A STAMPACCHIA-TYPE INEQUALITY FOR A FOURTH-ORDER ELLIPTIC OPERATOR ON KÄHLER MANIFOLDS AND APPLICATIONS

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ABSTRACT. In this paper we will prove an integral inequality of Stampacchia-type for a fourth-order elliptic operator on complete and connected Kähler manifolds. Our inequality implies a Hodge-Kodaira orthogonal decomposition for the Sobolev-type space $W^{p,q}(X)$. In particular we will able to prove, under suitable topological conditions on the manifold X, the existence of an isomorphism between the Aeppli groups $\Lambda^{p,q}(X)$ and the groups $H^{p,q}(X)$ of all global harmonic forms of bidegree (p,q).

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1. INTRODUCTION

Let X be a complex manifold, and let $p, q \ge 1$ integers. The Aeppli groups, even called $\partial \bar{\partial}$ cohomology groups, defined for the first time by Aeppli in [1] and studied, principally, by Bigolin in [10] and in [11], were introduced in order to study cycles of algebraic manifolds (see [8]). More recently the Aeppli groups are under consideration in order to investigate integral transformations (see [17]), properties of balanced manifolds (see [2],[5],[6]) and properties of 1-convex manifolds (see [3],[7],[4]). The Aeppli groups were originally defined in [1] by

$$\Lambda^{p,q}(X) = \frac{\operatorname{Ker}\{A^{p,q}(X) \xrightarrow{d} A^{p+1,q}(X) \oplus A^{p,q+1}(X)\}}{\partial \bar{\partial} A^{p-1,q-1}(X)}$$
$$V^{p,q}(X) = \frac{\operatorname{Ker}\{A^{p,q}(X) \xrightarrow{\partial \bar{\partial}} A^{p+1,q+1}(X)\}}{\partial A^{p-1,q}(X) + \bar{\partial} A^{p,q-1}(X)}$$

where $A^{p,q}(X)$ denotes the space of all (p,q)-differential forms with coefficients in $C^{\infty}(X)$ and with complex values. If X is a Stein manifolds then Aeppli, in [1], proves that the Aeppli groups are isomorphic to the complex De Rham cohomology: more precisely $\Lambda^{p,q}(X)$ and $V^{p,q}(X)$ are isomorphic, respectively, to the spaces $H^{p+q}(X)$ and $H^{p+q+1}(X)$, where $H^r(X)$ denotes the space of all global harmonic r-forms. The result of Aeppli gives a characterization of the De Rham cohomology for Stein manifolds. If the manifold X is Kähler and compact then Bigolin, in [10], proves, as a consequence of a orthogonal decomposition for the space of all $\partial\bar{\partial}$ -closed forms, that both $V^{p,q}(X)$ and $\Lambda^{p,q}(X)$ are isomorphic to $H^{p,q}(X)$, where $H^{p,q}(X)$ denotes the space of all forms in $H^{p+q}(X)$ of bidegree (p,q); moreover in the same paper some results proved by Aeppli in [1] for Stein manifolds are recovered. If we remove the compactness assumption on the manifold X then, at the moment, it is unknown the relation between Aeppli groups and $H^{p,q}(X)$. In this paper we study the non-compact case. We will able to prove, under a technical topological condition on X (see assumption (5.1)), that the Aeppli groups $\Lambda^{p,q}(X)$ are isomorphic to $H^{p,q}(X)$ whenever X is a connected and complete Kähler manifold. The main tool for the proof of our result is a suitable Hodge-Kodaira orthogonal decomposition. More precisely denoting by $D^{p,q}(X)$ the space of all forms in $A^{p,q}(X)$ with compact support in X, we can consider, on $D^{p,q}(X)$, the standard complex scalar product $(\cdot, \cdot)_X$ of L^2 -type and the complex scalar product

$$(u,v)_{1,X} := (u,v)_X + (\bar{\partial}u,\bar{\partial}v)_X + (\vartheta u,\vartheta v)_X$$

where ∂ and $\bar{\partial}$ are the classical complex differential operators and $\bar{\vartheta}$ and ϑ are their adjoints, respectively. Then if we denote by $W^{p,q}(X)$ the completion of $D^{p,q}(X)$ with respect to the scalar product $(\cdot, \cdot)_{1,X}$, in §4 we will able to prove that on Kähler manifolds the following Hodge-Kodaira decomposition holds:

(1.1)
$$W^{p,q}(X) = [\partial \bar{\partial} D^{p-1,q-1}(X)]_1 \oplus_{\perp} [\partial D^{p,q+1}(X) + \bar{\partial} D^{p+1,q}(X)]_1 \oplus_{\perp} \operatorname{Ker} \Box \cap W^{p,q}(X)$$

where the square brackets with subscript 1 stands for the closure in $W^{p,q}(X)$ and \bigoplus_{\perp} says that the direct sum is orthogonal in the sense of the scalar product $(\cdot, \cdot)_{1,X}$. The proof of (1.1), in the absence of compactness, requires an integral inequality of "Stampacchia-type" for a suitable elliptic operator, and such a inequality is the crucial point. Let us briefly recall the history of Stampacchia-type inequalities.

Let X be a complete and connected hermitian manifold. The classical Stampacchia inequality is an integral inequality which involves the complex Laplace operator \Box ; Andreotti and Vesentini proved it in [9] in order to obtain applications to the study of vanishing theorems by means of an extension of a Kodaira theorem ([13]). More precisely if $L^{p,q}(X)$ denotes the completion of $D^{p,q}(X)$ with respect to the scalar product $(\cdot, \cdot)_X$ and if B_r denotes the ball of radius r and centered in a fixed point $0 \in X$, then for any $r, R, \sigma > 0$, with r < R, it holds

(1.2)
$$(\bar{\partial}u, \bar{\partial}u)_{B_r} + (\vartheta u, \vartheta u)_{B_r} \le \left(\frac{1}{\sigma} + \frac{c}{(R-r)^2}\right) (u, u)_{B_R} + \sigma(\Box u, \Box u)_{B_R}$$

for all $u \in A^{p,q}(X)$, where c > 0 is a constant which depends only by the complex dimension of X. In particular it descends the following characterization of the square-summable harmonic forms on X:

(1.3)
$$\operatorname{Ker} \Box \cap \mathrm{L}^{p,q}(X) = \{ u \in \mathrm{A}^{p,q}(X) \cap \mathrm{L}^{p,q}(X) : \bar{\partial}u = \vartheta u = 0 \}.$$

A real version of inequality (1.2) was proved by Vesentini, with the same technique, in [18]: if M denotes a complete and connected riemannian manifold then for any $r, R, \sigma > 0$, with r < R, it holds

