

# MINIMISING MOVEMENTS FOR THE MOTION OF DISCRETE SCREW DISLOCATIONS ALONG GLIDE DIRECTIONS

R. ALICANDRO, L. DE LUCA, A. GARRONI, AND M. PONSIGLIONE

ABSTRACT. In [3] a simple discrete scheme for the motion of screw dislocations toward low energy configurations has been proposed. There, a formal limit of such a scheme, as the lattice spacing and the time step tend to zero, has been described. The limiting dynamics agrees with the maximal dissipation criterion introduced in [8] and predicts motion along the glide directions of the crystal.

In this paper, we provide rigorous proofs of the results in [3], and in particular of the passage from the discrete to the continuous dynamics. The proofs are based on  $\Gamma$ -convergence techniques.

## INTRODUCTION

This paper deals with variational models describing the motion of straight screw dislocations toward low energy configurations [2, 3, 6, 7, 12]. Here we provide rigorous justifications to the results announced, and described in a more mechanical language in the companion paper [3].

In a previous paper [2], we have considered a discrete anti-plane model for elasticity in a cubic lattice, governed by periodic nearest neighbors interactions. In view of the anti-plane assumption, all the relevant quantities are defined in a cross section of the crystal, i.e., on a square lattice. Following the formalism in [4], we have introduced screw dislocations in the model, as point topological singularities of the discrete displacement field. First, we have analysed by means of a  $\Gamma$ -convergence expansion the elastic energy induced by dislocations, as the lattice spacing  $\varepsilon$  tends to zero, showing that the energy concentrates on points which interact through the so-called renormalised energy. Then, we have proposed and analysed an implicit Euler scheme for the gradient flow of the energy induced by discrete dislocations. This consists in introducing a time step  $\tau$  and considering a step by step minimisation of a total energy, given by the sum of the elastic energy induced by a distribution of dislocations, and a dissipation energy spent to move the singularities. We have proved that the minimising movements scheme allows dislocations to overcome the energy barriers due to the discrete structure. Indeed, in the limit as first  $\varepsilon$  and then  $\tau$  tend to zero, the dynamics is driven by the gradient flow of the renormalised energy (in perfect analogy with the theory of dynamics of vortices in superconductivity [5, 13]).

In [3] we have extended the discrete model studied in [2] to several crystal structures relevant for applications and we have enriched the scheme for the motion of screw dislocations by considering new anisotropic rate dependent dissipations, related to the specific crystalline structures. We have shown, in the formal limit as  $\varepsilon, \tau \rightarrow 0$ , that the proposed scheme is able to predict motion of dislocations along the glide directions of the crystal, according with the *maximal energy dissipation*

*criterion* postulated in [8]. The latter asserts that the dislocations move along the glide directions that maximise the scalar product with the Peach K ohler force  $j$  which, in turn, is given by  $-\nabla W$ , being  $W$  the renormalised energy. According to this criterion the velocity field might be not uniquely determined and the formulation needs to be relaxed. The effective dynamics is then described by a differential inclusion rather than a differential equation (as analysed in [6]).

In this paper, starting from a microscopic fundamental description, we give a rigorous derivation of this effective dynamics, highlighting its gradient flow structure and providing an approximation scheme and a selection principle for the dynamics proposed in [8]. Our results are based on the fact that the  $\Gamma$ -convergence expansion developed in [2] holds true for several crystalline structures and different types of interactions (see [9]), so that the discrete elastic energy induced by the dislocations can be asymptotically decomposed into the sum of a self energy, concentrated around each dislocation, and of the renormalised energy, governing the interactions of the limiting singularities. We start our analysis assuming that the discrete model under consideration exhibits this behavior (see Section 2). A key argument is an improved lower bound for the discrete energy which accounts for the formation of dipoles which cluster at points that do not appear in the limiting distribution of singularities (see Proposition 2.4). This lower bound guarantees that the crystalline rate dependent dissipation considered in the discrete gradient flow, which in general is not continuous with respect to the flat convergence (see Example 3.1), is instead continuous on the proposed discrete dynamics for well prepared initial conditions. We provide a concise and almost self contained presentation, giving references whenever it is needed, while for further modeling motivations and examples we refer the reader to [3].

## 1. THE DISCRETE MODEL

In this section we introduce the discrete formalism that will be used in the paper (see [4, 3, 2, 9]).

**1.1. The discrete lattice.** We recall that a *Bravais lattice*  $\Lambda_B$  in  $\mathbb{R}^2$  is a discrete set of points in  $\mathbb{R}^2$  generated by two given linearly independent vectors  $v_1, v_2$ , i.e.,

$$\Lambda_B := \{z_1 v_1 + z_2 v_2, z_1, z_2 \in \mathbb{Z}\}.$$

A *complex lattice*  $\Lambda_C$  in  $\mathbb{R}^2$  is the union of a finite number of translations of a given Bravais lattice  $\Lambda_B$ , i.e.,  $\Lambda_C$  is of the form

$$\Lambda_C := \bigcup_{k=1}^M (\Lambda_B + \tau_k),$$

where  $\tau_1, \dots, \tau_M$  are  $M$  given translation vectors in  $\mathbb{R}^2$ . Clearly a Bravais lattice is a particular case of complex lattice (corresponding to  $M = 1, \tau_1 = 0$ ). In the sequel, we will denote by  $\Lambda$  any complex (and in particular Bravais) lattice in  $\mathbb{R}^2$ .

**1.2. The reference configuration.** Let  $\Lambda$  be a complex lattice in  $\mathbb{R}^2$  and let  $\mathcal{T}$  be a periodic triangulation of  $\Lambda$ , in the sense that if  $T \in \mathcal{T}$ , then  $v_1 + T, v_2 + T \in \mathcal{T}$ , where  $v_1$  and  $v_2$  are the generators of the Bravais lattice associated to  $\Lambda$ . Such a triangulation always exists. For instance, one can consider the Delaunay tessellation of  $\mathbb{R}^2$  associated to  $\Lambda$ , which is  $v_1$  and  $v_2$  periodic, and then construct a periodic Delaunay triangulation, starting from a triangulation of a primary domain.

We remark that the triangulation  $\mathcal{T}$  is not uniquely determined; nevertheless, our analysis will not be affected by the specific choice of  $\mathcal{T}$ . From now on, we assume that the triangles  $T$  in  $\mathcal{T}$  are closed.

Let  $\Omega \subset \mathbb{R}^2$  be an open bounded set with Lipschitz continuous boundary. For every  $\varepsilon > 0$ , we denote by  $\varepsilon\mathcal{T}$  the family of triangles  $\varepsilon T$  with  $T \in \mathcal{T}$ , we define the set of the  $\varepsilon$ -triangular cells in  $\Omega$  as

$$\Omega_{\varepsilon,\Lambda}^2 := \{T \in \varepsilon\mathcal{T} : T \subset \bar{\Omega}\}$$

and we set  $\Omega_{\varepsilon,\Lambda} := \cup_{T \in \Omega_{\varepsilon,\Lambda}^2} T$ . Moreover, we set  $\Omega_{\varepsilon,\Lambda}^0 := \Omega_{\varepsilon,\Lambda} \cap \varepsilon\Lambda$  and

$$\Omega_{\varepsilon,\Lambda}^1 := \{(i, j) \in \Omega_{\varepsilon,\Lambda}^0 \times \Omega_{\varepsilon,\Lambda}^0 : i, j \in T \text{ for some } T \in \Omega_{\varepsilon,\Lambda}^2\}.$$

Notice that if  $\Lambda$  is the equilateral triangular lattice, then  $\Omega_{\varepsilon,\Lambda}^1$  is nothing but the class of nearest neighbors in  $\Omega_{\varepsilon,\Lambda}^0$ .

In the following we will extend the use of such notations to any given subset  $A$  of  $\mathbb{R}^2$ .

**1.3. Discrete functions and discrete topological singularities.** We denote the class of scalar functions on  $\Omega_{\varepsilon,\Lambda}^0$  by

$$\mathcal{AF}_{\varepsilon,\Lambda}(\Omega) := \{u : \Omega_{\varepsilon,\Lambda}^0 \rightarrow \mathbb{R}\}.$$

In order to introduce the notion of discrete topological singularity, we associate to any bond  $(i, j) \in \Omega_{\varepsilon,\Lambda}^1$  an arbitrarily oriented vector  $\ell_{i,j} = \ell_{j,i}$  which coincides either with  $j - i$  or with  $i - j$ .

Let  $P : \mathbb{R} \rightarrow \mathbb{Z}$  be defined as follows

$$P(t) = \operatorname{argmin} \{|t - s| : s \in \mathbb{Z}\},$$

with the convention that, if the argmin is not unique, then  $P(t)$  is the smallest one. Let  $u \in \mathcal{AF}_{\varepsilon,\Lambda}(\Omega)$  be fixed. The discrete plastic strain  $\beta_u^{\text{pl}}$  associated to  $u$  is defined on the oriented bonds of the triangulation by  $\beta_u^{\text{pl}}(\ell_{m,n}) = P(u(n) - u(m))$  if  $\ell_{m,n} = n - m$  and  $\beta_u^{\text{pl}}(\ell_{m,n}) = P(u(m) - u(n))$  if  $\ell_{m,n} = m - n$ . Given  $T \in \Omega_{\varepsilon,\Lambda}^2$  and given a triple  $(i, j, k)$  of vertices of  $T$  defining a counter-clockwise orientation of  $T$ , we introduce the discrete circulation of  $u$  around  $T$  as

$$(1.1) \quad \alpha_u(T) := \ell_{i,j} \cdot \frac{j-i}{|j-i|^2} \beta_u^{\text{pl}}(\ell_{i,j}) + \ell_{j,k} \cdot \frac{k-j}{|k-j|^2} \beta_u^{\text{pl}}(\ell_{j,k}) + \ell_{k,i} \cdot \frac{i-k}{|i-k|^2} \beta_u^{\text{pl}}(\ell_{k,i}).$$

Notice that the sign in front of any contribution  $\beta_u^{\text{pl}}(\ell_{i,j})$  depends on the relative orientation of the bond  $\ell_{i,j}$  and the counter-clockwise orientation of the triangle  $T$ . This ensures that, whenever we sum the circulation around two adjacent triangles, then the contribution on the common bond cancels.

