

STRUCTURE THEORY OF METRIC-MEASURE SPACES WITH LOWER RICCI CURVATURE BOUNDS I

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ABSTRACT. We prove that a metric measure space (X, d, m) satisfying finite dimensional lower Ricci curvature bounds and whose Sobolev space $W^{1,2}$ is Hilbert is *rectifiable*. That is, a $\text{RCD}^*(K, N)$ -space is rectifiable, and in particular for m -a.e. point the tangent cone is unique and euclidean of dimension at most N . The proof is based on a maximal function argument combined with an original Almost Splitting Theorem via estimates on the gradient of the excess. To this aim we also show a sharp integral Abresch-Gromoll type inequality on the excess function and an Abresch-Gromoll-type inequality on the gradient of the excess. The argument is new even in the smooth setting.

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1. INTRODUCTION

There is at this stage a well developed structure theory for Gromov-Hausdorff limits of smooth Riemannian manifolds with lower Ricci curvature bounds, see for instance the work of Cheeger-Colding [17, 18, 19, 20] and more recently [25] by Colding and the second author.

On the other hand, in the last ten years, there has been a surge of activity on general metric measure spaces (X, d, m) satisfying a lower Ricci curvature bound in some generalized sense. This investigation began with the seminal papers of Lott-Villani [38] and Sturm [44, 45], though has been adapted considerably since the work of Bacher-Sturm [10] and Ambrosio-Gigli-Savaré [5, 6]. The crucial property of any such definition is the compatibility with the smooth Riemannian case and the stability with respect to measured Gromov-Hausdorff convergence. While a great deal of progress has been made in this latter general framework, see for instance [3, 5, 6, 7, 8, 9, 10, 14, 15, 27, 28, 29, 30, 32, 33, 34, 40, 41, 42, 46], the structure theory on such metric-measure spaces is still much less developed than in the case of smooth limits.

The notion of lower Ricci curvature bound on a general metric-measure space comes with two subtleties. The first is that of *dimension*, and has been well understood since the work of Bakry-Emery [11]: in both the geometry and analysis of spaces with lower Ricci curvature bounds, it has become clear the correct statement is not that “ X has Ricci curvature bounded from below by K ”, but that “ X has N -dimensional Ricci curvature bounded from below by K ”. Such spaces are said to satisfy the (K, N) -Curvature Dimension condition, $CD(K, N)$ for short; a variant of this is that of *reduced* curvature dimension bound, $CD^*(K, N)$. See [10, 11, 45] and Section 2 for more on this.

The second subtle point, which is particularly relevant for this paper, is that the classical definition of a metric-measure space with lower Ricci curvature bounds allows for Finsler structures (see the last theorem in [46]), which after the aforementioned works of Cheeger-Colding are known not to appear as limits of smooth manifolds with lower Ricci curvature lower bounds. To address this issue, Ambrosio-Gigli-Savaré [6] introduced a more restrictive condition which rules out Finsler geometries while retaining the stability properties under measured Gromov-Hausdorff convergence, see also [3] for the present simplified axiomatization. In short, one studies the Sobolev space $W^{1,2}(X)$ of functions on X . This space is always a Banach space, and the imposed extra condition is that $W^{1,2}(X)$ is a Hilbert space. Equivalently, the Laplace operator on X is linear. The notion of a lower Ricci curvature bound compatible with this last Hilbertian condition is called *Riemannian Curvature Dimension* bound, RCD for short. Refinements of this have led to the notion of $RCD^*(K, N)$ -spaces, which is the key object of study in this paper. See Section 2 for a precise definition.

Remarkably, as proved by Erbar-Kuwada-Sturm [27] and by Ambrosio-Savaré and the first author [8], the $RCD^*(K, N)$ condition is equivalent to the dimensional Bochner inequality of Bakry-Emery [11]. There are various important consequences of this, and in particular the classical Li-Yau and Harnack type estimates on the heat flow [37], known for Riemannian manifolds with lower Ricci bounds, hold for $RCD^*(K, N)$ -spaces as well, see [28].

More recently, an important contribution by Gigli [30] has been to show that on $RCD^*(0, N)$ -spaces the analogue of the Cheeger-Gromoll Splitting Theorem [21] holds, thus providing a geometric property which fails on general $CD(K, N)/CD^*(K, N)$ -spaces. This was pushed by Gigli-Rajala and the first author in [33] to prove that m -a.e. point in an $RCD^*(K, N)$ -space has a euclidean tangent cone; the possibility of having

non unique tangent cones on a set of positive measure was conjectured to be false, but not excluded.

In the present work we proceed in the investigation of the geometric properties of $\text{RCD}^*(K, N)$ -spaces by establishing their rectifiability, and consequently the \mathfrak{m} -a.e. uniqueness of tangent cones. More precisely the main result of the paper is the following:

Theorem 1.1 (Rectifiability of $\text{RCD}^*(K, N)$ -spaces). *Let $(X, \mathfrak{d}, \mathfrak{m})$ be an $\text{RCD}^*(K, N)$ -space, for some $K, N \in \mathbb{R}$ with $N > 1$. Then there exists a countable collection $\{R_j\}_{j \in \mathbb{N}}$ of \mathfrak{m} -measurable subsets of X , covering X up to an \mathfrak{m} -negligible set, such that each R_j is biLipschitz to a measurable subset of \mathbb{R}^{k_j} , for some $1 \leq k_j \leq N$, k_j possibly depending on j .*

Actually, as we are going to describe below, we prove the following stronger rectifiability property: there exists $\bar{\varepsilon} = \bar{\varepsilon}(K, N)$ such that, if $(X, \mathfrak{d}, \mathfrak{m})$ is an $\text{RCD}^*(K, N)$ -space then for every $\varepsilon \in (0, \bar{\varepsilon}]$ there exists a countable collection $\{R_j^\varepsilon\}_{j \in \mathbb{N}}$ of \mathfrak{m} -measurable subsets of X , covering X up to an \mathfrak{m} -negligible set, such that each R_j^ε is $(1 + \varepsilon)$ -biLipschitz to a measurable subset of \mathbb{R}^{k_j} , for some $1 \leq k_j \leq N$, k_j possibly depending on j .

Remark 1.1. It will be a consequence of the proof that if $(X, \mathfrak{d}, \mathfrak{m})$ is a $\text{CD}^*(K, N)$ -space, then X is $1 + \varepsilon$ rectifiable in the above sense for every $\varepsilon \in (0, \bar{\varepsilon}]$ if and only if X is an $\text{RCD}^*(K, N)$ -space.

As an immediate corollary (but, for completeness, in Section 6.3 we will give a proof) we get the following:

Corollary 1.2 (\mathfrak{m} -a.e. uniqueness of tangent cones). *Let $(X, \mathfrak{d}, \mathfrak{m})$ be an $\text{RCD}^*(K, N)$ -space, for some $K, N \in \mathbb{R}$ with $N > 1$. Then for \mathfrak{m} -a.e. $x \in X$ the tangent cone of X at x is unique and isometric to the k_x -dimensional euclidean space, for some $k_x \in \mathbb{N}$ with $1 \leq k_x \leq N$.*

1.1. Outline of Paper and Proof. In the context when X is a limit of smooth n -manifolds with n -dimensional Ricci curvature bounded from below, Theorem 1.1 was first proved in [18]. There a key step was to prove hessian estimates on harmonic approximations of distance functions, and to use these to force splitting behavior. In the context of general metric spaces the notion of a hessian is still not at the same level as it is for a smooth manifold, and cannot be used in such strength. Instead we will prove entirely new estimates, both in the form of gradient estimates on the excess function and a new almost splitting theorem *with excess*, which will allow us to use the distance functions directly as our chart maps, a point which is new even in the smooth context.

In more detail, to prove Theorem 1.1 we will first consider the stratification of X composed by the following subsets $A_k \subset X$:

$$A_k := \{x \in X : \text{there exists a tangent cone of } X \text{ at } x \text{ equal to } \mathbb{R}^k \text{ but no tangent cone at } x \text{ splits } \mathbb{R}^{k+1}\}. \quad (1)$$

In Section 6.1 it will be proved that A_k is \mathfrak{m} -measurable, more precisely it is a difference of analytic subsets, and that

$$\mathfrak{m} \left(X \setminus \bigcup_{1 \leq k \leq N} A_k \right) = 0 \quad .$$

Therefore Theorem 1.1 and Corollary 1.2 will be consequences of the following more precise result, proved in Sections 6.2-6.3.

Theorem 1.3 (*m*-a.e. unique k -dimensional euclidean tangent cones and k -rectifiability of A_k). *Let $(X, \mathbf{d}, \mathbf{m})$ be an $\text{RCD}^*(K, N)$ -space, for some $K, N \in \mathbb{R}, N > 1$ and let $A_k \subset X$, for $1 \leq k \leq N$, be defined in (1).*

Then the following holds:

- (1) *For \mathbf{m} -a.e. $x \in A_k$ the tangent cone of X at x is unique and isomorphic to the k -dimensional euclidean space.*
- (2) *There exists $\bar{\varepsilon} = \bar{\varepsilon}(K, N) > 0$ such that, for every $0 < \varepsilon \leq \bar{\varepsilon}$, A_k is k -rectifiable via $1 + \varepsilon$ -biLipschitz maps. More precisely, for each $\varepsilon > 0$ we can cover A_k , up to an \mathbf{m} -negligible subset, by a countable collection of sets U_ε^k with the property that each one is $1 + \varepsilon$ -bilipschitz to a subset of \mathbb{R}^k .*

The proof of Theorem 1.3 is based on a maximal function argument combined with an explicit construction of Gromov-Hausdorff quasi-isometries with estimates (see Theorem 4.1) and an original almost Splitting Theorem via excess (see Theorem 5.1).

In a little more detail, given $\bar{x} \in A_k$ let $r > 0$ such that $B_{\delta^{-1}r}(\bar{x})$ is δr -close in the measured Gromov Hausdorff sense to a ball in \mathbb{R}^k . By the definition of A_k we can find such $r > 0$ for any $\delta > 0$. For some radius $r \ll R \ll \delta^{-1}r$ we can then pick points $\{p_i, q_i\} \in X$ which correspond to the bases $\pm Re_i$ of \mathbb{R}^k , respectively. Let us consider the map $\vec{d} = (\mathbf{d}(p_1, \cdot) - \mathbf{d}(p_1, \bar{x}), \dots, \mathbf{d}(p_k, \cdot) - \mathbf{d}(p_k, \bar{x})) : B_r(\bar{x}) \rightarrow \mathbb{R}^k$. It is clear for δ sufficiently small that \vec{d} is automatically an εr -measured Gromov-Hausdorff map between $B_r(\bar{x})$ and $B_r(0^k)$. Our primary claim in this paper is that there is a set $U_\varepsilon \subseteq B_r(\bar{x})$ of almost full measure such that for each $y \in U_\varepsilon$ and $s \leq r$, the restriction map $\vec{d} : B_s(y) \rightarrow \mathbb{R}^k$ is an εs -measured Gromov Hausdorff map. From this we can show that the restriction map $\vec{d} : U_\varepsilon \rightarrow \mathbb{R}^k$ is in fact $1 + \varepsilon$ -bilipschitz onto its image. By covering A_k with such sets we will show that A_k is itself rectifiable.

In order to construct the set U_ε we rely on Theorem 4.1 in which it is shown that the gradient of the excess functions of the points $\{p_i, q_i\}$ is small in L^2 . Roughly, the set U_ε is chosen by a maximal function argument to be the collection of points where the gradient of the excess remains small at all scales. To exploit this information, in Section 5, we obtain an *Almost Splitting Theorem via excess estimates*. Roughly, this will tell us that at such points the \mathbb{R}^k splitting is preserved at all scales, which is the required result to prove the main theorem. Let us mention that the Almost Splitting Theorem in the smooth framework is due to Cheeger-Colding [17] and is based on the existence of an ‘‘almost line’’; here, the framework is the one of non smooth $\text{RCD}^*(-\delta, N)$ -spaces and the hypothesis on the existence of an ‘‘almost line’’ is replaced by an assumption on the smallness of the gradient of the excess. Let us stress that this variant of Cheeger-Colding Almost Splitting Theorem is new even in the smooth setting. From the technical point of view our strategy is to use the estimates on the gradient of the excess in order to construct an appropriate replacement for the Busemann function (which is a priori not available since we do not assume existence of lines) and then to adapt the arguments of the proof by Gigli [30]-[31] of the Splitting Theorem in $\text{RCD}^*(0, N)$ -spaces.

In order to perform such a program, we start in Section 2 by recalling basic notions of metric measure spaces, the measured Gromov Hausdorff convergence, the definition of lower Ricci curvature bounds on metric-measure spaces, and a brief review of some of their basic properties. In particular we will discuss some useful estimates and properties of the heat flow on such spaces which will be useful throughout this paper.

In Section 3, inspired by the work [25] of Colding and the second author, we regularize the distance function via the heat flow getting sharp estimates. From a technical standpoint we also construct Lipschitz-cut off functions with L^∞ estimates on the Laplacian. Among other things this is used to obtain an improved integral Abresh-Gromoll inequality in $\text{RCD}^*(K, N)$ -spaces (see Theorem 3.6) and an integral estimate on the *gradient* of the excess function near a geodesic (see Theorem 3.8). Let us mention that the classical Abresh-Gromoll inequality was established in [1] and then improved to a sharp integral version in [25] in the smooth setting of Riemannian manifolds with lower Ricci curvature bounds. In Theorem 3.6 we establish in $\text{RCD}^*(K, N)$ -spaces an analogue of the sharp integral version of the Abresh-Gromoll inequality of [25] and then use it to prove a new Abresh-Gromoll type inequality on the *gradient* of the excess in Theorem 3.8. This will be the starting point to construct the Gromov-Haudorff approximation with estimate, Theorem 4.1, which is at the basis of the proof of the Rectifiability Theorem 1.3, as explained above.

In Section 4 we use the results established in Section 3 in order show A_k may be covered by distance function “charts” with good gradient estimates. In particular this will rigorously construct the previously discussed sets U_ε . In Section 5 we prove our *Almost Splitting with Excess* result in order to show these charts have the required splitting behavior on sets of large measure. Finally in Section 6 we combine these tools in order to prove our main theorems. That is, using the almost splitting theorem we first show the sets U_ε are bilipschitz to subsets of \mathbb{R}^k , and then using a covering argument we show this implies the desired rectifiability of A_k .

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2. PRELIMINARIES AND NOTATION

2.1. Pointed metric measure spaces and their equivalence classes. The basic objects we will deal with throughout the paper are metric measure spaces and pointed metric measure spaces, m.m.s. and p.m.m.s. for short. First of all let us recall the standard definitions.

A m.m.s. is a triple (X, d, m) where (X, d) is a complete and separable metric space and m is a locally finite (i.e. finite on bounded subsets) non-negative complete Borel measure on it.

It will often be the case that the measure m is *doubling*, i.e. such that

$$0 < m(B_{2r}(x)) \leq C(R) m(B_r(x)), \quad \forall x \in X, r \leq R, \quad (2)$$

for some positive function $C(\cdot) : [0, +\infty) \rightarrow (0, +\infty)$ which can, and will, be taken to be non-decreasing.

The bound (2) implies that $\text{supp } m = X$ and $m \neq 0$ and by iteration one gets

$$m(B_R(a)) \leq m(B_r(x)) (C(R))^{\log_2(\frac{R}{r})+2}, \quad \forall 0 < r \leq R, a \in X, x \in B_R(a). \quad (3)$$

In particular bounded subsets are totally bounded and hence doubling spaces are proper.

A p.m.m.s is a quadruple (X, d, m, \bar{x}) where (X, d, m) is a metric measure space and $\bar{x} \in \text{supp}(m)$ is a given reference point. Two p.m.m.s (X, d, m, \bar{x}) , (X', d', m', \bar{x}') are said to be isomorphic if there exists an isometry $T : (\text{supp}(m), d) \rightarrow (\text{supp}(m'), d')$ such that $T_\# m = m'$ and $T(\bar{x}) = \bar{x}'$.

We say that a p.m.m.s. $(X, \mathbf{d}, \mathfrak{m}, \bar{x})$ is normalized provided $\int_{B_1(\bar{x})} 1 - \mathbf{d}(\cdot, \bar{x}) \, d\mathfrak{m} = 1$. Obviously, given any p.m.m.s. $(X, \mathbf{d}, \mathfrak{m}, \bar{x})$ there exists a unique $c > 0$ such that $(X, \mathbf{d}, c\mathfrak{m}, \bar{x})$ is normalized, namely $c := (\int_{B_1(\bar{x})} 1 - \mathbf{d}(\cdot, \bar{x}) \, d\mathfrak{m})^{-1}$.

We denote by $\mathcal{M}_{C(\cdot)}$ the class of (isomorphism classes of) normalized p.m.m.s. fulfilling (2) for a given non-decreasing $C : (0, \infty) \rightarrow (0, \infty)$.

2.2. Pointed measured Gromov-Hausdorff topology and measured tangents. We will adopt the following definition of convergence of p.m.m.s (see [13], [32] and [46]):

Definition 2.1 (Pointed measured Gromov-Hausdorff convergence). A sequence $(X_j, \mathbf{d}_j, \mathfrak{m}_j, \bar{x}_j)$ is said to converge in the pointed measured Gromov-Hausdorff topology (p-mGH for short) to $(X_\infty, \mathbf{d}_\infty, \mathfrak{m}_\infty, \bar{x}_\infty)$ if there exists a separable metric space (Z, \mathbf{d}_Z) and isometric embeddings $\{\iota_j : (\text{supp}(\mathfrak{m}_j), \mathbf{d}_j) \rightarrow (Z, \mathbf{d}_Z)\}_{j \in \mathbb{N}}$ such that for every $\varepsilon > 0$ and $R > 0$ there exists i_0 such that for every $i > i_0$

$$\iota_\infty(B_R^{X_\infty}(\bar{x}_\infty)) \subset B_\varepsilon^Z[\iota_j(B_R^{X_j}(\bar{x}_j))] \quad \text{and} \quad \iota_j(B_R^{X_j}(\bar{x}_j)) \subset B_\varepsilon^Z[\iota_\infty(B_R^{X_\infty}(\bar{x}_\infty))],$$

where $B_\varepsilon^Z[A] := \{z \in Z : \mathbf{d}_Z(z, A) < \varepsilon\}$ for every subset $A \subset Z$, and

$$\int_Y \varphi \, d((\iota_j)_\#(\mathfrak{m}_j)) \quad \rightarrow \quad \int_Y \varphi \, d((\iota_\infty)_\#(\mathfrak{m}_\infty)) \quad \forall \varphi \in C_b(Z),$$

where $C_b(Z)$ denotes the set of real valued bounded continuous functions with bounded support in Z .

Sometimes in the following, for simplicity of notation, we will identify the spaces X_j with their isomorphic copies $\iota_j(X_j) \subset Z$.