(1.4)
$$(du, du)_{B_r} + (\delta u, \delta u)_{B_r} \le \left(\frac{1}{\sigma} + \frac{c}{(R-r)^2}\right) (u, u)_{B_R} + \sigma(\Delta u, \Delta u)_{B_R}$$

for any $u \in A^p(M)$, where c > 0 is a constant which depends only by the dimension of M. The Stampacchia-type inequality (1.4) implies that

$$\operatorname{Ker} \Delta \cap \mathrm{L}^p(M) = \{ u \in \mathrm{A}^p(M) \cap \mathrm{L}^p(M) : du = \delta u = 0 \}$$

from which it follows the Hodge-Kodaira decomposition of $L^{p}(M)$:

(1.5)
$$\mathbf{L}^{p}(M) = [d\mathbf{D}^{p-1}(M)]_{\mathbf{L}^{p}(M)} \oplus_{\perp} [\delta \mathbf{D}^{p+1}(M)]_{\mathbf{L}^{p}(M)} \oplus_{\perp} \operatorname{Ker} \Delta \cap \mathbf{L}^{p}(M).$$

In this this paper we will prove a Stampacchia-type inequality like (1.2) for the fourth-order elliptic operator \mathcal{D} given by

$$\mathcal{D} = \partial \bar{\partial} \vartheta \bar{\vartheta} + \vartheta \bar{\vartheta} \partial \bar{\partial} + \bar{\vartheta} \bar{\partial} \vartheta \partial + \vartheta \partial \bar{\vartheta} \bar{\partial} + \bar{\vartheta} \partial + \vartheta \bar{\partial}$$

which was first considered by Kodaira and Spencer in [15] for the study of the stability of Kähler manifolds under small deformations (see moreover the important book of Morrow and Kodaira [16], Ch. 4, § 4). Such a operator \mathcal{D} was also considered by Bigolin [10] in the compact case. More precisely in § 3, following the same technique of Andreotti and Vesentini, we will prove that there exist four positive constants c_1, c_2, c_3, c_4 , eventually depending only by the complex dimension of X, such that for any $r, R, \sigma > 0$, with r < R, it holds

$$(1.6) \qquad (\Box u, \Box u)_{B_R} + (\vartheta \bar{\vartheta} u, \vartheta \bar{\vartheta} u)_{B_r} + (\partial \bar{\partial} u, \partial \bar{\partial} u)_{B_r} + (\vartheta \partial u, \vartheta \partial u)_{B_r} + (\bar{\vartheta} \bar{\partial} u, \bar{\vartheta} \bar{\partial} u)_{B_r} + (\partial u, \partial u)_{B_r} + (\bar{\partial} u, \bar{\partial} u)_{B_r} \leq \left(\frac{c_1}{(R-r)^2} + \frac{c_2}{(R-r)^4} + \frac{1}{\sigma}\right) (u, u)_{B_R} + (\partial u, \bar{\partial} u)_{B_r} + (\partial u, \bar{\partial} u)_{B_r} \leq \left(\frac{c_1}{(R-r)^2} + \frac{c_2}{(R-r)^4} + \frac{1}{\sigma}\right) (u, u)_{B_R} + (\partial u, \bar{\partial} u)_{B_r} + (\partial u, \bar{\partial} u)_{B_r} + (\partial u, \bar{\partial} u)_{B_r} \leq \left(\frac{c_1}{(R-r)^2} + \frac{c_2}{(R-r)^4} + \frac{1}{\sigma}\right) (u, u)_{B_R} + (\partial u, \bar{\partial} u)_{B_r$$

$$+\frac{c_3}{(R-r)^2}((\bar{\partial}u,\bar{\partial}u)_{B_R}+(\vartheta u,\vartheta u)_{B_R})+c_4\sigma(\mathcal{D}u,\mathcal{D}u)_{B_R}$$

for any $u \in A^{p,q}(X)$. By means of inequality (1.6) we will able to prove the decomposition (1.1) and then, in the last section, we will apply such a decomposition in order to study a relation between the Aeppli cohomology and classical De Rham cohomology.

2. RIEMANNIAN AND HERMITIAN MANIFOLDS

2.1. **Riemannian manifolds.** For a thorough treatment of the argument we refer the reader to [12]. Let M be a n-dimensional orientable complete riemannian manifold. Let $g_{\alpha\beta}$ be the metric tensor on M and let $g^{\alpha\beta}$ be the inverse of $g_{\alpha\beta}$; we also denote by $g = \det g_{\alpha\beta}$. For any positive integer p, with $p \leq n$, we will denote by $K^p(M)$ the space of all currents on M of degree p; the subspace $A^p(M)$ will denote the space of all p-differential forms with C^{∞} -coefficients and real values. In this setting it is well defined the volume form $e_{\alpha_1...\alpha_n}dx^1 \wedge \cdots \wedge dx^n$. Given $u \in A^p(M)$ the adjoint of u is the form given, in local coordinates, by $*u_{\beta_1...\beta_{n-p}} = e_{\alpha_1...\alpha_p\beta_1...\beta_{n-p}}u^{\alpha_1...\alpha_p}$. The operator $*: A^p(M) \to A^{n-p}(M)$ can be extended to a unique operator $*: K^p(M) \to K^{n-p}(M)$. On the subspace $D^p(M)$ given by all forms in $A^p(M)$ which have compact support in M the operator * permits us to define the real scalar product given by

$$(u,v)_M := \int_M u \wedge *v.$$

We will denote by $L^p(M)$ the completion of the space $D^p(M)$ with respect to the scalar product $(\cdot, \cdot)_M$. It turns out that $L^p(M)$ is an Hilbert space. Let $d: K^p(M) \to K^{p+1}(M)$ be the exterior differential and let $\delta: K^p(M) \to K^{p-1}(M)$ its formal adjoint, i.e. $\delta = (-1)^{np+n+1} * d*$; it is well known that $d^2 = \delta^2 = 0$. The laplacian of a current $T \in K^p(M)$ is given by $\Delta T = d\delta T + \delta dT$; the currents belong to Ker Δ are called harmonic currents, and the forms belong to Ker Δ are called harmonic forms. By ellipticity it turns out that if $T \in \text{Ker } \Delta$ then actually $T \in \Lambda^p(M)$.

