

A-PRIORI TIME REGULARITY ESTIMATES AND A SIMPLIFIED PROOF OF EXISTENCE IN PERFECT PLASTICITY

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ABSTRACT. We revisit the time-incremental method for proving existence of a quasistatic evolution in perfect plasticity. We show how, as a consequence of a-priori time regularity estimates on the stress and the plastic strain, the piecewise affine interpolants of the solutions of the incremental minimum problems satisfy the conditions defining a quasistatic evolution up to some vanishing error. This allows for a quicker proof of existence: furthermore, this proof bypasses the usual variational reformulation of the problem and directly tackles its original mechanical formulation in terms of an equilibrium condition, a stress constraint, and the principle of maximum plastic work.

1. INTRODUCTION

The scope of this note is to revisit and simplify the proof of existence of a quasistatic evolution in small strain linearized perfect elasto-plasticity. This is done through the use of some a-priori time regularity estimates. In the usual proof strategy (see [6]) such estimates are instead only available a-posteriori, after that existence for a variational reformulation of the problem has been established.

For a better explaining, we recall both the classical and the variational formulation of the problem. We put ourselves for simplicity in the case of no applied volume and surface forces, so that the evolution is only driven by a prescribed boundary displacement $w(t, x)$, usually taken in $W^{1,1}([0, T]; H^1(\Omega; \mathbb{R}^n))$. Given an open set $\Omega \subset \mathbb{R}^n$ and an open subset Γ_0 of $\partial\Omega$, a quasistatic evolution is then a triple $(u(t, x), e(t, x), p(t, x))$ satisfying the following conditions:

- *Kinematic admissibility:* denoting with $Eu(t, x)$ the symmetrised gradient of u , one has

$$\begin{cases} Eu(t, x) = e(t, x) + p(t, x) & \text{in } \Omega \\ u(t, x) = w(t, x) & \text{on } \Gamma_0; \end{cases}$$

- *Equilibrium condition and stress constraint:* setting $\sigma(t, x) := \mathbb{C}e(t, x)$, with \mathbb{C} the elasticity tensor, it holds

$$\begin{cases} \operatorname{div} \sigma(t, x) = 0 & \text{in } \Omega, \quad \sigma(t, x)\nu(x) = 0 & \text{on } \partial\Omega \setminus \bar{\Gamma}_0, \\ \sigma_D(t, x) \in K(x) & \text{for every } x \in \Omega. \end{cases}$$

Here $\nu(x)$ is the outward unit normal to $\partial\Omega$, σ_D the orthogonal projection of σ on the space of trace-free $n \times n$ symmetric matrices $\mathbb{M}_D^{n \times n}$, and $K(x)$ is a convex compact neighborhood of 0 in $\mathbb{M}_D^{n \times n}$;

- *flow rule:* for a.e. $x \in \Omega$,

$$\dot{p}(t, x) \in N_{K(x)}(\sigma_D(t, x)),$$

where at the right-hand side we have the normal cone in the sense of Convex Analysis.

By convex duality the flow rule can be equivalently replaced by *Hill's principle of maximum plastic work*:

$$H(x, \dot{p}(t, x)) = \sigma_D(t, x) : \dot{p}(t, x)$$

where $H(x, \cdot)$ is the support function of the convex set $K(x)$, accounting for the rate of plastic dissipation, and the colon denotes the scalar product between matrices. Actually, in a rigorous setting, the issue of correctly defining the duality product between stress and plastic strain fields is absolutely nontrivial. It is indeed well-known since the seminal paper of Suquet [18] that p takes in general its values in some space of vector measures, while the stress is typically not continuous.

Anyway the issue can be overcome (see [11] and [7]). According to this weak spatial regularity of p (and consequently of \dot{p}), also the definition of plastic dissipation has to be conveniently modified. In the case of a homogeneous material, that is $K(x) = K$ for every x , it can be defined according to the theory of convex function of measures as

$$H(\mu) = H\left(\frac{\mu}{|\mu|}\right) |\mu|$$

for every bounded Radon measure μ , where $\frac{\mu}{|\mu|}$ is the Radon-Nikodym derivative of μ with respect to its total variation $|\mu|$. In the case of heterogeneous materials the issue is more involved and the definition of a correct dissipation potential requires precise kinematic considerations on the behavior of admissible stress fields at interfaces [7] or an abstract point of view [17].