It is obvious that this is in fact a notion of convergence for isomorphism classes of p.m.m.s., moreover it is induced by a metric (see e.g. [32] for details):

Proposition 2.2. *Let $C : (0, \infty) \rightarrow (0, \infty)$ be a non-decreasing function. Then there exists a distance $\mathcal{D}_{C(\cdot)}$ on $\mathcal{M}_{C(\cdot)}$ for which converging sequences are precisely those converging in the p-mGH sense. Furthermore, the space $(\mathcal{M}_{C(\cdot)}, \mathcal{D}_{C(\cdot)})$ is compact.*

Notice that the compactness of $(\mathcal{M}_{C(\cdot)}, \mathcal{D}_{C(\cdot)})$ follows by the standard argument of Gromov: the measures of spaces in $\mathcal{M}_{C(\cdot)}$ are uniformly doubling, hence balls of given radius around the reference points are uniformly totally bounded and thus compact in the GH-topology. Then weak compactness of the measures follows using the doubling condition again and the fact that they are normalized.

Before defining the measured tangents, let us recall that an equivalent way to define p-mGH convergence is via ε -quasi isometries as follows.

Proposition 2.3 (Equivalent definition of p-mGH convergence). *Let $(X_n, \mathbf{d}_n, \mathfrak{m}_n, \bar{x}_n)$, $n \in \mathbb{N} \cup \{\infty\}$, be pointed metric measure spaces as above. Then $(X_n, \mathbf{d}_n, \mathfrak{m}_n, \bar{x}_n) \rightarrow (X_\infty, \mathbf{d}_\infty, \mathfrak{m}_\infty, \bar{x}_\infty)$ in the pmGH-sense if and only if for any $\varepsilon, R > 0$ there exists $N(\varepsilon, R) \in \mathbb{N}$ such that for all $n \geq N(\varepsilon, R)$ there exists a Borel map $f_n^{R, \varepsilon} : B_R(\bar{x}_n) \rightarrow X_\infty$ such that*

- $f_n^{R, \varepsilon}(\bar{x}_n) = \bar{x}_\infty$,
- $\sup_{x, y \in B_R(\bar{x}_n)} |\mathbf{d}_n(x, y) - \mathbf{d}_\infty(f_n^{R, \varepsilon}(x), f_n^{R, \varepsilon}(y))| \leq \varepsilon$,
- the ε -neighbourhood of $f_n^{R, \varepsilon}(B_R(\bar{x}_n))$ contains $B_{R-\varepsilon}(\bar{x}_\infty)$,
- $(f_n^{R, \varepsilon})_\#(\mathfrak{m}_n \llcorner B_R(\bar{x}_n))$ weakly converges to $\mathfrak{m}_\infty \llcorner B_R(\bar{x}_\infty)$ as $n \rightarrow \infty$, for a.e. $R > 0$.

A crucial role in this paper is played by measured tangents, which are defined as follows. Let (X, d, m) be a m.m.s., $\bar{x} \in \text{supp}(m)$ and $r \in (0, 1)$; we consider the rescaled and normalized p.m.m.s. $(X, r^{-1}d, m_r^{\bar{x}}, \bar{x})$ where the measure $m_r^{\bar{x}}$ is given by

$$m_r^{\bar{x}} := \left(\int_{B_r(\bar{x})} 1 - \frac{1}{r} d(\cdot, \bar{x}) \, dm \right)^{-1} m. \quad (4)$$

Then we define:

Definition 2.4 (The collection of tangent spaces $\text{Tan}(X, d, m, \bar{x})$). Let (X, d, m) be a m.m.s. and $\bar{x} \in \text{supp}(m)$. A p.m.m.s. (Y, d_Y, ν, y) is called a *tangent* to (X, d, m) at $\bar{x} \in X$ if there exists a sequence of radii $r_i \downarrow 0$ so that $(X, r_i^{-1}d, m_{r_i}^{\bar{x}}, \bar{x}) \rightarrow (Y, d_Y, \nu, y)$ as $i \rightarrow \infty$ in the pointed measured Gromov-Hausdorff topology.

We denote the collection of all the tangents of (X, d, m) at $\bar{x} \in X$ by $\text{Tan}(X, d, m, \bar{x})$.

Remark 2.1. See [26] for basic properties of $\text{Tan}(X, d, m, \bar{x})$ for Ricci-limit spaces.

Notice that if (X, d, m) satisfies (2) for some non-decreasing $C : (0, \infty) \rightarrow (0, \infty)$, then $(X, r^{-1}d, m_r^{\bar{x}}, \bar{x}) \in \mathcal{M}_{C(\cdot)}$ for every $\bar{x} \in X$ and $r \in (0, 1)$ and hence the compactness stated in Proposition 2.2 ensures that the set $\text{Tan}(X, d, m, \bar{x})$ is non-empty.

It is also worth to notice that the map

$$\text{supp}(m) \ni x \quad \mapsto \quad (X, d, m_r^x, x),$$

is (sequentially) d -continuous for every $r > 0$, the target space being endowed with the p-mGH convergence.

2.3. Cheeger energy and Sobolev Classes. It is out of the scope of this short subsection to provide full details about the definition of the Cheeger energy and the associated Sobolev space $W^{1,2}(X, d, m)$, we will instead be satisfied in recalling some basic notions used in the paper (we refer to [5], [6], [7] for the basics on calculus in metric measure spaces).

First of all recall that on a m.m.s. there is not a canonical notion of “differential of a function” f but at least one has an m -a.e. defined “modulus of the differential”, called weak upper differential and denoted with $|Df|_w$; let us just mention that this object arises from the relaxation in $L^2(X, m)$ of the local Lipschitz constant

$$|Df|(x) := \limsup_{y \rightarrow x} \frac{|f(y) - f(x)|}{d(y, x)}, \quad f : X \rightarrow \mathbb{R}, \quad (5)$$

of Lipschitz functions. With this object one defines the Cheeger energy

$$\text{Ch}(f) := \frac{1}{2} \int_X |Df|_w^2 \, dm.$$

The Sobolev space $W^{1,2}(X, d, m)$ is by definition the space of $L^2(X, m)$ functions having finite Cheeger energy, and it is endowed with the natural norm $\|f\|_{W^{1,2}}^2 := \|f\|_{L^2}^2 + 2\text{Ch}(f)$ which makes it a Banach space. We remark that, in general, $W^{1,2}(X, d, m)$ is not Hilbert (for instance, on a smooth Finsler manifold the space $W^{1,2}$ is Hilbert if and only if the manifold is actually Riemannian); in case $W^{1,2}(X, d, m)$ is Hilbert then, following the notation introduced in [6] and [29], we say that (X, d, m) is *infinitesimally Hilbertian*. As

explained in [6], [7], the quadratic form Ch canonically induces a strongly regular Dirichlet form in (X, τ) , where τ is the topology induced by \mathbf{d} . In addition, but this fact is less elementary (see [6, §4.3]), the formula

$$\Gamma(f) = |Df|_w^2, \quad \Gamma(f, g) = \lim_{\epsilon \downarrow 0} \frac{|D(f + \epsilon g)|_w^2 - |Df|_w^2}{2\epsilon} \quad f, g \in W^{1,2}(X, \mathbf{d}, \mathfrak{m}),$$

where the limit takes place in $L^1(X, \mathfrak{m})$, provides an explicit expression of the associated *Carré du Champ* $\Gamma : W^{1,2}(X, \mathbf{d}, \mathfrak{m}) \times W^{1,2}(X, \mathbf{d}, \mathfrak{m}) \rightarrow L^1(X, \mathfrak{m})$ and yields the pointwise upper estimate

$$\Gamma(f) \leq |Df|^2 \quad \mathfrak{m}\text{-a.e. in } X, \text{ whenever } f \in \text{Lip}(X) \cap L^2(X, \mathfrak{m}), \quad |Df| \in L^2(X, \mathfrak{m}), \quad (6)$$

where, of course, $\text{Lip}(X)$ denotes the set of real valued Lipschitz functions on (X, \mathbf{d}) . Observe that clearly, in a smooth Riemannian setting, the Carré du Champ $\Gamma(f, g)$ coincides with the usual scalar product of the gradients of the functions f and g . Moreover by a nontrivial result of Cheeger [16] we have in locally doubling & Poincaré spaces that for locally Lipschitz functions the local Lipschitz constant and the weak upper differential coincide \mathfrak{m} -a.e..

2.4. Lower Ricci curvature bounds. In this subsection we quickly recall some basic definitions and properties of spaces with lower Ricci curvature bounds that we will use later on.

We denote by $\mathcal{P}(X)$ the space of Borel probability measures on the complete and separable metric space (X, \mathbf{d}) and by $\mathcal{P}_2(X) \subset \mathcal{P}(X)$ the subspace consisting of all the probability measures with finite second moment.

For $\mu_0, \mu_1 \in \mathcal{P}_2(X)$ the quadratic transportation distance $W_2(\mu_0, \mu_1)$ is defined by

$$W_2^2(\mu_0, \mu_1) = \inf_{\gamma} \int_X \mathbf{d}^2(x, y) d\gamma(x, y), \quad (7)$$

where the infimum is taken over all $\gamma \in \mathcal{P}(X \times X)$ with μ_0 and μ_1 as the first and the second marginals.

Assuming the space (X, \mathbf{d}) is a length space, also the space $(\mathcal{P}_2(X), W_2)$ is a length space. We denote by $\text{Geo}(X)$ the space of (constant speed minimizing) geodesics on (X, \mathbf{d}) endowed with the sup distance, and by $e_t : \text{Geo}(X) \rightarrow X$, $t \in [0, 1]$, the evaluation maps defined by $e_t(\gamma) := \gamma_t$. It turns out that any geodesic $(\mu_t) \in \text{Geo}(\mathcal{P}_2(X))$ can be lifted to a measure $\pi \in \mathcal{P}(\text{Geo}(X))$, so that $(e_t)_\# \pi = \mu_t$ for all $t \in [0, 1]$. Given $\mu_0, \mu_1 \in \mathcal{P}_2(X)$, we denote by $\text{OptGeo}(\mu_0, \mu_1)$ the space of all $\pi \in \mathcal{P}(\text{Geo}(X))$ for which $(e_0, e_1)_\# \pi$ realizes the minimum in (7). If (X, \mathbf{d}) is a length space, then the set $\text{OptGeo}(\mu_0, \mu_1)$ is non-empty for any $\mu_0, \mu_1 \in \mathcal{P}_2(X)$.

We turn to the formulation of the $\text{CD}^*(K, N)$ condition, coming from [10], to which we also refer for a detailed discussion of its relation with the $\text{CD}(K, N)$ condition (see also [14]).

Given $K \in \mathbb{R}$ and $N \in [1, \infty)$, we define the distortion coefficient $[0, 1] \times \mathbb{R}^+ \ni (t, \theta) \mapsto \sigma_{K, N}^{(t)}(\theta)$ as

$$\sigma_{K, N}^{(t)}(\theta) := \begin{cases} +\infty, & \text{if } K\theta^2 \geq N\pi^2, \\ \frac{\sin(t\theta \sqrt{K/N})}{\sin(\theta \sqrt{K/N})} & \text{if } 0 < K\theta^2 < N\pi^2, \\ t & \text{if } K\theta^2 = 0, \\ \frac{\sinh(t\theta \sqrt{K/N})}{\sinh(\theta \sqrt{K/N})} & \text{if } K\theta^2 < 0. \end{cases}$$

Definition 2.5 (Curvature dimension bounds). Let $K \in \mathbb{R}$ and $N \in [1, \infty)$. We say that a m.m.s. $(X, \mathbf{d}, \mathfrak{m})$ is a $\text{CD}^*(K, N)$ -space if for any two measures $\mu_0, \mu_1 \in \mathcal{P}(X)$ with support bounded and contained in $\text{supp}(\mathfrak{m})$

there exists a measure $\pi \in \text{OptGeo}(\mu_0, \mu_1)$ such that for every $t \in [0, 1]$ and $N' \geq N$ we have

$$- \int \rho_t^{1-\frac{1}{N'}} dm \leq - \int \sigma_{K, N'}^{(1-t)}(\mathbf{d}(\gamma_0, \gamma_1)) \rho_0^{-\frac{1}{N'}} + \sigma_{K, N'}^{(t)}(\mathbf{d}(\gamma_0, \gamma_1)) \rho_1^{-\frac{1}{N'}} d\pi(\gamma) \quad (8)$$

where for any $t \in [0, 1]$ we have written $(e_t)_\# \pi = \rho_t m + \mu_t^s$ with $\mu_t^s \perp m$.

Notice that if (X, \mathbf{d}, m) is a $\text{CD}^*(K, N)$ -space, then so is $(\text{supp}(m), \mathbf{d}, m)$, hence it is not restrictive to assume that $\text{supp}(m) = X$. It is also immediate to establish that

$$\begin{aligned} \text{If } (X, \mathbf{d}, m) \text{ is } \text{CD}^*(K, N), \text{ then the same is true for } (X, \mathbf{d}, cm) \text{ for any } c > 0. \\ \text{If } (X, \mathbf{d}, m) \text{ is } \text{CD}^*(K, N), \text{ then for } \lambda > 0 \text{ the space } (X, \lambda \mathbf{d}, m) \text{ is } \text{CD}^*(\lambda^{-2}K, N). \end{aligned} \quad (9)$$

On $\text{CD}^*(K, N)$ a natural version of the Bishop-Gromov volume growth estimate holds (see [10] for the precise statement), it follows that for any given $K \in \mathbb{R}$, $N \in [1, \infty)$ there exists a function $C : (0, \infty) \rightarrow (0, \infty)$ depending on K, N such that any $\text{CD}^*(K, N)$ -space (X, \mathbf{d}, m) fulfills (2).

In order to avoid the Finsler-like behavior of spaces with a curvature-dimension bound, the $\text{CD}^*(K, N)$ condition may be strengthened by requiring also that the Banach space $W^{1,2}(X, \mathbf{d}, m)$ is Hilbert. Such spaces are said to satisfy the *Riemannian* $\text{CD}^*(K, N)$ condition denoted with $\text{RCD}^*(K, N)$.

Now we state three fundamental properties of $\text{RCD}^*(K, N)$ -spaces (the first one is proved in [29], the second in [32] and the third in [30]). Let us first introduce the coefficients $\tilde{\sigma}_{K, N}(\cdot) : [0, \infty) \rightarrow \mathbb{R}$ defined by

$$\tilde{\sigma}_{K, N}(\theta) := \begin{cases} \theta \sqrt{\frac{K}{N}} \cotan\left(\theta \sqrt{\frac{K}{N}}\right), & \text{if } K > 0, \\ 1 & \text{if } K = 0, \\ \theta \sqrt{-\frac{K}{N}} \cotanh\left(\theta \sqrt{-\frac{K}{N}}\right), & \text{if } K < 0. \end{cases}$$

Recall that given an open subset $\Omega \subset X$, we say that a Sobolev function $f \in W_{loc}^{1,2}(\Omega, \mathbf{d}, m|_\Omega)$ is in the domain of the Laplacian and write $f \in D(\Delta^*, \Omega)$, if there exists a Radon measure μ on Ω such that for every $\psi \in \text{Lip}(X) \cap L^1(\Omega, |\mu|)$ with compact support in Ω it holds

$$- \int_{\Omega} \Gamma(f, \psi) dm = \int_{\Omega} \psi d\mu \quad .$$

In this case we write $\Delta^* f|_{\Omega} := \mu$; to avoid cumbersome notation, if $\Omega = X$ we simply write $\Delta^* f$. If moreover $\Delta^* f$ is absolutely continuous with respect to m with L_{loc}^2 density, we denote by Δf the unique function such that: $\Delta^* f = (\Delta f) m$, $\Delta f \in L_{loc}^2(X, m)$. In this case, for every $\psi \in W^{1,2}(X, \mathbf{d}, m)$ with compact support, the following integration by parts formula holds:

$$- \int_X \Gamma(f, \psi) dm = \int_X \Delta f \psi dm \quad .$$

Theorem 2.6 (Laplacian comparison for the distance function). *Let (X, \mathbf{d}, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, \infty)$. For $x_0 \in X$ denote by $\mathbf{d}_{x_0} : X \rightarrow [0, +\infty)$ the function $x \mapsto \mathbf{d}(x, x_0)$. Then*

$$\frac{\mathbf{d}_{x_0}^2}{2} \in D(\Delta^*) \quad \text{with} \quad \Delta^* \frac{\mathbf{d}_{x_0}^2}{2} \leq N \tilde{\sigma}_{K, N}(\mathbf{d}_{x_0}) m \quad \forall x_0 \in X$$

and

$$\mathbf{d}_{x_0} \in D(\Delta^*, X \setminus \{x_0\}) \quad \text{with} \quad \Delta^* \mathbf{d}_{x_0}|_{X \setminus \{x_0\}} \leq \frac{N \tilde{\sigma}_{K, N}(\mathbf{d}_{x_0}) - 1}{\mathbf{d}_{x_0}} m \quad \forall x_0 \in X.$$

Theorem 2.7 (Stability). *Let $K \in \mathbb{R}$ and $N \in [1, \infty)$. Then the class of normalized p.m.s. $(X, \mathbf{d}, \mathfrak{m}, \bar{x})$ such that $(X, \mathbf{d}, \mathfrak{m})$ is $\text{RCD}^*(K, N)$ is closed (hence compact) w.r.t. p -mGH convergence.*

Theorem 2.8 (Splitting). *Let $(X, \mathbf{d}, \mathfrak{m})$ be an $\text{RCD}^*(0, N)$ -space with $1 \leq N < \infty$. Suppose that $\text{supp}(\mathfrak{m})$ contains a line. Then $(X, \mathbf{d}, \mathfrak{m})$ is isomorphic to $(X' \times \mathbb{R}, \mathbf{d}' \times \mathbf{d}_E, \mathfrak{m}' \times \mathcal{L}_1)$, where \mathbf{d}_E is the Euclidean distance, \mathcal{L}_1 the Lebesgue measure and $(X', \mathbf{d}', \mathfrak{m}')$ is an $\text{RCD}^*(0, N-1)$ -space if $N \geq 2$ and a singleton if $N < 2$.*

Notice that for the particular case $K = 0$ the $\text{CD}^*(0, N)$ condition is the same as the $\text{CD}(0, N)$ one. Also, in the statement of the splitting theorem, by line we intend an isometric embedding of \mathbb{R} .

Observe that Theorem 2.7 and properties (9) ensure that for any K, N we have that

$$\begin{aligned} &\text{If } (X, \mathbf{d}, \mathfrak{m}) \text{ is an } \text{RCD}^*(K, N)\text{-space and } x \in X \text{ then} \\ &\text{every } (Y, \mathbf{d}, \mathfrak{n}, y) \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x) \text{ is } \text{RCD}^*(0, N). \end{aligned} \tag{10}$$

By iterating Theorem 2.7 and Theorem 2.8, in [33] the following result has been established.

Theorem 2.9 (Euclidean Tangents). *Let $K \in \mathbb{R}$, $1 \leq N < \infty$ and $(X, \mathbf{d}, \mathfrak{m})$ a $\text{RCD}^*(K, N)$ -space. Then at \mathfrak{m} -almost every $x \in X$ there exists $k \in \mathbb{N}$, $1 \leq k \leq N$, such that*

$$(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0) \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x),$$

where \mathbf{d}_E is the Euclidean distance and \mathcal{L}_k is the k -dimensional Lebesgue measure normalized so that $\int_{B_1(0)} 1 - |x| \, \mathbf{d}\mathcal{L}_k(x) = 1$.