One can easily check that  $\alpha_u$  takes values in the set  $\{-1, 0, 1\}$ . The values  $+1$  and  $-1$  for  $\alpha_u$  correspond to the presence of a dislocation in the triangle  $T$ . Finally, we define the discrete dislocation measure  $\mu(u)$  as follows

$$\mu(u) := \sum_{T \in \Omega_{\varepsilon,\Lambda}^2} \alpha_u(T) \delta_{b(T)},$$

where  $b(T)$  is the barycenter of the triangle  $T$ . By its very definition, for every subset  $A$  of  $\Omega$  which is union of  $\varepsilon$ -triangles in  $\varepsilon\mathcal{T}$  we have that  $\mu(u)(A)$  depends only on the values of  $u$  on  $\partial A \cap \varepsilon\Lambda$ .

We remark that other variants of the given notion of discrete circulation could be adopted. For instance, if  $\Lambda$  is a Bravais lattice, one could define the function  $\alpha_u$

on primitive cells instead of triangles (as done in [2, 9]), and the analysis developed in this paper would apply with minor notational changes.

Let  $\mathcal{M}(\Omega)$  be the space of Radon measures in  $\Omega$ . We set

$$X(\Omega) := \left\{ \mu \in \mathcal{M}(\Omega) : \mu = \sum_{i=1}^N d_i \delta_{x_i}, N \in \mathbb{N}, d_i = \pm 1, x_i \in \Omega, x_i \neq x_j \text{ if } i \neq j \right\},$$

$$X_{\varepsilon, \Lambda}(\Omega) := \left\{ \mu \in X(\Omega) : \mu = \sum_{T \in \Omega_{\varepsilon, \Lambda}^2} d(T) \delta_{b(T)}, d(T) \in \{-1, 0, 1\} \right\}.$$

We denote by  $W^{-1,1}(\Omega)$  the dual of  $W_0^{1,\infty}(\Omega)$ , by  $\|\cdot\|_{\text{flat}}$  the dual norm in  $W^{-1,1}(\Omega)$ , referred to as *flat norm*, and by  $\mu_n \xrightarrow{\text{flat}} \mu$  the flat convergence of  $\mu_n$  to  $\mu$ .

**1.4. The energy functionals.** Here we introduce a class of energy functionals defined on  $\mathcal{AF}_{\varepsilon, \Lambda}(\Omega)$ . Let  $\{f_{\bar{j}-\bar{i}}\}_{(\bar{i}, \bar{j}) \in (\mathbb{R}^2)_{1, \Lambda}^1}$  be a family of non-negative, continuous, 1-periodic interaction potentials vanishing on  $\mathbb{Z}$ , such that

$$f_{\bar{j}-\bar{i}}(t) = c_{\bar{j}-\bar{i}} t^2 + o(t^2)$$

for some constants  $c_{\bar{j}-\bar{i}} \geq 0$ , for any  $(\bar{i}, \bar{j}) \in (\mathbb{R}^2)_{1, \Lambda}^1$ . A prototypical example of these potentials is given by the functions

$$(1.2) \quad f_{\bar{j}-\bar{i}}(t) = c_{\bar{j}-\bar{i}} \text{dist}^2(t, \mathbb{Z}).$$

We refer to [3] for a formal derivation of energy potentials of the type (1.2) from a discrete anti-plane elasticity model.

Throughout the paper we will assume the following *coercivity condition*: for each triangle  $T \in \mathcal{T}$  there are at least two distinct bonds for which the interaction potential vanishes only on  $\mathbb{Z}$ . Precisely, for every  $T \in \mathcal{T}$ , denoting by  $\bar{i}_1, \bar{i}_2, \bar{i}_3$  its vertices, there exists a permutation  $(k_1, k_2, k_3)$  of  $(1, 2, 3)$  such that

$$(1.3) \quad f_{\bar{i}_{k_1}-\bar{i}_{k_2}}(t) > 0 \text{ and } f_{\bar{i}_{k_1}-\bar{i}_{k_3}}(t) > 0 \quad \forall t \notin \mathbb{Z}.$$

For every  $\varepsilon > 0$ , the energy functionals  $F_{\varepsilon, \Lambda} : \mathcal{AF}_{\varepsilon, \Lambda}(\Omega) \rightarrow \mathbb{R}$  are defined by

$$F_{\varepsilon, \Lambda}(u) = \sum_{(i, j) \in \Omega_{\varepsilon, \Lambda}^1} f_{\frac{j-i}{\varepsilon}}(u(j) - u(i)).$$

Given  $T \in \Omega_{\varepsilon, \Lambda}^2$  we denote by  $F_{\varepsilon, \Lambda}(u, T)$  the energy accounting for the interactions between the vertices of the  $\varepsilon$ -triangle  $T$ . Precisely, denoting by  $i_1, i_2, i_3$  the vertices of  $T$ ,

$$F_{\varepsilon, \Lambda}(u, T) = \sum_{k, l=1, 2, 3} f_{\frac{i_l - i_k}{\varepsilon}}(u(i_l) - u(i_k)).$$

As a straightforward consequence of the coercivity assumption we get the following lemma.

**Lemma 1.1.** *There exists a constant  $c_0 > 0$  such that for any  $u \in \mathcal{AF}_{\varepsilon, \Lambda}(\Omega)$  and for any  $T \in \Omega_{\varepsilon, \Lambda}^2$*

$$F_{\varepsilon, \Lambda}(u, T) \geq c_0 \quad \text{whenever } \alpha_u(T) \neq 0.$$

*Proof.* Let  $(i_1, i_2, i_3)$  denote a counter-clockwise oriented triple of vertices of  $T$ . By the very definition (1.1) of  $\alpha_u$  and by the triangular inequality, we have that if  $\alpha_u(T) \neq 0$ , then

$$(1.4) \quad \sum_{k,l=1,2,3} \text{dist}(u(i_l) - u(i_k), \mathbb{Z}) \geq |\alpha_u(T)| = 1.$$

Since  $\text{dist}(\cdot, \mathbb{Z}) \leq \frac{1}{2}$ , it follows that at least two addenda of the sum in (1.4) are not smaller than  $\frac{1}{4}$  and hence the claim follows by the coercivity assumption (1.3).  $\square$

It is convenient to express the energy in terms of the dislocation measure. More precisely, given  $\mu \in X_{\varepsilon, \Lambda}(\Omega)$ , we set

$$\mathcal{F}_{\varepsilon, \Lambda}(\mu) := \inf\{F_{\varepsilon, \Lambda}(u) : u \in \mathcal{AF}_{\varepsilon, \Lambda}(\Omega), \mu(u) = \mu\}.$$

In what follows, we also need a localised version of the energy functionals above: for any subset  $A$  of  $\Omega$ , we set

$$\begin{aligned} F_{\varepsilon, \Lambda}(u, A) &:= \sum_{(i,j) \in A_{\varepsilon, \Lambda}^1} f_{\frac{j-i}{\varepsilon}}(u(j) - u(i)), \\ \mathcal{F}_{\varepsilon, \Lambda}(\mu, A) &:= \inf\{F_{\varepsilon, \Lambda}(u, A) : u \in \mathcal{AF}_{\varepsilon, \Lambda}(A), \mu(u) = \mu\}. \end{aligned}$$

## 2. RENORMALISED ENERGY AND $\Gamma$ -CONVERGENCE ASSUMPTION

This section is devoted to the statement of the  $\Gamma$ -convergence assumption for the functionals  $\mathcal{F}_{\varepsilon, \Lambda}$ . To this purpose, we first define the renormalised energy in our setting.

The (isotropic) renormalised energy (see formula (2.2) below) has been introduced in [5] (see also [13]) as the interaction energy between vortices in the Ginzburg-Landau framework. In [2] it has been shown that such a renormalised energy also governs the interactions between screw dislocations in isotropic anti-plane elasticity. However, the continuous counterpart of the discrete energy  $\mathcal{F}_{\varepsilon, \Lambda}$  is in general anisotropic as well as the corresponding renormalised energy. In fact, in absence of defects (i.e., for  $\mu(u) = 0$ ) and under suitable assumptions on the potentials  $f_{\bar{j}-\bar{i}}$ , the discrete elastic energy  $\Gamma$ -converges (see [1]) to a continuous energy of the form

$$\int_{\Omega} Q \nabla u \nabla u \, dx \quad \forall u \in H^1(\Omega),$$

being  $Q$  a symmetric positive definite matrix determined by  $f_{\bar{j}-\bar{i}}$ . As a consequence the corresponding renormalised energy can be formally computed by means of a change of variable.

**2.1. Renormalised energy.** Let  $U$  be an open bounded subset of  $\mathbb{R}^2$  with Lipschitz continuous boundary and let  $\nu := \sum_{i=1}^M d_i \delta_{y_i}$ , with  $M \in \mathbb{N}$ ,  $d_i \in \{-1, +1\}$ ,  $y_i \in U$  and  $y_i \neq y_j$  for  $i \neq j$ . Let  $R_{\nu, U} : U \rightarrow \mathbb{R}$  be the solution of

$$(2.1) \quad \begin{cases} \Delta R_{\nu, U}(y) = 0 & \text{in } U, \\ R_{\nu, U}(y) = -\sum_{i=1}^M d_i \log |y - y_i| & \text{on } \partial U. \end{cases}$$

The (isotropic) renormalised energy (see [5]) is defined by

$$(2.2) \quad \mathscr{W}_U(\nu) := -\pi \sum_{i \neq j} d_i d_j \log |y_i - y_j| - \pi \sum_{i=1}^M d_i R_{\nu, U}(y_i).$$

We set  $\mathbb{W}_{I,U}(\nu) := \frac{1}{2\pi^2} \mathcal{W}_U(\nu)$  and for any open subset  $\Omega' \subseteq \Omega$  with Lipschitz continuous boundary and for any  $\mu = \sum_{i=1}^M d_i \delta_{x_i} \in X(\Omega)$  with  $\text{supp } \mu \subset \Omega'$  we set

$$(2.3) \quad \mathbb{W}_{Q,\Omega'}(\mu) := \lambda_Q \mathbb{W}_{I,\tilde{Q}^{-\frac{1}{2}}(\Omega')}(\tilde{Q}_{\#}^{-\frac{1}{2}}\mu),$$

where

$$\lambda_Q := \sqrt{\det Q}, \quad \tilde{Q} := \frac{Q}{\sqrt{\det Q}},$$

and  $\tilde{Q}_{\#}^{-\frac{1}{2}}(\mu)$  is the push-forward of  $\mu$  through  $\tilde{Q}^{-\frac{1}{2}}$  defined by

$$\tilde{Q}_{\#}^{-\frac{1}{2}}\mu := \sum_{i=1}^M d_i \delta_{\tilde{Q}^{-\frac{1}{2}}x_i}.$$

To ease the notations, we set  $\mathbb{W}_Q(\mu) := \mathbb{W}_{Q,\Omega}(\mu)$ .

