  such that  $w(x) := v\left(\frac{x}{|x|}\right)$  on a neighborhood of  $\partial B_1$  and

$$\int_{B_1} |\det Dw(x)| dx < TV(v, B_1) + \varepsilon. \quad (110)$$

Then, with the notation  $\omega := x/|x|$ , we define

$$u_h(x) := \begin{cases} w\left(\frac{x}{\varrho_h(1-\sigma_h)}\right), & \text{if } 0 \leq |x| \leq \varrho_h(1-\sigma_h) \\ \eta_h(|x|) v(\omega) + [1 - \eta_h(|x|)] u(\varrho_h \omega), & \text{if } \varrho_h(1-\sigma_h) < |x| < \varrho_h \\ u(x), & \text{if } x \in \Omega \setminus B_{\varrho_h} \end{cases}. \quad (111)$$

We first prove that  $\{u_h\}_{h \in \mathbb{N}}$  converges to  $u$  in the *strong topology* of  $W^{1,p}(\Omega; \mathbb{R}^n)$ . In fact

$$\begin{aligned} \int_{\Omega} |u_h - u|^p dx &= \int_{B_{\varrho_h}} |u_h - u|^p dx \leq c \int_{B_{\varrho_h(1-\sigma_h)}} \left| w\left(\frac{x}{\varrho_h(1-\sigma_h)}\right) \right|^p dx \\ &+ c \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \left\{ \left| v\left(\frac{x}{|x|}\right) \right|^p + \left| u\left(\varrho_h \frac{x}{|x|}\right) \right|^p \right\} dx + c \int_{B_{\varrho_h}} |u(x)|^p dx \\ &\leq c \varrho_h^n \left\{ \|w\|_{L^\infty(B_1)}^p + \|v\|_{L^\infty(\partial B_1)}^p + \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)}^p \right\} + c \int_{B_{\varrho_h}} |u(x)|^p dx, \end{aligned}$$

which goes to zero as  $h \rightarrow +\infty$ , since  $\varrho_h \rightarrow 0$  and  $\|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)}^p \rightarrow 0$ . Moreover, by (100) of Lemma 39, we have

$$\begin{aligned} \int_{\Omega} |Du_h - Du|^p dx &\leq c_1 \int_{B_{\varrho_h(1-\sigma_h)}} \left| Dw\left(\frac{x}{\varrho_h(1-\sigma_h)}\right) \right|^p dx + c_1 \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \frac{|D_\tau v|^p}{|x|^p} dx \\ &+ c_1 \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \left| D_\tau u\left(\varrho_h \frac{x}{|x|}\right) \right|^p dx + \frac{c_1}{\varrho_h^p \sigma_h^p} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \left| u\left(\varrho_h \frac{x}{|x|}\right) - v\left(\frac{x}{|x|}\right) \right|^p dx + c_1 \int_{B_{\varrho_h}} |Du|^p dx \\ &\leq c_2 \varrho_h^{n-p} (1 - \sigma_h)^{n-p} \int_{B_1} |Dw(x)|^p dx + c_2 \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \frac{1}{|x|^p} dx \end{aligned}$$

$$\begin{aligned}
& + c_2 \int_{\varrho_h(1-\sigma_h)}^{\varrho_h} dr \int_{\partial B_r} \left| D_\tau u \left( \varrho_h \frac{x}{|x|} \right) \right|^p dH^{n-1} + c_2 \frac{\varrho_h^{n-p}}{\sigma_h^{p-1}} \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)}^p + c_1 \int_{B_{\varrho_h}} |Du|^p dx \\
& \leq c_3 \varrho_h^{n-p} + \frac{c_3}{\varrho_h^{n-1}} \varrho_h^n \sigma_h \int_{\partial B_{\varrho_h}} |D_\tau u(y)|^p dH_y^{n-1} + c_3 \frac{\varrho_h^{n-p}}{\sigma_h^{p-1}} \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)}^p + c_1 \int_{B_{\varrho_h}} |Du|^p dx.
\end{aligned}$$

By the bound (109) we obtain

$$\int_{\Omega} |Du_h - Du|^p dx \leq c(w, v, M_0) \varrho_h^{n-p} + c \frac{\varrho_h^{n-p}}{\sigma_h^{p-1}} \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)}^p + c_1 \int_{B_{\varrho_h}} |Du|^p dx$$

and this quantity goes to zero as  $h \rightarrow +\infty$  if we assume that

$$\sigma_h := \varrho_h^{\frac{n-p}{p-1}} \tag{112}$$

(we use here the fact that  $p < n$ ). Therefore, as  $h \rightarrow +\infty$ ,  $u_h$  converges to  $u$  in the strong norm topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$ . Thus, by (110) and by the lower semicontinuity of  $TV^s(u, \Omega)$  with respect to the strong convergence in  $W^{1,p}(\Omega; \mathbb{R}^n)$ , we have

$$\begin{aligned}
TV(u, \Omega) & \leq TV^s(u, \Omega) \leq \liminf_{h \rightarrow +\infty} \int_{\Omega} |\det Du_h(x)| dx \\
& \leq \int_{B_1} |\det Dw(x)| dx + \int_{\Omega} |\det Du(x)| dx + \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx \\
& \leq TV(v, B_1) + \varepsilon + \int_{\Omega} |\det Du(x)| dx + \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx.
\end{aligned}$$