2.2. Hermitian manifolds. For a thorough treatment of the subject we refer the reader to [16] and [19]. Let X be a complete hermitian manifold of complex dimension n, let $g_{\alpha\beta}$ be the hermitian metric on X, and let $g^{\alpha\beta}$ be its inverse; as in the real case we denote by $g = \det g_{\alpha\beta}$. For any positive integers p, q, with $p, q \leq n$, we will denote by $K^{p,q}(X)$ the space of all currents on X of bidegree (p,q); the subspace $A^{p,q}(X)$ will denote the space of all (p,q)-differential forms with C^{∞} -coefficients and complex values. Associated to an hermitian metric we have the fundamental real form $\omega = ig_{\alpha\beta}dz^{\alpha}d\bar{z}^{\beta}$; X is a Kähler manifold if $d\omega = 0$. Let, in local coordinates, $e_{\alpha_1...\alpha_n\beta_1...\beta_n}dz^{\alpha_1} \wedge \cdots \wedge dz^{\alpha_n} \wedge d\bar{z}^{\beta_1} \wedge \cdots \wedge d\bar{z}^{\beta_n}$ be the volume form on X. Given $u \in A^{p,q}(X)$ the adjoint of u is the form given, in local coordinates, by $*u_{\mu_1...\mu_{n-q}\nu_1...\nu_{n-p}} =$ $e_{\mu_1...\mu_{n-q}\alpha_1...\alpha_q\nu_1...\nu_{n-p}\beta_1...\beta_p}u^{\alpha_1...\alpha_q\beta_1...\beta_p}$. The operator $*: A^{p,q}(X) \to A^{n-q,n-p}(X)$ can be extended to a unique operator $*: K^{p,q}(X) \to K^{n-q,n-p}(X)$. As in the riemannian case on the subspace $D^{p,q}(X)$ given by all forms in $A^{p,q}(X)$ which have compact support in X the operator *permits us to define a complex scalar product given by

$$(u,v)_X := \int_X u \wedge \overline{*v}.$$

We will denote by $L^{p,q}(X)$ the completion of $D^{p,q}(X)$ with respect to the scalar product $(\cdot, \cdot)_X$. It turns out that $L^{p,q}(X)$ is an Hilbert space. Let $\partial \colon K^{p,q}(X) \to K^{p+1,q}(X)$ and $\bar{\partial} \colon K^{p,q}(X) \to K^{p,q+1}(X)$ be the classical complex differential operators. It is well known that $\partial^2 = \bar{\partial}^2 = 0$ and $d = \partial + \bar{\partial}$. The operators $\vartheta \colon K^{p,q}(X) \to K^{p,q-1}(X)$ and $\bar{\vartheta} \colon K^{p,q}(X) \to K^{p-1,q}(X)$ can be defined by setting $\vartheta = -*\partial *$ and $\bar{\vartheta} = -*\bar{\partial} *$, and we get $\vartheta^2 = \bar{\vartheta}^2 = 0$. Let us now recall the following useful formulas: If at least one form between u and v belong to $D^{p,q}(X)$ then

(2.1)
$$(\bar{\partial}u, v)_X = (u, \vartheta v)_X$$
 and $(\partial u, v)_X = (u, \bar{\vartheta}v)_X;$

moreover it holds $\partial \bar{\partial} = -\bar{\partial} \partial$ and $\vartheta \bar{\vartheta} = -\bar{\vartheta} \vartheta$. If X is a Kähler manifold then it is well known that

(2.2)
$$\partial \vartheta + \vartheta \partial = 0, \quad \bar{\partial}\bar{\vartheta} + \bar{\vartheta}\bar{\partial} = 0, \quad \bar{\partial}\vartheta + \vartheta\bar{\partial} = \partial\bar{\vartheta} + \bar{\vartheta}\partial.$$

We recall that the complex laplacian $\Box: K^{p,q}(X) \to K^{p,q}(X)$ is defined by $\Box = \bar{\partial}\vartheta + \vartheta\bar{\partial}$; the currents belong to Ker \Box are called harmonic currents, and the forms belong to Ker \Box are called harmonic forms. On Kähler manifolds by (2.2) it descends

$$\Box = \overline{\Box} := \partial \bar{\vartheta} + \bar{\vartheta} \partial.$$

By ellipticity it turns out that if $T \in \text{Ker} \square$ then actually $T \in A^{p,q}(X)$. On Kähler manifolds it holds $\square = \frac{1}{2}\Delta$. Finally we will denote by $W^{p,q}(X)$ the Sobolev-type space given by the completion of $D^{p,q}(X)$ with respect to the scalar product

$$(u,u)_{1,X} := (u,u)_X + (\bar{\partial}u,\bar{\partial}u)_X + (\vartheta u,\vartheta u)_X.$$

It turns out that $W^{p,q}(X)$ is an Hilbert space.

3. A Stampacchia-type inequality for the operator ${\cal D}$

In the rest of the paper X will denote a complete and connected Kähler manifold of complex dimension n. Let $p, q \leq n$ be positive integers. Consider the fourth-order operator $\mathcal{D} \colon \mathrm{K}^{p,q}(X) \to \mathrm{K}^{p,q}(X)$ given by

$$\mathcal{D} = \vartheta \bar{\vartheta} \vartheta \bar{\vartheta} + \bar{\vartheta} \vartheta \bar{\vartheta} + \vartheta \bar{\vartheta} + \vartheta \bar{\vartheta}$$

Remark 3.1. An easy application of formulas (2.2) shows that

$$(3.2) \qquad \qquad \Box^2 = \vartheta \bar{\vartheta} \vartheta \bar{\vartheta} + \bar{\vartheta} \vartheta \bar{\vartheta} \vartheta$$

and

$$(3.3) \qquad \qquad \vartheta\bar{\partial}\vartheta\bar{\partial} + \bar{\partial}\vartheta\bar{\partial}\vartheta = \partial\bar{\partial}\vartheta\bar{\vartheta} + \vartheta\bar{\vartheta}\partial\bar{\partial} + \vartheta\bar{\partial}\vartheta\partial + \vartheta\partial\bar{\vartheta}\bar{\partial}.$$

In [15] Kodaira and Spencer show that \mathcal{D} (they used, for the principal part of \mathcal{D} , the form given by the right-hand side of (3.3)) is an elliptic operator, since its principal part is given by

$$\sum_{\alpha\beta\gamma\delta} g^{\beta\alpha} g^{\delta\gamma} \frac{\partial^4}{\partial z^\alpha \partial \bar{z}^\beta \partial z^\gamma \partial \bar{z}^\delta}$$

in any local coordinates system. For any $u \in A^{p,q}(X)$ let

$$(u,u)_{2,X} := (\vartheta \bar{\vartheta} u, \bar{\vartheta} \bar{\vartheta})_X + (\partial \bar{\partial} u, \partial \bar{\partial} u)_X + (\vartheta \partial u, \vartheta \partial u)_X + (\bar{\vartheta} \bar{\partial} u, \bar{\vartheta} \bar{\partial} u)_X.$$

Let $0 \in X$ be a fixed point; for any r > 0 we will denote by B_r the ball centered in 0 with radius r. For the sake of simplicity we will use the notation $(\cdot, \cdot)_r$ and $(\cdot, \cdot)_{2,r}$ respectively for the quantities $(\cdot, \cdot)_{B_r}$ and $(\cdot, \cdot)_{2,B_r}$. Notice that the completeness of X ensures that the generic ball B_r is relatively compact in X, by Hopf-Rinow theorem; in particular all quantities $(u, u)_r$ and $(u, u)_{2,r}$ are finite. The fundamental result of this section is an integral inequality of Stampacchia-type for the operator \mathcal{D} .