Throughout the paper we will assume that the following  $\Gamma$ -convergence result holds true.

**$\Gamma$ -convergence assumption:** There exist a symmetric positive matrix  $Q$  and a constant  $\gamma > 0$  such that the following holds.

- (i) (Compactness) Let  $M \in \mathbb{N}$  and let  $\{\mu_\varepsilon\} \subset X_{\varepsilon,\Lambda}(\Omega)$  be a sequence satisfying  $\mathcal{F}_{\varepsilon,\Lambda}(\mu_\varepsilon) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \leq C$ . Then, up to a subsequence,  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$  for some  $\mu = \sum_{i=1}^N d_i \delta_{x_i}$  with  $d_i \in \mathbb{Z} \setminus \{0\}$ ,  $x_i \in \Omega$ ,  $x_i \neq x_j$  for  $i \neq j$  and  $\sum_i |d_i| \leq M$ . Moreover, if  $\sum_i |d_i| = M$ , then  $N = M$ , i.e.,  $|d_i| = 1$  for any  $i$ .
- (ii) ( $\Gamma$ -lim inf inequality) Let  $\{\mu_\varepsilon\} \subset X_{\varepsilon,\Lambda}(\Omega)$  be such that  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu \in X(\Omega)$ . Then,

$$(2.4) \quad \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_\varepsilon) - |\mu|(\Omega) \frac{\lambda_Q}{2\pi} |\log \varepsilon| \geq \mathbb{W}_Q(\mu) + |\mu|(\Omega) \gamma.$$

- (iii) ( $\Gamma$ -lim sup inequality) Given  $\mu \in X(\Omega)$ , there exists  $\{\mu_\varepsilon\} \subset X_{\varepsilon,\Lambda}(\Omega)$  with  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$  such that

$$(2.5) \quad \mathcal{F}_{\varepsilon,\Lambda}(\mu_\varepsilon) - |\mu|(\Omega) \frac{\lambda_Q}{2\pi} |\log \varepsilon| \rightarrow \mathbb{W}_Q(\mu) + |\mu|(\Omega) \gamma.$$

In [2, 9] it has been shown that, under suitable conditions on the potentials  $f_{\bar{j}-\bar{i}}$ , the  $\Gamma$ -convergence assumption above holds true, and  $Q$  and  $\gamma$  are explicitly determined by  $f_{\bar{i}-\bar{j}}$  and  $\Lambda$ . In particular, if  $\Lambda$  is the square lattice and  $f_{\bar{i}-\bar{j}}(t) = \text{dist}^2(t, \mathbb{Z})$ , then  $Q = I$  and the corresponding renormalised energy is given by  $\mathbb{W}_{I,\Omega}(\mu)$ .

**Remark 2.1.** Note that if  $\{\mu_\varepsilon\} \subset X_{\varepsilon,\Lambda}(\Omega)$  is such that  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu \in X(\Omega)$ , then, for every open subset  $\Omega' \subset \Omega$  with Lipschitz continuous boundary and with  $\text{supp } \mu \subset \Omega'$ , there holds  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu \in X(\Omega')$ . Therefore, by (2.4), it immediately follows that

$$(2.6) \quad \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_\varepsilon, \Omega') - |\mu|(\Omega) \frac{\lambda_Q}{2\pi} |\log \varepsilon| \geq \mathbb{W}_{Q,\Omega'}(\mu) + |\mu|(\Omega) \gamma.$$

The rest of this section is devoted to the proof of some properties which are consequences of the  $\Gamma$ -convergence assumption and that will be useful in the sequel.

**Lemma 2.2.** *Let  $U$  be an open bounded subset of  $\mathbb{R}^2$  with Lipschitz continuous boundary,  $g \in W^{1,\infty}(U)$ , and  $\xi_1, \dots, \xi_N \in \bar{U}$ , with  $N \in \mathbb{N}$ . For any  $\rho \geq 0$ , set  $U_\rho := U \setminus \cup_{i=1}^N B_\rho(\xi_i)$  (in particular,  $U_0 = U$ ); let  $R_\rho$  be the harmonic function in  $U_\rho$  satisfying  $R_\rho - g \in H_0^1(U_\rho)$ . Then,  $R_\rho$  converges to  $R_0$  as  $\rho \rightarrow 0$  locally uniformly in  $U \setminus \cup_{i=1}^N \{\xi_i\}$ .*

*Proof.* We first notice that  $R_0 \in C^\infty(U) \cap C(\bar{U})$ , since  $R_0$  is harmonic in  $U$  and  $\partial U$  is Lipschitz continuous.

Set  $\psi_\rho := R_\rho - R_0$ . Trivially,  $\psi_\rho$  solves the following minimisation problem

$$(2.7) \quad \min \left\{ \int_{U_\rho} |\nabla \psi|^2 dx : \psi - (g - R_0) \in H_0^1(U_\rho) \right\}.$$

By linearity it is enough to consider the case  $N = 1$ , i.e., with a single point  $\xi_1 = \xi$ .

Set  $D := \text{diam}(U)$  and let  $\Phi_\rho$  be the capacitary potential of  $B_\rho(\xi)$  in  $B_D(\xi)$ , namely,  $\Phi_\rho(x) := \frac{\log|x-\xi| - \log D}{\log \rho - \log D}$ . As  $\rho \rightarrow 0$ , we have that  $\Phi_\rho \rightarrow 0$  in  $H^1(B_D(\xi))$  and also pointwise in  $B_D(\xi) \setminus \{\xi\}$ . Set  $\hat{\psi}_\rho := (g - R_0)\Phi_\rho$ . Since  $0 \leq \Phi_\rho(x) \leq 1$ , with  $\Phi_\rho = 1$  on  $\partial B_\rho(\xi)$ , and  $g - R_0 \in H_0^1(U) \cap L^\infty(U)$ , it is immediate to check that  $\hat{\psi}_\rho \rightarrow 0$  in  $H^1(U)$ , and  $\hat{\psi}_\rho - (g - R_0) \in H_0^1(U_\rho)$ . It follows that  $\hat{\psi}_\rho$  is a competitor for the problem (2.7) and hence

$$(2.8) \quad \int_{U_\rho} |\nabla \psi_\rho|^2 dx \rightarrow 0.$$

Moreover, since  $\psi_\rho = 0$  on  $\partial U \setminus B_\rho(\xi)$ , (2.8) combined with Poincaré inequality implies that  $\psi_\rho \rightarrow 0$  in  $H_{\text{loc}}^1(U \setminus \{\xi\})$  as  $\rho \rightarrow 0$ . Since the functions  $\psi_\rho$  are harmonic, they also converge locally uniformly to zero on  $U \setminus \{\xi\}$ , and hence the claim follows by the very definition of  $\psi_\rho$ .  $\square$

For any  $\nu = \sum_{i=1}^K z_i \delta_{\xi_i}$  with  $K \in \mathbb{N}$ ,  $z_i \in \mathbb{Z} \setminus \{0\}$ ,  $\xi_i \in \bar{\Omega}$  and for any  $r > 0$ , we set

$$\Omega_r(\nu) := \Omega \setminus \cup_{i=1}^K B_r(\xi_i).$$

Notice that Lemma 2.2 holds true also if we replace the set  $U_\rho$  with  $\tilde{U}_\rho := U \setminus \cup_{i=1}^N \{\xi_i + \rho E\}$ , where  $E$  is a fixed set with Lipschitz continuous boundary. Therefore, recalling (2.1), (2.2) and (2.3) by means of a change of variable we deduce the following Corollary.

**Corollary 2.3.** *Let  $\nu = \sum_{i=1}^N z_i \delta_{\xi_i}$  with  $N \in \mathbb{N}$ ,  $z_i \in \mathbb{Z} \setminus \{0\}$ ,  $\xi_i \in \bar{\Omega}$  and let  $\mu \in X(\Omega)$  be such that  $\text{supp } \mu \cap \text{supp } \nu = \emptyset$ . Then,  $\mathbb{W}_Q(\mu, \Omega_\rho(\nu)) \rightarrow \mathbb{W}_Q(\mu, \Omega)$  as  $\rho \rightarrow 0$ .*

The following proposition provides an improved lower bound for the energy of a sequence  $\mu_\varepsilon$  converging flat to  $\mu$ . This lower bound accounts for the presence of dipoles of  $\mu_\varepsilon$  which do not cluster in the support of  $\mu$ .

**Proposition 2.4.** *Let  $\{\mu_\varepsilon\} \subset X_{\varepsilon,\Lambda}(\Omega)$  be such that  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu \in X(\Omega)$ . Assume that*

$$(2.9) \quad \mathcal{F}_{\varepsilon,\Lambda}(\mu_\varepsilon) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \leq C,$$

where  $M = |\mu|(\Omega)$ . Then there exist  $N, L \in \mathbb{N}$  and a measure  $\nu = \sum_{j=1}^N 2\delta_{y_j} + \sum_{k=1}^L \delta_{z_k}$ , with  $y_j \in \Omega \setminus \text{supp } \mu$  and  $z_k \in \partial\Omega$ , not necessarily distinct, such that, up

to a subsequence

$$(2.10) \quad |\mu_\varepsilon| \llcorner A \xrightarrow{*} \nu \quad \text{in } A,$$

where  $A := \mathbb{R}^2 \setminus \text{supp } \mu$ . Moreover,

$$(2.11) \quad \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon, \Lambda}(\mu_\varepsilon) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \geq \mathbb{W}_Q(\mu) + M\gamma + c_0 \nu(A),$$

where  $c_0$  is given by Lemma 1.1. In particular, if  $\{\mu_\varepsilon\}$  satisfies (2.5), then  $\nu = 0$ .