If we prove that

$$\lim_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx = 0, \tag{113}$$

then, letting  $\varepsilon \rightarrow 0^+$  we reach the upper bound

$$TV(u, \Omega) \leq TV^s(u, \Omega) \leq TV(v, B_1) + \int_{\Omega} |\det Du(x)| dx$$

which, together to the lower bound in Step 1, yields the conclusion

$$TV(u, \Omega) = TV^s(u, \Omega) = TV(v, B_1) + \int_{\Omega} |\det Du(x)| dx.$$

Therefore it remains to prove (113). To this aim, arguing as in the proof of (101), we can evaluate  $\det Du_h(x)$  by taking first the derivative of  $u_h$  with respect to the radial direction, and then the tangential derivatives. We get

$$\int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx \leq \frac{c_1}{\varrho_h \sigma_h} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \left\{ \left| u \left( \varrho_h \frac{x}{|x|} \right) - v \left( \frac{x}{|x|} \right) \right|. \right.$$

$$\begin{aligned}
& \cdot \left[ \left| D_\tau v \left( \frac{x}{|x|} \right) \right|^{n-1} + \left| D_\tau u \left( \frac{\varrho_h x}{|x|} \right) \right|^{n-1} \right] dx \\
& \leq \frac{c_1}{\varrho_h \sigma_h} \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)} \cdot \left\{ c_2 \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \frac{1}{|x|^{n-1}} dx + \frac{\varrho_h^n \sigma_h}{\varrho_h^{n-1}} \int_{\partial B_{\varrho_h}} |D_\tau u|^{n-1} dH^{n-1} \right\} \\
& \leq c_3 \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)} \left\{ c_2 + \int_{\partial B_{\varrho_h}} |D_\tau u|^{n-1} dH^{n-1} \right\}.
\end{aligned}$$

Finally, since by (109) we also have

$$\int_{\partial B_{\varrho_h}} |D_\tau u|^{n-1} dH^{n-1} \leq c_4 \left\{ \frac{1}{\varrho_h^{n-p-1}} \int_{\partial B_{\varrho_h}} |D_\tau u|^p dH^{n-1} \right\}^{\frac{n-1}{p}} \leq c_5,$$

then, from the above inequality, we deduce that

$$\int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx \leq c_6 \|u(\varrho_h \omega) - v(\omega)\|_{L^\infty(\partial B_1)},$$

which converges to zero as  $h \rightarrow +\infty$ . Thus (113) is proved. ■

We conclude this section with some algebraic results used in the paper. We introduce some notations. Denote  $M^{m \times n}$  by the family of  $m \times n$  matrices. If  $A$  is an  $n \times n$  matrix ( $A \in M^{n \times n}$ ),  $X_{i,j}(A)$  is the matrix obtained from  $A$  by deleting the  $i$ -th row and the  $j$ -th column of  $A$ . If  $S$  is an  $(n-1) \times n$  matrix ( $S \in M^{(n-1) \times n}$ ),  $X_j(S)$  stands for the matrix obtained from  $S$  by deleting the  $j$ -th column of  $S$ . If  $T$  is an  $n \times (n-1)$  matrix ( $T \in M^{n \times (n-1)}$ ), then  $X_i(T)$  is the matrix obtained from  $T$  by deleting the  $i$ -th row of  $T$ .

The properties stated in the next two lemmas are known and we do not give their proofs. We refer the reader for instance to the book by Cartan [13].

**Lemma 41 (Algebraic lemma)** *The following properties hold:*

(i) *Let  $\xi, \eta \in \mathbb{R}^n$  and let  $B \in M^{n \times n}$ . If  $A_{ij} = \xi_i \eta_j$  and  $A = (A_{ij}) \in M^{n \times n}$ , then*

$$\det(A + B) = \sum_{i,j=1}^n (-1)^{i+j} \xi_i \eta_j \det(X_{i,j}(B)) + \det(B).$$

(ii) *Let  $T \in M^{n \times (n-1)}$  be a matrix whose column vectors  $\{\tau_1, \tau_2, \dots, \tau_{n-1}\}$  form an orthonormal basis of  $\mathbb{R}^n$ . Then*

$$\sum_{i=1}^n [\det(X_i(T))]^2 = 1.$$

(iii) *Let  $S \in M^{(n-1) \times n}$  and  $T \in M^{n \times (n-1)}$ . Then*

$$\det(S \cdot T) = \sum_{i=1}^n \det(X_i(S)) \cdot \det(X_i(T)).$$

As in Section 2, fixed  $\omega_0 \in \partial B_1$ , for every  $j \in \{1, 2, \dots, n-1\}$  we consider a vector field  $\tau_j : \partial B_1 \setminus \{\omega_0\} \rightarrow \mathbb{R}^n$  of class  $C^1$  such that, for every  $x \in \partial B_1 \setminus \{\omega_0\}$ , the set of vectors  $\{\tau_1(x), \tau_2(x), \dots, \tau_{n-1}(x)\}$  is an orthonormal basis for the tangent plane to the surface  $\partial B_1$  at the point  $x$ . For every  $x \in \partial B_1 \setminus \{\omega_0\}$  we denote by  $T(x)$  the  $n \times (n-1)$  matrix whose columns are given by the vectors  $\{\tau_1(x), \tau_2(x), \dots, \tau_{n-1}(x)\}$ . Consider the vector

$$\nu(x) := \sum_{i=1}^n (-1)^{i+1} \det(X_i, T(x)) e_i. \quad (114)$$

Up to a change of sign to one of the vectors  $\tau_1(x), \tau_2(x), \dots, \tau_{n-1}(x)$ , we can assume that, at every  $x \in \partial B_1 \setminus \{\omega_0\}$ ,  $\nu(x)$  represent the *exterior* normal unit vector to  $\partial B_1$ . That  $\nu(x)$  is a *normal unit vector* to the surface  $\partial B_1$  follows from the following result.