Taking the quadratic form $Q(e) := \frac{1}{2}\langle Ce, e \rangle$ associated to \mathbb{C} as the elastic energy, and defining the dissipation functional $\mathcal{H}(p)$ as the total variation of the measure $H(p)$ on $\Omega \cup \Gamma_0$ the variational formulation reinterprets the classical mechanical one within the framework of the variational theory for rate-independent processes (see [14]). A quasistatic evolution is then regarded as the coupling of two conditions, namely

- *global stability*: at each time t the triple $(u(t), e(t), p(t))$ minimizes $Q(\eta) + \mathcal{H}(q - p(t))$ among all (v, η, q) admissible for $w(t)$;
- *energy-dissipation balance*: for every t

$$Q(e(t)) + \mathcal{D}(0, t; p) = Q(e_0) + \int_0^t \int_{\Omega} \sigma(s, x) : E\dot{w}(s, x) dt dx$$

where the total plastic dissipation \mathcal{D} is defined as the \mathcal{H} -total variation in time of $p(t)$, seen as a map from a time interval into the space of bounded radon measures.

We stress that this is a derivative free formulation: indeed, the usual a-priori estimates on the energy only entail that p has bounded variation as a function of the time, while no time regularity can at a first sight be proved for the elastic strain e . As a consequence of this, for instance, compactness of the approximating displacement fields in the existence proof is quite a delicate point, since converging subsequences can be in principle time dependent. Anyway, since globally stable states are unique up to the plastic strain p , this difficulty can be overcome.

It is quite easy to prove that a classical evolution is a variational one: basically, it suffices to integrate Hill's principle to recover the energy balance, while the equilibrium condition and the stress constraint are the Euler conditions for globally stable states (see for instance [6, Theorem 3.6 and Theorem 6.1]). The converse is not that easy, since we must first prove that time derivatives exist. This is however possible, once the energy-dissipation balance holds ([6, Theorem 5.2]).

The purpose of this note is to considerably simplify the path leading to the existence of a classical evolution. Here we do not introduce a Yosida-regularization of the flow rule as in the classical papers [9, 13, 18] and we instead revisit the time-incremental minimization scheme used in the existence proofs of [6] and [7] (see also [3], where thermal effects are taken into account). As usual, indeed, the time interval $[0, T]$ is divided into k subintervals (each with vanishing size as $k \rightarrow \infty$) by means of points

$$0 = t_k^0 < t_k^1 < \dots < t_k^{k-1} < t_k^k = T,$$

and the approximate solution u_k^i, e_k^i, p_k^i at time t_k^i is defined, inductively, as a minimizer of the functional $Q(e) + \mathcal{H}(p - p_k^{i-1})$ among all triples (u, e, p) admissible for $w(t_k^i)$. The starting point in our proof strategy is the following key estimate

$$\|e_k^i - e_k^{i-1}\|_2 \leq C \|Ew(t_k^i) - Ew(t_k^{i-1})\|_2. \quad (1.1)$$

By the absolute continuity in time of $Ew(t)$, this implies that the piecewise affine interpolants $e_k(t)$ of the e_k^i 's are a compact sequence in $W^{1,1}([0, T]; L^2(\Omega; \mathbb{M}_{sym}^{n \times n}))$. It also implies equi-absolute continuity of the piecewise affine interpolants $p_k(t)$ and $u_k(t)$ (Lemma 3.5) and guarantees the convergence, up to subsequences, to a limit triple $(u(t), e(t), p(t))$ of absolutely continuous functions from $[0, T]$ into the respective target spaces. It must be mentioned that variants of such estimates have already appeared

in literature, both in the papers [9, 13, 18] and in [5, Theorem 3.9]. In this last one, they were actually deduced from the time-incremental problems. Differently from the paper [5], anyway, our results also fits in the heterogeneous setting, since the abstract formulation of the stress constraint in terms of a C^1 -stable (see Definition 2.1) convex subset of $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ also allows for a dependence of the yield surface on the material point in the reference configuration. The proof of (1.1) relies on an improved stability estimate (see (3.1)) for the solutions of the incremental minimum problems. The usage of such an estimate is well-known in sweeping processes (see [10, 12]), and even in the case of non-quadratic strongly convex energy functionals (see [15, 21]). It arises from the Euler conditions for the minimum problems (Lemma 3.1), proved in an heterogeneous setting in [17]. However, the central role of such Euler conditions has been already pointed out and successfully exploited in [2] for an alternative approach to existence through vanishing hardening.

As a consequence of (1.1), we recover in Lemma 3.3 another estimate, namely (3.3), which is fundamental to our proof strategy. It allows us to obtain the inequality

$$\mathcal{H}(\dot{p}_k(t)) \leq \langle \sigma_k(t), \dot{p}_k(t) \rangle + \delta_k, \quad (1.2)$$

where \dot{p}_k are the (a.e. well defined) time derivatives of the piecewise affine interpolants p_k , and δ_k is a small remainder that vanishes in the limit. This is an approximate version of the \leq inequality in Hill's principle, which is the only nontrivial one because of the stress constraint. With some care, (1.2) passes to the limit (Theorem 3.7) and existence of a quasistatic evolution is now established. This bypasses completely the variational reformulation, that can be anyway easily deduced from the classical one. Besides simplifying the existence proof, we also hope that the introduced technique can be useful for dealing with related models.