*Proof.* By (2.4) (see also (2.6)) and (2.9) we have that, for any  $\sigma > 0$ ,

$$(2.12) \quad \mathcal{F}_{\varepsilon, \Lambda}(\mu_\varepsilon, \Omega_\sigma(\mu)) \leq C_\sigma \quad \text{for some } C_\sigma > 0,$$

whence, by Lemma 1.1, we get  $|\mu_\varepsilon|(\Omega_\sigma(\mu)) \leq \frac{C_\sigma}{c_0}$ . Moreover, since  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu \in X(\Omega)$ , we have that  $\mu_\varepsilon \llcorner \Omega_\sigma(\mu) \xrightarrow{\text{flat}} 0$  in  $\Omega_\sigma(\mu)$ . It follows that, up to a subsequence,  $|\mu_\varepsilon| \llcorner A \xrightarrow{*} \nu$  in  $A$  for some  $\nu = \sum_{j \in J} 2\delta_{y_j} + \sum_{k=1}^L \delta_{z_k}$ , with  $J \subseteq \mathbb{N}$ ,  $L \in \mathbb{N}$ ,  $y_j \in \Omega \setminus \text{supp } \mu$  and  $z_k \in \partial\Omega$ . By (2.12), any cluster point of  $\{y_j\}$  belongs to  $\text{supp } \mu$ .

Let  $\sigma > 0$  be fixed and let  $\nu_\sigma := \nu \llcorner (\Omega_\sigma(\mu) \cup \partial\Omega)$ . Now, fix  $\rho > 0$ . Since  $\mu_\varepsilon \llcorner \Omega_\rho(\nu_\sigma) \xrightarrow{\text{flat}} \mu$  in  $\Omega_\rho(\nu_\sigma)$ , by (2.9), (2.6) and Lemma 1.1, we have

$$\begin{aligned} C &\geq \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon, \Lambda}(\mu_\varepsilon) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \\ &\geq \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon, \Lambda}(\mu_\varepsilon, \Omega_\rho(\nu_\sigma)) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| + \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon, \Lambda}(\mu_\varepsilon, \Omega \setminus \Omega_\rho(\nu_\sigma)) \\ &\geq \mathbb{W}_Q(\mu, \Omega_\rho(\nu_\sigma)) + M\gamma + c_0 \nu_\sigma(A). \end{aligned}$$

By applying Corollary 2.3 with  $\nu = \nu_\sigma$  we have that  $\mathbb{W}_Q(\mu, \Omega_\rho(\nu_\sigma)) \rightarrow \mathbb{W}_Q(\mu, \Omega)$  as  $\rho \rightarrow 0$  and we obtain (2.11) by first letting  $\rho \rightarrow 0$ , and then  $\sigma \rightarrow 0$ . Finally, by (2.11), we have immediately that  $\nu(A)$  is finite.  $\square$

### 3. DISCRETE GRADIENT FLOW OF $\mathcal{F}_{\varepsilon, \Lambda}$

In this section we introduce the minimising movement scheme, referred to as *discrete gradient flow*, for the energy  $\mathcal{F}_{\varepsilon, \Lambda}$ . As mentioned in the introduction, such a scheme is governed by a dissipation that accounts for the specific glide directions of the crystal.

**3.1. Dissipations.** Here we introduce the class of rate dependent dissipation functionals, that will measure the energy spent to move a configuration of dislocations during the discrete gradient flow. If the dislocation configuration at two different time steps  $t_1, t_2$  is given by a single Dirac mass  $\delta_{x(t)}$  centered at  $x(t)$ , then we assume that the energy spent to move the dislocation from  $x(t_1)$  to  $x(t_2)$  can be expressed as  $\phi^2(x(t_1) - x(t_2))$ , for a suitable norm  $\phi$ . In order to account for the glide directions of the dislocations  $\phi$  must be chosen to be minimal on a finite set of directions, i.e., it is a crystalline norm.

We define the dissipation for general configurations of Dirac masses in two steps. First assume that all the dislocations have the same sign. More precisely, let  $\nu_1 = \sum_{i=1}^{N_1} d_i^1 \delta_{x_i^1}$  and  $\nu_2 = \sum_{j=1}^{N_2} d_j^2 \delta_{x_j^2}$  with  $d_i^1, d_j^2 \in \mathbb{N}$  for every  $i = 1, \dots, N_1$  and



$j = 1, \dots, N_2$  and set

$$\tilde{D}_\phi(\nu_1, \nu_2) := \min \left\{ \sum_{l=1}^L \phi^2(q_l - p_l) : L \in \mathbb{N}, q_l \in \text{supp } \nu_1 \cup \partial\Omega, \right. \\ \left. p_l \in \text{supp } \nu_2 \cup \partial\Omega, \sum_{l=1}^L \delta_{q_l} \llcorner \Omega = \nu_1, \sum_{l=1}^L \delta_{p_l} \llcorner \Omega = \nu_2 \right\}.$$

Note that here we optimise among all possible connections between points in the support of  $\nu_1$  and points in the support of  $\nu_2$  (all counted with their multiplicity), possibly including connections with points at the boundary of  $\Omega$ . From the very definition of  $\tilde{D}_\phi$  one can easily check that

$$(3.1) \quad \tilde{D}_\phi(\nu_1 + \rho_1, \nu_2 + \rho_2) \leq \tilde{D}_\phi(\nu_1, \nu_2) + \tilde{D}_\phi(\rho_1, \rho_2),$$

for any measures  $\rho_1$  and  $\rho_2$  which are sums of positive Dirac masses.

For the general case of  $\mu_1 = \sum_{i=1}^{N_1} d_i^1 \delta_{x_i^1}$  and  $\mu_2 = \sum_{i=1}^{N_2} d_i^2 \delta_{x_i^2}$  with  $d_i^1, d_i^2 \in \mathbb{Z}$  we set

$$D_\phi(\mu_1, \mu_2) := \tilde{D}_\phi(\mu_1^+ + \mu_2^-, \mu_2^+ + \mu_1^-),$$

where  $\mu_j^+$  and  $\mu_j^-$  are the positive and the negative part of  $\mu_j$ .

By standard arguments in optimal transport theory [14] (see for instance [2, formula (6.3)]), one can easily prove that there exists a positive constant  $C_{\phi, \Omega}$  such that

$$(3.2) \quad D_\phi(\mu_1, \mu_2) \leq C_{\phi, \Omega} \|\mu_2 - \mu_1\|_{\text{flat}} \quad \text{for any } \mu_1, \mu_2 \in X(\Omega).$$

**Lemma 3.1.** *Let  $\mu_\varepsilon, \nu_\varepsilon \in X(\Omega)$  be such that  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$  and  $\nu_\varepsilon \xrightarrow{\text{flat}} \nu$ , for some  $\mu, \nu \in X(\Omega)$ . Then*

$$(3.3) \quad \limsup_{\varepsilon \rightarrow 0} D_\phi(\mu_\varepsilon, \nu_\varepsilon) \leq D_\phi(\mu, \nu).$$

*Proof.* Set  $\bar{\mu}_\varepsilon := \mu_\varepsilon - \mu$  and  $\bar{\nu}_\varepsilon := \nu_\varepsilon - \nu$ ; then, by the very definition of  $D_\phi$  (and of  $\tilde{D}_\phi$ ), using (3.1) and (3.2), we have

$$\begin{aligned} D_\phi(\mu_\varepsilon, \nu_\varepsilon) &= D_\phi(\mu + \bar{\mu}_\varepsilon, \nu + \bar{\nu}_\varepsilon) = \tilde{D}_\phi(\mu^+ + \bar{\mu}_\varepsilon^+ + \nu^- + \bar{\nu}_\varepsilon^-, \mu^- + \bar{\mu}_\varepsilon^- + \nu^+ + \bar{\nu}_\varepsilon^+) \\ &\leq \tilde{D}_\phi(\mu^+ + \nu^-, \mu^- + \nu^+) + \tilde{D}_\phi(\bar{\mu}_\varepsilon^+ + \bar{\nu}_\varepsilon^-, \bar{\mu}_\varepsilon^- + \bar{\nu}_\varepsilon^+) = D_\phi(\mu, \nu) + D_\phi(\bar{\mu}_\varepsilon, \bar{\nu}_\varepsilon) \\ &\leq D_\phi(\mu, \nu) + C_{\phi, \Omega} \|\bar{\mu}_\varepsilon - \bar{\nu}_\varepsilon\|_{\text{flat}} \leq D_\phi(\mu, \nu) + C_{\phi, \Omega} (\|\mu - \mu_\varepsilon\|_{\text{flat}} + \|\nu - \nu_\varepsilon\|_{\text{flat}}); \end{aligned}$$

since  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$  and  $\nu_\varepsilon \xrightarrow{\text{flat}} \nu$  as  $\varepsilon \rightarrow 0$ , we get (3.3).  $\square$

In the following, given  $\mu_\varepsilon, \mu \in X(\Omega)$ , the notation  $\text{supp } \mu_\varepsilon \xrightarrow{\mathcal{H}} \text{supp } \mu$  means that the support of  $\mu_\varepsilon$  converges, in the Hausdorff sense, to the support of  $\mu$ . A key point in our analysis is the following continuity property of the dissipation.

