

## NEW CHARACTERIZATIONS OF RICCI CURVATURE ON RCD METRIC MEASURE SPACES

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**ABSTRACT.** We prove that on a large family of metric measure spaces, if the  $L^p$ -gradient estimate for heat flows holds for some  $p > 2$ , then the  $L^1$ -gradient estimate also holds. This result extends Savaré’s result on metric measure spaces, and provides a new proof to von Renesse-Sturm theorem on smooth metric measure spaces. As a consequence, we propose a new analysis object based on Gigli’s measure-valued Ricci tensor, to characterize the Ricci curvature of RCD space in a local way. In the proof we adopt an iteration technique based on non-smooth Bakry-Émery theory, which is a new method to study the curvature dimension condition of metric measure spaces.

**1. Introduction.** For any smooth Riemannian manifold  $M$  and any  $K \in \mathbb{R}$ , it is proved by von Renesse and Sturm in [14] that the following properties are equivalent

- 1)  $\text{Ricci}_M \geq K$ ,
- 2) there exists  $p \in (1, \infty)$  such that for all  $f \in C_c^\infty(M)$ , all  $x \in M$  and  $t \geq 0$

$$|\text{DH}_t f|^p(x) \leq e^{-pKt} \text{H}_t |Df|^p(x), \quad (1)$$

- 3) for all  $f \in C_c^\infty(M)$ , all  $x \in M$  and  $t \geq 0$

$$|\text{DH}_t f|(x) \leq e^{-Kt} \text{H}_t |Df|(x), \quad (2)$$

where  $\text{H}_t f$  is the solution of the heat equation with initial datum  $f$ .

In non-smooth setting, the notions of synthetic Ricci curvature bounds, or non-smooth curvature-dimension conditions, were proposed by Lott-Villani and Sturm (see [13] and [16]) using optimal transport theory. Later on, by assuming the infinitesimally Hilbertianity (i.e. the Sobolev space  $W^{1,2}$  is a Hilbert space), RCD condition (or  $\text{RCD}(K, \infty)$  condition to emphasize the curvature) which is a refinement of Lott-Sturm-Villani’s curvature-dimension condition, was proposed by Ambrosio-Gigli-Savaré (see [4] and [1]). It is known that  $\text{RCD}(K, \infty)$  spaces are generalizations of Riemannian manifolds with lower Ricci curvature bound and their limit spaces, as well as Alexandrov spaces with lower curvature bound.

It is known that Lott-Sturm-Villani’s synthetic Ricci bound and 2-gradient estimate (for heat flows) are equivalent in non-smooth setting. Let  $(X, d, \mathfrak{m})$  be a

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RCD( $K, \infty$ ) space, it is proved in [4] that

$$|\mathrm{DH}_t f|^2 \leq e^{-2Kt} \mathrm{H}_t |Df|^2, \quad \mathbf{m} - \text{a.e.} \quad (3)$$

for any  $f \in W^{1,2}$  and  $t > 0$ , where  $\mathrm{H}_t f$  is the heat flow from  $f$  and  $|Df|$  is the minimal weak upper gradient (or weak gradient for simplicity) of  $f$ . In particular, by Hölder inequality we know

$$|\mathrm{DH}_t f|^p \leq e^{-pKt} \mathrm{H}_t |Df|^p, \quad \mathbf{m} - \text{a.e.} \quad (4)$$

for any  $p \geq 2$ . Furthermore, it is proved in [15] that inequality (3) can be improved as

$$|\mathrm{DH}_t f| \leq e^{-Kt} \mathrm{H}_t |Df|, \quad \mathbf{m} - \text{a.e.} \quad (5)$$

In conclusion, inequality (4) holds for any  $p \in [1, \infty]$ .

Conversely, it is shown in [5] that a space satisfying inequality (3) is RCD( $K, \infty$ ). Let  $(X, d, \mathbf{m})$  be an infinitesimally Hilbertian space, we have a well-defined Dirichlet energy:

$$E(f) := \frac{1}{2} \int |Df|^2 \, d\mathbf{m}$$

for any  $f \in W^{1,2}(X, d, \mathbf{m})$ . We denote the  $L^2$ -gradient flow of  $E(\cdot)$  starting from  $f$  by  $(\mathrm{H}_t f)_t$ . Assume further that the space  $(X, d, \mathbf{m})$  has Sobolev-to-Lipschitz property: for any function  $f \in W^{1,2}$  with  $|Df| \in L^\infty$ , there exists a Lipschitz continuous function  $\bar{f}$  such that  $f = \bar{f}$   $\mathbf{m}$ -a.e. and  $\mathrm{Lip}(\bar{f}) = \mathrm{ess\,sup} |Df|$ . If

$$|\mathrm{DH}_t f|^2 \leq e^{-2Kt} \mathrm{H}_t |Df|^2, \quad \mathbf{m} - \text{a.e.} \quad (6)$$

for any  $f \in W^{1,2}$  and  $t > 0$ , then  $(X, d, \mathbf{m})$  is RCD( $K, \infty$ ).

The main goal of this paper is to prove that for any  $p > 2$ ,  $p$ -gradient estimate (4) also characterizes the curvature-dimension condition. We prove a non-smooth version of 2)  $\Rightarrow$  3) in von Renesse-Sturm's result, thus we complete the circle 1)  $\Leftrightarrow$  2)  $\Leftrightarrow$  3) in non-smooth setting.

Now, we introduce our main result in this paper. When  $p = 2$ , it is proved in [15] that there exists a space of test functions  $\mathrm{TestF}(X, d, \mathbf{m})$  which is a dense subspace of  $W^{1,2}(X)$  defined as

$$\mathrm{TestF}(X, d, \mathbf{m}) := \left\{ f \in \mathrm{D}(\Delta) \cap L^\infty : |Df| \in L^\infty \text{ and } \Delta f \in W^{1,2} \cap L^\infty(X, \mathbf{m}) \right\},$$

such that  $\Delta |Df|^2$  is a well-defined measure (see Definition 3.1) for any  $f \in \mathrm{TestF}$ . So it is reasonable to the following assumption (**Assumption 2**, see a similar assumption in [17]): there exists a dense subspace  $\mathcal{A}$  in  $\mathrm{TestF}$  with respect to the graph norm

$$f \mapsto \left[ \|(-\Delta)^{\frac{3}{2}} f\|_{L^2}^2 + \|f\|_{W^{1,2}}^2 \right]^{\frac{1}{2}} = \left[ E(\Delta f) + \|f\|_{W^{1,2}}^2 \right]^{\frac{1}{2}}$$

such that  $|Df|^2 \in \mathbb{M}_\infty$  for any  $f \in \mathcal{A}$ . We remark that we do not need to assume the density of  $\mathcal{A}$  in  $W^{1,2}$ .