Theorem 3.2. (Stampacchia-type inequality) For every $R, r, \sigma > 0$ with r < R it holds (3.4) $(\Box u, \Box u)_r + (u, u)_{2,r} + (\partial u, \partial u)_r + (\bar{\partial} u, \bar{\partial} u)_r <$

$$\leq \left(\frac{c_1}{(R-r)^4} + \frac{c_2}{(R-r)^2} + \frac{1}{\sigma}\right)(u,u)_R + \frac{c_3}{(R-r)^2}((\bar{\partial}u,\bar{\partial}u)_R + (\vartheta u,\vartheta u)_R) + c_4\sigma(\mathcal{D}u,\mathcal{D}u)_R$$

for any $u \in A^{p,q}(X)$, with c_1, c_2, c_3, c_4 positive constants eventually depending only by the complex dimension n.

Proof. Using the same argument of Lemma 6 in [9] we can construct a function $\varphi \colon X \to [0,1]$ with $\varphi = 1$ on B_r , $\varphi = 0$ on $X \setminus B_R$ such that there exist two positive constants M_1 and M_2 , depending only by n, with

(3.5)
$$(L\varphi \wedge u, L\varphi \wedge u)_R \le \frac{M_1}{(R-r)^2} (u, u)_R, \quad (N\varphi \wedge u, N\varphi \wedge u)_R \le \frac{M_2}{(R-r)^4} (u, u)_R$$

for any $u \in A^{p,q}(X)$, whenever $L \in \{\partial, \bar{\partial}, \vartheta, \bar{\vartheta}\}$ and $N \in \{\partial \bar{\partial}, \vartheta \bar{\vartheta}, \vartheta \partial, \bar{\vartheta} \bar{\partial}\}$. Let $u \in A^{p,q}(X)$; then $\varphi^m u$ has support in B_R for any positive integer m. Now we divide the proof in two steps; first we collect some useful estimates for the first and the second order terms that appears in \mathcal{D} , and then

we will prove (3.4).

Step 1. Let us consider the first order terms. We have

$$\partial(\varphi^4 u) = 4\varphi^3 \partial \varphi \wedge u + \varphi^4 \partial u$$

and then

$$(\partial u, \partial (\varphi^4 u))_R = (\varphi^2 \partial u, 4\varphi \partial \varphi \wedge u + \varphi^2 \partial u)_R = 4(\varphi^2 \partial u, \varphi \partial \varphi \wedge u)_R + (\varphi^2 \partial u, \varphi^2 \partial u)_R.$$

Taking into account formulas (2.1) we deduce that

(3.6)
$$(\varphi^2 \partial u, \varphi^2 \partial u)_R = (\bar{\vartheta} \partial u, \varphi^4 u)_R - 4(\varphi^2 \partial u, \varphi \partial \varphi \wedge u)_R.$$

By applying the same argument we get

(3.7)
$$(\varphi^2 \bar{\partial} u, \varphi^2 \bar{\partial} u)_R = (\vartheta \bar{\partial} u, \varphi^4 u)_R - 4(\varphi^2 \bar{\partial} u, \varphi \bar{\partial} \varphi \wedge u)_R.$$

Let us now consider the second order terms. We easily have

$$\begin{split} \vartheta \bar{\partial} (\varphi^4 u) &= \vartheta (2\varphi^3 \bar{\partial} \varphi \wedge u + \varphi^2 \bar{\partial} (\varphi^2 u)) = \\ &= 6\varphi^2 \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u + 2\varphi^3 \vartheta \bar{\partial} \varphi \wedge u + (-1)^{p+q} 2\varphi^3 \bar{\partial} \varphi \wedge \vartheta u + 2\varphi \vartheta \varphi \wedge \bar{\partial} (\varphi^2 u) + \varphi^2 \vartheta \bar{\partial} (\varphi^2 u) = \\ &= 10\varphi^2 \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u + 2\varphi^3 \vartheta \bar{\partial} \varphi \wedge u + (-1)^{p+q} 2\varphi^3 \bar{\partial} \varphi \wedge \vartheta u + 2\varphi^3 \vartheta \varphi \wedge \bar{\partial} u + \varphi^2 \vartheta \bar{\partial} (\varphi^2 u) \end{split}$$

from which we obtain

$$(\vartheta \bar{\partial} u, \vartheta \bar{\partial} (\varphi^4 u))_R =$$

$$= (\varphi^2 \vartheta \bar{\partial} u, 10 \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u + 2\varphi \vartheta \bar{\partial} \varphi \wedge u + (-1)^{p+q} 2\varphi \bar{\partial} \varphi \wedge \vartheta u + 2\varphi \vartheta \varphi \wedge \bar{\partial} u + \vartheta \bar{\partial} (\varphi^2 u))_R =$$

$$= (\vartheta \bar{\partial} (\varphi^2 u) - 2\vartheta \varphi \wedge \bar{\partial} \varphi \wedge u - 2\varphi \vartheta \bar{\partial} \varphi \wedge u - (-1)^{p+q} 2\varphi \bar{\partial} \varphi \wedge \vartheta u - 2\varphi \vartheta \varphi \wedge \bar{\partial} u, 10 \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u +$$

$$+ 2\varphi \vartheta \bar{\partial} \varphi \wedge u + (-1)^{p+q} 2\varphi \bar{\partial} \varphi \wedge \vartheta u + 2\varphi \vartheta \varphi \wedge \bar{\partial} u + \vartheta \bar{\partial} (\varphi^2 u))_R.$$