**Lemma 42 (On the normal unit vector)** *For every  $x \in \partial B_1 \setminus \{\omega_0\}$  the vector  $\nu(x)$  has norm equal to 1 and it is orthogonal to the vectors  $\tau_1(x), \tau_2(x), \dots, \tau_{n-1}(x)$ ; i.e.,*

$$\begin{cases} |\nu(x)| = 1, & \forall x \in \partial B_1 \setminus \{\omega_0\}; \\ \langle \nu(x), \tau_i(x) \rangle = 0, & \forall x \in \partial B_1 \setminus \{\omega_0\}, \quad \forall i = 1, 2, \dots, n-1. \end{cases}$$

## 8 Relaxation in the general polyconvex case

As mentioned in Section 4, the characterization of  $TV(u, \Omega)$  may be viewed within a broader context, namely as part of a program to search for the description and identification of the defect measure obtained through relaxation of energies when there is a gap between the space of coercivity and the space guaranteeing apriori continuity. Indeed,  $TV(u, \Omega)$  is a particular case of a functional of the type  $\mathcal{F}_{p,q}(u, \Omega)$  in (41).

Here formally we may consider

$$\overline{F}(u, \Omega) := \inf \left\{ \liminf_{h \rightarrow +\infty} \int_{\Omega} g(M(Du_h(x))) dx : \quad (115)$$

$$u_h \rightharpoonup u \text{ weakly in } W^{1,p}(\Omega; \mathbb{R}^n), u_h \in W^{1,n}(\Omega; \mathbb{R}^n) \right\}.$$

Then  $\overline{F}(u, \Omega)$  is the *relaxed functional* of the integral functional

$$F(u, \Omega) := \int_{\Omega} g(M(Du)) dx,$$

where  $u : \Omega \rightarrow \mathbb{R}^n$ . The vector-valued map  $M(Du)$  of minors of  $Du$  is given by

$$M(Du) := (Du, \text{adj}_2 Du, \dots, \text{adj}_{n-1} Du, \det Du) \in \mathbb{R}^N,$$

where, for  $j = 2, \dots, n-1$ ,  $\text{adj}_j Du$  denotes the matrix of all minors  $j \times j$  of  $Du$  and  $N = \sum_{j=1}^n \binom{n}{j}^2$  (in particular  $N = 5$  if  $n = 2$ ). Finally  $g : \mathbb{R}^N \rightarrow [0, +\infty)$  is a *convex function* satisfying the growth conditions

$$g_{\infty} |\det \xi| \leq g(M(\xi)) \leq L(1 + |\xi|^p) + g_{\infty} |\det \xi|, \quad (116)$$

for some constants  $L \geq 0, g_{\infty} > 0$ , for all matrices  $\xi \in \mathbb{R}^{n \times n}$  and for some exponent  $p \in [1, n)$ .

A particularly important case of  $F(u, \Omega)$  is the *area integral*

$$A(u, \Omega) := \int_{\Omega} \sqrt{1 + |M(Du)|^2} dx, \quad (117)$$

which in the  $2 - d$  setting reduces to

$$A(u, \Omega) = \int_{\Omega} \sqrt{1 + |Du(x)|^2 + |\det Du(x)|^2} dx. \quad (118)$$

It has been shown in [8] that, if  $p > n - 1$ , then  $\overline{F}(u, \cdot)$  is a Radon measure and, for every open set  $A \subset \Omega$ ,

$$\overline{F}(u, A) = g(M(Du)) \mathcal{L}^n[A + \mu_s(A)],$$

where  $\mu_s$  is a finite Radon measure, singular with respect to the Lebesgue measure  $\mathcal{L}^n$ . A longtime question has been to identify the singular measure  $\mu_s$ . In Theorem 43 we achieve this for the class of maps  $u \in W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  considered in Section 2. Precisely, using Theorem 1 in  $2-d$  and Theorem 10 for the general  $n-d$  case, we can prove the following relaxation result.