**Theorem 1.1** (Theorem 3.5, Improved Bakry-Émery theory). *Let  $M := (X, d, \mathbf{m})$  be a metric measure space such that there exists an algebra  $\mathcal{A}$  as described above. If for any  $f \in W^{1,2}(X) \cap \mathrm{Lip}(X) \cap L^\infty(X)$  we have the gradient estimate*

$$|\mathrm{DH}_t f|^p \leq e^{-pKt} \mathrm{H}_t |Df|^p, \quad \mathbf{m} - \text{a.e.} \quad (7)$$

for some  $p \in (1, \infty)$ . Then (7) holds for  $p = 1$ . In particular,  $M$  is a RCD( $K, \infty$ ) space.

Since we do not have second order differentiation formula for relative entropy along Wasserstein geodesics, or Taylor's expansion in non-smooth setting, we can not simply use the argument in smooth metric measure space (see the proofs in [14]). The argument we adopt here is the so-called 'self-improvement' method in Bakry-Émery's  $\Gamma$ -calculus, which was used in [15] to deal with the non-smooth problems. We remark that we not only use 'self-improvement' technique, but an improved iteration method based on this technique. We believe that this method also has potential application in the future.

It can be seen that Assumption 2 is satisfied in the following cases, where we can apply our main result.

**Example 1.** Smooth metric measure space: obviously,  $C_c^\infty(M)$ , the space of smooth functions with compact support is a good algebra in Assumption 2. Hence we obtain a new quick proof to von Renesse-Sturm's theorem, without using Taylor's expansion method.

**Example 2.**  $\text{RCD}(K, \infty)$  metric measure space: it is proved in Lemma 3.2 [15] that  $|Df|^2 \in \mathbb{M}_\infty$  for any  $f \in \text{TestF}$ . By Theorem 1.1 we obtain the following proposition which deals with the optimal constant  $K$  in the curvature-dimension condition. It is also a complement to Savaré's result in [15].

**Proposition 1** (Self-improvement of gradient estimate). *Let  $(X, d, \mathbf{m})$  be a  $\text{RCD}(K, \infty)$  metric measure space. If for any  $f \in W^{1,2} \cap \text{Lip}(X) \cap L^\infty(X)$  we have the gradient estimate*

$$|DH_t f|^p \leq e^{-pK't} H_t |Df|^p, \quad \mathbf{m} - a.e. \quad (8)$$

for some  $p \in [1, \infty)$  and  $K' > K$ . Then  $(X, d, \mathbf{m})$  is a  $\text{RCD}(K', \infty)$  space. In particular, we know

$$|DH_t f| \leq e^{-K't} H_t |Df|, \quad \mathbf{m} - a.e.. \quad (9)$$

In [10], Gigli defines measure valued Ricci tensor on  $\text{RCD}$  metric measure space (see also [12]) as

$$\mathbf{Ricci}(\nabla f, \nabla f) := \mathbf{\Gamma}_2(f) - |\text{Hess}[f]|_{\text{HS}}^2 \mathbf{m}$$

where  $\mathbf{\Gamma}_2(f) := \frac{1}{2} \Delta |Df|^2 - \langle \nabla f, \nabla \Delta f \rangle \mathbf{m}$  and  $|\text{Hess}[f]|_{\text{HS}}$  is the Hilbert-Schmidt norm of the Hessian  $\text{Hess}[f]$  as a module (see [10] for details). He shows that  $\mathbf{Ricci}(\nabla f, \nabla f) \geq K |Df|^2 \mathbf{m}$  if and only if the space is  $\text{RCD}(K, \infty)$ . However, we do not know if  $\mathbf{Ricci}$  has locality in the sense that  $\mathbf{Ricci}(\nabla f, \nabla f)|_{\{|Df|=0\}} = 0$ .

From the proof of Theorem 1.1 we have the following new characterization of curvature bound which extends Gigli's result:

**Proposition 2** (Proposition 3). *Let  $(X, d, \mathbf{m})$  be a  $\text{RCD}$  space. For any  $f$  such that  $\mathbf{Ricci}(\nabla f, \nabla f)$  is well-defined, we denote the Lebesgue decomposition of  $\mathbf{Ricci}(\nabla f, \nabla f)$  with respect to  $\mathbf{m}$  by*

$$\mathbf{Ricci}(\nabla f, \nabla f) = \mathbf{Ricci}_{ac}(\nabla f, \nabla f) \mathbf{m} + \mathbf{Ricci}_{sing}(\nabla f, \nabla f).$$

Then the following characterizations are equivalent.

- 1)  $(X, d, \mathbf{m})$  is  $\text{RCD}(K, \infty)$ ,
- 2) for any test function  $f \in \text{TestF}$  we have  $\mathbf{Ricci}(\nabla f, \nabla f) \geq K |Df|^2 \mathbf{m}$  in the sense that

$$\mathbf{Ricci}_{ac}(\nabla f, \nabla f) \geq K |Df|^2 \mathbf{m} - a.e.$$

and  $\mathbf{Ricci}_{sing}(\nabla f, \nabla f) \geq 0$ ,

3) for any test function  $f \in \text{TestF}$  we have

$$|Df|^2 \text{Ricci}_{ac}(\nabla f, \nabla f) \geq K|Df|^4 \mathbf{m} - a.e.$$

and  $\mathbf{Ricci}_{sing}(\nabla f, \nabla f) \geq 0$ .

We remark that this naive extension is non-trivial, because 2) is not a direct consequence of 3) due to lack of the locality of  $\mathbf{Ricci}(\cdot, \cdot)$ . From this proposition, we know that  $\overline{\text{Ricci}}(\nabla f, \nabla f) := |Df|^2 \text{Ricci}_{ac}(\nabla f, \nabla f) \mathbf{m}$  characterizes the Ricci curvature of  $(X, d, \mathbf{m})$  and  $\overline{\text{Ricci}}$  has locality in the sense that

$$\overline{\text{Ricci}}(\nabla f, \nabla f)|_{\{|Df|=0\}} = 0.$$

**2. Preliminaries.** First of all, we summarize the basic hypothesis on the metric measure space  $(X, d, \mathbf{m})$  below, the notions and concepts in this assumption will be explained later.

**Assumption 1.** We assume that:

- (1)  $(X, d)$  is a complete, separable geodesic space,
- (2)  $\text{supp } \mathbf{m} = X$ ,  $\mathbf{m}(B_r(x)) < c_1 \exp(c_2 r^2)$  for every  $r > 0$ ,
- (3)  $W^{1,2}(X)$  is a Hilbert space,
- (4)  $(X, d, \mathbf{m})$  has Sobolev-to-Lipschitz property,
- (5) there exists a unique heat kernel  $p_t(x, y)$ .