**Lemma 3.2.** *Let  $M \in \mathbb{N}$ ,  $d_1, \dots, d_M \in \{-1, +1\}$  and let  $\{x_1, \dots, x_M\}, \{y_1, \dots, y_M\} \subset \Omega$  be such that for every  $i = 1, \dots, M$*

$$(3.4) \quad |x_i - y_i| < \min_{i \neq j} \{|x_i - x_j|, |y_i - y_j|, |x_i - y_j|, \text{dist}(x_i, \partial\Omega), \text{dist}(y_i, \partial\Omega)\}.$$

*Let  $\mu := \sum_{i=1}^M d_i \delta_{x_i}$  and  $\nu := \sum_{i=1}^M d_i \delta_{y_i}$ , and let  $\mu_\varepsilon, \nu_\varepsilon \in X_{\varepsilon, \Lambda}(\Omega)$  be such that  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$  and  $\nu_\varepsilon \xrightarrow{\text{flat}} \nu$ . Moreover assume that*

$$(3.5) \quad \text{supp } \mu_\varepsilon \xrightarrow{\mathcal{H}} \text{supp } \mu \quad \text{supp } \nu_\varepsilon \xrightarrow{\mathcal{H}} \text{supp } \nu.$$

Then

$$\lim_{\varepsilon \rightarrow 0} D_\phi(\mu_\varepsilon, \nu_\varepsilon) = D_\phi(\mu, \nu) = \sum_{i=1}^M \phi^2(x_i - y_i).$$

*Proof.* By (3.5) we have that, for any  $\rho > 0$  and for  $\varepsilon$  small enough (depending on  $\rho$ ),  $\text{supp } \mu_\varepsilon \subset \cup_{i=1}^M B_\rho(x_i)$  and  $\text{supp } \nu_\varepsilon \subset \cup_{i=1}^M B_\rho(y_i)$ . Moreover, since  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$  and  $\nu_\varepsilon \xrightarrow{\text{flat}} \nu$ , it is easy to prove that, for all  $\rho$  small enough,  $\mu_\varepsilon(B_\rho(x_i)) = \nu_\varepsilon(B_\rho(y_i)) = d_i$  for any  $i = 1, \dots, M$  and for  $\varepsilon$  small enough (depending on  $\rho$ ).

Therefore, any connection between  $\mu_\varepsilon$  and  $\nu_\varepsilon$  contains at least  $M$  segments joining some point in  $B_\rho(x_i)$  (for any  $i = 1, \dots, M$ ) with some other point which either lies on  $\partial\Omega$  or belongs to some  $B_\rho(y_j)$  for some  $j \in 1, \dots, M$ . In view of (3.4), we have

$$\liminf_{\varepsilon \rightarrow 0} D_\phi(\mu_\varepsilon, \nu_\varepsilon) \geq \sum_{i=1}^M \phi^2(x_i - y_i) - C\rho = D_\phi(\mu, \nu) - C\rho,$$

for some constant  $C > 0$  independent of  $\rho$ . The conclusion follows by the arbitrariness of  $\rho$ , together with the upper bound (3.3).  $\square$

**Example 3.1.** It is easy to see that if the assumptions on  $\mu$  and  $\nu$ , and  $\mu_\varepsilon$  and  $\nu_\varepsilon$ , are not satisfied in general the dissipation  $D_\phi$  is not continuous with respect to the flat convergence. Indeed, assuming by simplicity  $\Omega = \mathbb{R}^2$ , if (3.5) is not satisfied it is enough to consider the case  $\mu = \delta_{(0,0)}$  and  $\nu = \delta_{(1,0)}$ , which can be approximate in the flat norm by two sequences  $\mu_n = \mu$  and  $\nu_n = \delta_{(1,0)} + \delta_{(\frac{1}{2} - \frac{1}{n}, 0)} - \delta_{(\frac{1}{2} + \frac{1}{n}, 0)}$ . It is immediate to check that  $D_\phi(\mu, \nu) = 1$  while  $\lim_{n \rightarrow \infty} D_\phi(\mu_n, \nu_n) = \frac{1}{2}$ .

A counterexample to the continuity if (3.4) is not satisfied is given by  $\mu = \delta_{(1,0)} + \delta_{(1,1)}$  and  $\nu = \delta_{(0,0)} + \delta_{(-1,0)}$ . This pair of measures can be approximated in the flat norm, satisfying (3.5), by  $\mu_n = \mu$  and  $\nu_n = \nu + \delta_{(0, -\frac{1}{n})} - \delta_{(0, \frac{1}{n})}$ . In this case  $D_\phi(\mu, \nu) = 6$  while  $\lim_{n \rightarrow \infty} D_\phi(\mu_n, \nu_n) = 4$ .

**Corollary 3.3.** *Let  $\mu, \nu \in X(\Omega)$  be as in Lemma 3.2 and let  $\{\mu_\varepsilon\}, \{\nu_\varepsilon\} \subset X_{\varepsilon, \Lambda}(\Omega)$  be such that  $\mu_\varepsilon \xrightarrow{\text{flat}} \mu$ ,  $\nu_\varepsilon \xrightarrow{\text{flat}} \nu$ . If  $\{\mu_\varepsilon\}$  and  $\{\nu_\varepsilon\}$  satisfy (2.5), then*

$$(3.6) \quad \lim_{\varepsilon \rightarrow 0} D_\phi(\mu_\varepsilon, \nu_\varepsilon) = D_\phi(\mu, \nu).$$

*Proof.* By assumption, in view of Proposition 2.4, we have that  $\{\mu_\varepsilon\}$  and  $\{\nu_\varepsilon\}$  satisfy (3.5). Then the conclusion follows from Lemma 3.2.  $\square$

**3.2. The minimising movement scheme.** Here we introduce the discrete gradient flow of  $\mathcal{F}_{\varepsilon, \Lambda}$  with respect to the dissipation  $D_\phi$ . To this purpose, first notice that, by the  $\Gamma$ -convergence assumption (2.4), a given dipole  $\delta_x - \delta_y$  induces an elastic energy which blows up as  $|\log \varepsilon|$  as  $\varepsilon \rightarrow 0$ . It is clear that, for  $\varepsilon$  small enough, it is always convenient to annihilate (in a single time step) such a pair of dislocations, paying a finite dissipated energy (independent of  $\varepsilon$ ) while gaining an amount of elastic energy of order  $|\log \varepsilon|$ . It is therefore convenient to look at local minimisers instead of global ones during the step by step minimising movements. To this purpose, we introduce a length scale  $\delta$ , and we look for  $\delta$ -close minimisers. While it is essential to fix such a length scale, it turns out that its specific choice does not affect at all the dynamics: dislocations move with finite velocity, and hence at each time step they make a ‘‘jump’’ of the same order of the time step  $\tau$ , which for small  $\tau$  is smaller than any fixed  $\delta$ .

**Definition 3.4.** Fix  $\delta > 0$  and let  $\varepsilon, \tau > 0$ . Given  $\mu_{\varepsilon,0} \in X_{\varepsilon,\Lambda}(\Omega)$ , we say that  $\{\mu_{\varepsilon,k}^\tau\}$ , with  $k \in \mathbb{N} \cup \{0\}$ , is a solution of the discrete gradient flow of  $\mathcal{F}_{\varepsilon,\Lambda}$  from  $\mu_{\varepsilon,0}$  if  $\mu_{\varepsilon,0}^\tau = \mu_{\varepsilon,0}$ , and for any  $k \in \mathbb{N}$ ,  $\mu_{\varepsilon,k}^\tau$  satisfies

$$(3.7) \quad \mu_{\varepsilon,k}^\tau \in \operatorname{argmin} \left\{ \mathcal{F}_{\varepsilon,\Lambda}(\mu) + \frac{D_\phi(\mu, \mu_{\varepsilon,k-1}^\tau)}{2\tau} : \mu \in X_{\varepsilon,\Lambda}(\Omega), \right. \\ \left. \|\mu - \mu_{\varepsilon,k-1}^\tau\|_{\text{flat}} \leq \delta \right\}.$$

Notice that the existence of a minimiser is obvious, since  $\mu$  lies in  $X_{\varepsilon,\Lambda}(\Omega)$  which is a finite set.

#### 4. ASYMPTOTIC DYNAMICS

In this section we analyse the limit as  $\varepsilon, \tau \rightarrow 0$  of the discrete gradient flow introduced in Definition 3.4.

**Definition 4.1.** Given  $\mu_0 \in X(\Omega)$ , we say that  $\{\mu_{\varepsilon,0}\} \subset X_{\varepsilon,\Lambda}(\Omega)$  are well prepared initial conditions with respect to  $\mu_0$  if it holds

$$\mu_{\varepsilon,0} \xrightarrow{\text{flat}} \mu_0, \quad \lim_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_{\varepsilon,0}) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| = \mathbb{W}_Q(\mu_0) + M\gamma.$$

Given  $\mu_0 := \sum_{i=1}^M d_{i,0} \delta_{x_{i,0}} \in X(\Omega)$  we set  $d_0 := (d_{1,0}, \dots, d_{M,0}) \in \{-1, +1\}^M$ ,  $x_0 := (x_{1,0}, \dots, x_{M,0}) \in \Omega^M$ , and

$$(4.1) \quad r_0 := \min\{\operatorname{dist}_{i \neq j}(x_{i,0}, x_{j,0}), \operatorname{dist}(x_{i,0}, \partial\Omega)\}.$$

For any  $x = (x_1, \dots, x_M) \in \Omega^M$ , we define  $W_{\Lambda, d_0}(x) := \mathbb{W}_Q(\sum_{i=1}^M d_{i,0} \delta_{x_i})$ . In this section we will prove the following result.