Let us remark that the normalization of the limit measure expressed in the statement plays little role and depends only on the choice of renormalization of rescaled measures in the process of taking limits. Let us also mention that a fundamental ingredient in the proof of Theorem 2.9 was a crucial idea of Preiss [39] (adapted to doubling metric spaces by Le Donne [36] and to doubling metric measure spaces in [33]) stating that ‘‘tangents of tangents are tangents’’ almost everywhere. We report here the statement (see [33, Theorem 3.2] for the proof) since it will be useful also in this work.

Theorem 2.10 (‘‘Tangents of tangents are tangents’’). *Let $(X, \mathbf{d}, \mathfrak{m})$ be a m.m.s. satisfying (2) for some $C : (0, \infty) \rightarrow (0, \infty)$.*

Then for \mathfrak{m} -a.e. $x \in X$ the following holds: for any $(Y, \mathbf{d}_Y, \mathfrak{n}, y) \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x)$ and any $y' \in Y$ we have

$$\text{Tan}(Y, \mathbf{d}_Y, \mathfrak{n}_1^{y'}, y') \subset \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x),$$

the measure $\mathfrak{n}_1^{y'}$ being defined as in (4).

2.5. Convergence of functions defined on varying spaces. In this subsection we recall some basic facts about the convergence of functions defined on m.m.s. which are themselves converging to a limit space (for more material the interested reader is referred to [32] and the references therein).

Let $(X_j, \mathbf{d}_j, \mathfrak{m}_j, \bar{x}_j)$ be a sequence of p.m.s. in $\mathcal{M}_{C(\cdot)}$, for some nondecreasing $C(\cdot) : (0, +\infty) \rightarrow (0, +\infty)$, p -mGH converging to a limit p.m.s. $(X_\infty, \mathbf{d}_\infty, \mathfrak{m}_\infty, \bar{x}_\infty)$. Following Definition 2.1, let (Z, \mathbf{d}_Z) be an ambient Polish metric space and let $\iota_j : (X_j, \mathbf{d}_j) \rightarrow (Z, \mathbf{d}_Z)$, $j \in \mathbb{N} \cup \{\infty\}$ be isometric immersions realizing the convergence. First we define pointwise and uniform convergence of functions defined on varying spaces.

Definition 2.11 (Pointwise and uniform convergence of functions defined on varying spaces). Let $(X_j, \mathbf{d}_j, \mathfrak{m}_j, \bar{x}_j)$, $j \in \mathbb{N} \cup \{\infty\}$, be a p-mGH converging sequence of p.m.m.s. as above and let $f_j : X_j \rightarrow \mathbb{R}$, $j \in \mathbb{N} \cup \{\infty\}$, be a sequence of functions. We say that $f_j \rightarrow f_\infty$ *pointwise* if

$$f_j(x_j) \rightarrow f_\infty(x_\infty) \quad \text{for every sequence of points } x_j \in X_j \text{ such that } \iota_j(x_j) \rightarrow \iota_\infty(x_\infty). \quad (11)$$

If moreover for every $\varepsilon > 0$ there exists $\delta > 0$ such that

$$|f_j(x_j) - f_\infty(x_\infty)| \leq \varepsilon \quad \text{for every } j \geq \delta^{-1} \text{ and every } x_j \in X_j, x_\infty \in X_\infty \text{ with } \mathbf{d}_Z(\iota_j(x_j), \iota_\infty(x_\infty)) \leq \delta, \quad (12)$$

then we say that $f_j \rightarrow f_\infty$ *uniformly*.

By using the separability of the metric spaces, one can repeat the classic proof of Arzelá-Ascoli Theorem based on extraction of diagonal subsequences and get the following proposition.

Proposition 2.12 (Arzelá-Ascoli Theorem for varying spaces). *Let $(X_j, \mathbf{d}_j, \mathfrak{m}_j, \bar{x}_j)$, $j \in \mathbb{N} \cup \{\infty\}$, be a p-mGH converging sequence of proper p.m.m.s. as above and let $f_j : X_j \rightarrow \mathbb{R}$, $j \in \mathbb{N}$, be a sequence of L -Lipschitz functions, for some uniform $L \geq 0$, which satisfy $\sup_{j \in \mathbb{N}} |f_j(\bar{x}_j)| < \infty$. Then there exists a limit L -Lipschitz function $f_\infty : X_\infty \rightarrow \mathbb{R}$ such that $f_j|_{B_R(\bar{x}_j)} \rightarrow f_\infty|_{B_R(\bar{x}_\infty)}$ uniformly for every $R > 0$.*

By recalling that $\text{RCD}^*(K, N)$ -spaces satisfy doubling & Poincaré with constant depending just on K, N and moreover, since $W^{1,2}$ is Hilbert (so in particular reflexive), one can repeat the proof of the lower semicontinuity of the slope given in [2, Theorem 8.4], see also the previous work of Cheeger [16], in order to obtain the following variant for p-mGH converging spaces.

Proposition 2.13 (Lower semicontinuity of the slope in $\text{RCD}^*(K, N)$ -spaces). *Let $(X_j, \mathbf{d}_j, \mathfrak{m}_j, \bar{x}_j)$, $j \in \mathbb{N} \cup \{\infty\}$, be a p-mGH converging sequence of $\text{RCD}^*(K, N)$ -spaces as above and let $f_j : X_j \rightarrow \mathbb{R}$, $j \in \mathbb{N} \cup \{\infty\}$, be a sequence of locally Lipschitz functions such that $f_j|_{B_R(\bar{x}_j)} \rightarrow f_\infty|_{B_R(\bar{x}_\infty)}$ uniformly for some $R > 0$.*

Then, for every $0 < r < R$ one has

$$\int_{B_r(\bar{x}_\infty)} |Df_\infty|^2 d\mathfrak{m}_\infty \leq \liminf_{j \rightarrow \infty} \int_{B_r(\bar{x}_j)} |Df_j|^2 d\mathfrak{m}_j. \quad (13)$$

2.6. Heat flow on $\text{RCD}^*(K, N)$ -spaces. Even if many of the results in this subsection hold in higher generality (see for instance [3], [5], [6]), as in this paper we will deal with $\text{RCD}^*(K, N)$ -spaces we focus the presentation to this case.

Since Ch is a convex and lowersemicontinuous functional on $L^2(X, \mathfrak{m})$, applying the classical theory of gradient flows of convex functionals in Hilbert spaces (see for instance [4] for a comprehensive presentation) one can study its gradient flow in the space $L^2(X, \mathfrak{m})$. More precisely one obtains that for every $f \in L^2(X, \mathfrak{m})$ there exists a continuous curve $(f_t)_{t \in [0, \infty)}$ in $L^2(X, \mathfrak{m})$, locally absolutely continuous in $(0, \infty)$ with $f_0 = f$ such that

$$f_t \in \mathbf{D}(\Delta) \quad \text{and} \quad \frac{d^+}{dt} f_t = \Delta f_t, \quad \forall t > 0.$$

This produces a semigroup $(H_t)_{t \geq 0}$ on $L^2(X, \mathfrak{m})$ defined by $H_t f = f_t$, where f_t is the unique L^2 -gradient flow of Ch .

An important property of the heat flow is the maximum (resp. minimum) principle, see [5, Theorem 4.16]: if $f \in L^2(X, \mathfrak{m})$ satisfies $f \leq C$ m-a.e. (resp. $f \geq C$ m-a.e.), then also $H_t f \leq C$ m-a.e. (resp. $H_t f \geq C$

m-a.e.) for all $t \geq 0$. Moreover the heat flow preserves the mass: for every $f \in L^2(X, \mathfrak{m})$

$$\int_X \mathbf{H}_t f \, d\mathfrak{m} = \int_X f \, d\mathfrak{m}, \quad \forall t \geq 0.$$

A nontrivial property of the heat flow proved for $\text{RCD}(K, \infty)$ -spaces in [6, Theorem 6.8] (see also [3] for the generalization to σ -finite measures) is the Lipschitz regularization; namely if $f \in L^2(X, \mathfrak{m})$ then $\mathbf{H}_t f \in \text{D}(\text{Ch})$ for every $t > 0$ and

$$2 I_{2K}(t) \Gamma(\mathbf{H}_t f) \leq \mathbf{H}_t(f^2) \quad \text{m-a.e. in } X,$$

where $I_{2K}(t) := \int_0^t e^{2Ks} \, ds = \frac{e^{2Kt}-1}{2K}$; in particular, if $f \in L^\infty(X, \mathfrak{m})$ then $\mathbf{H}_t f$ has a Lipschitz representative for every $t > 0$ and

$$\sqrt{2 I_{2K}(t)} |\text{D}\mathbf{H}_t f| \leq \|f\|_{L^\infty(X, \mathfrak{m})} \quad \forall t > 0, \quad \text{everywhere on } X. \quad (14)$$

Let us also recall that since $\text{RCD}^*(K, N)$ -spaces are locally doubling & Poincaré, then as showed by Sturm [43, Theorem 3.5], the heat flow satisfy the following Harnack inequality: let $Y \subset\subset X$ be a compact subset of X , then there exists a constant $C_H = C_H(Y)$ such that for all balls $B_{2r}(x) \subset Y$, all $t \geq 4r^2$ and all $f \in \text{D}(\text{Ch})$ with $f \geq 0$ m-a.e. on X and $f = 0$ m-a.e. on $X \setminus Y$ it holds

$$\sup_{(s,y) \in Q^-} \mathbf{H}_s f(y) \leq C_H \cdot \inf_{(s,y) \in Q^+} \mathbf{H}_s f(y), \quad (15)$$

where $Q^- :=]t - 3r^2, t - 2r^2[\times B_r(x)$ and $Q^+ :=]t - r^2, t[\times B_r(x)$. We wrote sup and inf instead of ess sup and ess inf because in this setting the evolved functions $\mathbf{H}_s f$, $s > 0$, have continuous representatives [43, Proposition 3.1] given by the formula

$$\mathbf{H}_t f(x) = \int_X H_t(x, y) f(y) \, d\mathfrak{m}(y) \quad (16)$$

where $H_t(x, y) \geq 0$ is the so called heat kernel; recall also that $H_t(\cdot, \cdot)$ is jointly continuous on $X \times X$, symmetric and bounded for $t > 0$ see [43, Section 4]. Since the flow commutes with its generator we also have that $\Delta(\mathbf{H}_t f) = \mathbf{H}_t(\Delta f)$ and in particular $\Delta(\mathbf{H}_t f) \in W^{1,2}(X, \mathfrak{d}, \mathfrak{m})$. Thanks to the L^∞ -to-Lipschitz regularization proved in $\text{RCD}(K, \infty)$ -spaces in [6, Theorem 6.8], see also [3] for the generalizations to sigma finite reference measures, it follows in particular that $H_t(\cdot, \cdot)$ is Lipschitz on each variable.

By using directly the $\text{RCD}^*(K, N)$ condition one gets sharper information. For instance, in their recent paper [27, Theorem 4.3], Erbar-Kuwada-Sturm proved the dimensional Bakry-Ledoux L^2 -gradient-Laplacian estimate [12]: if $(X, \mathfrak{d}, \mathfrak{m})$ is a $\text{RCD}^*(K, N)$ -space, then for every $f \in \text{D}(\text{Ch})$ and every $t > 0$, one has

$$\Gamma(\mathbf{H}_t f) + \frac{4Kt^2}{N(e^{2Kt} - 1)} |\Delta \mathbf{H}_t f|^2 \leq e^{-2Kt} \mathbf{H}_t(\Gamma(f)) \quad \text{m-a.e.} \quad (17)$$

As a consequence, they showed (see Proposition 4.4) under the same assumption on X that if $\Gamma(f) \in L^\infty(X, \mathfrak{m})$ then $\mathbf{H}_t f$ is Lipschitz and $\mathbf{H}_t(\Gamma f), \Delta \mathbf{H}_t f$ have continuous representatives satisfying (17) everywhere in X . In particular, thanks to the above discussion, this is true for the heat kernel $H_t(\cdot, \cdot)$. In the sequel, if this is the case, we will always tacitly assume we are dealing with the continuous representatives. Finally let us mention that the classical Li-Yau [37] estimates on the heat flow hold on $\text{RCD}^*(K, N)$ -spaces as well, see [28].

3. SHARP ESTIMATES FOR HEAT FLOW-REGULARIZATION OF DISTANCE FUNCTION AND APPLICATIONS

Inspired by [25], in this section we regularize the distance function via the heat flow obtaining sharp estimates. We are going to follow quite closely their scheme of arguments, but the proofs of any individual lemma may sometimes differ in order to generalize the statements to the non smooth setting of $\text{RCD}^*(K, N)$ -spaces.

Throughout the section (X, d, m) is an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, +\infty)$ and $p, q \in X$ are points in X satisfying $d_{p,q} := d(p, q) \leq 1$ (of course, by applying the estimates recursively, one can also consider points further apart). Often we will work with the following functions:

$$d^-(x) := d(p, x), \quad (18)$$

$$d^+(x) := d(p, q) - d(q, x) \quad (19)$$

$$e(x) := d(p, x) + d(x, q) - d(p, q) = d^-(x) - d^+(x) \quad , \quad (20)$$

the last one being the so called *excess function*. We start by proving existence of good cut off functions with quantitative estimates, and then we establish a mean value inequality which will imply an improved integral Abresch-Gromoll type inequality on the excess and its gradient.

3.1. Existence of good cut off functions on $\text{RCD}^*(K, N)$ -spaces with gradient and laplacian estimates.

The existence of good cut off functions is a key technical ingredient in the theory of GH-limits of Riemannian manifolds with lower Ricci bounds, see for instance [17, 18, 19, 20, 22, 23, 24, 25]. The existence of regular cutoff function (i.e. Lipschitz with L^∞ laplacian, but without quantitative estimates) in $\text{RCD}^*(K, \infty)$ -spaces was proved in [9, Lemma 6.7]; since for the sequel we need quantitative estimates on the gradient and the laplacian of the cut off function we give here a construction for the finite dimensional case.

Lemma 3.1. *Let (X, d, m) be a $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, +\infty)$. Then for every $x \in X$, $R > 0$, $0 < r < R$ there exists a Lipschitz function $\psi^r : X \rightarrow \mathbb{R}$ satisfying:*

- (i) $0 \leq \psi^r \leq 1$ on X , $\psi^r \equiv 1$ on $B_r(x)$ and $\text{supp}(\psi^r) \subset B_{2r}(x)$;
- (ii) $r^2 |\Delta \psi^r| + r |D\psi^r| \leq C(K, N, R)$.

Proof. First of all we make the construction with estimate in case $r = 1$, the general case will follow by a rescaling argument.

Fix $x \in X$ and let $\tilde{\psi}$ be the 1-Lipschitz function defined as $\tilde{\psi} \equiv 1$ on $B_1(x)$, $\tilde{\psi} \equiv 0$ on $X \setminus B_2(x)$ and $\tilde{\psi}(y) = 2 - d(x, y)$ for $y \in B_2(x) \setminus B_1(x)$. Consider the heat flow regularization $\tilde{\psi}_t := H_t \tilde{\psi}$ of $\tilde{\psi}$. By the results recalled in Subsection 2.6 we can choose continuous representatives of $\tilde{\psi}_t$, $|D\tilde{\psi}_t|$, $|\Delta \tilde{\psi}_t|$ and moreover everywhere on X it holds

$$|D\tilde{\psi}_t|^2 + \frac{4Kt^2}{N(e^{2Kt} - 1)} |\Delta \tilde{\psi}_t|^2 \leq e^{-2Kt} H_t (|D\tilde{\psi}|^2) \leq e^{-2Kt}. \quad (21)$$

It follows that

$$|\tilde{\psi}_t - \tilde{\psi}(x)| \leq \int_0^t |\Delta \tilde{\psi}_s|(x) ds \leq \int_0^t \sqrt{\frac{N(e^{2Ks} - 1)}{e^{2Ks} 4Ks^2}} ds = F_{K,N}(t),$$

where $F_{K,N}(\cdot) : \mathbb{R}^+ \rightarrow \mathbb{R}^+$ is continuous, converges to 0 as $t \downarrow 0$ and to $+\infty$ as $t \uparrow +\infty$. Therefore there exists $t_{N,K} > 0$ such that $\tilde{\psi}_{t_{N,K}}(y) \in [3/4, 1]$ for every $y \in B_1(x)$ and $\tilde{\psi}_{t_{N,K}}(y) \in [0, 1/4]$ for every $y \notin B_2(x)$. We get now the desired cut-off function ψ by composition with a C^2 -function $f : [0, 1] \rightarrow [0, 1]$ such that $f \equiv 1$ on $[3/4, 1]$ and $f \equiv 0$ on $[1/4, 0]$; indeed $\psi := f \circ \tilde{\psi}_{t_{N,K}}$ is now identically equal to one on $B_1(x)$, vanishes identically on $X \setminus B_2(x)$ and, using (21) and Chain Rule, it satisfies the estimate $|D\psi| + |\Delta\psi| \leq C(K, N)$ as desired.

To obtain the general case, let $r \in (0, R)$ and consider the rescaled distance $d_r := \frac{1}{r}d$ on X . Thanks to (9), the rescaled space (X, d_r, m) satisfies the $\text{RCD}^*(r^2K, N)$ condition and since $r^2K \geq \hat{K}(R, K)$ we can construct a cut-off function ψ^r such that $\psi^r \equiv 1$ on $B_1^{d_r}(x)$, $\psi^r \equiv 0$ on $X \setminus B_2^{d_r}(x)$ and satisfying $|D^{d_r}\psi^r| + |\Delta^{d_r}\psi^r| \leq C(K, N, R)$, where the quantities with up script d_r are computed in rescaled metric d_r . By obvious rescaling properties of the lipschitz constant and of the laplacian we get the thesis for the original metric d . \square

In the sequel it will be useful to have good cut-off functions on annular regions. More precisely for a closed subset $C \subset X$ and $0 < r_0 < r_1$, we define the annulus $A_{r_0, r_1}(C) := T_{r_1}(C) \setminus T_{r_0}(C)$, where $T_r(C)$ is the r -tubular neighborhood of C . Using Lemma 3.1 and the local doubling property of $\text{RCD}^*(K, N)$ -spaces one can follow verbatim the proof of [25, Lemma 2.6] (it is essentially a covering argument) and establish the following useful result.

Lemma 3.2. *Let (X, d, m) be a $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, +\infty)$. Then for every closed subset $C \subset X$, for every $R > 0$ and $0 < r_0 < 10r_1 \leq R$ there exists a Lipschitz function $\psi : X \rightarrow \mathbb{R}$ satisfying:*

- (1) $0 \leq \psi \leq 1$ on X , $\psi \equiv 1$ on $A_{3r_0, r_1/3}(C)$ and $\text{supp}(\psi) \subset A_{2r_0, r_1/2}(C)$;
- (2) $r_0^2|\Delta\psi| + r_0|D\psi| \leq C(K, N, R)$ on $A_{2r_0, 3r_0}(C)$;
- (3) $r_1^2|\Delta\psi| + r_1|D\psi| \leq C(K, N, R)$ on $A_{r_1/3, r_1/2}(C)$.