**Theorem 43 (Relaxation in  $n-d$ )** *Let  $\Omega$  be an open set of  $\mathbb{R}^n$ ,  $n \geq 2$ , containing the origin. Let  $u \in W^{1,p}(\Omega; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for some  $p \in (n - 1, n)$ , such that, for a positive constant  $M_0$ ,*

$$\sup_{\varrho > 0} \frac{1}{\varrho^{n-p}} \int_{B_{\varrho}} |Du|^p dx \leq M_0.$$

*Let  $v : \partial B_1 = S^{n-1} \rightarrow \mathbb{R}^n$ ,  $v \in W^{1,\infty}(S^{n-1}; \mathbb{R}^n)$ , be a Lipschitz-continuous map such that*

$$\lim_{\varrho \rightarrow 0^+} \max \left\{ \left| u \left( \varrho \frac{x}{|x|} \right) - v \left( \frac{x}{|x|} \right) \right| : x \in B_1 \setminus \{0\} \right\} = 0.$$

*Moreover, if  $n = 2$  we assume that the map  $v$  has values in the set  $\Gamma$  defined in (6); while, if  $n \geq 3$ , then we assume that the quantity*

$$\sum_{i=1}^n (-1)^{i+1} v^i \frac{\partial (v^1, \dots, v^{i-1}, v^{i+1}, \dots, v^n)}{\partial (\tau_1, \tau_2, \dots, \tau_{n-1})}$$

*has constant sign  $H^{n-1}$ -almost everywhere on  $\partial B_1$ . Then the relaxed functional  $\overline{F}(u, \Omega)$ , defined in (115) with  $g : \mathbb{R}^N \rightarrow [0, +\infty)$  satisfying (116), is given by*

$$\overline{F}(u, \Omega) = \int_{\Omega} g(M(Du(x))) dx + g_{\infty} TV(v, B_1),$$

*where the total variation  $TV(v, B_1)$  of  $v$  is given in (24).*

**Proof. Step 1 (lower bound).** Consider a sequence  $\{u_h\}_{h \in \mathbb{N}}$  of class  $W^{1,n}(\Omega; \mathbb{R}^n)$  converging to  $u$  in the weak topology of  $W^{1,p}(\Omega; \mathbb{R}^n)$ , as  $h \rightarrow +\infty$ . Let  $\varrho \in (0, 1)$  be fixed. By Theorem 35, on the lower semicontinuity below the critical exponent, using the bound on the left hand side of (116), we have

$$\begin{aligned} \liminf_{h \rightarrow +\infty} F(u_h, \Omega) &\geq \liminf_{h \rightarrow +\infty} \int_{\Omega \setminus B_{\varrho}} g(M(Du_h(x))) dx + \liminf_{h \rightarrow +\infty} g_{\infty} \int_{B_{\varrho}} |\det Du_h(x)| dx \\ &\geq \int_{\Omega \setminus B_{\varrho}} g(M(Du(x))) dx + g_{\infty} TV(v, B_1). \end{aligned}$$

Letting  $\varrho \rightarrow 0$  we deduce the lower bound

$$\overline{F}(u, \Omega) \geq \int_{\Omega} g(M(Du(x))) dx + g_{\infty}TV(v, B_1).$$

**Step 2 (upper bound).** For every  $\varepsilon > 0$  there exists a Lipschitz-continuous map  $w : B_1 \rightarrow \mathbb{R}^n$  satisfying

$$\int_{B_1} |\det Dw(x)| dx < \varepsilon + TV(v, B_1) \quad (119)$$

and such that  $w = v$  on  $\partial B_1$ . Indeed, if  $n = 2$  we use (67), while if  $n \geq 3$  we use (110). By Lemma 38 there exists a sequence  $(\varrho_h)_{h \in \mathbb{N}}$ , converging to zero as  $h \rightarrow +\infty$ , and such that

$$\frac{1}{\varrho_h^{n-p-1}} \int_{\partial B_{\varrho_h}} |D_{\tau}u|^p dH^{n-1} \leq c(n, p) M_0.$$

For every  $h \in \mathbb{N}$  we set  $\sigma_h := \varrho_h^{\frac{n-p}{p-1}}$ , and we define  $u_h(x)$  as in (111). As in Step 3 of the proof of Theorem 10, we can show that

$$\lim_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |Du_h|^p dx = \lim_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} |\det Du_h(x)| dx = 0 \quad (120)$$

and, by also using the inequality on the right hand side of (116), we can prove the upper bound

$$\begin{aligned} \overline{F}(u, \Omega) &\leq \liminf_{h \rightarrow +\infty} F(u_h, \Omega) \leq \int_{\Omega} g(M(Du(x))) dx \\ &+ \liminf_{h \rightarrow +\infty} \int_{B_{\varrho_h} \setminus B_{\varrho_h(1-\sigma_h)}} \{L(1 + |Du_h|^p) + g_{\infty}|\det Du_h|\} dx + g_{\infty} \int_{B_1} |\det Dw(x)| dx. \end{aligned}$$

By (119) and (120), letting  $\varepsilon$  go to zero, we conclude that

$$\overline{F}(u, \Omega) \leq \int_{\Omega} g(M(Du(x))) dx + g_{\infty}TV(v, B_1).$$

■

## 9 A relevant $n$ -dimensional class of maps

The singular map  $u : \mathbb{R}^n \setminus \{0\} \rightarrow \mathbb{R}^n$ , defined for  $x \neq 0$  by

$$u(x) = \frac{x}{|x|}, \quad (121)$$

belongs to the class  $W^{1,p}(B_1; \mathbb{R}^n) \cap W_{\text{loc}}^{1,\infty}(\Omega \setminus \{0\}; \mathbb{R}^n)$  for every  $p \in [1, n)$ , but  $u \notin W^{1,n}(B_1; \mathbb{R}^n)$ . In this case a formula for the total variation  $TV(u, \Omega)$  was already known. Indeed, (122) below has been first given in 1986 by Marcellini [45] (see also Fonseca and Marcellini [27]). In this section we generalize the formula to more general maps.