**Theorem 4.2.** Let  $\mu_0 \in X(\Omega)$ . For any  $0 < r < r_0$ , there exists  $\delta_r > 0$  such that for any  $0 < \delta < \delta_r$  the following holds true: Let  $\{\mu_{\varepsilon,0}\}$  be well prepared initial conditions with respect to  $\mu_0$ , let  $\tau > 0$  and let  $\{\mu_{\varepsilon,k}^\tau\}$  be a solution of the discrete gradient flow of  $\mathcal{F}_{\varepsilon,\Lambda}$  from  $\mu_{\varepsilon,0}$ . Then we have:

- (i) (Limit as  $\varepsilon \rightarrow 0$ ) For any  $k \in \mathbb{N}$  there exists  $\mu_k^\tau \in X(\Omega)$  with  $|\mu_k^\tau|(\Omega) \leq M$ , such that, up to subsequences,  $\mu_{\varepsilon,k}^\tau \xrightarrow{\text{flat}} \mu_k^\tau$  as  $\varepsilon \rightarrow 0$ . Moreover, there exists  $k_r^\tau \in \mathbb{N}$  with

$$(4.2) \quad T_r := \liminf_{\tau \rightarrow 0} k_r^\tau \tau > 0,$$

such that for any  $k = 1, \dots, k_r^\tau$

$$\mu_k^\tau = \sum_{i=1}^M d_{i,0} \delta_{x_{i,k}^\tau},$$

for some  $x_k^\tau := (x_{1,k}^\tau, \dots, x_{M,k}^\tau) \in \Omega^M$  with

$$\min\{\operatorname{dist}_{i \neq j}(x_{i,k}^\tau, x_{j,k}^\tau), \operatorname{dist}(x_{i,k}^\tau, \partial\Omega)\} \geq r.$$

- (ii) (Limit as  $\tau \rightarrow 0$ ) Up to a subsequence, the piecewise affine interpolation in time  $x^\tau(t)$  of  $x_k^\tau$  converges, as  $\tau \rightarrow 0$ , locally uniformly in  $[0, T_r)$  to a Lipschitz continuous map  $x : [0, T_r) \rightarrow \Omega^M$  which solves the differential inclusion

$$(4.3) \quad \begin{cases} \dot{x}_i(t) \in \partial^-(\frac{\phi^2}{2})^*(-\nabla_{x_i} W_{\Lambda, d_0}(x(t))) \text{ for } i = 1, \dots, M, \text{ for a.e. } t \in [0, T_r) \\ x(0) = x_0. \end{cases}$$

In (4.3),  $\partial^-(\frac{\phi^2}{2})^*$  denotes the subdifferential of the polar function  $(\frac{\phi^2}{2})^*$  defined by

$$\left(\frac{\phi^2}{2}\right)^*(\xi) := \max_{\eta \in \mathbb{R}^2} \langle \xi, \eta \rangle - \frac{\phi^2}{2}(\eta) = 2(\phi^2)^*(\xi).$$

To ease the notations, we set

$$(4.4) \quad \Psi(\cdot) := \left(\frac{\phi^2}{2}\right)^*(\cdot).$$

The proof of Theorem 4.2 will be a consequence of Theorem 4.9 and Theorem 4.8, where the thresholds  $\delta_r$ ,  $k_r^\tau$  and  $T_r$  are explicitly defined. In the former we prove that a solution of the discrete gradient flow of the energy  $\mathcal{F}_{\varepsilon, \Lambda}$  converges as  $\varepsilon \rightarrow 0$  to a solution of the *discrete gradient flow of the renormalised energy*  $W_{\Lambda, d_0}$ , which is a generalised implicit Euler scheme for  $W_{\Lambda, d_0}$  (see Definition 4.3); in the latter we show that such a solution tends as  $\tau \rightarrow 0$  to a solution of problem (4.3).

Actually, it turns out that the final time step  $k_r^\tau$  in Theorem 4.2 depends on the measures  $\mu_k^\tau$ . As a consequence, although in the statement of Theorem 4.2, we first send  $\varepsilon \rightarrow 0$  and afterwards  $\tau \rightarrow 0$ , it is convenient first to analyse the discrete in time gradient flow of the renormalised energy and its limit as  $\tau \rightarrow 0$ , and, then, the limit as  $\varepsilon \rightarrow 0$  of the discrete gradient flow of  $\mathcal{F}_{\varepsilon, \Lambda}$ .

#### 4.1. The minimising movement scheme for the renormalised energy.

Fix initial conditions  $d_0 := (d_{1,0}, \dots, d_{M,0}) \in \{-1, +1\}^M$ , with  $M \in \mathbb{N}$ , and  $x_0 = (x_{1,0}, \dots, x_{M,0}) \in \Omega^M$ , with  $x_{i,0} \neq x_{j,0}$  for  $i \neq j$ , and let  $r_0$  be as in (4.1).

**Definition 4.3.** *Let  $\delta > 0$ ,  $K \in \mathbb{N}$ , and  $\tau > 0$ . We say that  $\{x_k^\tau\}$  with  $k = 0, 1, \dots, K$ , is a solution of the discrete gradient flow of  $W_{\Lambda, d_0}$  from  $x_0$  if  $x_0^\tau = x_0$  and, for any  $k = 1, \dots, K$ ,  $x_k^\tau \in \Omega^M$  satisfies*

$$(4.5) \quad x_k^\tau \in \operatorname{argmin} \left\{ W_{\Lambda, d_0}(x) + \sum_{i=1}^M \frac{\phi^2(x_i - x_{i, k-1}^\tau)}{2\tau} : x \in \Omega^M, \sum_{i=1}^M |x_i - x_{i, k-1}^\tau| \leq \delta \right\}.$$

With a small abuse of notation we will consider also the case  $K = +\infty$ , which corresponds to discrete gradient flows defined for all  $k \in \mathbb{N}$ .

**Definition 4.4.** *We say that a solution of the discrete gradient flow  $\{x_k^\tau\}$  of  $W_{\Lambda, d_0}$  from  $x_0$  is maximal if the minimum problem in (4.5) does not admit a solution for  $k = K + 1$  (or if it is defined for all  $k \in \mathbb{N}$ ).*

For any  $\rho > 0$ , we set

$$K_\rho := \{x \in \Omega^M : \min\{\operatorname{dist}_{i \neq j}(x_i, x_j), \operatorname{dist}(x_i, \partial\Omega)\} \geq \rho\};$$

By its very definition  $W_{\Lambda, d_0}$  is smooth on  $K_\rho$  and therefore

$$(4.6) \quad \max_{x \in K_\rho} |\nabla W_{\Lambda, d_0}(x)| =: M_\rho < +\infty.$$

Let  $\{x_k^\tau\}$  be a maximal solution of the discrete gradient flow of  $W_{\Lambda, d_0}$  from  $x_0$ , according to Definitions 4.3 and 4.4; for any  $2\delta \leq r < r_0$ , we set

$$(4.7) \quad k_r^\tau = k_r^\tau(\{x_k^\tau\}) := \inf\{k \in \{1, \dots, K\} : \min\{\text{dist}_{i \neq j}(x_{i,k}^\tau, x_{j,k}^\tau), \text{dist}(x_{i,k}^\tau, \partial\Omega)\} \leq r\}.$$

If  $K$  is finite, since  $\sum_{i=1}^M |x_{i,k_{2\delta}^\tau}^\tau - x_{i,k_{2\delta}^\tau-1}^\tau| \leq \delta$ , then  $k_{2\delta}^\tau < K$ ; in particular,  $k_r^\tau \leq k_{2\delta}^\tau < K$ . Moreover,  $x_k^\tau \in K_\delta$  for any  $k = 0, 1, \dots, k_{2\delta}^\tau$ .

**Proposition 4.5.** *For  $\tau$  small enough and for every  $k = 1, \dots, k_{2\delta}^\tau$ , we have that  $|x_k^\tau - x_{k-1}^\tau| < \delta$  and*

$$(4.8) \quad \frac{x_{i,k}^\tau - x_{i,k-1}^\tau}{\tau} \in \partial^- \Psi(-\nabla_{x_i} W_{\Lambda, d_0}(x_k^\tau)) \quad \text{for every } i = 1, \dots, M,$$

where  $\Psi$  is defined in (4.4).

*Proof.* Since the energy  $W_{\Lambda, d_0}$  is clearly decreasing in  $k$ , for every  $k = 1, \dots, k_{2\delta}^\tau$  we have

$$\begin{aligned} \sum_{i=1}^M \frac{\phi^2(x_{i,k}^\tau - x_{i,k-1}^\tau)}{2\tau} &\leq W_{\Lambda, d_0}(x_{k-1}^\tau) - W_{\Lambda, d_0}(x_k^\tau) \\ &\leq W_{\Lambda, d_0}(x_0) - W_{\Lambda, d_0}(x_k^\tau) \leq \max_{x \in K_\delta} (W_{\Lambda, d_0}(x_0) - W_{\Lambda, d_0}(x)). \end{aligned}$$

It follows that for  $\tau$  small enough  $\sum_{i=1}^M |x_{i,k}^\tau - x_{i,k-1}^\tau| < \delta$ .

We notice that the function  $\phi^2$  is convex and that  $W_{\Lambda, d_0} \in C^1(K_\delta)$ . Then by the minimality property of  $x_k^\tau$ , and by the fact that it belongs to the interior of the constraint  $\{x : \sum_{i=1}^M |x_i - x_{i,k-1}^\tau| \leq \delta\}$ , we have that for  $i = 1, \dots, M$

$$0 \in \nabla_{x_i} W_{\Lambda, d_0}(x)|_{x_k^\tau} + \partial^- \left( \frac{\phi^2(x - x_{i,k-1}^\tau)}{2\tau} \right) \Big|_{x_{i,k}^\tau}$$

or equivalently, using the homogeneity of  $\phi$ ,

$$(4.9) \quad -\nabla_{x_i} W_{\Lambda, d_0}(x_k^\tau) \in \partial^- \phi^2 \left( \frac{x_{i,k}^\tau - x_{i,k-1}^\tau}{2\tau} \right).$$

Then, by applying the following standard result (see e.g. [10, Corollary 5.2])

$$(4.10) \quad \xi \in \partial^- \frac{\phi^2}{2}(z) \quad \iff \quad z \in \partial^- \left( \frac{\phi^2}{2} \right)^* (\xi) \quad \text{for any } \xi, z \in \mathbb{R}^2,$$

with  $\xi = -\nabla_{x_i} W_{\Lambda, d_0}(x_k^\tau)$  and  $z = \frac{x_{i,k}^\tau - x_{i,k-1}^\tau}{2\tau}$ , (4.8) follows by (4.9).  $\square$

In order to study the limit of (4.8) as  $\tau \rightarrow 0$ , we recall some classical results for differential inclusions in [11]. Let  $N \in \mathbb{N}$  and let  $A$  be an open subset of  $\mathbb{R}^N$ . Let  $F$  be an upper semicontinuous set-valued function on  $A$  such that for any  $x \in A$  the set  $F(x)$  is non-empty, bounded and closed.

**Definition 4.6.** *We say that an absolutely continuous map  $x : [0, T] \rightarrow A$  is a solution of the differential inclusion*

$$(4.11) \quad \dot{x}(t) \in F(x(t)),$$

if it satisfies the inclusion above for almost every  $t \in [0, T]$ .