The Sobolev space  $W^{1,2}(X, d, \mathbf{m})$  is defined as in [2]. We say that  $f \in L^2(X, \mathbf{m})$  is a Sobolev function in  $W^{1,2}(X, d, \mathbf{m})$  if there exists a sequence of Lipschitz functions  $(f_n) \subset L^2$ , such that  $f_n \rightarrow f$  and  $\text{lip}(f_n) \rightarrow G$  in  $L^2$  for some  $G \in L^2(X, \mathbf{m})$ , where  $\text{lip}(f_n)$  is the local Lipschitz constant of  $f_n$ . It is known that there exists a minimal function  $G$  in  $\mathbf{m}$ -a.e. sense. We call the minimal  $G$  the minimal weak upper gradient (or weak gradient for simplicity) of the function  $f$ , and denote it by  $|Df|$ . It is known that the locality holds for  $|Df|$ , i.e.  $|Df| = |Dg|$  a.e. on the set  $\{f = g\}$ . Furthermore, we have the lower semi-continuity: if  $\{f_n\}_n \subset W^{1,2}(X, d, \mathbf{m})$  is a sequence converging to some  $f$  in  $\mathbf{m}$ -a.e. sense and  $(|Df_n|)_n$  is bounded in  $L^2(X, \mathbf{m})$ , then  $f \in W^{1,2}(X, d, \mathbf{m})$  and

$$\| |Df| \|_{L^2} \leq \liminf_{n \rightarrow \infty} \| |Df_n| \|_{L^2}.$$

We equip  $W^{1,2}(X, d, \mathbf{m})$  with the norm

$$\|f\|_{W^{1,2}(X, d, \mathbf{m})}^2 := \|f\|_{L^2(X, \mathbf{m})}^2 + \| |Df| \|_{L^2(X, \mathbf{m})}^2.$$

We say that  $(X, d, \mathbf{m})$  is an infinitesimally Hilbertian space if  $W^{1,2}$  is a Hilbert space (see [4], [11] for more discussions).

On an infinitesimally Hilbertian space, we have a ‘carré du champ’ operator  $\Gamma(\cdot, \cdot) : [W^{1,2}(X, d, \mathbf{m})]^2 \mapsto L^1(X, d, \mathbf{m})$  defined by

$$\Gamma(f, g) := \frac{1}{4} \left( |D(f+g)|^2 - |D(f-g)|^2 \right).$$

It can be seen that  $\Gamma(\cdot, \cdot)$  is symmetric, bilinear and continuous. We denote  $\Gamma(f, f)$  by  $\Gamma(f)$ . We have the following chain rule and Leibnitz rule (Lemma 4.7 and Proposition 4.17 in [1], see also Corollary 7.1.2 in [8])

$$\Gamma(\Phi(f), g) = \Phi'(f)\Gamma(f, g) \text{ for every } f, g \in W^{1,2}, \Phi \in \text{Lip}(\mathbb{R}), \Phi(0) = 0$$

and

$$\Gamma(fg, h) = f\Gamma(g, h) + g\Gamma(f, h) \text{ for every } f, g, h \in W^{1,2} \cap L^\infty.$$

We say that a metric measure space  $M = (X, d, \mathbf{m})$  has Sobolev-to-Lipschitz property if: for any function  $f \in W^{1,2}$  with  $|Df| \in L^\infty$ , we can find a Lipschitz continuous function  $\bar{f}$  such that  $f = \bar{f}$   $\mathbf{m}$ -a.e. and  $\text{Lip}(\bar{f}) = \text{ess sup } |Df|$ .

We define the Dirichlet (energy) form  $E : L^2 \mapsto [0, \infty]$  by

$$E(f) := \frac{1}{2} \int \Gamma(f) \, d\mathbf{m}.$$

It is proved (see [2, 3]) that Lipschitz functions are dense in energy: for any  $f \in W^{1,2}$  there is a sequence of Lipschitz functions  $(f_n)_n \subset L^2(X, \mathbf{m})$  such that  $f_n \rightarrow f$  and  $\text{lip}(f_n) \rightarrow |Df|$  in  $L^2$ . Moreover, if  $W^{1,2}$  is Hilbert we know Lipschitz functions are dense (strongly) in  $W^{1,2}$ .

It can be proved that  $E$  is a strongly local, symmetric, quasi-regular Dirichlet form (see [5, 2, 4]). The Markov semigroup  $(H_t)_{t \geq 0}$  generated by  $E$  is called the heat flow. There exists heat kernel which is a family of functions  $p_t(x, y) : X \times X \times \mathbb{R} \mapsto \mathbb{R}$  such that  $p_t(x, y) \, d\mathbf{m}(y)$  is a probability measure for any  $x \in X, t \in \mathbb{R}$ , and  $H_t f(x) = \int f(y) p_t(x, y) \, d\mathbf{m}(y)$  for any  $f \in L^2(X, \mathbf{m})$ .

For any  $f \in L^2(X, \mathbf{m})$  we know that  $(0, \infty) \ni t \mapsto H_t f \in L^2 \cap D(\Delta)$  satisfies

$$\frac{d}{dt} H_t f = \Delta H_t f \quad \forall t \in (0, \infty),$$

and

$$\lim_{t \rightarrow 0} H_t f = f \text{ in } L^2.$$

Here the Laplacian is defined in the following way (see [11] for alternative definitions):

**Definition 2.1** (Measure valued Laplacian, [11, 10, 15]). The domain of the Laplacian  $D(\Delta) \subset W^{1,2}$  consists of  $f \in W^{1,2}$  such that there is a measure  $\mu \in \text{Meas}(M)$  satisfying

$$\int \varphi \, d\mu = - \int \Gamma(\varphi, f) \, d\mathbf{m}, \quad \forall \varphi : M \mapsto \mathbb{R}, \text{ Lipschitz with bounded support.}$$

In this case the measure  $\mu$  is unique and we denote it by  $\Delta f$ . If  $\Delta f \ll m$ , we denote its density with respect to  $\mathbf{m}$  by  $\Delta f$ .

We define  $\text{TestF}(X, d, \mathbf{m}) \subset W^{1,2}(X, d, \mathbf{m})$ , the space of test functions as

$$\text{TestF}(X, d, \mathbf{m}) := \left\{ f \in D(\Delta) \cap L^\infty : |Df| \in L^\infty \text{ and } \Delta f \in W^{1,2} \cap L^\infty(X, \mathbf{m}) \right\}.$$

It is known from [15] and [4] that  $\text{TestF}(M)$  is an algebra and it is dense in  $W^{1,2}(X, d, \mathbf{m})$  when  $(X, d, \mathbf{m})$  is a RCD metric measure space. We will see in Lemma 3.4 that  $\text{TestF}$  is dense in  $W^{1,2}$  when  $L^p$ -gradient estimate for heat flow holds for some  $p > 2$ .

**Lemma 2.2** (Lemma 3.2, [15]). *Let  $M = (X, d, \mathbf{m})$  be a metric measure space satisfying Assumptions 1. Assume that the algebra generated by  $\{f_1, \dots, f_n\} \subset \text{TestF}(M)$  is included in  $\text{TestF}(M)$ . Let  $\Phi \in C^\infty(\mathbb{R}^n)$  with  $\Phi(0) = 0$ . Put  $\mathbf{f} = (f_1, \dots, f_n)$ , then  $\Phi(\mathbf{f}) \in \text{TestF}(M)$ .*

Let  $f \in \text{TestF}(M)$ . We define the Hessian  $\text{Hess}[f](\cdot, \cdot) : \{\text{TestF}(M)\}^2 \mapsto L^0(M)$  by

$$2\text{Hess}[f](g, h) = \Gamma(g, \Gamma(f, h)) + \Gamma(h, \Gamma(f, g)) - \Gamma(f, \Gamma(g, h)).$$

We have the following lemma.