To deduce (122) using the tools developed in this work, write  $u(x) = v(x/|x|)$ , where the map  $v : \partial B_1 \rightarrow \mathbb{R}^n$  is the identity on  $\partial B_1 = S^{n-1}$ . The map  $\tilde{v}(x) = |x| \cdot v(x/|x|) = x$  is the smooth extension

of  $u$  according with Corollary 13. Clearly  $D\tilde{v}(x) = Id$  is the *identity matrix* and  $\det D\tilde{v}(x) = 1$ . Therefore, if  $\Omega$  is any open set of  $\mathbb{R}^n$  containing the origin, Corollary 13 gives

$$TV\left(\frac{x}{|x|}, \Omega\right) = \left| \int_{B_1} \det D\tilde{v}(x) dx \right| = \int_{B_1} dx = |B_1| = \omega_n. \quad (122)$$

Next we generalize the structure (121) and we consider a class of maps recently studied by Jerrard and Soner [40]. Consider a function  $w \in C^1(\Omega; \mathbb{R}^n)$  (or, more generally, a locally Lipschitz-continuous map  $w : \Omega \rightarrow \mathbb{R}^n$  classically differentiable at  $x = 0$ ) such that  $\det Dw(0) \neq 0$ . Let  $\Omega$  be an open set containing the origin and define  $u : \Omega \setminus \{0\} \rightarrow \mathbb{R}^n$  by

$$u(x) := \frac{w(x) - w(0)}{|w(x) - w(0)|}. \quad (123)$$

Note that the condition  $\det Dw(0) \neq 0$  ensures the existence of  $r > 0$  such that  $w(x) \neq w(0)$  for every  $x \in B_r \setminus \{0\}$ , and in the sequel we limit ourselves to open sets  $\Omega \subset B_r$  containing the origin.

First we show that, without loss of generality, we may assume that  $Dw(0) = Id$  is the *identity matrix*. Indeed, by assumption, the gradient  $Dw(0)$  of  $w$  at  $x = 0$  is a nonsingular matrix  $n \times n$ ; let us denote by  $A := Dw(0)$  this matrix, and by  $A^{-1}$  its inverse matrix. Define on  $\Omega \setminus \{0\}$

$$z(x) := u(A^{-1}x) = \frac{w(A^{-1}x) - w(0)}{|w(A^{-1}x) - w(0)|}, \quad \forall x \in \Omega \setminus \{0\}.$$

Let  $\{u_h\}_{h \in \mathbb{N}}$  be a sequence in  $W^{1,n}(\Omega; \mathbb{R}^n)$  which converges, as  $h \rightarrow +\infty$ , to  $u$  weakly in  $W^{1,p}(\Omega; \mathbb{R}^n)$ . Then  $z_h(x) := u_h(A^{-1}x)$  converges weakly in  $W^{1,p}(\Omega; \mathbb{R}^n)$  to  $z(x) = u(A^{-1}x)$ . Since

$$\int_{A(\Omega)} |\det Dz_h(x)| dx = \int_{A(\Omega)} |\det Du_h(A^{-1}x)| \cdot |\det A^{-1}| dx = \int_{\Omega} |\det Du_h(x)| dx,$$

we deduce that  $TV(z, A(\Omega)) = TV(u, \Omega)$ . We also have  $[Dw(A^{-1}x)]_{x=0} = Dw(0) \cdot A^{-1} = Id$ , where  $Id$  is the identity matrix. Therefore, the above computations show that, without loss of generality, to evaluate the total variation  $TV(u, \Omega)$  of the Jacobian determinant we may assume that

$$A = Dw(0) = Id. \quad (124)$$

Under (124), with  $u$  given in (123), we define  $v : \partial B_1 \rightarrow \mathbb{R}^n$  by  $v(y) := y$ , for every  $y \in \partial B_1$ . We have

$$\lim_{\varrho \rightarrow 0} \max \{|u(\varrho y) - v(y)| : x \in B_1 \setminus \{0\}\} = 0. \quad (125)$$

Indeed, since  $w$  is differentiable at  $x = 0$ , we obtain

$$u(\varrho y) - v(y) = \frac{w(\varrho y) - w(0)}{|w(\varrho y) - w(0)|} - y = \frac{\varrho y + o(\varrho)}{|\varrho y + o(\varrho)|} - y = \frac{y + \frac{o(\varrho)}{\varrho}}{\left|y + \frac{o(\varrho)}{\varrho}\right|} - y,$$

which converges to zero as  $\varrho \rightarrow 0$ . Thus assertion (125) is proved.