We end up this introduction by a short comparison with another method that allows for an existence proof, the viscoplastic approximation used by Suquet in [18]. This is the first existence result of the field, and a very general one, since heterogeneous behavior is allowed. However, there a weak definition of a solution only in terms of the stress and the displacement is used (see [18, Formula (34)]). The plastic strain p has indeed been eliminated via some formal integration by parts. This avoids the issue of defining both the stress-strain duality and the plastic dissipation, at the price of losing the information on the plastic strain path along an evolution. It has nevertheless been shown in [17] that viscoplastic approximations converge to a variational evolution as the viscosity parameter ε goes to 0. Furthermore, already in Suquet's proof a priori time regularity estimates very close in spirit to the ones in Lemma 3.5 are obtained, with a different technique and in a different context (see also [17, Theorem 4.14]). It is yet worth mentioning that time incremental minimization carries a small but significant advantage with respect to viscoplastic approximation. There we are forced to take a more regular initial displacement $u_0 \in H^1(\Omega)$, in order to obtain existence. In the incremental formulation, instead, the initial displacement u_0 has only the natural $BD(\Omega)$ regularity, and in particular, we are not forced to exclude that a plastic deformation is already present also at the boundary. To conclude, we can therefore remark that the existence proof we provided is given under what we believe to be the weakest possible assumptions on the data of the problem and on the initial condition.

2. PRELIMINARIES

For basic notation and preliminary results we refer to [17, Section 2]. For the reader's convenience, we recall only the main assumptions on the data and the constraints appearing in the definition of a quasistatic evolution.

The *reference configuration* Ω is a *bounded connected open set* in \mathbb{R}^n , $n \geq 2$, with *Lipschitz boundary* $\partial\Omega = \Gamma_0 \cup \Gamma_1 \cup N$. We assume that Γ_0 and Γ_1 are relatively open, $\Gamma_0 \cap \Gamma_1 = \emptyset$, $\mathcal{H}^{n-1}(N) = 0$, and

$$\Gamma_0 \neq \emptyset. \quad (2.1)$$

The common boundary $\partial\Gamma_0 = \partial\Gamma_1$ (topological notions refer here to the relative topology of $\partial\Omega$) will be assumed to satisfy the Kohn-Temam condition

$$\begin{aligned} \partial\Gamma_0 = \partial\Gamma_1 & \text{ is a } (N-2)\text{-dimensional } C^2 \text{ manifold,} \\ \partial\Omega & \text{ is } C^2 \text{ in a neighborhood of } \partial\Gamma_0 = \partial\Gamma_1. \end{aligned} \quad (2.2)$$

This condition has actually only the role of assuring that (2.11) holds; it could be replaced by any other sufficient condition for (2.11), like for instance the one considered in [7, Theorem 6.6]. We will prescribe a Dirichlet boundary condition on Γ_0 and a traction condition on Γ_1 .

For $\sigma \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ and $\operatorname{div} \sigma \in L^2(\Omega; \mathbb{R}^n)$, $[\sigma\nu]$ denotes the normal trace on $\partial\Omega$, in general defined as a distribution. When $\sigma \in C^0(\bar{\Omega}; \mathbb{M}_{sym}^{n \times n})$ we have $[\sigma\nu] = \sigma\nu$ where the right-hand side is the pointwise product between the matrix $\sigma(x)$ and the normal vector $\nu(x)$ at each $x \in \partial\Omega$. Denoting with σ_D the orthogonal projection of σ on the space of trace-free $n \times n$ symmetric matrices $\mathbb{M}_D^{n \times n}$, under our assumptions on Ω if $\sigma_D \in L^\infty(\Omega; \mathbb{M}_D^{n \times n})$ a tangential component of the trace $[\sigma\nu]_\nu^\perp$ can be defined ([7, Section 1.2]). It satisfies

$$[\sigma\nu]_\nu^\perp \in L^\infty(\partial\Omega; \mathbb{R}^n) \quad \text{and} \quad \|[\sigma\nu]_\nu^\perp\|_\infty \leq \|\sigma_D\|_\infty.$$

The *elasticity tensor* is a symmetric positive definite continuous linear operator $\mathbb{C}: L^2(\Omega; \mathbb{M}_{sym}^{n \times n}) \rightarrow L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$. The stored elastic energy $\mathcal{Q}: L^2(\Omega; \mathbb{M}_{sym}^{n \times n}) \rightarrow \mathbb{R}$ is given by the quadratic form \mathcal{Q} associated to \mathbb{C}

$$\mathcal{Q}(e) := \frac{1}{2} \langle \mathbb{C}e, e \rangle = \frac{1}{2} \int_{\Omega} (\mathbb{C}e)(x) : e(x) \, dx.$$