**Lemma 2.3** (Chain rules, [7], [15]). *Let  $f_1, \dots, f_n \in \text{TestF}(M)$  and  $\Phi \in C^\infty(\mathbb{R}^n)$  with  $\Phi(0) = 0$ . Assume that the algebra generated by  $\{f_1, \dots, f_n\} \subset \text{TestF}(M)$  is included in  $\text{TestF}(M)$ . Put  $\mathbf{f} = (f_1, \dots, f_n)$ , then*

$$|\mathbf{D}\Phi(\mathbf{f})|^2 \mathbf{m} = \sum_{i,j=1}^n \Phi_i \Phi_j(\mathbf{f}) \Gamma(f_i, f_j) \mathbf{m},$$

and

$$\Delta \Phi(\mathbf{f}) = \sum_{i=1}^n \Phi_i(\mathbf{f}) \Delta f_i + \sum_{i,j=1}^n \Phi_{ij}(\mathbf{f}) \Gamma(f_i, f_j) \mathbf{m}.$$

The last lemma will be used in the proof of Theorem 3.5.

**Lemma 2.4** (Lemma 3.3.6, [10]). *Let  $\mu_i = \rho_i \mathbf{m} + \mu_i^s$  be measures with  $\mu_i^s \perp \mathbf{m}$ ,  $i = 1, 2, 3$ . We assume that*

$$\lambda^2 \mu_1 + 2\lambda \mu_2 + \mu_3 \geq 0, \quad \forall \lambda \in \mathbb{R}.$$

Then we have

$$\mu_1^s \geq 0, \quad \mu_3^s \geq 0$$

and

$$|\rho_2|^2 \leq \rho_1 \rho_3, \quad \mathbf{m} - a.e..$$

**3. Main results.** Firstly, we discuss more about the measure-valued Laplacian. Since  $E$  is quasi-regular, we know (see Remark 1.3.9 (ii), [9]) that every function  $f \in W^{1,2}$  has an quasi-continuous representative  $\bar{f}$ . And  $\bar{f}$  is unique up to quasi-everywhere equality, i.e. if  $\tilde{f}$  is another quasi-continuous representative, then  $\tilde{f} = \bar{f}$  holds in a complement of an  $E$ -polar set. For more details, see Definition 2.1 in [15] and the references therein.

**Definition 3.1.** We define  $\mathbb{M}_\infty$  the space of  $f \in \mathbf{D}(\Delta) \cap L^\infty$  such that there exists a measure decomposition  $\Delta f = \mu_+ - \mu_-$  with  $\mu_\pm$  in the positive cone in  $(W^{1,2})'$ , such that:

$$\int \bar{\varphi} d(\Delta f) = - \int \Gamma(\varphi, f) d\mathbf{m}$$

for any  $\varphi \in W^{1,2}$  and the quasi-continuous representative  $\bar{\varphi} \in L^1(X, \Delta f)$ .

In particular, every  $E$ -polar set is  $(\Delta f)$ -negligible and the measure  $\bar{\varphi} \Delta f$  is well-defined.

In the next lemma we study the measure  $\Delta \Gamma(f)^{\frac{p}{2}}$ . Since  $\Gamma(f)$  is not necessarily continuous, and  $\Phi(x) = x^{\frac{p}{2}}$  is not  $C^2(\mathbb{R})$ , we can not use Lemma 2.3 directly.

**Lemma 3.2.** *Let  $(X, d, \mathbf{m})$  be a metric measure space satisfying Assumptions 1. Let  $f \in \text{TestF}$  such that  $\Gamma(f), \Gamma(f)^{\frac{p}{2}} \in \mathbb{M}_\infty$ ,  $p > 2$ . Then*

$$\frac{1}{p} \Delta \Gamma(f)^{\frac{p}{2}} - \Gamma(f)^{\frac{p}{2}-1} \Gamma(\Delta f, f) d\mathbf{m} \geq K \Gamma(f)^{\frac{p}{2}} d\mathbf{m} \quad (10)$$

if and only if

$$\frac{1}{2} \Gamma(f) \Delta_{ac} \Gamma(f) + \frac{1}{2} \left( \frac{p}{2} - 1 \right) \Gamma(\Gamma(f)) d\mathbf{m} \geq \left( \Gamma(f) \Gamma(\Delta f, f) + K \Gamma(f)^2 \right) d\mathbf{m} \quad (11)$$

and  $\overline{\Gamma(f)} \Delta_{sing} \Gamma(f) \geq 0$  as measures, where  $\Delta_{ac} \Gamma(f)$  is the absolutely continuous part in the measure decomposition  $\Delta \Gamma(f) = \Delta_{ac} \Gamma(f) + \Delta_{sing} \Gamma(f)$  with respect to  $\mathbf{m}$ , and  $\overline{\Gamma(f)}$  is the quasi-continuous representation of  $\Gamma(f)$ .

*Proof.* Since  $p > 2$ , it can be seen that (11) is equivalent to

$$\begin{aligned} & \frac{1}{2}\Gamma(f)^{\frac{p}{2}-1}\Delta_{ac}\Gamma(f) + \frac{1}{2}\left(\frac{p}{2}-1\right)\Gamma(f)^{\frac{p}{2}-2}\Gamma(\Gamma(f))\mathrm{d}\mathbf{m} \\ & \geq \left(\Gamma(f)^{\frac{p}{2}-1}\Gamma(\Delta f, f) + K\Gamma(f)^{\frac{p}{2}}\right)\mathrm{d}\mathbf{m}. \end{aligned} \quad (12)$$

Assume that we have the decomposition of the measure  $\frac{1}{p}\Delta\Gamma(f)^{\frac{p}{2}}$  with respect to  $\mathbf{m}$ :  $\frac{1}{p}\Delta\Gamma(f)^{\frac{p}{2}} = \frac{1}{p}\Delta_{sing}\Gamma(f)^{\frac{p}{2}} + \frac{1}{p}\Delta_{ac}\Gamma(f)^{\frac{p}{2}}$ . From (10) we know the singular part  $\frac{1}{p}\Delta_{sing}\Gamma(f)^{\frac{p}{2}}$  of the measure  $\frac{1}{p}\Delta\Gamma(f)^{\frac{p}{2}}$  is non-negative.

From hypothesis we know  $\Gamma(f), \Gamma(f)^{\frac{p}{2}} \in D(\Delta)$ , by chain rule we know

$$\int \varphi \mathrm{d}\Delta\Gamma(f)^{\frac{p}{2}} = - \int \Gamma(\varphi, \Gamma(f)^{\frac{p}{2}}) \mathrm{d}\mathbf{m} = - \int \frac{p}{2}\Gamma(f)^{\frac{p}{2}-1}\Gamma(\varphi, \Gamma(f)) \mathrm{d}\mathbf{m} \quad (13)$$

for any Lipschitz function  $\varphi$  with bounded support.