Moreover, for every  $x \in B_\varrho \setminus \{0\}$  with  $B_\varrho$  compactly contained in  $\Omega$ , if we denote by  $L$  the Lipschitz constant of  $w$  in  $B_\varrho$ , we have

$$|Du(x)| \leq c_1 \frac{|Dw(x)|}{|w(x) - w(0)|} \leq c_1 \frac{L}{|w(x) - w(0)|},$$



for a constant  $c_1$ . Since  $A = Dw(0) = Id$ , then

$$|w(x) - w(0)| = |Dw(0) \cdot x + o(|x|)| = |x + o(|x|)| \geq \frac{1}{2}|x|$$

for every  $x \in B_{\varrho_0}$  with  $\varrho_0$  sufficiently small; thus

$$|Du(x)| \leq c_1 \frac{L}{|w(x) - w(0)|} \leq \frac{2c_1 L}{|x|}.$$

Also, for every  $p < n$ , we have

$$\begin{aligned} \sup_{0 < \varrho \leq \varrho_0} \frac{1}{\varrho^{n-p}} \int_{B_\varrho} |Du|^p dx &\leq \sup_{0 < \varrho \leq \varrho_0} \frac{c_2}{\varrho^{n-p}} \int_{B_\varrho} \frac{1}{|x|^p} dx \\ &\leq \sup_{0 < \varrho \leq \varrho_0} \frac{c_2 \cdot \omega_n}{\varrho^{n-p}} \int_0^\varrho r^{n-1-p} dr = \frac{c_2 \cdot \omega_n}{n-p}. \end{aligned}$$

Therefore the assumptions (17), (18) are satisfied, and we can apply Theorem 10, when  $v : S^{n-1} \rightarrow S^{n-1}$  is the identity map. Since  $|u(x)| = 1$  for every  $x \in \Omega \setminus \{0\}$ , then  $\det Du(x) = 0$  in  $\Omega \setminus \{0\}$ , and hence, by (122) we finally get

$$TV(u, \Omega) = TV\left(\frac{x}{|x|}, \Omega\right) = \omega_n, \quad \text{with } u(x) := \frac{w(x) - w(0)}{|w(x) - w(0)|}.$$

## 10 Some 2- and 3-dimensional examples

We start with a simple application of the general 2-d result of Theorem 1.

**Example 44** Let  $u(x) := v(x/|x|)$ , where  $v : [0, 2\pi] \rightarrow S^1$  is the map  $v(\vartheta) = (\cos g(\vartheta), \sin g(\vartheta))$ , with  $g : [0, 2\pi] \rightarrow \mathbb{R}$  Lipschitz-continuous function such that  $g(2\pi) = g(0) + 2k\pi$ , for some  $k \in \mathbb{Z}$ . Since  $v^1(\vartheta)v_\vartheta^2(\vartheta) - v^2(\vartheta)v_\vartheta^1(\vartheta) = g'(\vartheta)$ , by Theorem 1 we obtain

$$TV(u, B_1) = \frac{1}{2} \left| \int_0^{2\pi} g'(\vartheta) d\vartheta \right| = |k| \pi.$$

Note that here  $g$  is not necessarily a monotone function and that  $TV(u, B_1) = \frac{1}{2} \left| \int_0^{2\pi} g'(\vartheta) d\vartheta \right|$ , with the absolute value sign outside the integral sign, and not inside as could have been expected. On the other hand, if  $w(x) = |x|u(x)$  is the radially linear Lipschitz-continuous extension of  $v$ , we have instead  $TV(w, B_1) = \frac{1}{2} \int_0^{2\pi} |g'(\vartheta)| d\vartheta$ .

We propose below some examples related to the “eight” curve, i.e., to the union  $\gamma$  of the two circles  $\gamma^+, \gamma^-$  of radius 1 with centers at  $(1, 0)$  and at  $(-1, 0)$  respectively. We consider a Lipschitz-continuous closed curve  $v : [0, 2\pi] \rightarrow \gamma$ , with parametric representation  $v(\vartheta) = (v^1(\vartheta), v^2(\vartheta))$  and with  $v(0) = v(2\pi)$ . As in Section 2, we denote by  $\{I_j^+\}_j$  and by  $\{I_k^-\}_k$  sequences of disjoint open intervals of  $[0, 2\pi]$  such that  $v(I_j) \subset \gamma^+$  and  $v(I_k) \subset \gamma^-$  (and  $v(\vartheta) = (0, 0)$  when  $\vartheta \notin (\cup_j I_j^+) \cup (\cup_k I_k^-)$ ). With  $u(x) := v(x/|x|)$ , we stated in Theorem 4 the following upper and lower estimates

$$TV(u, B_1) \leq \frac{1}{2} \sum_{j \in \mathbb{N}} \left| \int_{I_j} \{v^1(\vartheta)v_\vartheta^2(\vartheta) - v^2(\vartheta)v_\vartheta^1(\vartheta)\} d\vartheta \right|; \quad (126)$$

$$TV(u, B_1) \geq \frac{1}{2} \left\{ \left| \sum_{j \in \mathbb{N}} \int_{I_j^+} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right| + \left| \sum_{k \in \mathbb{N}} \int_{I_k^-} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right| \right\}. \quad (127)$$

We notice that, if the curve  $v : [0, 2\pi] \rightarrow \gamma = \gamma^+ \cup \gamma^-$  admits only two intervals  $I_1^+$  and  $I_2^-$  where  $v(I_1^+) \subset \gamma^+$ ,  $v(I_2^-) \subset \gamma^-$  respectively, then the above estimates for  $TV(u, B_1)$  are in fact equalities, and

$$TV(u, B_1) = \frac{1}{2} \left\{ \left| \int_{I_1^+} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right| + \left| \int_{I_2^-} \{v^1 v_\vartheta^2 - v^2 v_\vartheta^1\} d\vartheta \right| \right\}. \quad (128)$$

Moreover, the total variation of the distributional determinant  $|\text{Det } Du|(B_1)$  is given by

$$|\text{Det } Du|(B_1) = \frac{1}{2} \left| \int_0^{2\pi} \{v^1(\vartheta) v_\vartheta^2(\vartheta) - v^2(\vartheta) v_\vartheta^1(\vartheta)\} d\vartheta \right|. \quad (129)$$

Using these estimates we can now address the next examples.