Then taking into account (2.1) we get

$$(3.8) \qquad (\vartheta \bar{\partial} (\varphi^2 u), \vartheta \bar{\partial} (\varphi^2 u))_R = (\vartheta \bar{\partial} \vartheta \bar{\partial} u, \varphi^4 u)_R - 10(\vartheta \bar{\partial} (\varphi^2 u), \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u)_R + \\ + 20(\vartheta \varphi \wedge \bar{\partial} \varphi \wedge u, \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u)_R + 20(\varphi \vartheta \bar{\partial} \varphi \wedge u, \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u)_R + \\ + 20(-1)^{p+q} (\varphi \bar{\partial} \varphi \wedge \vartheta u, \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u)_R + 20(\varphi \vartheta \varphi \wedge \bar{\partial} u, \vartheta \varphi \wedge \bar{\partial} \varphi \wedge u)_R + \\ - 2(\vartheta \bar{\partial} (\varphi^2 u), \varphi \vartheta \bar{\partial} \varphi \wedge u)_R + 4(\vartheta \varphi \wedge \bar{\partial} \varphi \wedge u, \varphi \vartheta \bar{\partial} \varphi \wedge u)_R + 4(\varphi \vartheta \bar{\partial} \varphi \wedge u, \varphi \vartheta \bar{\partial} \varphi \wedge u)_R + \\ + 2(-1)^{p+q} (\varphi \bar{\partial} \varphi \wedge \vartheta u, \varphi \vartheta \bar{\partial} \varphi \wedge u)_R + 4(\varphi \vartheta \varphi \wedge \bar{\partial} u, \varphi \vartheta \bar{\partial} \varphi \wedge u)_R - 2(-1)^{p+q} (\vartheta \bar{\partial} (\varphi^2 u), \varphi \bar{\partial} \varphi \wedge \vartheta u)_R + \\ + 4(-1)^{p+q} (\vartheta \varphi \wedge \bar{\partial} \varphi \wedge u, \varphi \bar{\partial} \varphi \wedge \vartheta u)_R + 4(-1)^{p+q} (\varphi \vartheta \bar{\partial} \varphi \wedge u)_R - 2(\vartheta \bar{\partial} (\varphi^2 u), \varphi \vartheta \varphi \wedge \bar{\partial} u)_R + \\ + 4(\varphi \bar{\partial} \varphi \wedge \vartheta u, \varphi \bar{\partial} \varphi \wedge \vartheta u)_R + 4(-1)^{p+q} (\varphi \vartheta \varphi \wedge \bar{\partial} u, \varphi \bar{\partial} \varphi \wedge \vartheta u)_R - 2(\vartheta \bar{\partial} (\varphi^2 u), \varphi \vartheta \varphi \wedge \bar{\partial} u)_R + \\ + 4(\vartheta \varphi \wedge \bar{\partial} \varphi \wedge u, \varphi \vartheta \varphi \wedge \bar{\partial} u)_R + 4(\varphi \vartheta \bar{\partial} \varphi \wedge u, \varphi \vartheta \varphi \wedge \bar{\partial} u)_R + 4(-1)^{p+q} (\varphi \bar{\partial} \varphi \wedge \vartheta u, \varphi \vartheta \varphi \wedge \bar{\partial} u)_R + \\ + 4(\varphi \vartheta \varphi \wedge \bar{\partial} u, \varphi \vartheta \varphi \wedge \bar{\partial} u)_R + 2(\vartheta \varphi \wedge \bar{\partial} \varphi \wedge u, \vartheta \bar{\partial} (\varphi^2 u))_R + 2(\varphi \vartheta \bar{\partial} \varphi \wedge u, \vartheta \bar{\partial} (\varphi^2 u))_R. \end{aligned}$$

After the same computation we can obtain a similar identity for the term $(\partial \vartheta(\varphi^2 u), \partial \vartheta(\varphi^2 u))_R$.

Step 2. Now we will prove (3.4). By taking the sum of (3.6), (3.7), (3.8) and the similar identity for the term $(\bar{\partial}\vartheta(\varphi^2 u), \bar{\partial}\vartheta(\varphi^2 u))_R$, taking into account the very definition of \mathcal{D} , Young inequality and (3.5) we easily obtain

$$(3.9) \qquad (\vartheta \bar{\partial} (\varphi^2 u), \vartheta \bar{\partial} (\varphi^2 u))_R + (\bar{\partial} \vartheta (\varphi^2 u), \bar{\partial} \vartheta (\varphi^2 u))_R + (\varphi^2 \partial u, \varphi^2 \partial u)_R + (\varphi^2 \bar{\partial} u, \varphi^2 \bar{\partial} u)_R \leq \\ \leq |(\mathcal{D}u, \varphi^4 u)_R| + \frac{1}{2} [(\vartheta \bar{\partial} (\varphi^2 u), \vartheta \bar{\partial} (\varphi^2 u)_R + (\bar{\partial} \vartheta (\varphi^2 u), \bar{\partial} \vartheta (\varphi^2 u))_R + (\varphi^2 \partial u, \varphi^2 \partial u)_R + (\varphi^2 \bar{\partial} u, \varphi^2 \bar{\partial} u)_R] + \\ + \left(\frac{\alpha}{(R-r)^2} + \frac{\beta}{(R-r)^4}\right) (u, u)_R + \frac{\gamma}{(R-r)^2} ((\bar{\partial} u, \bar{\partial} u)_R + (\vartheta u, \vartheta u)_R)$$

for some positive constants α, β, γ depending only on the complex dimension n. Then

$$\begin{aligned} (\vartheta \bar{\partial} (\varphi^2 u), \vartheta \bar{\partial} (\varphi^2 u))_R + (\bar{\partial} \vartheta (\varphi^2 u), \bar{\partial} \vartheta (\varphi^2 u))_R + (\varphi^2 \partial u, \varphi^2 \partial u)_R + (\varphi^2 \bar{\partial} u, \varphi^2 \bar{\partial} u)_R \leq \\ \leq 2 |(\mathcal{D}u, \varphi^4 u)_R| + \left(\frac{2\alpha}{(R-r)^2} + \frac{2\beta}{(R-r)^4}\right) (u, u)_R + \frac{2\gamma}{(R-r)^2} ((\bar{\partial} u, \bar{\partial} u)_R + (\vartheta u, \vartheta u)_R). \end{aligned}$$

Now observe that

$$(\vartheta\bar{\partial}(\varphi^2 u), \vartheta\bar{\partial}(\varphi^2 u))_R + (\bar{\partial}\vartheta(\varphi^2 u), \bar{\partial}\vartheta(\varphi^2 u))_R = (\Box(\varphi^2 u), \Box(\varphi^2 u))_R$$

and, at the same time, applying (3.3),

$$(\vartheta\bar{\partial}(\varphi^2 u), \vartheta\bar{\partial}(\varphi^2 u))_R + (\bar{\partial}\vartheta(\varphi^2 u), \bar{\partial}\vartheta(\varphi^2 u))_R = (\varphi^2 u, \varphi^2 u)_{2,R}.$$

Thus, since $\varphi = 1$ on B_r , we deduce that

$$(\Box u, \Box u)_r + (u, u)_{2,r} + (\partial u, \partial u)_r + (\bar{\partial} u, \bar{\partial} u)_r \le \left(\frac{4\alpha}{(R-r)^2} + \frac{4\beta}{(R-r)^4}\right)(u, u)_R + \frac{4\gamma}{(R-r)^2}((\bar{\partial} u, \bar{\partial} u)_R + (\vartheta u, \vartheta u)_R) + 4|(\mathcal{D} u, \varphi^4 u)_R|.$$

Finally, applying again Young inequality, we obtain, for any $\eta > 0$,

$$(\Box u, \Box u)_r + (u, u)_{2,r} + (\partial u, \partial u)_r + (\bar{\partial} u, \bar{\partial} u)_r \le \left(\frac{4\alpha}{(R-r)^2} + \frac{4\beta}{(R-r)^4} + \frac{4}{\eta}\right)(u, u)_R + \frac{4\gamma}{(R-r)^2}((\bar{\partial} u, \bar{\partial} u)_R + (\vartheta u, \vartheta u)_R) + 4\eta|(\mathcal{D} u, \mathcal{D} u)_R|$$

which is, up to constants, inequality (3.4).