Denote by  $\overline{\Gamma(f)}$  the quasi-continuous representation of  $\Gamma(f)$ . From Leibniz rule and chain rule we know  $\varphi(\Gamma(f) + \epsilon)^{\frac{p}{2}-1} \in W^{1,2}$ , for any  $\epsilon > 0$ . According to Definition 3.1 we have

$$\begin{aligned} & - \int \varphi(\overline{\Gamma(f)} + \epsilon)^{\frac{p}{2}-1} \mathrm{d}\Delta\Gamma(f) = \int \Gamma(\varphi(\Gamma(f) + \epsilon)^{\frac{p}{2}-1}, \Gamma(f)) \mathrm{d}\mathbf{m} \\ & = \int \varphi\left(\frac{p}{2}-1\right)(\Gamma(f) + \epsilon)^{\frac{p}{2}-2}\Gamma(\Gamma(f)) \mathrm{d}\mathbf{m} + \int (\Gamma(f) + \epsilon)^{\frac{p}{2}-1}\Gamma(\varphi, \Gamma(f)) \mathrm{d}\mathbf{m}. \end{aligned}$$

Letting  $\epsilon \rightarrow 0$ , by monotone convergence theorem we obtain

$$- \int \varphi\overline{\Gamma(f)}^{\frac{p}{2}-1} \mathrm{d}\Delta\Gamma(f) = \int \left[ \varphi\left(\frac{p}{2}-1\right)\Gamma(f)^{\frac{p}{2}-2}\Gamma(\Gamma(f)) + \Gamma(f)^{\frac{p}{2}-1}\Gamma(\varphi, \Gamma(f)) \right] \mathrm{d}\mathbf{m}. \quad (14)$$

Combining (13) and (14) we have

$$\frac{1}{p}\Delta\Gamma(f)^{\frac{p}{2}} = \frac{1}{2}\overline{\Gamma(f)}^{\frac{p}{2}-1}\Delta\Gamma(f) + \frac{1}{2}\left(\frac{p}{2}-1\right)\Gamma(f)^{\frac{p}{2}-2}\Gamma(\Gamma(f))\mathrm{d}\mathbf{m} \quad (15)$$

as measures. Therefore, we know

$$\begin{aligned} \frac{1}{p}\Delta_{ac}\Gamma(f)^{\frac{p}{2}} & = \frac{1}{2}\overline{\Gamma(f)}^{\frac{p}{2}-1}\Delta_{ac}\Gamma(f) + \frac{1}{2}\left(\frac{p}{2}-1\right)\Gamma(f)^{\frac{p}{2}-2}\Gamma(\Gamma(f))\mathrm{d}\mathbf{m} \\ & = \frac{1}{2}\Gamma(f)^{\frac{p}{2}-1}\Delta_{ac}\Gamma(f) + \frac{1}{2}\left(\frac{p}{2}-1\right)\Gamma(f)^{\frac{p}{2}-2}\Gamma(\Gamma(f))\mathrm{d}\mathbf{m} \end{aligned}$$

and

$$\frac{1}{p}\Delta_{sing}\Gamma(f)^{\frac{p}{2}} = \frac{1}{2}\overline{\Gamma(f)}^{\frac{p}{2}-1}\Delta_{sing}\Gamma(f).$$

In conclusion, we obtain

$$\begin{aligned} & \frac{1}{p}\Delta\Gamma(f)^{\frac{p}{2}} \\ & = \frac{1}{2}\Gamma(f)^{\frac{p}{2}-1}\Delta_{ac}\Gamma(f) + \frac{1}{2}\left(\frac{p}{2}-1\right)\Gamma(f)^{\frac{p}{2}-2}\Gamma(\Gamma(f))\mathrm{d}\mathbf{m} + \frac{1}{2}\overline{\Gamma(f)}^{\frac{p}{2}-1}\Delta_{sing}\Gamma(f). \end{aligned}$$

Hence (10) is equivalent to (12), we prove the lemma.  $\square$

The following lemma will be used in the proof of Theorem 3.5.

**Lemma 3.3.** *Let  $P(r) : [0, \infty) \mapsto [-\frac{1}{4}, \infty)$  be a function defined as*

$$P(r) = r - \frac{1}{4(r+1)},$$

*and  $a_0 \geq 0$  be an arbitrary initial datum, we define  $(a_n)_{n \in \mathbb{N}}$  recursively by the formula*

$$a_{n+1} = P(a_n).$$

*Then there exists an integer  $N_0$  such that  $0 \leq a_{N_0} < 1$  and  $-\frac{1}{4} \leq a_{N_0+1} < 0$ .*

*Conversely, for any  $a \in [0, 1)$  and  $b > a$ , there exists a sequence  $a_0, \dots, a_{N_0}$  defined by the recursive function  $P$  such that  $a_0 > b$  and  $a_{N_0} = a$ .*

*Proof.* It can be seen that  $a_{n+1} < a_n$ . If  $a_0 \geq 0$ , by monotonicity we know  $a_n - a_{n+1} \in [\frac{1}{4(a_0+1)}, \frac{1}{4}]$  for any  $n \in \mathbb{N}$ . So there exists a unique  $N_0$  such that  $0 \leq a_{N_0} < 1$  and  $-\frac{1}{4} \leq a_{N_0+1} < 0$ . Conversely, since  $P(r)$  is strictly monotone on  $[0, \infty)$ , we know  $P^{-1}(r) : [-\frac{1}{4}, \infty) \mapsto [0, \infty)$  is well defined. And  $(P^{-1})^{(n+1)}(a) - (P^{-1})^{(n)}(a) \in [\frac{1}{4((P^{-1})^{(n+1)}(a)+1)}, \frac{1}{4}]$  for any  $n \in \mathbb{N}$ . Thus there exists  $N \in \mathbb{N}$  such that  $(P^{-1})^{(N_0)}(a) \geq b$ . Finally, we can pick  $a_0 = (P^{-1})^{(N_0)}(a)$ , so that  $a_{N_0} = (P)^{(N_0)}(a_0) = a$  fulfils our request.  $\square$

As we mentioned in the Introduction, the space of test functions is dense in  $W^{1,2}(X, d, \mathbf{m})$  when  $L^p$ -gradient estimate for heat flow holds.