**Example 45** Let  $h, k \in \mathbb{Z}$ , and let  $v : [0, 2\pi] \rightarrow \gamma$  be the curve whose image turns  $|h|$  times in  $\gamma^-$  and  $|k|$  times in  $\gamma^+$ , according to the parametric representation

$$v(\vartheta) := \begin{cases} (-1, 0) + (\cos 2h\vartheta, \sin 2h\vartheta) & \text{if } 0 \leq \vartheta \leq \pi \\ (1, 0) - (\cos 2k\vartheta, \sin 2k\vartheta) & \text{if } \pi \leq \vartheta \leq 2\pi \end{cases}.$$

Since

$$v^1 v_\vartheta^2 - v^2 v_\vartheta^1 = \begin{cases} 2h(1 - \cos 2h\vartheta), & \text{if } 0 < \vartheta < \pi \\ 2k(\cos 2k\vartheta - 1), & \text{if } \pi < \vartheta < 2\pi \end{cases},$$

then, with  $u(x) := v(x/|x|)$ , by the representation formulas (128), (129) we have

$$\begin{cases} TV(u, B_1) = (|h| + |k|) \pi \\ |\text{Det } Du|(B_1) = |h - k| \pi \end{cases}, \quad \forall h, k \in \mathbb{Z}. \quad (130)$$

**Example 46** We consider the map

$$v(\vartheta) := \begin{cases} (-1, 0) + (\cos 2\vartheta, \sin 2\vartheta) & \text{if } 0 \leq \vartheta \leq \pi \\ (1, 0) \pm (-\cos 2\vartheta, \sin 2\vartheta) & \text{if } \pi \leq \vartheta \leq 2\pi \end{cases}, \quad (131)$$

and we extend it by periodicity from  $[0, 2\pi]$  to  $\mathbb{R}$ . Then we define  $v_h(\vartheta) := v(h\vartheta)$ , for a given parameter  $h \in \mathbb{Z}$ . The image of  $v_h$  is contained in  $\gamma^+$  and  $\gamma^-$  in correspondence with two sets of disjoint open intervals of  $[0, 2\pi]$  which, with the notations introduced above, we denote by  $I_j^+$  and  $I_k^-$  respectively. Then  $v(I_j) \subset \gamma^+$  and  $v(I_k) \subset \gamma^-$ . With  $u_h(x) := v_h(x/|x|)$ , by (126) and (127) we obtain

$$\begin{aligned} TV(u_h, B_1) &= \frac{1}{2} \left| \sum_j \int_{I_j^+} \left\{ v_h^1 \frac{\partial v_h^2}{\partial \vartheta} - v_h^2 \frac{\partial v_h^1}{\partial \vartheta} \right\} d\vartheta \right| + \frac{1}{2} \left| \sum_k \int_{I_k^-} \left\{ v_h^1 \frac{\partial v_h^2}{\partial \vartheta} - v_h^2 \frac{\partial v_h^1}{\partial \vartheta} \right\} d\vartheta \right| \\ &= \frac{1}{2} \left| \sum_j \int_{I_j^+} 2h(1 - \cos 2h\vartheta) d\vartheta \right| + \frac{1}{2} \left| \sum_k \int_{I_k^-} 2h(\cos 2h\vartheta - 1) d\vartheta \right| = 2|h| \pi. \end{aligned}$$

In this situation we have

$$\begin{cases} TV(u_h, B_1) = 2|h| \pi \\ |\text{Det } Du_h|(B_1) = 0 \end{cases}, \quad \forall h \in \mathbb{Z}.$$

**Example 47** The map  $v : [0, 2\pi] \rightarrow \gamma$  defined by

$$v(\vartheta) := \begin{cases} (-1, 0) + (\cos 4\vartheta, \sin 4\vartheta), & \text{if } 0 \leq \vartheta \leq \pi/2 \\ (1, 0) + (-\cos 4\vartheta, \sin 4\vartheta), & \text{if } \pi/2 \leq \vartheta \leq \pi \\ (-1, 0) + (\cos 4\vartheta, \sin 4\vartheta), & \text{if } \pi \leq \vartheta \leq 3\pi/2 \\ (1, 0) + (-\cos 4\vartheta, \sin 4\vartheta), & \text{if } 3\pi/2 \leq \vartheta \leq 2\pi \end{cases} \quad (132)$$

spans  $\gamma^-$  twice counter-clockwise, and  $\gamma^+$  twice clockwise. It is a particular case of the previous Example 46 and, with the usual notation  $u(x) := v(x/|x|)$ , we have  $TV(u, B_1) = 4\pi$  and  $|\text{Det } Du|(B_1) = 0$ .