3.2. Mean value and improved integral Abresch-Gromoll type inequalities. We start with an estimate on the heat kernel similar in spirit to the one proved by Li-Yau [37] in the smooth setting (for the framework of $\text{RCD}^*(K, N)$ -spaces see [28]) and by Sturm [43] for doubling & Poincaré spaces; since we need a little more general estimate we will give a different proof, generalizing to the non smooth setting ideas of [25].

Lemma 3.3 (Heat Kernel bounds). *Let (X, d, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$, $N \in (1, +\infty)$ and let $H_t(x, y)$ be the heat kernel for some $x \in X$. Then for every $R > 0$, for all $0 < r < R$ and $t \leq R^2$, we have*

- (1) if $y \in B_{10\sqrt{t}}(x)$, then $\frac{C^{-1}(N, K, R)}{m(B_{10\sqrt{t}}(x))} \leq H_t(x, y) \leq \frac{C(N, K, R)}{m(B_{10\sqrt{t}}(x))}$
- (2) $\int_{X \setminus B_r(x)} H_t(x, y) dm(y) \leq C(N, K, R)r^{-2}t$.

Proof. One way to get the first estimate is to directly apply the upper and lower bounds on the fundamental solution of the heat flow obtained by Sturm in [43, Section 4], but we prefer to give here a more elementary argument [25] based on the existence of good cut-off functions since we will make use of this estimates for the second claim and later on.

Thanks to Lemma 3.1 there exists a cutoff function $\psi^r : X \rightarrow [0, 1]$ with $\psi^r \equiv 1$ on $B_{10r}(x)$, $\psi^r \equiv 0$ on $X \setminus B_{20r}(x)$ and satisfying the estimates $r|D\psi^r| + r^2|\Delta\psi^r| \leq C(K, N, R)$. Let us consider the heat flow regularization $\psi_t^r(y) := H_t\psi^r(y) = \int_X H_t(y, z) dm(z)$ of ψ^r . Using the symmetry of the heat kernel, the bound on $|\Delta\psi^r|$, with an integration by parts ensured by the fact that ψ^r has compact support we estimate

$$|\Delta\psi_t^r|(y) = \left| \int_X \Delta_y H_t(y, z) \psi^r(z) dm(z) \right| = \left| \int_X \Delta_z H_t(y, z) \psi^r(z) dm(z) \right| = \left| \int_X H_t(y, z) \Delta\psi^r(z) dm(z) \right| \leq C(K, N, R)r^{-2}.$$

Therefore

$$|\psi_t^r - \psi^r|(y) \leq \int_0^t |\Delta \psi_s^r|(y) ds \leq C(K, N, R)r^{-2}t.$$

By choosing $t_r := \frac{1}{2C(K, N, R)}r^2$ we obtain

$$\int_{B_{20r}(x)} H_{2t_r}(x, z) dm(z) \leq \int_X H_{2t_r}(x, z) dm(z) \leq 1, \quad (22)$$

$$\frac{3}{4} \leq \psi_{\frac{1}{2}t_r}^r(x) = \int_{B_{20r}(x)} H_{\frac{1}{2}t_r}(x, z) \psi^r(z) dm(z) \leq \int_{B_{20r}(x)} H_{\frac{1}{2}t_r}(x, z) dm(z). \quad (23)$$

From (22) we infer that $\inf_{B_{20r}(x)} H_{2t_r}(x, \cdot) \leq m(B_{20r}(x))^{-1}$ thus, by the parabolic Harnack inequality (15) we get

$$\sup_{B_{20r}(x)} H_{t_r}(x, \cdot) \leq \frac{C(K, N, R)}{m(B_{20r}(x))}. \quad (24)$$

On the other hand, (23) implies that $\sup_{B_{20r}(x)} H_{\frac{1}{2}t_r}(x, \cdot) \geq \frac{3}{4}m(B_{20r}(x))^{-1}$ and again by the parabolic Harnack inequality (15) we obtain

$$\inf_{B_{20r}(x)} H_{t_r}(x, \cdot) \geq \frac{1}{m(B_{20r}(x))C(K, N, R)}. \quad (25)$$

Combining (24) and (25) together with local doubling property of the measure m gives claim (I).

In order to prove the second claim let $\phi(y) := 1 - \psi^r(y)$, where now ψ^r is the cut-off function with $\psi^r \equiv 1$ on $B_{r/2}(x)$, $\psi^r \equiv 0$ on $X \setminus B_r(x)$ and satisfying $r|D\psi^r| + r^2|\Delta\psi^r| \leq C(K, N, R)$. Denoting with $\phi_t := H_t\phi$, the same argument as above gives that

$$\phi_t(x) \leq C(K, N, R)r^{-2}t,$$

which yields

$$\int_{X \setminus B_r(x)} H_t(x, z) dm(z) \leq \int_X H_t(x, z) \phi(z) dm(z) = \phi_t(x) \leq C(K, N, R)r^{-2}t, \quad ,$$

as desired. \square

By the above sharp bounds on the heat kernel, repeating verbatim the proof of [25, Lemma 2.1 and Remark 2.2] the following useful mean value and L^1 -Harnack inequalities hold.

Lemma 3.4 (Mean value and L^1 -Harnack inequality). *Let (X, d, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$, $N \in (1, +\infty)$ and let $0 < r < R$. If $u : X \times [0, r^2] \rightarrow \mathbb{R}$, $u(x, t) = u_t(x)$, is a nonnegative continuous function with compact support for each fixed $t \in \mathbb{R}$ satisfying $(\partial_t - \Delta)u \geq -c_0$ in the weak sense, then*

$$\int_{B_r(x)} u_0 \leq C(K, N, R) \left[u_{r^2}(x) + c_0 r^2 \right]. \quad (26)$$

More generally the following L^1 -Harnack inequality holds

$$\int_{B_r(x)} u_0 \leq C(K, N, R) \left[\inf_{y \in B_r(x)} u_{r^2}(y) + c_0 r^2 \right]. \quad (27)$$

Applying Lemma 3.4 to a function constant in time gives the following classical mean value estimate which will be used in the proof of the improved integral Abresh-Gromoll inequality.

Corollary 3.5. *Let (X, d, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$, $N \in (1, +\infty)$. If $u : X \rightarrow \mathbb{R}$ is a nonnegative Borel function with compact support with $u \in D(\Delta^*)$ and satisfying $\Delta^* u \leq c_0 m$ in the sense of measures, then for each $x \in X$ and $0 < r \leq R$, we have*

$$\int_{B_r(x)} u \leq C(K, N, R) [u(x) + c_0 r^2] \quad . \quad (28)$$

We conclude this subsection with a proof of the improved integral Abresch-Gromoll inequality for the excess function $e_{p,q}(x) := d(p, x) + d(x, q) - d(p, q) \geq 0$ relative to a couple of points $p, q \in X$. Observe that if $\gamma(\cdot)$ is a minimizing geodesic connecting p and q , then $e_{p,q}$ attains its minimum value 0 all along γ . Therefore, in case (X, d, m) is a smooth Riemannian manifold with uniform estimates on sectional curvature and injectivity radius, since $e_{p,q}$ would be a smooth function near the interior of γ , one would expect for $x \in B_r(\gamma(t))$ the estimate $e(x) \leq Cr^2$. In case of lower Ricci bounds and more generally in $\text{RCD}^*(K, N)$ -spaces, this is a lot to ask for. However, an important estimate by Abresh and Gromoll [1] (see [34] for the generalization to the $\text{RCD}^*(K, N)$ setting) states that

$$e(x) \leq Cr^{1+\alpha(K,N)},$$

where $\alpha(K, N)$ is a small constant and $x \in B_r(\gamma(t))$. The next theorem, which generalizes a result of [25] proved for smooth Riemannian manifolds with lower Ricci curvature bounds, is an improvement of this statement: indeed even if we are not able to take $\alpha \equiv 1$, this is in fact the case at *most* points.

Theorem 3.6 (Improved Integral Abresh-Gromoll inequality). *Let (X, d, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, +\infty)$; let $p, q \in X$ with $d_{p,q} := d(p, q) \leq 1$ and fix $0 < \varepsilon < 1$.*

If $x \in A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$ satisfies $e_{p,q}(x) \leq r^2 d_{p,q} \leq \tilde{r}^2(N, K, \varepsilon) d_{p,q}$, then

$$\int_{B_{rd_{p,q}}(x)} e_{p,q}(y) \, dm(y) \leq C(K, N, \varepsilon) r^2 d_{p,q} \quad .$$

Proof. Let ψ be the cut off function given by Lemma 3.2 relative to $C := \{p, q\}$ with $\psi \equiv 1$ on $A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$, $\psi \equiv 0$ on $X \setminus A_{\varepsilon d_{p,q}/2, 4d_{p,q}}(\{p, q\})$, and satisfying $\frac{1}{\varepsilon d_{p,q}} |D\psi| + \frac{1}{\varepsilon^2 d_{p,q}^2} |\Delta\psi| \leq C(K, N)$. Setting $\bar{e} := \psi e_{p,q}$, using the Laplacian comparison estimates of Theorem 2.6, we get that $\bar{e} \in D(\Delta^*)$ and

$$\Delta^* \bar{e} = (\Delta\psi e_{p,q}) m + (2\Gamma(\psi, e_{p,q})) m + \psi \Delta^* e_{p,q} \leq \frac{C(K, N, \varepsilon)}{d_{p,q}} m \quad \text{as measures.}$$

The claim follows then by applying Corollary 3.5. □

Clearly, Theorem 3.6 implies the standard Abresh-Gromoll inequality:

Corollary 3.7 (Classical Abresh-Gromoll inequality). *Let (X, d, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, +\infty)$; let $p, q \in X$ with $d_{p,q} := d(p, q) \leq 1$ and fix $0 < \varepsilon < 1$.*

If $x \in A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$ satisfies $e_{p,q}(x) \leq r^2 d_{p,q} \leq \tilde{r}^2(N, K, \varepsilon) d_{p,q}$, then there exists $\alpha(N) \in (0, 1)$ such that

$$e_{p,q}(y) \leq C(K, N, \varepsilon) r^{1+\alpha(N)} d_{p,q}, \quad \forall y \in B_{rd_{p,q}}(x) \quad .$$

Proof. Theorem 3.6 combined with Bishop-Gromov estimate on volume growth of metric balls (see for instance [27, Proposition 3.6]) gives that for every ball $B_{sd_{p,q}}(z_0) \subset B_{rd_{p,q}}(x)$ it holds

$$\int_{B_{sd_{p,q}}(z_0)} e_{p,q} \, dm \leq \frac{m(B_{rd_{p,q}}(z_0))}{m(B_{sd_{p,q}}(z_0))} \int_{B_{rd_{p,q}}(z_0)} e_{p,q} \, dm \leq C(K, N, \varepsilon) \frac{r^{N+1}}{s^{N+1}} r^2 d_{p,q} = C(K, N, \varepsilon) \frac{r^{N+3}}{s^{N+1}} d_{p,q};$$

in particular there exists a point $z \in B_{sd_{p,q}}(z_0)$ such that $e_{p,q}(z) \leq C \frac{r^{N+3}}{s^{N+1}} d_{p,q}$. Since $|De_{p,q}| \leq 2$ we infer that

$$e_{p,q}(y) \leq C \left[\frac{r^{N+3}}{s^{N+1}} + 2s \right] d_{p,q}, \quad \forall y \in B_{sd_{p,q}}(z_0).$$

Minimizing in s the right hand side we obtain the thesis with $\alpha(N) = \frac{1}{N+2}$. \square

Combining Theorem 3.6 and Corollary 3.7 with the Laplacian comparison estimate 2.6, via an integration by parts we get the following crucial gradient estimate on the excess function (which, to our knowledge, is original even in the smooth setting).

Theorem 3.8 (Gradient estimate of the excess). *Let (X, d, m) be an $RCD^*(K, N)$ -space for some $K \in \mathbb{R}$ and $N \in (1, +\infty)$; let $p, q \in X$ with $d_{p,q} := d(p, q) \leq 1$ and fix $0 < \varepsilon < 1$.*

If $x \in A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$ satisfies $e_{p,q}(x) \leq r^2 d_{p,q} \leq \bar{r}^2(N, K, \varepsilon) d_{p,q}$ and $B_{2r d_{p,q}}(x) \subset A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$, then there exists $\alpha(N) \in (0, 1)$ such that

$$\int_{B_r d_{p,q}(x)} |De_{p,q}|^2 dm \leq C(K, N, \varepsilon) r^{1+\alpha}.$$

Proof. Let φ be the cutoff function given by Lemma 3.1 with $\varphi \equiv 1$ on $B_r d_{p,q}(x)$, $\text{supp } \varphi \subset B_{2r d_{p,q}}(x) \subset A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$ and satisfying $r d_{p,q} |D\varphi| + r^2 d_{p,q}^2 |\Delta\varphi| \leq C(K, N)$. By iterative integrations by parts, recalling that by the Laplacian comparison 2.6 we have $e_{p,q} \in D(\Delta^*, B_{2r d_{p,q}}(x))$ with upper bounds in terms of m , we get (we write shortly e in place of $e_{p,q}$)

$$\begin{aligned} \int_{B_r d_{p,q}(x)} |De|^2 dm &\leq C(K, N) \int_{B_{2r d_{p,q}}(x)} |De|^2 \varphi dm \\ &= C(K, N) \left[- \int_{B_{2r d_{p,q}}(x)} \Gamma(e, \varphi) e dm - \frac{1}{m(B_{2r d_{p,q}}(x))} \int_{B_{2r d_{p,q}}(x)} e \varphi d(\Delta^* e) \right] \\ &= C(K, N) \left[- \frac{1}{2} \int_{B_{2r d_{p,q}}(x)} \Gamma(e, \varphi) e dm + \frac{1}{2} \int_{B_{2r d_{p,q}}(x)} \Delta\varphi e^2 dm + \frac{1}{2} \int_{B_{2r d_{p,q}}(x)} \Gamma(\varphi, e) e dm \right. \\ &\quad \left. - \frac{1}{m(B_{2r d_{p,q}}(x))} \int_{B_{2r d_{p,q}}(x)} e \varphi d(\Delta^* e) \right] \\ &= C(K, N) \left[\frac{1}{2} \int_{B_{2r d_{p,q}}(x)} \Delta\varphi e^2 dm + \frac{1}{m(B_{2r d_{p,q}}(x))} \int_{B_{2r d_{p,q}}(x)} ((\sup_{B_{2r d_{p,q}}(x)} e) - e) \varphi d(\Delta^* e) \right. \\ &\quad \left. - \frac{1}{m(B_{2r d_{p,q}}(x))} (\sup_{B_{2r d_{p,q}}(x)} e) \int_{B_{2r d_{p,q}}(x)} \varphi d(\Delta^* e) \right] \\ &\leq C(K, N) \left[(\sup_{B_{2r d_{p,q}}(x)} e) \int_{B_{2r d_{p,q}}(x)} |\Delta\varphi| e dm + \frac{1}{m(B_{2r d_{p,q}}(x))} \int_{B_{2r d_{p,q}}(x)} ((\sup_{B_{2r d_{p,q}}(x)} e) - e) \varphi d(\Delta^* e) \right. \\ &\quad \left. + (\sup_{B_{2r d_{p,q}}(x)} e) \int_{B_{2r d_{p,q}}(x)} e |\Delta\varphi| dm \right] \\ &\leq C(K, N, \varepsilon) \left[r^{1+\alpha} d_{p,q} (r d_{p,q})^{-2} r^2 d_{p,q} + r^{1+\alpha} d_{p,q} + r^{1+\alpha} d_{p,q} r^2 d_{p,q} (r d_{p,q})^{-2} \right] \\ &\leq C(K, N, \varepsilon) r^{1+\alpha}, \end{aligned} \tag{29}$$

where in the second to last estimate we used Theorem 3.6, Corollary 3.7 and the Laplacian comparison estimate 2.6. \square

4. CONSTRUCTION OF GROMOV-HAUSDORFF APPROXIMATIONS WITH ESTIMATES

Thanks to Theorem 2.9 we already know that at m -almost every $x \in X$ there exists $k \in \mathbb{N}$, $1 \leq k \leq N$, such that

$$(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0) \in \text{Tan}(X, \mathbf{d}, m, x) \quad .$$

The goal of the present section is to prove an explicit Gromov-Hausdorff approximation with estimates at such points by using the results of Section 3. More precisely we prove the following.

Theorem 4.1. *Let (X, \mathbf{d}, m) be an $\text{RCD}^*(K, N)$ -space for some $K \in \mathbb{R}$, $N \in (1, \infty)$ and let $\bar{x} \in X$ be such that $(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0) \in \text{Tan}(X, \mathbf{d}, m, \bar{x})$ for some $k \in \mathbb{N}$. Then, for every $0 < \varepsilon_2 \ll 1$ there exist $\bar{R} = \bar{R}(\varepsilon_2) \gg 1$ such that for every $\tilde{R} \geq \bar{R}$ there exists $R \geq \tilde{R}$ and there exists $0 < r = r(\bar{x}, \varepsilon_2, R) \ll 1$ such that the following holds.*

Call $(X, \mathbf{d}_r, m_r^{\bar{x}}, \bar{x})$ the rescaled p.m.m.s., where $\mathbf{d}_r(\cdot, \cdot) := r^{-1}\mathbf{d}(\cdot, \cdot)$ and $m_r^{\bar{x}}$ was defined in (4), there exist points $\{p_i, q_i\}_{i=1, \dots, k} \subset \partial B_{R\beta}^{\mathbf{d}_r}(\bar{x})$ and $\{p_i + p_j\}_{1 \leq i < j \leq k} \in B_{2R\beta}^{\mathbf{d}_r}(\bar{x}) \setminus B_{R\beta}^{\mathbf{d}_r}(\bar{x})$, for some $\beta = \beta(N) > 2$, such that ¹

$$\sum_{i=1}^k \int_{B_R^{\mathbf{d}_r}(\bar{x})} |De_{p_i, q_i}|^2 dm_r^{\bar{x}} + \sup_{y \in B_R^{\mathbf{d}_r}(\bar{x})} e_{p_i, q_i}(y) \leq \varepsilon_2 \quad , \quad (30)$$

$$\sum_{1 \leq i < j \leq k} \int_{B_R^{\mathbf{d}_r}(\bar{x})} \left| D \left(\frac{\mathbf{d}_r^{p_i} + \mathbf{d}_r^{p_j}}{\sqrt{2}} - \mathbf{d}_r^{p_i + p_j} \right) \right|^2 dm_r^{\bar{x}} + \sup_{B_R^{\mathbf{d}_r}(\bar{x})} \left| \frac{\mathbf{d}_r^{p_i} + \mathbf{d}_r^{p_j}}{\sqrt{2}} - \mathbf{d}_r^{p_i + p_j} \right| \leq \varepsilon_2 \quad , \quad (31)$$

where $\mathbf{d}_r^{p_i}(\cdot) := \mathbf{d}_r(p_i, \cdot) := r^{-1}\mathbf{d}(p_i, \cdot)$, the excess e_{p_i, q_i} is defined by $e_{p_i, q_i}(\cdot) := \mathbf{d}_r^{p_i}(\cdot) + \mathbf{d}_r^{q_i}(\cdot) - \mathbf{d}_r(p_i, q_i)$ and the slope $|D \cdot|$ is intended to be computed with respect to the rescaled structure $(X, r^{-1}\mathbf{d}, m_r^{\bar{x}})$.