**Lemma 3.4** (Density of test functions in  $W^{1,2}(X, d, \mathbf{m})$ , Remark 2.5 [5]). *Let  $(X, d, \mathbf{m})$  be a metric measure space satisfying Assumption 1. Assume that for any  $f \in W^{1,2} \cap \text{Lip} \cap L^\infty(X, d, \mathbf{m})$  we have the  $L^p$ -gradient estimate*

$$|\text{DH}_t f|^p \leq e^{-pKt} \text{H}_t |Df|^p \mathbf{m} - a.e. \quad (16)$$

*for some  $p \in [1, \infty)$ . Then the space of test functions  $\text{TestF}(X, d, \mathbf{m})$  is dense in  $W^{1,2}$ .*

*Proof.* As we discussed in the preliminary section, the space

$$\mathbb{V}^1 := \left\{ \varphi \in W^{1,2} : \Gamma(\varphi) \in L^\infty(X, \mathbf{m}) \right\}$$

is dense in  $W^{1,2}$ . We also know that the

$$\mathbb{V}_\infty^1 := \left\{ \varphi \in W^{1,2} \cap L^\infty : \Gamma(\varphi) \in L^\infty(X, \mathbf{m}) \right\}$$

is dense in  $L^2$ , and  $\mathbb{V}_\infty^1$  is invariant under the action  $(\text{H}_t)_t$  by (16) and Sobolev-to-Lipschitz property. Hence by an approximation argument (see e.g. Lemma 4.9 in [4]), we know  $\mathbb{V}_\infty^1$  is dense in  $W^{1,2}$ . Similarly, by a semigroup mollification (see e.g. page 351, [5]) we can prove that

$$\mathbb{V}_\infty^2 := \left\{ \varphi \in \mathbb{V}_\infty^1 : \Delta \varphi \in W^{1,2} \cap L^\infty(X, \mathbf{m}) \right\}$$

is dense in  $W^{1,2}$ .  $\square$

We now introduce the following technical assumption, which is important in our proof. It can be proved that Riemannian manifolds and  $\text{RCD}(K, \infty)$  spaces satisfy this assumption.



**Assumption 2** (Existence of good algebra). *We assume the existence of a dense subspace  $\mathcal{A}$  in  $\text{TestF}(X, d, \mathbf{m})$  with respect to the graph norm*

$$f \mapsto \left[ \|(-\Delta)^{\frac{3}{2}} f\|_{L^2}^2 + \|f\|_{W^{1,2}}^2 \right]^{\frac{1}{2}} = \left[ \|\Gamma(\Delta f)\|_{L^2}^2 + \|f\|_{W^{1,2}}^2 \right]^{\frac{1}{2}}$$

such that  $\Gamma(f) \in \mathbb{M}_\infty$  for any  $f \in \mathcal{A}$ .

It can be seen that  $\mathcal{A}$  is an algebra (i.e.  $\mathcal{A}$  is closed w.r.t. pointwise multiplication), if it is non-trivial. In particular, by Lemma 3.4 we know that  $\mathcal{A}$  is dense in  $W^{1,2}$  if  $L^p$  gradient estimate holds.

**Theorem 3.5** (Improved Bakry-Émery theory). *Let  $(X, d, \mathbf{m})$  be a metric measure space satisfying Assumption 1 and Assumption 2. If for any  $f \in W^{1,2} \cap \text{Lip} \cap L^\infty(X, d, \mathbf{m})$  we have the gradient estimate*

$$|\text{DH}_t f|^p \leq e^{-pKt} \mathbf{H}_t |Df|^p, \quad \mathbf{m} - a.e. \quad (17)$$

for some  $p \in [1, \infty)$ . Then  $(X, d, \mathbf{m})$  is a  $\text{RCD}(K, \infty)$  space.

*Proof.* If  $p \leq 2$ , by the result in [5] we know  $(X, d, \mathbf{m})$  is a  $\text{RCD}(K, \infty)$ . Now we assume  $p > 2$ .

**Part 1.** Firstly, we prove

$$\Gamma(f) \Delta_{ac} \Gamma(f) + \epsilon \Gamma(\Gamma(f)) \geq \Gamma(f) \Gamma(\Delta f, f) + K \Gamma(f)^2, \quad (18)$$

and  $\overline{\Gamma(f)} \Delta_{sing} \Gamma(f) \geq 0$ , for any  $f \in \mathcal{A}$  and  $\epsilon > 0$ .

For any  $f \in \mathcal{A}$ ,  $\varphi \in \text{TestF}(X, d, \mathbf{m})$ ,  $\varphi \geq 0$  and  $t > 0$ , we define  $F : [0, t] \mapsto \mathbb{R}$  by

$$F(s) = \int e^{-pKs} \mathbf{H}_s \varphi \Gamma(\mathbf{H}_{t-s} f)^{\frac{p}{2}}.$$

It can be seen that  $F$  is a  $C^1$  function (see Lemma 2.1, [5]). From (17) we know  $F(s) \leq F(t)$  holds for any  $s \in [0, t]$ . Hence  $F'(s)|_{s=t} \geq 0$ , and so

$$\begin{aligned} & \int e^{-pKs} \Delta \mathbf{H}_s \varphi \Gamma(\mathbf{H}_{t-s} f)^{\frac{p}{2}}|_{s=t} - p \int e^{-pKs} \mathbf{H}_s \varphi \Gamma(\mathbf{H}_{t-s} f)^{\frac{p}{2}-1} \Gamma(\Delta \mathbf{H}_{t-s} f, \mathbf{H}_{t-s} f)|_{s=t} \\ & \geq pK \int e^{-pKs} \mathbf{H}_s \varphi \Gamma(\mathbf{H}_{t-s} f)^{\frac{p}{2}}|_{s=t}. \end{aligned}$$

Letting  $t \rightarrow 0$  we obtain

$$\int \Delta \varphi \Gamma(f)^{\frac{p}{2}} - p \int \varphi \Gamma(f)^{\frac{p}{2}-1} \Gamma(\Delta f, f) \geq pK \int \varphi \Gamma(f)^{\frac{p}{2}}.$$

In particular, from Lemma 2.6 and Lemma 3.2 in [15] we know  $\Gamma(f)^{\frac{p}{2}} \in \text{D}(\Delta)$  and

$$\frac{1}{p} \Delta \Gamma(f)^{\frac{p}{2}} - \Gamma(f)^{\frac{p}{2}-1} \Gamma(\Delta f, f) \mathbf{d}\mathbf{m} \geq K \Gamma(f)^{\frac{p}{2}} \mathbf{d}\mathbf{m}. \quad (19)$$

By Lemma 3.2, we get that

$$\frac{1}{2} \Gamma(f) \Delta_{ac} \Gamma(f) + \left(\frac{p}{4} - \frac{1}{2}\right) \Gamma(\Gamma(f)) \geq \Gamma(f) \Gamma(\Delta f, f) + K \Gamma(f)^2 \quad (20)$$

holds  $\mathbf{m}$ -a.e., and  $\overline{\Gamma(f)} \Delta_{sing} \Gamma(f) \geq 0$ .