4. A Hodge-Kodaira decomposition for the space $W^{p,q}(X)$

This section is devoted to the proof of a Hodge-Kodaira orthogonal decomposition for the space $W^{p,q}(X)$.

Proposition 4.1. It holds

(4.1) Ker
$$\Box \cap W^{p,q}(X) = \text{Ker } \mathcal{D} \cap W^{p,q}(X) = \{ u \in A^{p,q}(X) \cap W^{p,q}(X) : \vartheta \overline{\vartheta} u = \partial u = \overline{\partial} u = 0 \}$$
.
Proof. Let $u \in W^{p,q}(X)$ with $\mathcal{D}u = 0$. Then inequality (3.4) implies that

$$\begin{aligned} (\vartheta\bar{\vartheta}u,\vartheta\bar{\vartheta}u)_r + (\partial u,\partial u)_r + (\bar{\partial}u,\bar{\partial}u)_r &\leq \left(\frac{c_1}{(R-r)^4} + \frac{c_2}{(R-r)^2} + \frac{1}{\sigma}\right)(u,u)_X + \\ &+ \frac{c_3}{(R-r)^2}((\bar{\partial}u,\bar{\partial}u)_X + (\vartheta u,\vartheta u)_X) \end{aligned}$$

for any $R, r, \sigma > 0$ with r < R. Observe that since X is connected we get

$$(\vartheta\bar{\vartheta}u,\vartheta\bar{\vartheta}u)_r + (\partial u,\partial u)_r + (\bar{\partial}u,\bar{\partial}u)_r \to (\vartheta\bar{\vartheta}u,\vartheta\bar{\vartheta}u)_X + (\partial u,\partial u)_X + (\bar{\partial}u,\bar{\partial}u)_X$$

as $r \to +\infty$. Choosing r = R/2 and by taking the lim sup as $R, \sigma \to +\infty$ we deduce that $(\vartheta \bar{\vartheta} u, \vartheta \bar{\vartheta} u)_X = (\partial u, \partial u)_X = (\bar{\partial} u, \bar{\partial} u)_X = 0$. Then $\vartheta \bar{\vartheta} u = \partial u = \bar{\partial} u = 0$. Conversely if $u \in A^{p,q}(X)$ and if $\vartheta \bar{\vartheta} u = \partial u = \bar{\partial} u = 0$ then recalling (3.3) we immediately have $\mathcal{D} u = 0$. Then

$$\operatorname{Ker} \mathcal{D} \cap \mathrm{W}^{p,q}(X) = \{ u \in \mathrm{A}^{p,q}(X) \cap \mathrm{W}^{p,q}(X) : \vartheta \bar{\vartheta} u = \partial u = \bar{\partial} u = 0 \}.$$

Now if $u \in A^{p,q}(X) \cap W^{p,q}(X)$ and $\Box u = 0$ then applying (1.2) and the same for $\overline{\Box}$ we get $\partial u = \overline{\partial} u = \vartheta u = \overline{\vartheta} u = 0$, and thus $\mathcal{D} u = 0$. Conversely if $u \in A^{p,q}(X) \cap W^{p,q}(X)$ and $\mathcal{D} u = 0$ then by (3.4) we have

$$(\Box u, \Box u)_r \le \left(\frac{c_1}{(R-r)^4} + \frac{c_2}{(R-r)^2} + \frac{1}{\sigma}\right)(u, u)_X + \frac{c_3}{(R-r)^2}((\bar{\partial}u, \bar{\partial}u)_X + (\vartheta u, \vartheta u)_X).$$

Reasoning as before we conclude.

Lemma 4.2. If at least one form between u and v belong to $D^{p,q}(X)$ then

(4.2)
$$(\partial u, v)_{1,X} = (u, \vartheta v)_{1,X} \quad and \quad (\partial u, v)_{1,X} = (u, \vartheta v)_{1,X}.$$

Proof. By direct computation we have, since (2.1) and (2.2) hold,

$$\begin{aligned} (\partial u, v)_{1,X} &= (\partial u, v)_X + (\bar{\partial}\partial u, \bar{\partial}v)_X + (\vartheta\partial u, \vartheta v)_X = (u, \bar{\vartheta}v)_X + (\vartheta\bar{\partial}\partial u, v)_X + (\bar{\partial}\vartheta\partial u, v)_X = \\ &= (u, \bar{\vartheta}v)_X + ((\vartheta\bar{\partial} + \bar{\partial}\vartheta)\partial u, v)_X = (u, \bar{\vartheta}v)_X + ((\partial\bar{\vartheta} + \bar{\vartheta}\partial)\partial u, v)_X = \\ &= (u, \bar{\vartheta}v)_X + (\partial\bar{\vartheta}\partial u, v)_X = (u, \bar{\vartheta}v)_X + (\bar{\vartheta}\partial u, \bar{\vartheta}v)_X \end{aligned}$$

and

$$(u, \vartheta v)_{1,X} = (u, \vartheta v)_X + (\partial u, \partial \vartheta v)_X + (\vartheta u, \vartheta \vartheta v)_X =$$

= $(u, \bar{\vartheta}v)_X + (\vartheta \bar{\vartheta}u, \bar{\vartheta}v)_X + (\bar{\vartheta}\vartheta u, \bar{\vartheta}v)_X = (u, \bar{\vartheta}v)_X + (\vartheta \bar{\vartheta}u + \bar{\vartheta}u, \bar{\vartheta}v)_X =$
= $(u, \bar{\vartheta}v)_X + (\partial \bar{\vartheta}u + \bar{\vartheta}\partial u, \bar{\vartheta}v)_X = (u, \bar{\vartheta}v)_X + (\bar{\vartheta}\partial u, \bar{\vartheta}v)_X.$

The other one is similar.