Consider now the map  $\bar{v} : [0, 2\pi] \rightarrow \gamma$  defined by

$$\bar{v}(\vartheta) := \begin{cases} (-1, 0) + (\cos 4\vartheta, \sin 4\vartheta), & \text{if } 0 \leq \vartheta \leq \pi/2 \\ (1, 0) + (-\cos 4\vartheta, \sin 4\vartheta), & \text{if } \pi/2 \leq \vartheta \leq \pi \\ (-1, 0) + (\cos 4\vartheta, -\sin 4\vartheta), & \text{if } \pi \leq \vartheta \leq 3\pi/2 \\ (1, 0) + (-\cos 4\vartheta, -\sin 4\vartheta), & \text{if } 3\pi/2 \leq \vartheta \leq 2\pi \end{cases}, \quad (133)$$

which spans  $\gamma^-$  twice, the first time counter-clockwise and the second time clockwise; while  $\gamma^+$  is spanned first clockwise and then counter-clockwise. Then again, with  $\bar{u}(x) := \bar{v}(x/|x|)$ , the estimate (126) yields  $TV(\bar{u}, B_1) \leq 4\pi$ , while (127) gives  $TV(\bar{u}, B_1) \geq 0 = |\text{Det } D\bar{u}|(B_1)$ . Therefore, this is an example where there is a gap between the estimates (126) and (127).

The last example related to  $\bar{v}$  was already considered by Malý [41] and by Giaquinta, Modica and Souček [35], who proved that the graph of  $\bar{u}$  cannot be approximated in area by the graphs of smooth maps.

We finally consider a 3-dimensional example.

**Example 48** Let us consider the map  $v : S^2 \rightarrow S^2 \subset \mathbb{R}^3$  defined, in spherical coordinates, by

$$v(\vartheta, \psi) := \begin{cases} v^1 = \cos g(\vartheta) \sin \psi \\ v^2 = \sin g(\vartheta) \sin \psi \\ v^3 = \cos \psi \end{cases},$$

for  $\vartheta \in [0, 2\pi]$ ,  $\psi \in [0, \pi]$ , where  $g : [0, 2\pi] \rightarrow [0, 2\pi]$  is a Lipschitz-continuous function such that

$$g(2\pi) - g(0) = 2k\pi$$

for some  $k \in \mathbb{Z}$ . By formula (101) we can see that, if  $\omega$  is a generic point of  $S^2$ , represented in the form  $\omega = (\cos \vartheta \sin \psi, \sin \vartheta \sin \psi, \cos \psi)$ , then we have

$$v^1(\omega) \frac{\partial(v^2, v^3)}{\partial(\tau_1, \tau_2)}(\omega) - v^2(\omega) \frac{\partial(v^1, v^3)}{\partial(\tau_1, \tau_2)}(\omega) + v^3(\omega) \frac{\partial(v^2, v^1)}{\partial(\tau_1, \tau_2)}(\omega) = g'(\vartheta).$$

Thus, if the function  $g$  is monotone, then the sign assumption (22) is satisfied and, by Theorem 10, we obtain

$$TV(v, B_1) = \frac{2}{3} |g(2\pi) - g(0)| = \frac{4}{3} \pi |k|, \quad (134)$$

which, as expected, is equal to the absolute value  $|k|$  of the topological degree of the map times the volume  $\omega_3 = \frac{4}{3}\pi$  of the unit ball in  $\mathbb{R}^3$ .

However, formula (134) also holds if the function  $g$  is not monotone, i.e., if the sign assumption (22) is not satisfied. To assert this fact (that we do not want to prove in all details), we can follow the argument used in Section 5 to prove Theorem 1. In particular, if for some  $\alpha, \beta$ , with  $0 \leq \alpha < \beta \leq 2\pi$ ,

we have  $g(\alpha) = g(\beta)$ , then for every  $\varepsilon > 0$  we can construct a Lipschitz-continuous map  $w : S_{\alpha,\beta} \rightarrow \mathbb{R}^3$  such that  $w(x) := |x|v\left(\frac{x}{|x|}\right)$  if  $x \in \partial S_{\alpha,\beta}$  and

$$\int_{S_{\alpha,\beta}} |\det Dw(x)| dx < \varepsilon,$$

where  $S_{\alpha,\beta}$  is the subset of  $B_1$  of points  $x = (\varrho \cos \vartheta \sin \psi, \varrho \sin \vartheta \sin \psi, \varrho \cos \psi)$ , with  $0 \leq \varrho \leq 1$ ,  $\alpha \leq \vartheta \leq \beta$ ,  $0 \leq \psi \leq \pi$ . The map  $w$  can be defined similarly to the one used in the proof of the “umbrella” Lemma 23, setting  $w(\varrho, \vartheta, \psi) := \varrho(\cos \varphi(\varrho, \vartheta) \sin \psi, \sin \varphi(\varrho, \vartheta) \sin \psi, \cos \psi)$ , where  $\varphi(\varrho, \vartheta) := \varrho^h g(\vartheta) + (1 - \varrho^h) g(\alpha)$ , with  $h$  sufficiently large.

**Acknowledgment.** The research of Irene Fonseca was partially supported by the *National Science Foundation* under Grant No. DMS-9731957 and through *Center for Nonlinear Analysis* (CNA). The research of Nicola Fusco was partially supported by the Italian *Ministero dell’Istruzione, dell’Università e della Ricerca* (MIUR). The research of Paolo Marcellini was partially supported by the Italian *Ministero dell’Istruzione, dell’Università e della Ricerca* (MIUR) and through the contribution of the Italian *Ministero degli Affari Esteri, Direzione Generale per la Promozione e la Cooperazione Culturale* (MAE).

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