Proof. Since by assumption $(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0^k) \in \text{Tan}(X, \mathbf{d}, m, \bar{x})$ then, for every $0 < \varepsilon_1 \leq 1/10$ there exists $r = r(\varepsilon_1, \bar{x}) > 0$ such that

$$\mathcal{D}_{C(\cdot)}\left(\left(X, \mathbf{d}_r, m_r^{\bar{x}}, \bar{x}\right), \left(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0^k\right)\right) \leq \varepsilon_1 \quad , \quad (32)$$

where $\mathbf{d}_r(\cdot, \cdot) := r^{-1}\mathbf{d}(\cdot, \cdot)$ and $m_r^{\bar{x}}$ was defined in (4). Observe that, by the rescaling property (9), $(X, \mathbf{d}_r, m_r^{\bar{x}})$ is an $\text{RCD}^*(r^2K, N)$ -space. Now let

$$p_i, q_i, p_i + p_j \in B_{1/2}^{\mathbf{d}_r}(\bar{x}) \subset X \text{ be the points corresponding to } -\frac{\vec{e}_i}{4}, \frac{\vec{e}_i}{4}, -\frac{\vec{e}_i + \vec{e}_j}{4}, \in B_{\sqrt{2}/4}^{\mathbf{d}_E}(0^k) \subset \mathbb{R}^k$$

respectively via the ε_1 -quasi isometry ensured by (32), for every $1 \leq i, j \leq k$, where (\vec{e}_i) is the standard basis of \mathbb{R}^k . Let us explicitly note that $1/4 \leq \mathbf{d}(p_i, q_i) \leq 1$, for every $i = 1, \dots, k$.

Consider a minimizing geodesic γ_i connecting p_i and q_i . Then, combining the excess estimate Corollary 3.7 and the excess gradient estimate Theorem 3.8, called $\xi_i := \gamma_i\left(\frac{\mathbf{d}_r(p_i, q_i)}{2}\right) \in X$ (so, in particular, $e_{p_i, q_i}(\xi_i) = 0$), we have

$$\left(\sup_{y \in B_{2\varepsilon}^{\mathbf{d}_r}(\xi_i)} e_{p_i, q_i}(y) \right) + \int_{B_{2\varepsilon}^{\mathbf{d}_r}(\xi_i)} |De_{p_i, q_i}|^2(y) dm_r^{\bar{x}}(y) \leq C(K, N) \varepsilon^{1+\alpha(N)}, \quad \forall 0 < \varepsilon \leq \bar{\varepsilon}(K, N).$$

¹“ $p_i + p_j$ ” is just a symbol indicating a point of X , no affine structure is assumed

Moreover, for $\varepsilon_1 = \varepsilon_1(K, N, \varepsilon) > 0$ small enough in (32) we also have $\xi_i \in B_\varepsilon^{\text{d}_r}(\bar{x})$, so $B_\varepsilon^{\text{d}_r}(\bar{x}) \subset B_{2\varepsilon}^{\text{d}_r}(\xi_i)$ and, by the doubling property of $m_r^{\bar{x}}$, we infer that

$$\left(\sup_{y \in B_\varepsilon^{\text{d}_r}(\bar{x})} e_{p_i, q_i}(y) \right) + \int_{B_\varepsilon^{\text{d}_r}(\bar{x})} |D e_{p_i, q_i}|^2(y) dm_r^{\bar{x}}(y) \leq C(K, N) \varepsilon^{1+\alpha(N)}, \quad \forall 0 < \varepsilon \leq \bar{\varepsilon}(K, N). \quad (33)$$

Now we do a second rescaling of the metric, namely we consider the new metric $\mathbf{d}_{R^{-\beta}r} := R^\beta \mathbf{d}_r = \frac{R^\beta}{r} \mathbf{d}$, for $\beta \geq \beta(N) > \max\{1 + \frac{1}{\alpha(N)}, 2\}$ and observe that by obvious rescaling properties, having chosen $\varepsilon = R^{-\beta+1}$, estimate (33) implies

$$\sup_{B_R^{\text{d}_{R^{-\beta}r}}(\bar{x})} e_{p_i, q_i} \leq C(K, N) \frac{1}{R^{\alpha(\beta-1)-1}} \quad \text{and} \quad \int_{B_R^{\text{d}_{R^{-\beta}r}}(\bar{x})} |D e_{p_i, q_i}|^2(y) dm_{R^{-\beta}r}^{\bar{x}}(y) \leq C(K, N) \frac{1}{R^{(\beta-1)(1+\alpha)}}. \quad (34)$$

The proof of (30) is therefore complete once we choose $R \geq \bar{R}(K, N, \varepsilon_2) \gg 1$.

Now we prove (31). Again, since by assumption $(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0^k) \in \text{Tan}(X, \mathbf{d}, m, \bar{x})$, for every $0 < \eta \leq 1/10$ there exists $R \geq \bar{R}(K, N, \varepsilon_2) \gg 1$ such that

$$\mathcal{D}_{C(\cdot)} \left((X, \mathbf{d}_{R^{-\beta}r}, m_{R^{-\beta}r}^{\bar{x}}, \bar{x}), (\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0^k) \right) \leq \eta. \quad (35)$$

In particular, we have that $\mathbf{d}_{R^{-\beta}r}(p_i, \cdot), \mathbf{d}_{R^{-\beta}r}(p_i + p_j, \cdot)$ are η -close in $L^\infty(B_R^{\text{d}_{R^{-\beta}r}}(\bar{x}))$ norm (via composition with a GH quasi-isometry) to $\mathbf{d}_E(-R^\beta \bar{e}_i/4, \cdot), \mathbf{d}_E(-R^\beta(\bar{e}_i + \bar{e}_j)/4, \cdot)$ respectively. Moreover, in euclidean metric, we have that

$$\left| \frac{\mathbf{d}_E(-R^\beta \bar{e}_i/4, \cdot) + \mathbf{d}_E(-R^\beta \bar{e}_j/4, \cdot)}{\sqrt{2}} - \mathbf{d}_E(-R^\beta(\bar{e}_i + \bar{e}_j)/4, \cdot) \right| \leq \eta \quad \text{on } B_R(0^k), \quad \forall 1 \leq i < j \leq k, \quad (36)$$

for $R \geq R(\eta)$ large enough; an easy way to see it is to observe that

$$\left| \frac{\mathbf{d}_E(-\bar{e}_i/4, \cdot) + \mathbf{d}_E(-\bar{e}_j/4, \cdot)}{\sqrt{2}} - \mathbf{d}_E(-(\bar{e}_i + \bar{e}_j)/4, \cdot) \right| \leq \bar{C}\varepsilon^2 \quad \text{on } B_\varepsilon(0^k)$$

by a second order Taylor expansion at 0^k , then rescale by R^β , choose $\varepsilon^{-1} := R^{\beta-1}$ and $R \geq \left(\frac{\bar{C}}{\eta}\right)^{\frac{1}{\beta-2}}$. Combining (35) and (36) we get

$$\left| \frac{\mathbf{d}_{R^{-\beta}r}(p_i, \cdot) + \mathbf{d}_{R^{-\beta}r}(p_j, \cdot)}{\sqrt{2}} - \mathbf{d}_{R^{-\beta}r}(p_i + p_j, \cdot) \right| \leq 4\eta \quad \text{on } B_R^{\text{d}_{R^{-\beta}r}}(\bar{x}), \quad \forall 1 \leq i < j \leq k. \quad (37)$$

In order to conclude the proof we next show that

$$\mathfrak{S} := \int_{B_R^{\text{d}_{R^{-\beta}r}}(\bar{x})} \left| D \left(\frac{\mathbf{d}_{R^{-\beta}r}^{p_i} + \mathbf{d}_{R^{-\beta}r}^{p_j}}{\sqrt{2}} - \mathbf{d}_{R^{-\beta}r}^{p_i+p_j} \right) \right|^2 dm_{R^{-\beta}r}^{\bar{x}} \leq C(K, N) \frac{\eta}{R}, \quad (38)$$

which, together with (37), will give (31) by choosing $\bar{R} = \bar{R}(\varepsilon_2)$ large enough.

To this aim let φ be a $1/R$ -Lipschitz cutoff function with $0 \leq \varphi \leq 1$, $\varphi \equiv 1$ on $B_R^{\text{d}_{R^{-\beta}r}}(\bar{x})$ and $\text{supp } \varphi \subset B_{2R}^{\text{d}_{R^{-\beta}r}}(\bar{x})$; in order to simplify the notation let us denote

$$u^{ij} := \frac{\mathbf{d}_{R^{-\beta}r}^{p_i} + \mathbf{d}_{R^{-\beta}r}^{p_j}}{\sqrt{2}} \quad \text{and} \quad v^{ij} := \mathbf{d}_{R^{-\beta}r}^{p_i+p_j}. \quad (39)$$

With an integration by parts together with (37) and the Laplacian comparison Theorem 2.6 (which in particular gives that $\Delta^* v^{ij} \llcorner B_{2R}^{d_{R^{-\beta r}}(\bar{x})}, \Delta^* u^{ij} \llcorner B_{2R}^{d_{R^{-\beta r}}(\bar{x})} \leq C(K, N) \frac{1}{R^{\beta-1}} m \llcorner B_{2R}^{d_{R^{-\beta r}}(\bar{x})}$) yields

$$\begin{aligned} \mathfrak{I} &\leq C(K, N) \int_{B_{2R}^{d_{R^{-\beta r}}(\bar{x})}} |D(u^{ij} - v^{ij})|^2 \varphi \, dm_{R^{-\beta r}}^{\bar{x}} \\ &= -C(K, N) \left[\int_{B_{2R}^{d_{R^{-\beta r}}(\bar{x})}} \Gamma(u^{ij}, (\sup_{B_{2R}^{d_{R^{-\beta r}}(\bar{x})}} |u^{ij} - v^{ij}|) - (u^{ij} - v^{ij})) \varphi \, dm_{R^{-\beta r}}^{\bar{x}} \right. \\ &\quad \left. + \int_{B_{2R}^{d_{R^{-\beta r}}(\bar{x})}} \Gamma(v^{ij}, (\sup_{B_{2R}^{d_{R^{-\beta r}}(\bar{x})}} |u^{ij} - v^{ij}|) + (u^{ij} - v^{ij})) \varphi \, dm_{R^{-\beta r}}^{\bar{x}} \right] \\ &\leq C(K, N) \left(\sup_{B_{2R}^{d_{R^{-\beta r}}(\bar{x})}} |u^{ij} - v^{ij}| \right) \left[\frac{1}{R^{\beta-1}} + \frac{1}{R} \right] \leq C(K, N) \eta \left[\frac{1}{R^{\beta-1}} + \frac{1}{R} \right], \end{aligned}$$

which proves our claim (38). \square

5. ALMOST SPLITTING VIA EXCESS

The interest of the almost splitting theorem we prove in this section is that the condition on the existence of an *almost line* is replaced by an assumption on the smallness of the excess and its derivative; this will be convenient in the proof of the rectifiability thanks to estimates on the Gromov-Hausdorff approximation proved in Theorem 4.1. From the technical point of view our strategy is to argue by contradiction and to construct an appropriate replacement for the Busemann function, which is a priori not available since we do not assume the existence of a long geodesic. Then in the limit we may rely on the arguments used in Gigli's proof of the Splitting Theorem in the non smooth setting (see [30]-[31]) in order to construct our splitting.

Theorem 5.1 (Almost splitting via excess). *Fix $N \in (1, +\infty)$ and $\beta > 2$. For every $\varepsilon > 0$ there exists a $\delta = \delta(N, \varepsilon) > 0$ such that if the following hold*

- i) (X, d, m) is an $\text{RCD}^*(-\delta^{2\beta}, N)$ -space,
- ii) there exist points $\bar{x}, \{p_i, q_i\}_{i=1, \dots, k}, \{p_i + p_j\}_{1 \leq i < j \leq k}$ of X , for some $k \leq N$, such that ${}^2 d(p_i, \bar{x}), d(q_i, \bar{x}), d(p_i + p_j, \bar{x}) \geq \delta^{-\beta}$,

$$\sum_{i=1}^k \int_{B_R(\bar{x})} |De_{p_i, q_i}|^2 \, dm + \sum_{1 \leq i < j \leq k} \int_{B_R(\bar{x})} \left| D \left(\frac{d^{p_i} + d^{p_j}}{\sqrt{2}} - d^{p_i + p_j} \right) \right|^2 \, dm \leq \delta, \quad ,$$

for every $R \in [1, \delta^{-1}]$. Then there exists a p.m.m.s. (Y, d_Y, m_Y, \bar{y}) such that

$$\mathcal{D}_{C(\cdot)} \left((X, d, m, \bar{x}), (\mathbb{R}^k \times Y, d_{\mathbb{R}^k \times Y}, m_{\mathbb{R}^k \times Y}, (0^k, \bar{y})) \right) \leq \varepsilon \quad .$$

More precisely

1) if $N - k < 1$ then $Y = \{\bar{y}\}$ is a singleton, and if $N - k \in [1, +\infty)$ then (Y, d_Y, m_Y) is an $\text{RCD}^*(0, N - k)$ -space,

2) there exists maps $v : X \supset B_{\delta^{-1}}(\bar{x}) \rightarrow Y$ and $u : X \supset B_{\delta^{-1}}(\bar{x}) \rightarrow \mathbb{R}^k$ given by $u^i(x) = d(p_i, x) - d(p_i, \bar{x})$ such that the product map

$$(u, v) : X \supset B_{\delta^{-1}}(\bar{x}) \rightarrow Y \times \mathbb{R}^k \quad \text{is a measured GH } \varepsilon\text{-quasi isometry on its image.}$$

²“ $p_i + p_j$ ” is just a symbol indicating a point of X , no affine structure is assumed

Proof. By contradiction assume that for any $n \in \mathbb{N}$ there exists an $\text{RCD}^*(-n^{-2\beta}, N)$ -space $(X_n, \mathbf{d}_n, \mathbf{m}_n)$ and points $\bar{x}_n, \{p_n^i, q_n^i\}_{i=1, \dots, k}, \{p_n^i + p_n^j\}_{1 \leq i < j \leq k}$ of X_n such that $\mathbf{d}(p_n^i, \bar{x}_n), \mathbf{d}(q_n^i, \bar{x}_n), \mathbf{d}(p_n^i + p_n^j, \bar{x}_n) \geq n^\beta$ with

$$\sum_{i=1}^k \int_{B_R(\bar{x}_n)} |De_{p_n^i, q_n^i}|^2 \, \mathrm{d}\mathbf{m}_n + \sum_{1 \leq i < j \leq k} \int_{B_R(\bar{x}_n)} \left| D \left(\frac{\mathbf{d}^{p_n^i} + \mathbf{d}^{p_n^j}}{\sqrt{2}} - \mathbf{d}^{p_n^i + p_n^j} \right) \right|^2 \, \mathrm{d}\mathbf{m}_n \leq \frac{1}{n} \quad , \quad (40)$$

for every $R \in [1, n]$. To begin with, by p-mGH compactness Proposition 2.2 combined with the $\text{RCD}^*(K, N)$ -Stability Theorem 2.7 (recall also that the $\text{RCD}^*(0, N)$ condition is equivalent to the $\text{RCD}(0, N)$ condition), we know that there exists an $\text{RCD}^*(0, N)$ -space $(X, \mathbf{d}, \mathbf{m}, \bar{x})$ such that, up to subsequences, we have

$$\mathcal{D}_{C(\cdot)}((X_n, \mathbf{d}_n, \mathbf{m}_n, \bar{x}_n), (X, \mathbf{d}, \mathbf{m}, \bar{x})) \rightarrow 0 \quad . \quad (41)$$

Our goal is to prove that $(X, \mathbf{d}, \mathbf{m}, \bar{x})$ is isomorphic to a product $(\mathbb{R}^k \times Y, \mathbf{d}_{\mathbb{R}^k \times Y}, \mathbf{m}_{\mathbb{R}^k \times Y}, (0^k, \bar{y}))$ for some $\text{RCD}^*(0, N - k)$ -space $(Y, \mathbf{d}_Y, \mathbf{m}_Y)$. The strategy is to use the distance functions together with the excess estimates in order to construct in the limit space X a kind of ‘‘affine’’ functions which play an analogous role of the Busemann functions in the proof of the splitting theorem. To this aim call

$$\begin{aligned} f_n^i : B_n(\bar{x}_n) &\rightarrow \mathbb{R}, \quad f_n^i(\cdot) := \mathbf{d}_n(p_n^i, \cdot) - \mathbf{d}_n(p_n^i, \bar{x}_n) \quad \text{and} \quad g_n^i : B_n(\bar{x}_n) \rightarrow \mathbb{R}, \quad g_n^i(\cdot) := \mathbf{d}_n(q_n^i, \cdot) - \mathbf{d}_n(q_n^i, \bar{x}_n) \quad , \\ f_n^{ij} : B_n(\bar{x}_n) &\rightarrow \mathbb{R}, \quad f_n^{ij}(\cdot) := \mathbf{d}_n(p_n^i + p_n^j, \cdot) - \mathbf{d}_n(p_n^i + p_n^j, \bar{x}_n) \quad \forall n \in \mathbb{N}. \end{aligned} \quad (42)$$

Of course f_n^i, g_n^i, f_n^{ij} are 1-Lipschitz so by Arzelá-Ascoli Theorem 2.12 we have that there exist 1-Lipschitz functions $f^i, g^i, f^{ij} : X \rightarrow \mathbb{R}$ such that

$$f_n^i|_{B_R(\bar{x}_n)} \rightarrow f^i|_{B_R(\bar{x})}, \quad g_n^i|_{B_R(\bar{x}_n)} \rightarrow g^i|_{B_R(\bar{x})}, \quad f_n^{ij}|_{B_R(\bar{x}_n)} \rightarrow f^{ij}|_{B_R(\bar{x})} \quad \text{uniformly } \forall R > 0 \quad \text{and} \quad f^i(\bar{x}) = g^i(\bar{x}) = f^{ij}(\bar{x}) = 0 \quad (43)$$

as $n \rightarrow \infty$, for every $i, j = 1, \dots, k$.

As it will be clear in a moment, the maps f^i will play the role of the Busemann functions in proving the isometric splitting of X . To this aim we now proceed by successive claims about properties of the functions f^i, g^i which represent the cornerstones to apply the arguments by Gigli [30]-[31] of the Cheeger-Gromoll splitting Theorem.

CLAIM 1: $f^i = -g^i$ everywhere on X for every $i = 1, \dots, k$.