Theorem 4.3. (Hodge-Kodaira decomposition) The following Hodge-Kodaira orthogonal decomposition holds:

$$(4.3) \qquad \mathbf{W}^{p,q}(X) = [\partial \bar{\partial} \mathbf{D}^{p-1,q-1}(X)]_1 \oplus_{\perp} [\partial \mathbf{D}^{p,q+1}(X) + \bar{\partial} \mathbf{D}^{p+1,q}(X)]_1 \oplus_{\perp} \operatorname{Ker} \Box \cap \mathbf{W}^{p,q}(X).$$

Proof. Taking into account (4.1) it is sufficient to show that

$$W^{p,q}(X) = [\partial \bar{\partial} D^{p-1,q-1}(X)]_1 \oplus_{\perp} [\partial D^{p,q+1}(X) + \bar{\partial} D^{p+1,q}(X)]_1 \oplus_{\perp} \\ \oplus_{\perp} \{ u \in \mathcal{A}^{p,q}(X) \cap \mathcal{W}^{p,q}(X) : \partial \bar{\partial} u = \partial u = \bar{\partial} u = 0 \}.$$

Step 1. First we prove that the subspaces

$$[\partial \overline{\partial} \mathbf{D}^{p-1,q-1}(X)]_1$$
 and $[\partial \mathbf{D}^{p,q+1}(X) + \overline{\partial} \mathbf{D}^{p+1,q}(X)]_1$

are orthogonal in the space $W^{p,q}(X)$. Let $u = \partial \bar{\partial} \tilde{u}$ for some $\tilde{u} \in D^{p-1,q-1}(X)$ and let $v = \vartheta \tilde{v}_1 + \bar{\vartheta} \tilde{v}_2$ for some $\tilde{v}_1 \in D^{p,q+1}(X)$ and $\tilde{v}_2 \in D^{p+1,q}(X)$. Then taking into account (4.2) we get

$$(u,v)_{1,X} = (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \bar{\vartheta} \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_2)_{1,X} = -(\bar{\partial} \partial \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{u}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{\partial} \tilde{v}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{v}, \vartheta \tilde{v}_1)_{1,X} + (\partial \bar{v},$$

 $= -(\partial \tilde{u}, \vartheta^2 \tilde{v}_1)_{1,X} + (\bar{\partial} \tilde{u}, \bar{\vartheta}^2 \tilde{v}_2)_{1,X} = 0.$

Passing to the closures in $W^{p,q}(X)$ we conclude.

Step 2. Taking into account Step 1 and applying the projection theorem in an Hilbert space we obtain the orthogonal decomposition

$$W^{p,q}(X) = [\partial \bar{\partial} D^{p-1,q-1}(X)]_1 \oplus_{\perp} [\partial D^{p,q+1}(X) + \bar{\partial} D^{p+1,q}(X)]_1 \oplus_{\perp} \\ \oplus_{\perp} [\partial \bar{\partial} D^{p-1,q-1}(X)]_1^{\perp} \cap [\partial D^{p,q+1}(X) + \bar{\partial} D^{p+1,q}(X)]_1^{\perp}.$$

Using the same argument as before we easily get

$$\{u\in \mathcal{A}^{p,q}(X)\cap \mathcal{W}^{p,q}(X): \vartheta\bar{\vartheta}u=\partial u=\bar{\partial}u=0\}\subseteq$$

$$\subseteq [\partial \bar{\partial} \mathrm{D}^{p-1,q-1}(X)]_{1}^{\perp} \cap [\partial \mathrm{D}^{p,q+1}(X) + \bar{\partial} \mathrm{D}^{p+1,q}(X)]_{1}^{\perp}.$$

Now if $u \in [\partial \bar{\partial} D^{p-1,q-1}(X)]_1^{\perp} \cap [\partial D^{p,q+1}(X) + \bar{\partial} D^{p+1,q}(X)]_1^{\perp}$ then for each $v \in D^{p-1,q-1}(X)$, $w \in D^{p,q+1}(X)$ and $z \in D^{p+1,q}(X)$ we have

(4.4)
$$(\partial \bar{\partial} v, u)_{1,X} = (\vartheta w + \bar{\vartheta} z, u)_{1,X} = 0.$$

Let $\bar{u} \in D^{p,q}(X)$; then considering (3.3) we have

$$(\mathcal{D}\bar{u}, u)_{1,X} = (\partial\bar{\partial}\omega_1, u)_{1,X} + (\partial\omega_2 + \bar{\partial}\omega_3, u)_{1,X}$$

where

$$\omega_1 = \vartheta \bar{\vartheta} \bar{u} \in \mathcal{D}^{p-1,q-1}(X), \quad \omega_2 = \bar{\partial} \vartheta \partial \bar{u} \in \mathcal{D}^{p,q+1}(X), \quad \omega_3 = \bar{\vartheta} \partial \bar{\partial} \bar{u} + \partial \bar{\vartheta} \bar{\partial} \bar{u} \in \mathcal{D}^{p+1,q}(X)$$

and thus from (4.4) we deduce that $(\mathcal{D}\bar{u}, u)_X = 0$. Then u is a weak solution of the equation $\mathcal{D} = 0$; since \mathcal{D} is an elliptic operator we get $u \in A^{p,q}(X)$. By (4.2) we finally obtain

 $(v,\vartheta\bar{\vartheta}u)_{1,X} = (w,\bar{\partial}u)_{1,X} = (z,\partial u)_{1,X} = 0$

for all $v \in D^{p-1,q-1}(X)$, $w \in D^{p,q+1}(X)$ and $z \in D^{p+1,q}(X)$. Therefore $\vartheta \overline{\vartheta} u = \partial u = \overline{\partial} u = 0$, and this concludes the proof of (4.3).

5. Applications to the study of Aeppli groups

Let $p,q \ge 1$ integers. As recalled in the Introduction, the Aeppli groups $\Lambda^{p,q}$ were originally defined by

$$\Lambda^{p,q} = \frac{\operatorname{Ker}\{\Lambda^{p,q}(X) \xrightarrow{d} \Lambda^{p+1,q+1}(X)\}}{\partial \bar{\partial} \Lambda^{p-1,q-1}(X)}.$$

Bigolin, in [11], proves, using certain resolutions of the sheaf of germs of $\partial \bar{\partial}$ -closed functions, that there exists an algebraic isomorphism between $\Lambda^{p,q}$ and

$$\tilde{\Lambda}^{p,q} := \frac{\operatorname{Ker}\{\operatorname{K}^{p,q}(X) \xrightarrow{d} \operatorname{K}^{p+1,q+1}(X)\}}{\partial \bar{\partial} \operatorname{K}^{p-1,q-1}(X)}.$$

First we prove the following lemma.

Lemma 5.1. The natural map

$$\frac{[\operatorname{Ker}\{\mathrm{D}^{p,q}(X) \xrightarrow{d} \mathrm{D}^{p+1,q}(X) \oplus \mathrm{D}^{p,q+1}(X)\}]_1}{[\partial \bar{\partial} \mathrm{D}^{p-1,q-1}(X)]_1} \to \frac{[\operatorname{Ker}\{\mathrm{D}^{p+q}(X) \xrightarrow{d} \mathrm{D}^{p+q+1}(X)\}]_{\mathrm{L}^{p+q}}}{[d\mathrm{D}^{p+q-1}(X)]_{\mathrm{L}^{p+q}}}.$$

is injective.