From now on, all the inequalities are considered in  $\mathbf{m}$ -a.e. sense. We denote  $\frac{1}{2} \Delta_{ac} \Gamma(f) - \Gamma(\Delta f, f)$  by  $\Gamma_{2,K}(f)$ , and  $\frac{1}{2} \Delta_{ac} \Gamma(f) - \Gamma(\Delta f, f) - K \Gamma(f)$  by  $\Gamma_{2,K}(f)$ , then (20) becomes

$$\Gamma_{2,K}(f) \Gamma(f) + \left(\frac{p}{4} - \frac{1}{2}\right) \Gamma(\Gamma(f)) \geq 0.$$

For any real number  $r \geq 0$ , we say that the property  $B(r)$  holds if

$$\Gamma_{2,K,r}(f) := \Gamma_{2,K}(f)\Gamma(f) + r\Gamma(\Gamma(f)) \geq 0$$

for any  $f \in \text{TestF}$ . For example, (20) means  $B(\frac{r}{4} - \frac{1}{2})$ .

Now we define

$$P(r) = r - \frac{1}{4(r+1)}.$$

Then we will prove that  $B(r)$  implies  $B(P(r))$ . We choose the smooth function  $\Phi : \mathbb{R}^3 \mapsto \mathbb{R}$  defined by

$$\Phi(\mathbf{f}) := \lambda f_1 + (f_2 - a)(f_3 - b) - ab, \quad a, b, \lambda \in \mathbb{R}.$$

Then we know

$$\begin{aligned} \Phi_{23}(\mathbf{f}) &= \Phi_{32} = a, \quad \Phi_{ij}(\mathbf{f}) = 0, \quad \text{if } (i, j) \notin \{(2, 3), (3, 2)\} \\ \Phi_1(\mathbf{f}) &= \lambda, \quad \Phi_2(\mathbf{f}) = f_3 - b, \quad \Phi_3(\mathbf{f}) = f_2 - a. \end{aligned}$$

If  $\mathbf{f} := (f, g, h) \in \mathcal{A}^3$ , we know  $\Phi(\mathbf{f}) \in \mathcal{A}$  by Lemma 2.2. Hence we know

$$\Gamma_{2,K}(\Phi(\mathbf{f}))\Gamma(\Phi(\mathbf{f})) + r\Gamma(\Gamma(\Phi(\mathbf{f}))) \geq 0. \quad (21)$$

By direct computation using Lemma 2.3 (see also Theorem 3.4, [15]), we have

$$\begin{aligned} \Gamma(\Phi(\mathbf{f})) &= g^{ij}\Phi_i\Phi_j(\mathbf{f}) \\ &= \lambda^2\Gamma(f) + (g - a)A_1 + (h - b)B_1 \end{aligned}$$

where  $g^{ij} = \Gamma(f_i, f_j)$ ,  $A_1, A_2$  are some additional terms.

Similarly, we have

$$\begin{aligned} &\Gamma(\Gamma(\Phi(\mathbf{f}))) \\ &= \Gamma(g^{ij}\Phi_i\Phi_j(\mathbf{f})) \\ &= (g^{ij})^2\Gamma(\Phi_i\Phi_j) + (\Phi_i\Phi_j)^2\Gamma(g^{ij}) + 2g^{ij}\Phi_i\Phi_j\Gamma(g^{ij}, \Phi_i\Phi_j) \\ &= (g^{ij})^2 \left[ \Phi_i^2\Gamma(\Phi_j) + \Phi_j^2\Gamma(\Phi_i) + 2\Phi_i\Phi_j\Gamma(\Phi_i, \Phi_j) \right] \\ &\quad + (\Phi_i\Phi_j)^2\Gamma(g^{ij}) + 2g^{ij}\Phi_i\Phi_j\Gamma(g^{ij}, \Phi_i\Phi_j) \\ &= 2(g^{12})^2\lambda^2\Gamma(h) + 2(g^{13})^2\lambda^2\Gamma(g) + \lambda^4\Gamma(g^{11}) + (g - a)A_2 + (h - b)B_2 \\ &= 2\Gamma(f, g)^2\lambda^2\Gamma(h) + 2\Gamma(f, h)^2\lambda^2\Gamma(g) + \lambda^4\Gamma(\Gamma(f)) + (g - a)A_2 + (h - b)B_2. \end{aligned}$$

We also know (see Theorem 3.4, [15] or Lemma 3.3.7, [10]) that

$$\begin{aligned} \Gamma_2(\mathbf{f}) - K\Gamma(\Phi(\mathbf{f})) &= \lambda^2\Gamma_2(f) + 4\lambda\text{Hess}[f](g, h) + 2\left(\Gamma(g)\Gamma(h) + \Gamma(g, h)^2\right) \\ &\quad + (g - a)A_3 + (h - b)B_3 - K\lambda^2\Gamma(f). \end{aligned}$$

Combining the computations above, (21) becomes an inequality with parameters  $a, b, \lambda$ . By locality of weak gradients and density of simple functions, we can replace  $b$  by  $h$  and replace  $a$  by  $g$  (similar arguments are used in Theorem 3.4 [15] and Lemma 3.3.7 [10]). Then we obtain the following inequality from (21)

$$\begin{aligned} &\lambda^2\Gamma(f) \left[ \lambda^2\Gamma_2(f) + 4\lambda\text{Hess}[f](g, h) + 2\left(\Gamma(g)\Gamma(h) + \Gamma(g, h)^2\right) - K\lambda^2\Gamma(f) \right] \\ &+ r \left[ 2\Gamma(f, g)^2\lambda^2\Gamma(h) + 2\Gamma(f, h)^2\lambda^2\Gamma(g) + \lambda^4\Gamma(\Gamma(f)) \right] \\ &\geq 0. \end{aligned}$$

Since  $r \geq 0$  and

$$\Gamma(g)\Gamma(h) \geq \Gamma(g, h)^2,$$

we know

$$\begin{aligned} & \Gamma(f) [\lambda^2 \Gamma_2(f) + 4\lambda \text{Hess}[f](g, h) + 4(\Gamma(g)\Gamma(h)) - K\lambda^2 \Gamma(f)] \\ & + r [4\Gamma(f)\Gamma(g)\Gamma(h) + \lambda^2 \Gamma(\Gamma(f))] \\ & \geq 0. \end{aligned}$$

Then we have

$$(\Gamma_2(f)\Gamma(f) + r\Gamma(\Gamma(f)) - K\Gamma(f)^2)\lambda^2 + 4\lambda\Gamma(f)\text{Hess}[f](g, h) + 4(r+1)\Gamma(f)\Gamma(g)\Gamma(h) \geq 0.$$

Applying Lemma 2.4 we obtain

$$(1+r)\Gamma_{2,K,r}\Gamma(f)\Gamma(g)\Gamma(h) \geq \Gamma(f)^2 \text{Hess}[f](g, h).$$

Since  $B(r)$  means  $\Gamma_{2,K,r} \geq 0$ , this inequality is equivalent to

$$(1+r)\Gamma_{2,K,r}(f)\Gamma(g)\Gamma(h) \geq \Gamma(f)\text{Hess}[f](g, h). \quad (22)$$