Given  $\tau > 0$ , we say that an absolutely continuous map  $y : [0, T] \rightarrow A$  is a  $\tau$ -solution (an approximate solution with accuracy  $\tau$ ) of the differential inclusion (4.11) if

$$\dot{y}(t) \in \bigcup_{s \in [t-\tau, t+\tau]} \{\xi \in \mathbb{R}^N : \text{dist}(\xi, \text{co}(F(y(s)))) \leq \tau\}$$

for almost every  $t \in [0, T]$ .

**Proposition 4.7.** *Let  $x : [0, T] \rightarrow A$  be the limit as  $\tau \rightarrow 0$  of a uniform convergent sequence of  $\tau$ -solutions  $x^\tau : [0, T] \rightarrow A$  of the differential inclusion (4.11). Then,  $x(t)$  solves (4.11) with  $F(x(t))$  replaced by  $\text{co}(F(x(t)))$ , i.e., for a.e.  $t \in [0, T]$*

$$\dot{x}(t) \in \text{co}(F(x(t))).$$

Now for  $0 \leq t \leq k_{2\delta}^\tau \tau$ , we denote by  $x^\tau(t) = (x_1^\tau(t), \dots, x_M^\tau(t))$  the piecewise affine interpolation in time of the discrete gradient flow  $\{x_k^\tau\}$  defined in Definitions 4.3 and 4.4. The next theorem clarifies the limit problem solved by  $\{x^\tau\}$  as  $\tau \rightarrow 0$ .

**Theorem 4.8.** *Let  $0 < r < r_0$  and let  $0 < \delta < \frac{r}{2}$ , where  $r_0$  is defined in (4.1). Let  $\{x_k^\tau\}$  be a family of maximal solutions of the discrete gradient flow of  $W_{\Lambda, d_0}$  from  $x_0$ . Then,*

$$T_r := \liminf_{\tau \rightarrow 0} k_r^\tau \tau > 0.$$

Moreover, up to a subsequence  $x^\tau \rightarrow x$  locally uniformly in  $[0, T_r)$ , where  $x : [0, T_r) \rightarrow \Omega^M$  is a Lipschitz continuous map that solves

$$(4.12) \quad \begin{cases} \dot{x}_i(t) \in \partial^- \Psi(-\nabla_{x_i} W_{\Lambda, d_0}(x(t))) \text{ for } i = 1, \dots, M, \text{ for a.e. } t \in [0, T_r) \\ x(0) = x_0, \end{cases}$$

and satisfies

$$(4.13) \quad \inf\{\min\{\text{dist}_{i \neq j}(x_i(t), x_j(t)), \text{dist}(x_i(t), \partial\Omega)\} : t < T_r\} \geq r.$$

If  $T_r < +\infty$ , then

$$(4.14) \quad \min\{\text{dist}_{i \neq j}(x_i(T_r), x_j(T_r)), \text{dist}(x_i(T_r), \partial\Omega)\} = r.$$

*Proof.* By the very definition of  $k_r^\tau$ , it is easy to prove that, whenever it is finite, then

$$(4.15) \quad |x_{k_r^\tau}^\tau - x_0^\tau| \geq \max_{i=1, \dots, M} |x_{i, k_r^\tau}^\tau - x_{i, 0}^\tau| > \frac{r_0 - r}{2}.$$

Since  $2\delta < r$ ,  $k_r^\tau \leq k_{2\delta}^\tau$ ; hence, by (4.8), for every  $k = 1, \dots, k_r^\tau$

$$|x_k^\tau - x_{k-1}^\tau| \leq C_\phi \max_{x \in K_\delta} |\nabla W_{\Lambda, d_0}(x)| \tau = C_\phi M_\delta k_r^\tau \tau,$$

where  $C_\phi$  depends only on  $\phi$ . Therefore,

$$|x_{k_r^\tau}^\tau - x_0^\tau| \leq \sum_{k=1}^{k_r^\tau} |x_k^\tau - x_{k-1}^\tau| \leq C_\phi M_\delta k_r^\tau \tau,$$

and hence, by (4.15),

$$k_r^\tau \tau \geq \frac{r_0 - r}{2C_\phi M_\delta} > 0.$$

We deduce that  $T_r > 0$ . Clearly  $x^\tau$  are equi-bounded and Lipschitz equi-continuous in  $[0, k_r^\tau \tau]$ . By Ascoli-Arzelà Theorem and by a standard diagonal argument, up to a subsequence,  $x^\tau$  converges locally uniformly on  $[0, T_r)$  to a Lipschitz continuous

function  $x : [0, T_r] \rightarrow \Omega^M$ . By (4.8), for every  $T < T_r$  and for  $\tau$  small enough,  $x^\tau$  satisfy

$$\begin{cases} \dot{x}_i^\tau(t) \in \partial^- \Psi(-\nabla_{x_i} W_{\Lambda, d_0}(x_{[t/\tau]}^\tau)) & \text{for } i = 1, \dots, M, \text{ for a.e. } t \in [0, T], \\ x(0) = x_0. \end{cases}$$

Therefore,  $x^\tau$  is a  $\tau$ -solution to (4.12) in  $[0, T]$ , according with Definition 4.6. Moreover, by the very definition of the subdifferential,  $\partial^- \Psi(\xi)$  is closed and convex and, since  $\Psi$  is convex, it is non-empty, bounded and upper-semicontinuous for every  $x \in K_\delta$ .

Therefore, the set-valued function  $\partial^- \Psi(-\nabla_{x_i} W_{\Lambda, d_0}(x))$  satisfies the assumptions of Proposition 4.7, and hence  $x(t)$  is a solution to (4.12). Finally, (4.13) and (4.14) follow by the very definition of  $k_r^\tau$ .  $\square$

#### 4.2. Asymptotic discrete gradient flow of $\mathcal{F}_{\varepsilon, \Lambda}$ as $\varepsilon \rightarrow 0$ .

We are now in a position to state and prove the convergence of discrete gradient flows  $\mathcal{F}_{\varepsilon, \Lambda}$  as  $\varepsilon \rightarrow 0$ .

For any  $0 < r < r_0$  with  $r_0$  defined as in (4.1), we set  $\delta_r := \min\{\frac{r}{2}, \frac{c_0}{M_\varepsilon}\}$  with  $M_\varepsilon$  and  $c_0$  defined in (4.6) and Lemma 1.1 respectively.

**Theorem 4.9.** *Let  $\mu_0 \in X(\Omega)$  and fix  $0 < r < r_0$ ,  $0 < \delta < \delta_r$ . Let  $\{\mu_{\varepsilon, 0}\} \subset X_{\varepsilon, \Lambda}(\Omega)$  be well prepared initial conditions with respect to  $\mu_0$  according with Definition 4.1, let  $\tau > 0$  and let  $\{\mu_{\varepsilon, k}^\tau\}$  be a solution of the discrete gradient flow of  $\mathcal{F}_{\varepsilon, \Lambda}$  from  $\mu_{\varepsilon, 0}$ . Then, for any  $k \in \mathbb{N}$  there exists  $\mu_k^\tau \in X(\Omega)$  with  $|\mu_k^\tau|(\Omega) \leq M$ , such that, up to subsequences,  $\mu_{\varepsilon, k}^\tau \xrightarrow{\text{flat}} \mu_k^\tau$  as  $\varepsilon \rightarrow 0$ .*

Moreover, there exists a maximal solution  $x_k^\tau = (x_{1, k}^\tau, \dots, x_{M, k}^\tau)$  of the discrete gradient flow of  $W_{\Lambda, d_0}$  from  $x_0$ , according with Definition 4.3, such that

$$\mu_k^\tau = \sum_{i=1}^M d_{i, 0} \delta_{x_{i, k}^\tau} \quad \text{for every } k = 1, \dots, k_r^\tau,$$

where  $k_r^\tau$  is defined in (4.7). Finally, for any  $k = 1, \dots, k_r^\tau$ ,  $\{\mu_{\varepsilon, k}^\tau\}$  is a recovery sequence for  $\mu_k^\tau$  in the sense of (2.5).

*Proof.* Since  $\mathcal{F}_{\varepsilon, \Lambda}(\mu_{\varepsilon, k}^\tau)$  is not increasing in  $k$ , we have

$$(4.16) \quad \mathcal{F}_{\varepsilon, \Lambda}(\mu_{\varepsilon, k}^\tau) \leq \mathcal{F}_{\varepsilon, \Lambda}(\mu_{\varepsilon, 0}) \leq M \lambda_Q |\log \varepsilon| + C.$$

By the compactness property stated in the  $\Gamma$ -convergence condition (i), we have that, up to a subsequence,  $\mu_{\varepsilon, k}^\tau \xrightarrow{\text{flat}} \mu_k^\tau \in X(\Omega)$ , with  $|\mu_k^\tau|(\Omega) \leq M$ .