From the very definition of the excess we have

$$\begin{aligned} e_{p_n^i, q_n^i}(\cdot) &= \mathbf{d}_n(p_n^i, \cdot) + \mathbf{d}_n(q_n^i, \cdot) - \mathbf{d}_n(p_n^i, q_n^i) = f_n^i(\cdot) + g_n^i(\cdot) + \mathbf{d}_n(p_n^i, \bar{x}_n) + \mathbf{d}_n(q_n^i, \bar{x}_n) - \mathbf{d}_n(p_n^i, q_n^i) \\ &= f_n^i(\cdot) + g_n^i(\cdot) + e_{p_n^i, q_n^i}(\bar{x}_n) \quad , \end{aligned} \quad (44)$$

which gives in particular that

$$|De_{p_n^i, q_n^i}| \equiv |D(f_n^i + g_n^i)| \quad \text{on } B_n(\bar{x}_n) \quad . \quad (45)$$

Fix now $R > 0$ and observe that, since $f_n^i + g_n^i|_{B_R(\bar{x}_n)} \rightarrow f^i + g^i|_{B_R(\bar{x})}$ uniformly we have by the lowersemicontinuity of the slope Proposition 2.13

$$\int_{B_R(\bar{x})} |D(f^i + g^i)|^2 \, \mathrm{d}\mathbf{m} \leq \liminf_n \int_{B_{R+1}(\bar{x}_n)} |D(f_n^i + g_n^i)|^2 \, \mathrm{d}\mathbf{m}_n = 0 \quad \text{for every fixed } R \geq 1 \quad ,$$

thanks to (40). This gives $|D(f^i + g^i)| = 0$ m-a.e. and therefore the claim follows from (43) since f^i and g^i are continuous.

CLAIM 2: $\Delta^* f^i = 0$ on X as a measure, i.e. f^i is harmonic, for every $i = 1, \dots, k$.

Fixed any $R > 0$, let $\varphi : X \rightarrow [0, 1]$ be a $1/R$ -Lipschitz cutoff function with $\varphi \equiv 1$ on $B_R(\bar{x})$ and $\varphi \equiv 0$ outside $B_{2R}(\bar{x})$. From the technical point of view it is convenient here to see the convergence (41) realized by isometric immersions ι_n, ι of the spaces X_n, X into an ambient Polish space (Z, d_Z) as in Definition 2.1 and define $\varphi : Z \rightarrow [0, 1]$ be a $1/R$ -Lipschitz cutoff function with $\varphi \equiv 1$ on $B_R(\iota(\bar{x}))$ and $\varphi \equiv 0$ outside $B_{2R}(\iota(\bar{x}))$ so that φ can be used as $1/R$ -Lipschitz cutoff function also for the spaces X_n ; but let us not complicate the notation with the isometric inclusions here.

The uniform Lipschitz nature of f_n^i together with (41) and (43) ensure that, up to subsequences in n , one has $\int_X \Gamma(f^i, \varphi) dm = \lim_n \int_{X_n} \Gamma(f_n^i, \varphi) dm_n$ so via integration by parts we get

$$- \int_X \Gamma(f^i, \varphi) dm = - \lim_n \int_{X_n} \Gamma(f_n^i, \varphi) dm_n = \lim_n \int_{X_n} \varphi d\Delta^* f_n^i \leq \lim_n \frac{C(N)}{n^\beta - 2R - 1} m_n(B_{2R+1}(\bar{x}_n)) = 0,$$

where in the inequality we used the Laplacian comparison Theorem 2.6 to infer that $\Delta^* f_n^i \leq \frac{C(N)}{n^\beta - 2R - 1} m_n$ on $B_{2R+1}(\bar{x}_n)$ for n large enough.

It follows (see for instance [29, Proposition 4.14]) that f^i admits a measured valued Laplacian on X satisfying $\Delta^* f^i \leq 0$ on X . On the other hand, by completely analogous arguments we also get $\Delta^* g^i \leq 0$ on X as a measure. The combination of these last two facts with CLAIM 1 gives CLAIM 2.

CLAIM 3: for every $a \in \mathbb{R}$ the function af^i is a Kantorovich potential, $i = 1, \dots, k$. More precisely we show that af^i is c -concave and satisfies

$$(af^i)^c = -af^i - \frac{a^2}{2} \quad \text{and} \quad (-af^i)^c = af^i - \frac{a^2}{2}. \quad (46)$$

Though our situation is a bit different, our proof of this claim is inspired by the ideas of [30]. To simplify the notation let us drop the index i in the arguments below. Since by construction f is 1-Lipschitz, then for every $a \in \mathbb{R}$ the function af is $|a|$ -Lipschitz and

$$af(x) - af(y) \leq |a|d(x, y) \leq \frac{a^2}{2} + \frac{d^2(x, y)}{2} \quad \forall x, y \in X,$$

which gives $\frac{d^2(x, y)}{2} - af(x) \geq -af(y) - \frac{a^2}{2}$ for every $x, y \in X$. Therefore, by the very definition of c -transform, we get

$$(af)^c(y) := \inf_{x \in X} \left(\frac{d^2(x, y)}{2} - af(x) \right) \geq -af(y) - \frac{a^2}{2} \quad \forall y \in X. \quad (47)$$

To prove the converse inequality fix $y \in X$ and consider first the case $a \leq 0$. For n large enough let $y_n \in X_n$ be the point corresponding to y via a GH-quasi isometry, let $\gamma^{y_n, p_n} : [0, d_n(y_n, p_n)] \rightarrow X_n$ be a unit speed minimizing geodesic from y_n to p_n and let $y_n^a := \gamma_{|a|}^{y_n, p_n}$. In this way we have

$$d_n(p_n, y_n^a) = d_n(p_n, y_n) + a. \quad (48)$$

From (41) and since the space (X, d) is proper, we have that there exists a point $y^a \in X$ such that

$$d(y, y^a) = |a| \quad (49)$$

and, by using (43)-(48), we obtain

$$f(y^a) - f(y) = \lim_n [f_n(y_n^a) - f_n(y_n)] = \lim_n [\mathbf{d}_n(p_n, y_n^a) - \mathbf{d}_n(p_n, y_n)] = a \quad . \quad (50)$$

By choosing y^a as a competitor in the definition of $(af)^c$, thanks to (49) and (50), we infer

$$(af)^c(y) := \inf_{x \in X} \left(\frac{\mathbf{d}^2(x, y)}{2} - af(x) \right) \leq \frac{\mathbf{d}^2(y^a, y)}{2} - af(y^a) = \frac{a^2}{2} - a^2 - af(y) = -\frac{a^2}{2} - af(y) \quad (51)$$

as desired. To handle the case $a > 0$ repeat the same arguments for g^i, g_n^i in place of f^i, f_n^i : by considering this time $y_n^a := \gamma_a^{y_n, q_n}$, where $\gamma^{y_n, q_n} : [0, \mathbf{d}_n(y_n, q_n)] \rightarrow X_n$ is a unit speed minimizing geodesic from y_n to q_n , and passing to the limit as $n \rightarrow +\infty$ we get a point y^a such that

$$f(y^a) - f(y) = -[g(y^a) - g(y)] = -\lim_n [g_n(y_n^a) - g_n(y_n)] = -\lim_n [\mathbf{d}_n(q_n, y_n^a) - \mathbf{d}_n(q_n, y_n)] = a.$$

At this stage one can repeat verbatim (51) to conclude the proof of the first identity of (46); the second one follows by choosing $-a$ in place of a . The c -concavity of af is now a direct consequence of (46), indeed

$$(af)^{cc} = \left(-af - \frac{a^2}{2} \right)^c = (-af)^c + \frac{a^2}{2} = af \quad .$$

CLAIM 4: $|Df^i| \equiv 1$ everywhere on X , for every $i = 1, \dots, k$.

Again, for sake of simplicity of notation, drop the index i for the proof. We already know that $|Df| \leq 1$ everywhere on X ; to show the converse recall that by (49)-(50) for every $a < 0$ and $y \in X$ there exists $y^a \in X$ such that $\mathbf{d}(y, y^a) = |a|$ and $f(y^a) - f(y) = a$. Therefore it follows that

$$|Df|(y) = \limsup_{z \rightarrow y} \frac{|f(z) - f(y)|}{\mathbf{d}(z, y)} \geq \lim_{a \uparrow 0} \frac{|f(y^a) - f(y)|}{\mathbf{d}(y^a, y)} = 1 \quad ,$$

as desired.

CLAIM 5: $\Gamma(f^i, f^j) = 0$ m-a.e. on X .

First of all observe that it is enough to prove

$$\left| D\left(\frac{f^i + f^j}{\sqrt{2}} - f^{ij}\right) \right| = 0 \quad \text{m-a.e. on } X \quad . \quad (52)$$

Indeed, since $|Df^i|, |Df^{ij}| \equiv 1$ on X (the proof for f^{ij} can be performed along the same lines of CLAIM 4), by polarization we get

$$\begin{aligned} |\Gamma(f^i, f^j)| &= \left| \left| D\left(\frac{f^i + f^j}{\sqrt{2}}\right) \right|^2 - 1 \right| = \left| \left| D\left(\frac{f^i + f^j}{\sqrt{2}}\right) \right|^2 - |Df^{ij}|^2 \right| \\ &= \left| \left| D\left(\frac{f^i + f^j}{\sqrt{2}}\right) \right| + |Df^{ij}| \right| \cdot \left| \left| D\left(\frac{f^i + f^j}{\sqrt{2}}\right) \right| - |Df^{ij}| \right| \\ &\leq 10 \left| D\left(\frac{f^i + f^j}{\sqrt{2}} - f^{ij}\right) \right| \quad \text{m-a.e. on } X \quad . \end{aligned}$$

So let us establish (52). Observe that since $\frac{f_n^i + f_n^j}{\sqrt{2}} - f_n^{ij}|_{B_R(\bar{x}_n)} \rightarrow \frac{f^i + f^j}{\sqrt{2}} - f^{ij}|_{B_R(\bar{x})}$ uniformly, and since they are uniformly Lipschitz, we have the lowersemicontinuity of the slope Proposition 2.13 which yields

$$\int_{B_R(\bar{x})} \left| D \left(\frac{f^i + f^j}{\sqrt{2}} - f^{ij} \right) \right|^2 dm \leq \liminf_n \int_{B_{R+1}(\bar{x}_n)} \left| D \left(\frac{f_n^i + f_n^j}{\sqrt{2}} - f_n^{ij} \right) \right|^2 dm_n = 0 \quad \text{for every fixed } R \geq 1 \quad ,$$

thanks to (40), as desired.

Using CLAIMS 2-3-4 we will argue by combining the ideas of Cheeger-Gromoll and Gigli [30] with an induction argument. Indeed, CLAIMS 2-3-4 are precisely the ingredients required to applying the arguments of [30] to obtain the following:

Lemma 5.2. *Each mapping $f^i : X \rightarrow \mathbb{R}$ is a splitting map. That is, there exists a $\text{RCD}^*(0, N-1)$ space Y^i and an isomorphism $X \rightarrow Y^i \times \mathbb{R}$ such that $f^i(y, t) = t$. If $N < 2$ then Y^i is exactly a point.*

Proof. Since, with CLAIMS 2-3-4 in hand, the proof of the above is verbatim as in [30], we will not go through the details except to mention the main points. Namely, CLAIM 3 first allows us to define the optimal transport gradient flow $\Phi_t : X \rightarrow X$ of f^i . By CLAIM 2 this flow preserves the measure. If X were a smooth manifold, one would then use CLAIM 4 as by Cheeger-Gromoll to argue that $|\nabla^2 f^i| = 0$, which would immediately imply that the flow map was a splitting map as claimed. In the general case one argues as in as in [30] to use CLAIM 2 and CLAIM 4 to show the induced map $\Phi_t^* : W^{1,2}(X) \rightarrow W^{1,2}(X)$ is an isomorphism of Hilbert spaces, which forces f^i to be the claimed splitting map. \square

To finish the proof of the almost splitting theorem via excess we need to see that each f^i induces a distinct splitting. That is, we want to know that the mapping $f = (f^1, \dots, f^k) : X \rightarrow \mathbb{R}^k$ is a splitting map. We will proceed by induction on k :

CONCLUSION of the proof of the almost splitting via excess.

If $k = 1$ then the proof is complete from Lemma 5.2. Now let us consider $k \geq 2$ and let us assume the mapping $(f^1, \dots, f^{k-1}) : X \rightarrow \mathbb{R}^{k-1}$ is a splitting map. That is, there exists a $\text{RCD}^*(0, N-k+1)$ space X' such that $X = X' \times \mathbb{R}^{k-1}$ and such that under this isometry we have $(f^1, \dots, f^{k-1})(x', t_1, \dots, t_{k-1}) = (t_1, \dots, t_{k-1})$. We will show the mapping $(f^1, \dots, f^k) : X \rightarrow \mathbb{R}^k$ is a splitting map.

To this aim let us consider the function

$$\tilde{f}^k := f^k \circ \iota = f^k((\cdot, 0)) : X' \rightarrow \mathbb{R},$$

where $\iota : X' \rightarrow X$ is the inclusion map of X' into X as the 0-slice.

CLAIM A: $f^k(x', t_1, \dots, t_{k-1}) = f^k(x', s_1, \dots, s_{k-1})$ for every $(s_1, \dots, s_{k-1}), (t_1, \dots, t_{k-1}) \in \mathbb{R}^{k-1}$ and every $x' \in X'$.

Let Φ_t be the flow map induced by f^{k-1} . By following verbatim the proof of [31, Proposition 2.17] (or equivalently the proof of [30, Corollary 3.24]), which is based on the trick ‘‘Horizontal-vertical derivative’’ introduced in [6], we have that for every $g \in L^1 \cap L^\infty(X, m)$ with bounded support it holds

$$\lim_{t \downarrow 0} \int_X \frac{f^k \circ \Phi_t - f^k}{t} g dm = - \int_X \Gamma(f^{k-1}, f^k) g dm = 0 \quad ,$$

where in the last equality we used Claim 5 above. Observing that for $m' \times \mathcal{L}^{k-2}$ -a.e. $(x', s') \in X' \times \mathbb{R}^{k-2}$ the map $t \mapsto f^k \circ \Phi_t((x', s', 0))$ is 1-Lipschitz from \mathbb{R} to \mathbb{R} , so in particular \mathcal{L}^1 -a.e. differentiable, by the Dominated Convergence Theorem we infer that

$$\begin{aligned} \int_X \frac{d}{dt}(f^k \circ \Phi_t) g \, dm &= \lim_{h \downarrow 0} \int_X \frac{f^k \circ \Phi_{t+h} - f^k \circ \Phi_t}{h} g \, dm \\ &= \lim_{h \downarrow 0} \int_X \frac{f^k \circ \Phi_h - f^k}{h} g \circ (\Phi_t)^{-1} \, dm = 0 \quad . \end{aligned}$$

It follows that for $m' \times \mathcal{L}^{k-2}$ -a.e. $(x', s') \in X' \times \mathbb{R}^{k-2}$ we have $\frac{d}{dt}(f^k \circ \Phi_t)((x', s', 0)) = 0$ for \mathcal{L}^1 -a.e. $t \in \mathbb{R}$ and therefore, since again the function $t \mapsto f^k \circ \Phi_t$ is 1-Lipschitz (so in particular absolutely continuous),

$$f^k(x', s', s) - f^k(x', s', 0) = \int_0^s \frac{d}{dt}(f^k \circ \Phi_t((x', s', 0))) \, dt = 0, \quad \text{for } m' \times \mathcal{L}^{k-2}\text{-a.e. } (x', s') \in X' \times \mathbb{R}^{k-2}, \forall s \in \mathbb{R}. \quad (53)$$

But, since $f^k : X \rightarrow \mathbb{R}$ is 1-Lipschitz, the identity (53) holds for every $(x', s') \in X' \times \mathbb{R}^{k-2}$. In other words the function $f^k(x', t_1, \dots, t_{k-1})$ does not depend on the variable t_{k-1} . Repeating verbatim the argument above with f^i , $i = 1, \dots, k-2$, in place of f^{k-1} gives CLAIM A.

CLAIM B: $|D\tilde{f}^k|_{X'} = 1$ m' -a.e. on X' .

This claim is an easy consequence of a nontrivial result proved in [6] (see [31, Theorem 3.13] for the statement we refer to) stating that the Sobolev space of the product $X' \times \mathbb{R}$ splits isometrically into the product of the corresponding Sobolev spaces. More precisely, we already know that for m' -a.e. x' the function $t \mapsto f^k(x', t)$ from \mathbb{R}^{k-1} to \mathbb{R} is 1-Lipschitz (and then in particular locally of Sobolev class) as well as the function $x' \rightarrow f^k(x', t)$, the result then states the following orthogonal splitting

$$|Df^k|_{X' \times \mathbb{R}^{k-1}}^2(x', t) = |Df^i(\cdot, t)|_{X'}^2(x') + |Df^i(x', \cdot)|_{\mathbb{R}^{k-1}}^2(t) \quad \text{for } m' \times \mathcal{L}^{k-1}\text{-a.e. } (x', t) \in X' \times \mathbb{R}^{k-1} \quad . \quad (54)$$

CLAIM B follows then by the combination of CLAIM 4, CLAIM A and (54).

CLAIM C: $\Delta_{X'}^* \tilde{f}^k = 0$ as a measure on X' .

For every Lipschitz function $\tilde{\varphi} \in X'$ with bounded support, called $\varphi(x', t) = \tilde{\varphi}(x')$, thanks to CLAIM 2, using CLAIM A and that (by polarization of identity (54))

$$\Gamma_X(f^k, \varphi)((x', t)) = \Gamma_{X'}(f^k(\cdot, t), \varphi(\cdot, t))(x') + \Gamma_{\mathbb{R}^{k-1}}(f^k(x', \cdot), \varphi(x', \cdot))(t) \quad \text{for } m' \times \mathcal{L}^{k-1}\text{-a.e. } (x', t) \in X' \times \mathbb{R}^{k-1},$$

we have that

$$\begin{aligned} 0 &= \int_X \varphi \, d(\Delta^* f^k) = - \int_X \Gamma_X(f^k, \varphi) \, dm = - \int_{X' \times \mathbb{R}^{k-1}} \left[\Gamma_{X'}(\tilde{f}^k, \tilde{\varphi}) + \Gamma_{\mathbb{R}^{k-1}}(f^k, \varphi) \right] \, d(m' \times \mathcal{L}^{k-1}) \\ &= - \int_{X' \times \mathbb{R}^{k-1}} \Gamma_{X'}(\tilde{f}^k, \tilde{\varphi}) \, d(m' \times \mathcal{L}^{k-1}) \quad . \end{aligned}$$

Therefore $\int_{X'} \Gamma_{X'}(\tilde{f}^k, \tilde{\varphi}) \, dm' = 0$ and CLAIM C follows.