Proof. Using the same argument of the proof of theorem 4.3 it is possible to show that

$$[\operatorname{Ker} \{ \mathbf{D}^{p,q}(X) \xrightarrow{d} \mathbf{D}^{p+1,q}(X) \oplus \mathbf{D}^{p,q+1}(X) \}]_1 = [\vartheta \mathbf{D}^{p,q+1}(X) + \bar{\vartheta} \mathbf{D}^{p+1,q}(X)]_1^{\perp}.$$

Taking into account the Hodge-Kodaira decomposition (4.3) we deduce that

$$\frac{[\operatorname{Ker}\{\mathbf{D}^{p,q}(X) \xrightarrow{d} \mathbf{D}^{p+1,q}(X) \oplus \mathbf{D}^{p,q+1}(X)\}]_1}{[\partial \bar{\partial} \mathbf{D}^{p-1,q-1}(X)]_1} \quad \text{and} \quad \operatorname{Ker} \Box \cap \mathbf{W}^{p,q}(X)$$

are isomorphic. Now Ker $\Box \cap W^{p,q}(X) \subseteq \text{Ker} \Delta \cap L^{p+q}(X)$. Since

$$[\operatorname{Ker} \{ \mathbf{D}^{p+q}(X) \xrightarrow{d} \mathbf{D}^{p+q+1}(X) \}]_{\mathbf{L}^{p+q}(X)} = [\delta \mathbf{D}^{p+1}(X)]_{\mathbf{L}^{p}(X)}^{\perp}$$

then, by the classical Hodge-Kodaira decomposition (1.5),

$$\operatorname{Ker} \Delta \cap \mathcal{L}^{p+q}(X) \quad \text{and} \quad \frac{[\operatorname{Ker} \{\mathcal{D}^{p+q}(X) \xrightarrow{d} \mathcal{D}^{p+q+1}(X)\}]_{\mathcal{L}^{p+q}(X)}}{[d\mathcal{D}^{p+q-1}(X)]_{\mathcal{L}^{p+q}(X)}}$$

are isomorphic, and this concludes the proof.

In order to prove the main theorem of this section, i.e. a characterization of the Aeppli groups $\Lambda^{p,q}(X)$, we have to assume a technical topological condition on the manifold X. More precisely we will assume that

(5.1)
$$\partial \bar{\partial} \mathbf{K}^{p-1,q-1}(X)$$
 is weakly closed in $\mathbf{K}^{p,q}(X)$

where the weak topology on $K^{p,q}(X)$ is the usual weak topology of distributions (recall that $K^{p,q}(X)$ is the dual space of $D^{p,q}(X)$). It is well known that compact manifolds and Stein manifolds are examples of manifolds satisfying condition (5.1), so that our result extends the results contained in [1] and [10]. Moreover we point out that condition (5.1) is a necessary condition in order to prove only the next theorem: all the rest of the paper holds independently from this assumption; in particular the Stampacchia-type inequality (3.4) and the Hodge-Kodaira decomposition (4.3) hold for any connected and complete Kähler manifolds.

Theorem 5.2. Let us assume (5.1). Then the Aeppli group $\Lambda^{p,q}(X)$ is isomorphic to the group $\mathrm{H}^{p,q}(X)$, where we recall that $\mathrm{H}^{p,q}(X)$ denotes the space of all global harmonic (p+q)-forms of bidegree (p,q).

Proof. Since

$$\mathbf{H}^{p+q}(X) \simeq \frac{\mathrm{Ker}\{\mathbf{K}^{p+q}(X) \xrightarrow{d} \mathbf{K}^{p+q+1}(X)\}}{d\mathbf{K}^{p+q-1}(X)}$$

and since the image of the natural map

$$i \colon \frac{\operatorname{Ker}\{\operatorname{K}^{p,q}(X) \xrightarrow{d} \operatorname{K}^{p+1,q+1}(X)\}}{\partial \bar{\partial} \operatorname{K}^{p-1,q-1}(X)} \to \frac{\operatorname{Ker}\{\operatorname{K}^{p+q}(X) \xrightarrow{d} \operatorname{K}^{p+q+1}(X)\}}{d\operatorname{K}^{p+q-1}(X)}$$

is exactly $\mathrm{H}^{p,q}(X)$, then it is sufficient to show that i is injective. Let $T \in \mathrm{K}^{p,q}(X)$ with T = dSfor some $S \in \mathrm{K}^{p+q-1}(X)$. Then we have to show that there exists $R \in \mathrm{K}^{p-1,q-1}(X)$ such that $T = \partial \bar{\partial} R$. Since $\mathrm{D}^{p+q-1}(X)$ is dense in $\mathrm{K}^{p+q-1}(X)$ then there exists a sequence $(S_h)_{h\in\mathbb{N}} \subseteq \mathrm{D}^{p+q-1}(X)$ with $S_h \to S$ as $h \to +\infty$. Then $dS_h \to T$ and we can suppose, without loss of generality, that $dS_h \in \mathrm{D}^{p,q}(X)$. Let $T_h = dS_h$. Taking into account lemma 5.1 we get

$$T_h \in [\partial \bar{\partial} D^{p-1,q-1}(X)]_1$$

so that

$$T_h = \lim_{k \to +\infty} T_h^k$$

with $T_h^k = \partial \bar{\partial} U_h^k$ for some $U_h^k \in D^{p-1,q-1}(X)$. Since we are assuming $\partial \bar{\partial} K^{p-1,q-1}(X)$ weakly closed in $K^{p,q}(X)$ then

$$T_h = \partial \bar{\partial} R_h$$

for some $R_h \in K^{p-1,q-1}(X)$, and then $T = \partial \overline{\partial} R$ for some $R \in K^{p-1,q-1}(X)$, which ends the proof.

Remark 5.3. One can repeat all the considerations on the operator

$$\mathcal{D}^* = \vartheta \bar{\partial} \vartheta \bar{\partial} + \bar{\partial} \vartheta \bar{\partial} \vartheta + \bar{\partial} \vartheta + \partial \bar{\vartheta}$$

and in particular we get the Hodge-Kodaira orthogonal decomposition

$$W^{p,q}(X) = [\vartheta \bar{\vartheta} D^{p+1,q+1}(X)]_1 \oplus_{\perp} [\partial D^{p-1,q}(X) + \bar{\partial} D^{p,q-1}(X)]_1 \oplus_{\perp} \operatorname{Ker} \Box \cap W^{p,q}(X)$$

which permits to study the Aeppli groups $V^{p,q}(X)$ reasoning as in lemma 5.1 and in theorem 5.2.

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