Let now  $0 < r < r_0$  and let  $0 < \delta < \delta_r$ . We set

$$\begin{aligned} \tilde{k}_r^\tau &:= \sup\{k \in \mathbb{N} : \mu_l^\tau = \sum_{i=1}^M d_{i, 0} \delta_{x_{i, l}^\tau}, \\ &\quad \min\{\text{dist}_{i \neq j}(x_{i, l}^\tau, x_{j, l}^\tau), \text{dist}(x_{i, l}^\tau, \partial\Omega)\} > r, l = 0, \dots, k\}. \end{aligned}$$

Since  $|\mu_{\tilde{k}_r^\tau+1}^\tau|(\Omega) \leq M$  and  $\|\mu_{\tilde{k}_r^\tau+1}^\tau - \mu_{\tilde{k}_r^\tau}^\tau\|_{\text{flat}} \leq \delta < \frac{r}{2}$ , we deduce that, whenever  $\tilde{k}_r^\tau$  is finite, then  $\mu_{\tilde{k}_r^\tau+1}^\tau = \sum_{i=1}^M d_{i, 0} \delta_{x_{i, \tilde{k}_r^\tau+1}^\tau}$ , with

$$(4.17) \quad \frac{r}{2} \leq r - \delta \leq \min\{\text{dist}_{i \neq j}(x_{i, \tilde{k}_r^\tau+1}^\tau, x_{j, \tilde{k}_r^\tau+1}^\tau), \text{dist}(x_{i, \tilde{k}_r^\tau+1}^\tau, \partial\Omega)\} \leq r.$$

By induction on  $k$ , we prove that for any  $k = 0, 1, \dots, \tilde{k}_r^\tau + 1$ ,  $\{\mu_{\varepsilon,k}^\tau\}$  is a recovery sequence for  $\mu_k^\tau$  in the sense of (2.5). Indeed, by assumption, the claim is satisfied for  $k = 0$ . Assuming that the claim holds true for  $k - 1$ , we prove it for  $k$ . Indeed by (4.16) and the definition of  $\tilde{k}_r^\tau$ , in view of Proposition 2.4, there exists a positive atomic measure  $\nu$  with integer weights such that

$$(4.18) \quad |\mu_{\varepsilon,k}^\tau| \llcorner (\mathbb{R}^2 \setminus \text{supp } \mu_k^\tau) \xrightarrow{*} \nu \quad \text{in } \mathbb{R}^2 \setminus \text{supp } \mu_k^\tau,$$

and

$$(4.19) \quad \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_{\varepsilon,k}^\tau) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \geq \mathbb{W}_Q(\mu_k^\tau) + M\gamma + c_0 \nu(\mathbb{R}^2 \setminus \text{supp } \mu_k^\tau),$$

where  $c_0$  is given by Lemma 1.1. Using that  $\{\mu_{\varepsilon,k}^\tau\}$  satisfies (3.7) and the inductive assumption, we get

$$(4.20) \quad \begin{aligned} \limsup_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_{\varepsilon,k}^\tau) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \\ \leq \limsup_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_{\varepsilon,k-1}^\tau) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| = \mathbb{W}_Q(\mu_{k-1}^\tau) + M\gamma. \end{aligned}$$

By (4.19), (4.20) and (4.17) we have

$$c_0 \nu(\mathbb{R}^2 \setminus \text{supp } \mu_k^\tau) \leq \mathbb{W}_Q(\mu_{k-1}^\tau) - \mathbb{W}_Q(\mu_k^\tau) = W_{\Lambda,d_0}(x_{k-1}^\tau) - W_{\Lambda,d_0}(x_k^\tau) \leq M_{\frac{\tau}{2}} \delta,$$

where  $M_{\frac{\tau}{2}}$  is defined in (4.6). By assumption  $M_{\frac{\tau}{2}} \delta < c_0$ , whence  $\nu = 0$ .

Let now  $\{\tilde{\mu}_{\varepsilon,k}^\tau\}$  be a recovery sequence for  $\mu_k^\tau$ ; since  $\|\mu_k^\tau - \mu_{k-1}^\tau\|_{\text{flat}} \leq \delta$ , by standard density arguments we can assume that also  $\|\tilde{\mu}_{\varepsilon,k}^\tau - \mu_{\varepsilon,k-1}^\tau\|_{\text{flat}} \leq \delta$ . Then, by (4.19) and using again that  $\{\mu_{\varepsilon,k}^\tau\}$  satisfies (3.7), we obtain

$$\begin{aligned} \mathbb{W}_Q(\mu_k^\tau) + M\gamma &\leq \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_{\varepsilon,k}^\tau) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \\ &\leq \limsup_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\mu_{\varepsilon,k}^\tau) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| \\ &\leq \limsup_{\varepsilon \rightarrow 0} \mathcal{F}_{\varepsilon,\Lambda}(\tilde{\mu}_{\varepsilon,k}^\tau) - M \frac{\lambda_Q}{2\pi} |\log \varepsilon| + \frac{D_\phi(\tilde{\mu}_{\varepsilon,k}^\tau, \mu_{\varepsilon,k-1}^\tau)}{2\tau} - \frac{D_\phi(\mu_{\varepsilon,k}^\tau, \mu_{\varepsilon,k-1}^\tau)}{2\tau} \\ &= \mathbb{W}_Q(\mu_k^\tau) + M\gamma, \end{aligned}$$

where in the last equality we have used that

$$\lim_{\varepsilon \rightarrow 0} D_\phi(\tilde{\mu}_{\varepsilon,k}^\tau, \mu_{\varepsilon,k-1}^\tau) = \lim_{\varepsilon \rightarrow 0} D_\phi(\mu_{\varepsilon,k}^\tau, \mu_{\varepsilon,k-1}^\tau) = D_\phi(\mu_k^\tau, \mu_{k-1}^\tau),$$

which holds true since the sequences  $\{\mu_{\varepsilon,k-1}^\tau\}$ ,  $\{\mu_{\varepsilon,k}^\tau\}$ ,  $\{\tilde{\mu}_{\varepsilon,k}^\tau\}$ , satisfy the assumptions of Lemma 3.2 (see also Corollary 3.3). This concludes the proof that  $\{\mu_{\varepsilon,k}^\tau\}$  is a recovery sequence for  $\mu_k^\tau$ .

It remains to show that  $\{x_k^\tau\}$  is a solution to the discrete gradient flow of  $W_{\Lambda,d_0}$  from  $x_0$  for  $k = 1, \dots, \tilde{k}_r^\tau + 1$ , and that  $k_r^\tau = \tilde{k}_r^\tau + 1$ . Let  $x = (x_1, \dots, x_M) \in \Omega^M$  be such that  $\sum_{i=1}^M |x_i - x_{i,k-1}^\tau| \leq \delta$ . Set  $\mu := \sum_{i=1}^M d_{i,0} \delta_{x_i}$  and let  $\{\mu_\varepsilon\}$  be a recovery sequence of  $\mu$ . As above we may assume that  $\|\mu_\varepsilon - \mu_{\varepsilon,k-1}^\tau\|_{\text{flat}} \leq \delta$ .

Notice that Corollary 3.3 implies

$$(4.21) \quad \lim_{\varepsilon \rightarrow 0} D_\phi(\mu_{\varepsilon,k}^\tau, \mu_{\varepsilon,k-1}^\tau) = D_\phi(\mu_k^\tau, \mu_{k-1}^\tau), \quad \lim_{\varepsilon \rightarrow 0} D_\phi(\mu_\varepsilon, \mu_{\varepsilon,k-1}^\tau) = D_\phi(\mu, \mu_{k-1}^\tau).$$



Therefore, since  $\mu_{\varepsilon,k}^\tau$  is a recovery sequence for  $\mu_k^\tau$  and by (3.7) and (4.21), we get

$$\begin{aligned} W_{\Lambda,d_0}(x_k^\tau) + M\gamma + \sum_{i=1}^M \frac{\phi^2(x_{i,k}^\tau - x_{i,k-1}^\tau)}{2\tau} &= \mathbb{W}_Q(\mu_k^\tau) + M\gamma + \frac{D_\phi(\mu_k^\tau, \mu_{k-1}^\tau)}{2\tau} \\ &= \lim_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon(\mu_{\varepsilon,k}^\tau) - M\lambda_Q |\log \varepsilon| + \frac{D_\phi(\mu_{\varepsilon,k}^\tau, \mu_{\varepsilon,k-1}^\tau)}{2\tau} \\ &\leq \lim_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon(\mu_\varepsilon) - M\lambda_Q |\log \varepsilon| + \frac{D_\phi(\mu_\varepsilon, \mu_{\varepsilon,k-1}^\tau)}{2\tau} \\ &= \mathbb{W}_Q(\mu) + M\gamma + \frac{D_\phi(\mu, \mu_{k-1}^\tau)}{2\tau} = W_{\Lambda,d_0}(x) + M\gamma + \sum_{i=1}^M \frac{\phi^2(x_i - x_{i,k-1}^\tau)}{2\tau}, \end{aligned}$$

i.e.,  $x_k^\tau$  satisfies (4.5).

Finally, by (4.17), we immediately get that  $k_r^\tau = \tilde{k}_r^\tau + 1$ .  $\square$

**Remark 4.10.** We remark that if  $\phi$  is the Euclidean norm and the lattice  $\Lambda$  is the square lattice  $\mathbb{Z}^2$ , Theorem 4.9 corrects the statement [2, Theorem 6.7], where the needed assumption that the initial conditions are well prepared (see Definition 4.1) was missing. The incorrect proof of [2, Theorem 6.7] was based on the claim that the dissipation is always continuous with respect to the flat convergence. Such a claim is in general wrong, as clarified in Example 3.1. Nevertheless, in the proof of Theorem 4.9 we have shown that the solutions of the discrete gradient flow from well prepared initial conditions remain well prepared at each time step. This fact, in view of Lemma 3.2, implies the desired continuity property of the dissipation as  $\varepsilon \rightarrow 0$  and allows to conclude the proof of the Theorem 4.9 and, in turn, of [2, Theorem 6.7].

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(Roberto Alicandro) DIEI, UNIVERSITÀ DI CASSINO E DEL LAZIO MERIDIONALE, VIA DI BIASIO 43, 03043 CASSINO (FR), ITALY

*E-mail address*, R. Alicandro: [alicandr@unicas.it](mailto:alicandr@unicas.it)

(Lucia De Luca) ZENTRUM MATHEMATIK - M7, TECHNISCHE UNIVERSITÄT MÜNCHEN, BOLTZMANNSTRASSE 3, 85748 GARCHING, GERMANY

*E-mail address*, L. De Luca: [deluca@ma.tum.de](mailto:deluca@ma.tum.de)

(Adriana Garroni) DIPARTIMENTO DI MATEMATICA “GUIDO CASTELNUOVO”, SAPIENZA UNIVERSITÀ DI ROMA, P.LE ALDO MORO 5, I-00185 ROMA, ITALY

*E-mail address*, A. Garroni: [garroni@mat.uniroma1.it](mailto:garroni@mat.uniroma1.it)

(Marcello Ponsiglione) DIPARTIMENTO DI MATEMATICA “GUIDO CASTELNUOVO”, SAPIENZA UNIVERSITÀ DI ROMA, P.LE ALDO MORO 5, I-00185 ROMA, ITALY

*E-mail address*, M. Ponsiglione: [ponsigli@mat.uniroma1.it](mailto:ponsigli@mat.uniroma1.it)