Recall that  $2\text{Hess}[f](g, h) = \Gamma(g, \Gamma(f, h)) + \Gamma(h, \Gamma(f, g)) - \Gamma(f, \Gamma(g, h))$ , we know

$$\text{Hess}[f](g, h) + \text{Hess}[g](f, h) = \Gamma(\Gamma(f, g), h).$$

Combining with inequality (22) we have

$$\begin{aligned} \sqrt{\frac{1}{1+r}}\Gamma(\Gamma(f, g), h)\sqrt{\Gamma(f)} & \leq \sqrt{\Gamma_{2,K,r}(f)\Gamma(g)\Gamma(h)} + \sqrt{\Gamma_{2,K,r}(g)\Gamma(f)\Gamma(h)} \\ & = \left(\sqrt{\Gamma_{2,K,r}(f)\Gamma(g)} + \sqrt{\Gamma_{2,K,r}(g)\Gamma(f)}\right)\sqrt{\Gamma(h)}. \end{aligned}$$

Then we fix  $f, g \in \mathcal{A}$ , and approximate any  $h \in W^{1,2} \cap L^\infty$  with a sequence  $(h_n) \subset \mathcal{A}$  converging to  $h$  strongly in  $W^{1,2}$  such that

$$\Gamma(h_n) \rightarrow \Gamma(h), \quad \Gamma(h_n, \Gamma(f, g)) \rightarrow \Gamma(h, \Gamma(f, g))$$

pointwise and in  $L^1(X, \mathfrak{m})$ . Thus we can replace  $h$  by  $\Gamma(f, g)$  in the last inequality and obtain

$$\sqrt{\frac{1}{1+r}}\sqrt{\Gamma(\Gamma(f, g))\Gamma(f)} = \left(\sqrt{\Gamma_{2,K,r}(f)\Gamma(g)} + \sqrt{\Gamma_{2,K,r}(g)\Gamma(f)}\right). \quad (23)$$

Let  $g = f$  in (23), we obtain

$$\frac{1}{1+r}\Gamma(\Gamma(f))\Gamma(f) \leq 4\Gamma_{2,K,r}(f)\Gamma(f).$$

Therefore,

$$\left(\frac{1}{4}\frac{1}{1+r} - r\right)\Gamma(\Gamma(f))\Gamma(f) \leq \Gamma_{2,K}(f)\Gamma(f).$$

In other words, we have  $B(P(r))$ .

From Lemma 3.3 we know there exists  $a_0 \geq \frac{p}{4} - \frac{1}{2}$  and  $N_0 \in \mathbb{N}$  such that  $a_{N_0} = \epsilon$ , where  $a_{n+1} = P(a_n)$ ,  $n = 0, \dots, N_0 - 1$ . Then we know  $B(a_0)$  from (20). From the result above, we can see that  $B(a_{N_0})$  holds by induction. So we prove (18).

**Part 2.** From (18) and Lemma 3.2 we know

$$\frac{1}{p_n}\Delta\Gamma(f)^{\frac{p_n}{2}} - \Gamma(f)^{\frac{p_n}{2}-1}\Gamma(\Delta f, f) \mathfrak{m} \geq K\Gamma(f)^{\frac{p_n}{2}} \mathfrak{m} \quad (24)$$

for any  $p_n = 2 + \frac{1}{2^n}$ ,  $n \in \mathbb{N}$ .

Let  $f \in \mathcal{A}$ ,  $\varphi \in \text{TestF}$  and  $\varphi \geq 0$ . From (24) we know

$$\int \frac{1}{p_n}\Delta\varphi\Gamma(f)^{\frac{p_n}{2}} \, \text{d}\mathfrak{m} - \int \varphi\Gamma(f)^{\frac{p_n}{2}-1}\Gamma(\Delta f, f) \, \text{d}\mathfrak{m} \geq K \int \varphi\Gamma(f)^{\frac{p_n}{2}} \, \text{d}\mathfrak{m}.$$

Letting  $n \rightarrow \infty$ , by dominated convergence theorem and monotone convergence theorem we know

$$\frac{1}{2} \int \Delta \varphi \Gamma(f) \, d\mathbf{m} - \int \varphi \Gamma(\Delta f, f) \, d\mathbf{m} \geq K \int \varphi \Gamma(f) \, d\mathbf{m}. \quad (25)$$

Combining with the density of  $\mathcal{A}$  in  $\text{TestF}$ , we know (25) holds for all  $f \in \text{TestF}$ . Finally, by Theorem 4.17 [5] we know that  $(X, d, \mathbf{m})$  is a  $\text{RCD}(K, \infty)$  space.  $\square$

As a corollary, we have the following proposition. We recall (see [10]) that the measure-valued Ricci tensor on RCD metric measure space is defined as

$$\mathbf{Ricci}(\nabla f, \nabla f) := \Gamma_2(f) - |\text{Hess}[f]|_{\text{HS}}^2 \mathbf{m},$$

where  $\Gamma_2(f) := \frac{1}{2} \Delta \Gamma(f) - \Gamma(f, \Delta f) \mathbf{m}$  and  $|\text{Hess}[f]|_{\text{HS}}$  is the minimal  $L^2$  function  $G$  such that  $|\sum_{i,j} \text{Hess}[f](g_i, h_j)| \leq G \sqrt{\sum_{i,j} \Gamma^2(g_i, h_j)}$  for any  $(g_i), (h_j) \subset \text{TestF}$  (see [10] and [15] for details). It is proved that  $\mathbf{Ricci}$  is well defined for any  $f \in \text{TestF}(X, d, \mathbf{m})$  when  $(X, d, \mathbf{m})$  is RCD.

**Proposition 3.** *Let  $(X, d, \mathbf{m})$  be a RCD space. Then the following characterizations are equivalent.*

- 1)  $(X, d, \mathbf{m})$  is  $\text{RCD}(K, \infty)$ ,
- 2) for any test function  $f \in \text{TestF}$  we have  $\mathbf{Ricci}(\nabla f, \nabla f) \geq K|Df|^2 \mathbf{m}$  in the sense that

$$\text{Ricci}_{ac}(\nabla f, \nabla f) \geq K|Df|^2 \mathbf{m} - a.e.$$

and  $\mathbf{Ricci}_{sing}(\nabla f, \nabla f) \geq 0$ .

- 3) for any test function  $f \in \text{TestF}$  we have

$$|Df|^2 \text{Ricci}_{ac}(\nabla f, \nabla f) \geq K|Df|^4 \mathbf{m} - a.e.$$

and  $\mathbf{Ricci}_{sing}(\nabla f, \nabla f) \geq 0$ .

*Proof.* 1)  $\Rightarrow$  2) is Lemma 3.6.2 [10], 2)  $\Rightarrow$  3) is trivial. So we just need to prove 3)  $\Rightarrow$  1).

From 3) we know  $\Gamma_{2,K,0}(f) \geq 0$ ,  $\mathbf{m}$ -a.e. for any  $f \in \text{TestF}$ . Therefore  $\Gamma_{2,K,r}(f) \geq 0$  for any  $r > 0$ . Using the same argument as in the proof of Theorem 3.5, we know  $(X, d, \mathbf{m})$  is  $\text{RCD}(K, \infty)$ .  $\square$

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