CLAIM D: for every $a \in \mathbb{R}$ the function $a\tilde{f}^k$ is a Kantorovich potential in X' . More precisely we show that $a\tilde{f}^k$ is c -concave and satisfies

$$(a\tilde{f}^k)^c = -a\tilde{f}^k - \frac{a^2}{2} \quad \text{and} \quad (-a\tilde{f}^k)^c = a\tilde{f}^k - \frac{a^2}{2} \quad \text{everywhere on } X'. \quad (55)$$

First of all observe that by CLAIM A we have

$$\inf_{(y',t) \in X' \times \mathbb{R}^{k-1}} \frac{d_{X'}^2(x', y') + |t|^2}{2} \mp a f^k((y', t)) = \inf_{y' \in X'} \frac{d_{X'}^2(x', y')}{2} \mp a f^k((y', 0)) \quad \forall x' \in X'.$$

By the definition of c -transform, using the above identity, recalling CLAIM 3 and that we identified X with $X' \times \mathbb{R}^{k-1}$, we have

$$\begin{aligned} (\pm a\tilde{f}^k)^c(x') &= \inf_{y' \in X'} \frac{d_{X'}^2(x', y')}{2} \mp a\tilde{f}^k(y') = \inf_{(y',t) \in X' \times \mathbb{R}^{k-1}} \frac{d_{X'}^2(x', y') + |t|^2}{2} \mp a f^k((y', t)) \\ &= \inf_{y \in X} \frac{d_X^2((x', 0), y)}{2} \mp a f^k(y) = (\pm a f^k)^c((x', 0)) = \mp a f^k((x', 0)) - \frac{a^2}{2} \\ &= \mp a\tilde{f}^k(x') - \frac{a^2}{2} \end{aligned}$$

which gives (55). The c -concavity then easily follows:

$$(a\tilde{f}^k)^{cc} = \left(-\frac{a^2}{2} - a\tilde{f}^k \right)^c = \frac{a^2}{2} + (-a\tilde{f}^k)^c = \frac{a^2}{2} - \frac{a^2}{2} + a\tilde{f}^k = a\tilde{f}^k.$$

Now we can apply Lemma 5.2 to \tilde{f}^k , which completes the induction step and hence the proof. \square

6. PROOF OF THE MAIN RESULTS

6.1. Different stratifications coincide m-a.e. In this subsection we will analyze the following a priori different stratifications of the $\text{RCD}^*(K, N)$ -space $(X, \mathbf{d}, \mathbf{m})$; after having proved that they are made of \mathbf{m} -measurable subsets we will show that different stratifications coincide \mathbf{m} -a.e. . We start by the definition. For every $k \in \mathbb{N}$, $1 \leq k \leq N$ consider

$$A_k := \{x \in X : \mathbb{R}^k \in \text{Tan}(X, x) \text{ but } \nexists (Y, \mathbf{d}_Y, \mathbf{m}_Y, \bar{y}) \text{ with } \text{diam}(Y) > 0 \text{ s.t. } \mathbb{R}^k \times Y \in \text{Tan}(X, x)\} \quad (56)$$

$$A'_k := \{x \in X : \mathbb{R}^k \in \text{Tan}(X, x) \text{ but no } (\tilde{X}, \mathbf{d}_{\tilde{X}}, \mathbf{m}_{\tilde{X}}, \tilde{x}) \in \text{Tan}(X, x) \text{ splits } \mathbb{R}^{k+1}\} \quad (57)$$

$$A''_k := \{x \in X : \mathbb{R}^k \in \text{Tan}(X, x) \text{ but } \mathbb{R}^{k+j} \notin \text{Tan}(X, x) \text{ for every } j \geq 1\}, \quad (58)$$

where we wrote (and will sometimes write later on when there is no ambiguity on the meaning) $\text{Tan}(X, x)$ in place of $\text{Tan}(X, \mathbf{d}, \mathbf{m}, x)$, \mathbb{R}^k in place of $(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0)$ and $\mathbb{R}^k \times Y$ in place of $(\mathbb{R}^k \times Y, \mathbf{d}_E \times \mathbf{d}_Y, \mathcal{L}_k \times \mathbf{m}_Y, (0, \bar{y}))$ in order to keep the notation short. It is clear from the definitions that

$$A_k \subset A'_k \subset A''_k, \quad (59)$$

moreover, from Theorem 2.9 we already know that

$$\mathbf{m} \left(X \setminus \bigcup_{1 \leq k \leq N} A''_k \right) = 0. \quad (60)$$

As preliminary step, in the next lemma we establish the measurability of A_k, A'_k, A''_k . Let us point out that a similar construction was performed in [33].

Lemma 6.1 (Measurability of the stratification). *For every $k \in \mathbb{N}, 1 \leq k \leq N$, the sets A_k, A'_k, A''_k can be written as difference of couples of analytic sets so they are \mathfrak{m} -measurable.*

Proof. We prove the statement for A_k , the argument for the others being analogous. Define $\mathcal{A} \subset X \times \mathcal{M}_{C(\cdot)}$ by

$$\mathcal{A} := \{(x, (Y, \mathbf{d}_Y, \mathfrak{m}_Y, y)) : (Y, \mathbf{d}_Y, \mathfrak{m}_Y, y) \in \text{Tan}(X, x)\}. \quad (61)$$

Recall that for every $r \in \mathbb{R}$, the map $X \ni x \mapsto (X, \mathbf{d}_r, \mathfrak{m}_r^x, x) \in (\mathcal{M}_{C(\cdot)}, \mathcal{D}_{C(\cdot)})$ is continuous, so the set

$$\bigcup_{x \in X} \left[\{x\} \times B_{\frac{1}{i}}((X, \mathbf{d}_r, \mathfrak{m}_r^x, x)) \right] \subset X \times \mathcal{M}_{C(\cdot)} \quad \text{is open for every } i \in \mathbb{N}$$

and therefore

$$\mathcal{A} = \bigcap_{i \in \mathbb{N}} \bigcap_{j \in \mathbb{N}} \bigcup_{r > j} \bigcup_{x \in X} \left[\{x\} \times B_{\frac{1}{i}}((X, \mathbf{d}_r, \mathfrak{m}_r^x, x)) \right] \quad \text{is Borel.}$$

Now let $\mathcal{B} \subset X \times \mathcal{M}_{C(\cdot)}$ be defined by

$$\mathcal{B} := \bigcup_{x \in X} \{x\} \times (\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0^k) = \bigcap_{i \in \mathbb{N}} \bigcup_{x \in X} \left[\{x\} \times B_{\frac{1}{i}}((\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, 0^k)) \right].$$

Clearly also \mathcal{B} is Borel, as well as the below defined set $\mathcal{C} \subset X \times \mathcal{M}_{C(\cdot)}$

$$\mathcal{C} := \bigcup_{\{Y \in \mathcal{M}_{C(\cdot)}, \text{diam}(Y) > 0\}} \bigcup_{x \in X} \left[\{x\} \times (\mathbb{R}^k \times Y) \right] = \bigcap_{j \in \mathbb{N}} \bigcup_{i \in \mathbb{N}} \bigcup_{\{Y \in \mathcal{M}_{C(\cdot)}, \text{diam}(Y) \geq \frac{1}{j}\}} \bigcup_{x \in X} \left[\{x\} \times B_{\frac{1}{i}}((\mathbb{R}^k \times Y)) \right].$$

Called $\Pi_1 : X \times \mathcal{M}_{C(\cdot)} \rightarrow X$ the projection on the first factor we have that $\Pi_1(\mathcal{A} \cap \mathcal{B} \cap \mathcal{C})$ is analytic as well as $\Pi_1(\mathcal{A} \cap \mathcal{B})$, since projection of Borel subsets. But

$$\Pi_1(\mathcal{A} \cap \mathcal{B} \cap \mathcal{C}) = \{x \in X : \mathbb{R}^k \in \text{Tan}(X, x) \text{ and } \exists Y \in \mathcal{M}_{C(\cdot)} \text{ with } \text{diam}(Y) > 0 \text{ s.t. } \mathbb{R}^k \times Y \in \text{Tan}(X, x)\}$$

$$\Pi_1(\mathcal{A} \cap \mathcal{B}) = \{x \in X : \mathbb{R}^k \in \text{Tan}(X, x)\} \quad ,$$

so that $A_k = \Pi_1(\mathcal{A} \cap \mathcal{B}) \setminus \Pi_1(\mathcal{A} \cap \mathcal{B} \cap \mathcal{C})$ is a difference of analytic sets and therefore is measurable with respect to any Borel measure; in particular A_k is \mathfrak{m} -measurable. \square

In the next lemma we prove that the a priori different stratifications A_k, A'_k, A''_k essentially coincide.

Lemma 6.2 (Essential equivalence of the different stratifications). *Let $(X, \mathbf{d}, \mathfrak{m})$ be an $\text{RCD}^*(K, N)$ -space and recall the definition of A_k, A'_k, A''_k in (56), (57), (58) respectively. Then*

$$\mathfrak{m}(A''_k \setminus A_k) = 0 \quad \text{for every } 1 \leq k \leq N \quad ,$$

so in particular, thanks to (59), we have that $A_k = A'_k = A''_k$ up to sets of \mathfrak{m} -measure zero and

$$\mathfrak{m} \left(X \setminus \bigcup_{1 \leq k \leq N} A_k \right) = 0 \quad .$$

Proof. First recall that thanks to Theorem 2.10, for \mathfrak{m} -a.e. $x \in X$, for every $(\tilde{X}, \mathbf{d}_{\tilde{X}}, \tilde{\mathfrak{m}}, \tilde{x}) \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x)$ and for every $\tilde{x}' \in \tilde{X}$ we have

$$\text{Tan}(\tilde{X}, \mathbf{d}_{\tilde{X}}, \tilde{\mathfrak{m}}_{\tilde{x}'}^{\tilde{x}'}, \tilde{x}') \subset \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x). \quad (62)$$

Fix $1 \leq k \leq N$; we argue by contradiction by assuming that $\mathfrak{m}(A_k'' \setminus A_k) > 0$. It follows that there exists a point $\bar{x} \in A_k'' \setminus A_k$ where the above property (62) holds. By definition, if $\bar{x} \in A_k'' \setminus A_k$ then $\mathbb{R}^k \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, \bar{x})$ and there exists a p.m.m.s. $(Y, \mathbf{d}_Y, \mathfrak{m}_Y, y)$ with $\text{diam}(Y) > 0$ such that $\tilde{X} := \mathbb{R}^k \times Y \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x)$. Notice that, since every element in $\text{Tan}(X, \mathbf{d}, \mathfrak{m}, x)$ is an $\text{RCD}^*(0, N)$ -space, by applying k times the Splitting Theorem 2.8 to \tilde{X} we get that Y is an $\text{RCD}^*(0, N - k)$ space, in particular Y is a geodesic space. Since by assumption $\text{diam}(Y) > 0$ then Y contains at least two points and a geodesic $\gamma : [0, 1] \rightarrow Y$ joining them. It follows that any blow up of $(Y, \mathbf{d}_Y, \mathfrak{m}_Y)$ at $\gamma(1/2)$ is an $\text{RCD}^*(0, N - k)$ space containing a line and so it splits off an \mathbb{R} factor by the Splitting Theorem 2.8. Therefore there exists an $\text{RCD}^*(0, N - k - 1)$ space $(\tilde{Y}, \mathbf{d}_{\tilde{Y}}, \mathfrak{m}_{\tilde{Y}}, \tilde{y})$ such that

$$\mathbb{R}^{k+1} \times \tilde{Y} \in \text{Tan}\left(\tilde{X}, \mathbf{d}_{\tilde{X}}, \tilde{\mathfrak{m}}_1^{(0, \gamma(1/2))}, (0, \gamma(1/2))\right)$$

and, thanks to (62) and our choice of \bar{x} , this yields

$$\mathbb{R}^{k+1} \times \tilde{Y} \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, \bar{x}) \quad .$$

If \tilde{Y} is a singleton we have finished, indeed in this case we would have proved that $\mathbb{R}^{k+1} \cong \mathbb{R}^{k+1} \times \{\tilde{y}\} \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, \bar{x})$, contradicting the assumption that $\bar{x} \in A_k''$.

If instead \tilde{Y} contains at least two points then it contains a geodesic joining them and we can repeat the arguments above to show that $\mathbb{R}^{k+2} \times \tilde{\tilde{Y}} \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, \bar{x})$ for some $\text{RCD}^*(0, N - k - 2)$ space $\tilde{\tilde{Y}}$. Recalling that an $\text{RCD}^*(0, \tilde{N})$ space for $0 \leq \tilde{N} < 1$ is a singleton, after a finite number of iterations of the above procedure we get that $\mathbb{R}^{k+j} \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, \bar{x})$ for some $1 \leq j \leq N - k$, contradicting that $\bar{x} \in A_k''$. \square

In order to establish the rectifiability it will be also useful the following easy lemma.

Lemma 6.3. *i) For every $x \in A_k$ and for every $\varepsilon > 0$ there exists $\delta = \delta(x, \varepsilon) > 0$ such that for every $0 < r < \delta$ the following holds: If for some p.m.m.s. $(Y, \mathbf{d}_Y, \mathfrak{m}_Y, y) \in \mathcal{M}_{C(\cdot)}$ one has*

$$\mathcal{D}_{C(\cdot)}\left((X, \mathbf{d}_r, \mathfrak{m}_r^x, x), (Y \times \mathbb{R}^k, \mathbf{d}_Y \times \mathbf{d}_E, \mathfrak{m}_Y \times \mathcal{L}_k, (y, 0^k))\right) \leq \delta \quad \text{then} \quad \text{diam}Y \leq \varepsilon. \quad (63)$$

ii) Define the function $\bar{\delta}(\cdot, \varepsilon) : A_k \rightarrow \mathbb{R}^+$ by

$$\bar{\delta}(x, \varepsilon) := \sup\{\delta(x, \varepsilon) \text{ such that (63) holds}\} \quad . \quad (64)$$

Then, for every fixed $\varepsilon_1, \delta_1 > 0$, the set of points

$$\{x \in A_k : \bar{\delta}(x, \varepsilon_1) \geq \delta_1\} \subset X \text{ is the complementary in } A_k \text{ of an analytic subset of } X \text{ and therefore } \mathfrak{m}\text{-measurable.} \quad (65)$$

Proof. i) If by contradiction (63) does not hold then there exist $\varepsilon > 0$, a sequence $r_j \downarrow 0$ and a sequence of p.m.m.s. $(Y_j, \mathbf{d}_{Y_j}, \mathfrak{m}_{Y_j}, y_j) \in \mathcal{M}_{C(\cdot)}$ s.t.

$$\mathcal{D}_{C(\cdot)}\left((X, \mathbf{d}_{r_j}, \mathfrak{m}_{r_j}^x, x), (Y_j \times \mathbb{R}^k, \mathbf{d}_{Y_j} \times \mathbf{d}_E, \mathfrak{m}_{Y_j} \times \mathcal{L}_k, (y_j, 0^k))\right) \rightarrow 0 \quad \text{and} \quad \text{diam}Y_j \geq \varepsilon.$$

But by p-mGH compactness Proposition 2.2 there exists a p.m.m.s. $(Y, \mathbf{d}_Y, \mathfrak{m}_Y, y) \in \mathcal{M}_{C(\cdot)}$ such that, up to subsequences, $Y_j \rightarrow Y$ in p-mGH sense. It follows that $\text{diam}Y > 0$ and $Y \times \mathbb{R}^k \in \text{Tan}(X, \mathbf{d}, \mathfrak{m}, x)$ contradicting

that $x \in A_k$.

ii) The construction is analogous to the one performed in the proof of Lemma 6.1, let us sketch it briefly. Clearly, for every $\delta_1, \varepsilon_1 > 0$, the following subset $\mathcal{D}_{\delta_1, \varepsilon_1} \subset X \times \mathcal{M}_{C(\cdot)}$

$$\mathcal{D}_{\delta_1, \varepsilon_1} := \bigcap_{i \in \mathbb{N}} \bigcup_{0 < r < \delta_1} \bigcup_{x \in X} \{x\} \times \left[B_{1/i}((X, \mathbf{d}_r, m_r^x, x)) \cap \left(\bigcup_{Y \in \mathcal{M}_{C(\cdot); \text{diam}(Y) > \varepsilon_1}} B_{\delta_1}(Y \times \mathbb{R}^k) \right) \right] \quad \text{is Borel .}$$

Therefore $\Pi_1(\mathcal{D}_{\delta_1, \varepsilon_1}) \subset X$ is analytic and, since $\{x \in A_k : \bar{\delta}(x, \varepsilon_1) \geq \delta_1\} = A_k \setminus \Pi_1(\mathcal{D}_{\delta_1, \varepsilon_1})$, the thesis follows. \square

6.2. Rectifiability of $\text{RCD}^*(K, N)$ -spaces. The goal of this section is to prove that given an $\text{RCD}^*(K, N)$ -space (X, \mathbf{d}, m) , every A_k defined in (56) is k -rectifiable, the rectifiability Theorem 1.1 will then follow by Lemma 6.2.

To this aim, fix $\bar{x} \in A_k$. By Theorem 4.1, for every $\varepsilon_2 > 0$ there exists a rescaling $(X, \tilde{\mathbf{d}}, \tilde{m}, \bar{x}) := (X, r^{-1}\mathbf{d}, m_r^{\bar{x}}, \bar{x})$ such that for some $0 < r \ll 1$ there exist $R > 10$ and points $\{p_i, q_i\}_{i=1, \dots, k} \subset \partial B_R(\bar{x}), \{p_i + q_j\}_{1 \leq i < j \leq k} \in B_{2R}(\bar{x}) \setminus B_R(\bar{x})$ such that

$$\int_{B_{10}^{\tilde{\mathbf{d}}}(\bar{x})} \left(\sum_{i=1}^k |De_{p_i, q_i}|^2 + \sum_{1 \leq i < j \leq k} \left| D \left(\frac{\tilde{\mathbf{d}}^{p_i} + \tilde{\mathbf{d}}^{p_j}}{\sqrt{2}} - \tilde{\mathbf{d}}^{p_i + p_j} \right) \right|^2 \right) d\tilde{m} \leq \varepsilon_2 \quad . \quad (66)$$

Consider the maximal function $M^k : B_9^{\tilde{\mathbf{d}}}(\bar{x}) \rightarrow \mathbb{R}^+$ defined by

$$M^k(x) := \sup_{0 < r < 1} \int_{B_r^{\tilde{\mathbf{d}}}(x)} \left(\sum_{i=1}^k |De_{p_i, q_i}|^2 + \sum_{1 \leq i < j \leq k} \left| D \left(\frac{\tilde{\mathbf{d}}^{p_i} + \tilde{\mathbf{d}}^{p_j}}{\sqrt{2}} - \tilde{\mathbf{d}}^{p_i + p_j} \right) \right|^2 \right) d\tilde{m}. \quad (67)$$

Lemma 6.4. *For every rescaling $(X, \tilde{\mathbf{d}}, \tilde{m}, \bar{x})$ and $\varepsilon_1 > 0$ the subset $\{x \in B_9^{\tilde{\mathbf{d}}}(\bar{x}) : M^k(x) > \varepsilon_1\}$ is Borel. Moreover for every $\varepsilon_1 > 0$ there exists $\varepsilon_2 > 0$ such that if the rescaling $(X, \tilde{\mathbf{d}}, \tilde{m}, \bar{x})$ satisfies (66) then*

$$\tilde{m}(\{x \in B_9^{\tilde{\mathbf{d}}}(\bar{x}) : M^k(x) > \varepsilon_1\}) \leq \varepsilon_1 \quad . \quad (68)$$

Proof. The first claim is trivial since M^k is Borel (it is lower semicontinuous since sup of continuous functions). For the second claim, observe that $(X, \tilde{\mathbf{d}}, \tilde{m})$ is $\text{RCD}^*(\min(K, 0), N)$ thanks to property (9) so $B_{10}^{\tilde{\mathbf{d}}}(\bar{x})$ is doubling with constant depending just on K and N . But then (68) follows by the continuity of the maximal function operator from L^1 to weak- L^1 holding in doubling spaces (for the proof see for instance [35, Theorem 2.2]), which gives $m(\{M^k > t\}) \leq \frac{C(K, N)}{t} \varepsilon_2$. \square

Now for a fixed rescaling $(X, \tilde{\mathbf{d}}, \tilde{m}, \bar{x})$ and any $\varepsilon_1, \delta_1 > 0$, let us define the sets

$$U_{\varepsilon_1}^k(\bar{x}, r) = U_{\varepsilon_1}^k := \{x \in B_9^{\tilde{\mathbf{d}}}(\bar{x}) \cap A_k \text{ such that } M^k(x) \leq \varepsilon_1\} \quad , \quad (69)$$

$$U_{\varepsilon_1, \delta_1}^k(\bar{x}, r) = U_{\varepsilon_1, \delta_1}^k := \{x \in B_9^{\tilde{\mathbf{d}}}(\bar{x}) \cap A_k \text{ such that } M^k(x) \leq \varepsilon_1 \text{ and } \bar{\delta}(x, \varepsilon_1) \geq \delta_1\} \quad , \quad (70)$$

where the map $x \rightarrow \bar{\delta}(x, \varepsilon_1)$ was defined in (64). Thanks to (i) of Lemma 6.3 we know that $\bar{\delta}(x, \varepsilon_1) > 0$ for every $x \in A_k$ and $\varepsilon_1 > 0$, so

$$U_{\varepsilon_1}^k(\bar{x}, r) = U_{\varepsilon_1}^k = \bigcup_{j \in \mathbb{N}} U_{\varepsilon_1, \frac{1}{j}}^k \quad . \quad (71)$$

Therefore, to establish the rectifiability of $U_{\varepsilon_1}^k$, it is enough to prove that $U_{\varepsilon_1, \frac{1}{7}}^k$ is rectifiable for every $\varepsilon_1 > 0$. This is our next claim, which is the heart of the proof of the rectifiability of $\text{RCD}^*(K, N)$ -spaces. The idea is to “bootstrap” to smaller and smaller scales the excess estimates initially given by Theorem 4.1 by using the smallness of the Maximal function and then to convert these excess estimates into estimates on the Gromov-Hausdorff distance with \mathbb{R}^k thanks to the Almost Splitting Theorem 5.1 combined with Lemma 6.3. The conclusion will follow by observing that GH closeness at arbitrary small scales via the same map implies biLipschitz equivalence.

Theorem 6.5 (Rectifiability of $U_{\varepsilon_1, \delta_1}^k$, of $U_{\varepsilon_1}^k$ and measure estimate). *For every $\varepsilon_3 > 0$ there exists $\delta_1, \varepsilon_1 > 0$ such that if $(X, \tilde{\mathbf{d}}, \tilde{\mathfrak{m}}, \bar{x})$ is a rescaling satisfying (66), where $\varepsilon_2 > 0$ is from Lemma 6.4, then for every ball $B_{\delta_1}^{\tilde{\mathbf{d}}} \subset B_{\mathfrak{g}}^{\tilde{\mathbf{d}}}(\bar{x})$ of radius δ_1 we have*

$$B_{\delta_1}^{\tilde{\mathbf{d}}} \cap U_{\varepsilon_1, \delta_1}^k \text{ is } 1 + \varepsilon_3\text{-bilipschitz equivalent to a measurable subset of } \mathbb{R}^k \quad . \quad (72)$$

It follows that $U_{\varepsilon_1, \delta_1}^k$ and, thanks to (71), $U_{\varepsilon_1}^k$ are k -rectifiable via $1 + \varepsilon_3$ -biLipschitz maps as well. Moreover

$$\tilde{\mathfrak{m}}\left((B_{\mathfrak{g}}^{\tilde{\mathbf{d}}}(\bar{x}) \cap A_k) \setminus U_{\varepsilon_1}^k\right) \leq \varepsilon_1. \quad (73)$$

Proof. First notice that, thanks to Lemma 6.4, Lemma 6.3 and Lemma 6.1, the subset $U_{\varepsilon_1, \delta_1}^k \subset X$ is constructed via a finite combination of intersections and complements of analytic subsets of X so, if we manage to construct a $1 + \varepsilon_3$ -biLipschitz map between $B_{\delta_1}^{\tilde{\mathbf{d}}} \cap U_{\varepsilon_1, \delta_1}^k$ and a subset $E \subset \mathbb{R}^k$, E will be automatically expressible as a finite combination of intersections and complements of analytic subsets of \mathbb{R}^k . Moreover the measure estimate (73) readily follows by Lemma 6.4 and the definition of $U_{\varepsilon_1}^k$ in (70). Therefore it is enough to prove (72), i.e. we have to construct such a map u .

Combining the Almost Splitting Theorem 5.1 with Lemma 6.3 and the very definition (70) of $U_{\varepsilon_1, \delta_1}^k$, we infer that for every $\varepsilon_3 > 0$ there exists $\varepsilon_1, \delta_1 > 0$ small enough such that if $(X, \tilde{\mathbf{d}}, \tilde{\mathfrak{m}}, \bar{x})$ satisfies (66), where ε_2 is from Lemma 6.4, then for every $x \in U_{\varepsilon_1, \delta_1}^k$ and for every $0 < r \leq 2\delta_1$ it holds

$$\mathcal{D}_{C(\cdot)}\left(\left(X, r^{-1}\tilde{\mathbf{d}}, \tilde{\mathfrak{m}}_r^x, x\right), \left(\mathbb{R}^k, \mathbf{d}_{\mathbb{R}^k}, \mathcal{L}_k, 0^k\right)\right) \leq \varepsilon_3 \quad . \quad (74)$$

Moreover the GH ε_3 -quasi isometry map $u_{x,r} : B_1^{r^{-1}\tilde{\mathbf{d}}}(x) \rightarrow \mathbb{R}^k$ is given by

$$u_{x,r}^i(\cdot) := r^{-1}\left(\tilde{\mathbf{d}}(p_i, \cdot) - \tilde{\mathbf{d}}(p_i, x)\right), \quad i = 1, \dots, k.$$

This means that for every $0 < r \leq 2\delta_1$ and every $y_1, y_2 \in B_1^{r^{-1}\tilde{\mathbf{d}}}(x)$ it holds

$$\left| \sqrt{\sum_{i=1}^k \left(u_{x,r}^i(y_1) - u_{x,r}^i(y_2)\right)^2} - r^{-1}\tilde{\mathbf{d}}(y_1, y_2) \right| \leq \varepsilon_3 \quad ,$$

which implies, after rescaling by r , that for every $0 < r \leq 2\delta_1$ and every $y_1, y_2 \in B_r^{\tilde{\mathbf{d}}}(x)$ it holds

$$\left| \sqrt{\sum_{i=1}^k \left(\tilde{\mathbf{d}}(p_i, y_1) - \tilde{\mathbf{d}}(p_i, y_2)\right)^2} - \tilde{\mathbf{d}}(y_1, y_2) \right| = r \left| \sqrt{\sum_{i=1}^k \left(u_{x,r}^i(y_1) - u_{x,r}^i(y_2)\right)^2} - r^{-1}\tilde{\mathbf{d}}(y_1, y_2) \right| \leq r\varepsilon_3 \quad . \quad (75)$$

Hence, calling $u : B_{\delta_1}^{\tilde{d}}(\bar{x}) \rightarrow \mathbb{R}^k$ the map $u^i(\cdot) := \tilde{d}(p_i, \cdot) - \tilde{d}(p_i, \bar{x})$ with $i = 1, \dots, k$, for every $x_1, x_2 \in U_{\varepsilon_1, \delta_1}^k$ with $\tilde{d}(x_1, x_2) \leq 2\delta_1$ the above estimate (75) ensures that

$$\left| |u(x_1) - u(x_2)|_{\mathbb{R}^k} - \tilde{d}(x_1, x_2) \right| \leq \varepsilon_3 \tilde{d}(x_1, x_2) \quad ,$$

which gives

$$(1 - \varepsilon_3) \tilde{d}(x_1, x_2) \leq |u(x_1) - u(x_2)|_{\mathbb{R}^k} \leq (1 + \varepsilon_3) \tilde{d}(x_1, x_2) \quad . \quad (76)$$

This is to say the map $u : B_{\delta_1}^{\tilde{d}} \cap U_{\varepsilon_1, \delta_1}^k$ is $1 + \varepsilon_3$ -bilipschitz to its image in \mathbb{R}^k , which concludes the proof. \square

To finish the rectifiability let $\{x_\alpha\} \subset A_k$ be a countable dense subset. Notice that such a subset exists since X is locally compact. Let us denote the sets

$$R_{k, \varepsilon} := \bigcup_{\alpha, j \in \mathbb{N}} U_\varepsilon(x_\alpha, j^{-1}) \quad , \quad (77)$$

where $U_\varepsilon(x_\alpha, j^{-1})$ was defined in (70). It is clear from Theorem 6.5 that for $\varepsilon(N, K)$ sufficiently small the set $R_{k, \varepsilon}$ is rectifiable, since it is a countable union of such sets. We need only see that $m(A_k \setminus R_{k, \varepsilon}) = 0$ via a standard measure-density argument.

Theorem 6.6 (*k*-rectifiability of A_k). *Let (X, d, m) be an $\text{RCD}^*(K, N)$ -space and let A_k be defined in (56). Then there exists $\bar{\varepsilon} = \bar{\varepsilon}(K, N) > 0$ such that, for every $1 \leq k \leq N$ and $0 < \varepsilon \leq \bar{\varepsilon}$, one has that*

$$m(A_k \setminus R_{k, \varepsilon}) = 0 \quad ,$$

where $R_{k, \varepsilon}$ is the *k*-rectifiable set defined in (77).

Proof. If by contradiction $m(A_k \setminus R_{k, \varepsilon}) > 0$ then there exists an m -density point $\bar{x} \in A_k$ of $A_k \setminus R_{k, \varepsilon}$, i.e.

$$\lim_{r \downarrow 1} \frac{m\left((A_k \setminus R_{k, \varepsilon}) \cap B_r^d(\bar{x})\right)}{m(B_r^d(\bar{x}))} = 1 \quad . \quad (78)$$

Note that by applying Theorem 4.1, for any $\varepsilon_2 > 0$ and for all $0 < r \leq \bar{r}(\bar{x}, \varepsilon_2)$ sufficiently small we have that (66) holds. Therefore, by taking $\varepsilon_2 > 0$ sufficiently small, for every $j \geq \bar{j}(\bar{x}, \varepsilon_2, \varepsilon)$ large enough, there exists x_α sufficiently close to \bar{x} such that

$$m_{j^{-1}}^{\bar{x}}\left(B_{j^{-1}}^d(\bar{x}) \cap \left(U_\varepsilon^k(\bar{x}, j^{-1}) \setminus U_\varepsilon^k(x_\alpha, j^{-1})\right)\right) \leq \varepsilon \quad ,$$

and, recalling the measure estimate (73), we infer

$$m_{j^{-1}}^{\bar{x}}\left(\left(B_{j^{-1}}^d(\bar{x}) \cap A_k\right) \setminus U_\varepsilon^k(x_\alpha, j^{-1})\right) \leq 2\varepsilon.$$

But now, from the very definition (4) of the rescaled measure $m_{j^{-1}}^{\bar{x}}$ and from the measure doubling property ensured by the $\text{RCD}^*(K, N)$ condition, we have that

$$\frac{m\left(\left(B_{j^{-1}}^d(\bar{x}) \cap A_k\right) \setminus U_\varepsilon^k(x_\alpha, j^{-1})\right)}{m\left(B_{j^{-1}}^d(\bar{x})\right)} \leq C(K, N) m_{j^{-1}}^{\bar{x}}\left(\left(B_{j^{-1}}^d(\bar{x}) \cap A_k\right) \setminus U_\varepsilon^k(x_\alpha, j^{-1})\right) \leq 2C(K, N) \varepsilon \leq \frac{1}{2}$$

for $\varepsilon \leq \frac{1}{4C(K, N)}$. Since by definition $U_\varepsilon^k(x_\alpha, j^{-1}) \subset R_{k, \varepsilon}$, the last inequality clearly contradicts (78) for j large enough. \square

6.3. m-a.e. uniqueness of tangent cones. The k -rectifiability of A_k establishes immediately that for m-a.e. $x \in A_k$ the tangent cone is unique and isomorphic to the euclidean space \mathbb{R}^k ; the m-a.e. uniqueness of tangent cones of $\text{RCD}^*(K, N)$ -spaces expressed in Theorem 1.2 will then follow from Lemma 6.2. For completeness sake we include the argument:

Theorem 6.7. *Let $(X, \mathbf{d}, \mathbf{m})$ be an $\text{RCD}^*(K, N)$ -space and let A_k be defined in (56), for $1 \leq k \leq N$. Then, for m-a.e. $x \in A_k$ the tangent cone is unique and k -dimensional euclidean, i.e.*

$$\text{Tan}(X, \mathbf{d}, \mathbf{m}, x) = \{(\mathbb{R}^k, \mathbf{d}_E, \mathcal{L}_k, \mathbf{0}^k)\} \quad . \quad (79)$$

Proof. Let $S_n \subset X$ be defined by

$$S_n := \left\{ x \in X : \exists (Y, \mathbf{d}_Y, \mathbf{m}_Y, y) \in \text{Tan}(X, \mathbf{d}, \mathbf{m}, x) \text{ with } \mathcal{D}_{C(\cdot)}\left((Y, \mathbf{d}_Y, \mathbf{m}_Y, y), (\mathbb{R}^k, \mathbf{d}_{\mathbb{R}^k}, \mathcal{L}_k, \mathbf{0}^k)\right) > \frac{1}{n} \right\} \quad .$$

Observe that $S_n \subset X$ is analytic since it can be written as projection of a Borel subset of $X \times \mathcal{M}_{C(\cdot)}$:

$$S_n = \Pi_1 \left[\mathcal{A} \cap \left(\bigcup_{x \in X} \{x\} \times (\mathcal{M}_{C(\cdot)} \setminus \bar{B}_{1/n}((\mathbb{R}^k, \mathbf{d}_{\mathbb{R}^k}, \mathcal{L}_k, \mathbf{0}^k))) \right) \right] \quad ,$$

where $\mathcal{A} \subset X \times \mathcal{M}_{C(\cdot)}$ is the Borel subset (see the proof of Lemma 6.1) defined in (61). In order to get the thesis it is clearly enough to prove that $\mathbf{m}(A_k \cap S_n) = 0$, for every $n \in \mathbb{N}$. If by contradiction for some $n \in \mathbb{N}$ one has $\mathbf{m}(A_k \cap S_n) > 0$, then there exists an m-density point $\bar{x} \in A_k$ of $A_k \cap S_n$, i.e.

$$\lim_{r \downarrow 0} \frac{\mathbf{m}(A_k \cap S_n \cap B_r^{\mathbf{d}}(\bar{x}))}{\mathbf{m}(B_r^{\mathbf{d}}(\bar{x}))} = 1 \quad . \quad (80)$$

Repeating the first part of the proof of Theorem 6.5 we get that for $\varepsilon_3 = \frac{1}{2n}$ and for every $\varepsilon_1, \delta_1 > 0$ (to be fixed later depending just on K and N) there exists $r_0 = r_0(\bar{x}, \varepsilon_3, \varepsilon_1, \delta_1) > 0$ such that the rescaled space $(X, r_0^{-1}\mathbf{d}, \mathbf{m}_{r_0}^{\bar{x}}, \bar{x})$ has a subset $U_{\varepsilon_1, \delta_1}^k$ satisfying

$$\mathbf{m}_{r_0}^{\bar{x}}(B_{r_0}^{\mathbf{d}}(\bar{x}) \setminus U_{\varepsilon_1, \delta_1}^k) \leq 2\varepsilon_1 \quad , \quad (81)$$

and such that, for every $x \in B_{r_0}^{\mathbf{d}}(\bar{x}) \cap U_{\varepsilon_1, \delta_1}^k$, one has

$$\mathcal{D}_{C(\cdot)}\left((X, (rr_0)^{-1}\mathbf{d}, \mathbf{m}_{rr_0}^{\bar{x}}, \bar{x}), (\mathbb{R}^k, \mathbf{d}_{\mathbb{R}^k}, \mathcal{L}_k, \mathbf{0}^k)\right) \leq \frac{1}{2n} \quad \text{for every } 0 < r \leq 2\delta_1 \quad . \quad (82)$$

The last property (82) implies that, for every $x \in B_{r_0}^{\mathbf{d}}(\bar{x}) \cap U_{\varepsilon_1, \delta_1}^k$, one has $\text{Tan}(X, \mathbf{d}, \mathbf{m}, x) \subset B_{\frac{1}{2n}}((\mathbb{R}^k, \mathbf{d}_{\mathbb{R}^k}, \mathcal{L}_k, \mathbf{0}^k))$ so, by the very definition of S_n , that $S_n \cap B_{r_0}^{\mathbf{d}}(\bar{x}) \cap U_{\varepsilon_1, \delta_1}^k = \emptyset$ or, in other terms, $A_k \cap S_n \cap B_{r_0}^{\mathbf{d}}(\bar{x}) \subset B_{r_0}^{\mathbf{d}}(\bar{x}) \setminus U_{\varepsilon_1, \delta_1}^k$. But now, from the very definition (4) of the rescaled measure $\mathbf{m}_{r_0}^{\bar{x}}$ and from the measure doubling property ensured by the $\text{RCD}^*(K, N)$ condition, we have that

$$\frac{\mathbf{m}(A_k \cap S_n \cap B_{r_0}^{\mathbf{d}}(\bar{x}))}{\mathbf{m}(B_{r_0}^{\mathbf{d}}(\bar{x}))} \leq C(K, N) \mathbf{m}_{r_0}^{\bar{x}}(A_k \cap S_n \cap B_{r_0}^{\mathbf{d}}(\bar{x})) \leq C(K, N) \mathbf{m}_{r_0}^{\bar{x}}(B_{r_0}^{\mathbf{d}}(\bar{x}) \setminus U_{\varepsilon_1, \delta_1}^k) \leq 2C(K, N) \varepsilon_1 \leq \frac{1}{2}$$

for $\varepsilon_1 \leq \frac{1}{2C(K, N)}$, where we used (81). For $r_0 > 0$ small enough this clearly contradicts (80). \square

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