It follows from the previous assumptions that there exist two positive constants α, β such that

$$\alpha \|e\|_2^2 \leq \mathcal{Q}(e) \leq \beta \mathcal{Q} \|e\|_2^2 \quad (2.3)$$

for every $e \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$. We will use the following simple algebraic identity, following from the symmetry of \mathbb{C} : if η and $\hat{\eta} \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ then

$$\mathcal{Q}(\eta) - \mathcal{Q}(\hat{\eta}) = \frac{1}{2} \langle \mathbb{C}(\eta + \hat{\eta}), \eta - \hat{\eta} \rangle. \quad (2.4)$$

The stress constraint will be abstractly modelled by a closed convex subset $\mathcal{K} \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$. The constraint will act on the stress σ only through its deviatoric part, namely

$$\sigma \in \mathcal{K} \text{ if and only if } \sigma_D \in \mathcal{K}_D, \quad (2.5)$$

where $\mathcal{K}_D \subset L^2(\Omega; \mathbb{M}_D^{n \times n})$ is closed convex and satisfy the following property: there exist $0 < r < R < +\infty$ such that

$$\{\xi \in L^\infty(\Omega; \mathbb{M}_D^{n \times n}) : \|\xi\|_\infty \leq r\} \subseteq \mathcal{K}_D \subseteq \{\xi \in L^\infty(\Omega; \mathbb{M}_D^{n \times n}) : \|\xi\|_\infty \leq R\}. \quad (2.6)$$

In particular, this implies that, if $\sigma \in \mathcal{K}$, then $\sigma_D \in L^\infty(\Omega; \mathbb{M}_D^{n \times n})$. We will also assume the following C^1 -stability condition on \mathcal{K} .

Definition 2.1. A set \mathcal{F} of measurable functions from an open set Ω into an Euclidean space Ξ is said to be C^1 -stable if, for any finite family $(f_i)_{i \in I} \subset \mathcal{F}$ and every family of nonnegative functions $(\alpha_i)_{i \in I} \in C^1(\bar{\Omega})$ we have

$$\sum_i \alpha_i = 1 \text{ in } \Omega \Rightarrow \sum_i \alpha_i f_i \in \mathcal{F}.$$

Obviously, C^1 -stability implies convexity. It is easy to see that whenever the yield surface is assigned pointwise, that is \mathcal{K} is of the form

$$\mathcal{K} := \{\sigma \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n}) : \sigma_D(x) \in K_D(x) \text{ for a.e. } x \in \Omega\}$$

with $K_D(x)$ closed convex subset of $\mathbb{M}_D^{n \times n}$, then \mathcal{K} is C^1 -stable. This formulation of the stress constraint, already considered in [17], is then suitable both for the *homogeneous* and the *heterogeneous* case.

A bounded Radon measure $p \in M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})$ is said to be an element of the space of plastic strains $\Pi_{\Gamma_0}(\Omega)$ if there exist $u \in BD(\Omega)$ (the space of functions of bounded deformation, see e.g. [20]), $e \in L^2(\Omega)$, and $w \in H^1(\Omega)$ such that

$$\begin{aligned} Eu &= e + p \quad \text{in } \Omega, \\ p &= (w - u) \odot \nu \mathcal{H}^{n-1} \quad \text{in } \Gamma_0 \end{aligned}$$

where ν is the outer unit normal to $\partial\Omega$, \odot denotes the symmetrized tensor product and the right-hand side in the second equality is the absolutely continuous measure with respect to \mathcal{H}^{n-1} having $(w - u) \odot \nu$ as a density. In such a case we say that the triple (u, e, p) belongs to the set of admissible plastic strains for the boundary datum w , denoted by $A(w)$. We will extensively use throughout the paper a notion of generalised duality between the stress and the plastic strain, introduced in [11]. We collect some of its most important properties in the next proposition.

Proposition 2.2. *Let $\sigma \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$, with $\text{div } \sigma \in L^n(\Omega; \mathbb{R}^n)$, and $\sigma_D \in L^\infty(\Omega; \mathbb{M}_D^{n \times n})$, and let $p \in \Pi_{\Gamma_0}(\Omega)$. Define for every $\varphi \in C_c^\infty(\Omega)$ the distribution*

$$[\sigma_D : p]_\Omega, \varphi = -\langle \varphi \sigma, e \rangle - \langle \varphi \text{div } \sigma, u \rangle - \langle \sigma, (u \odot \nabla \varphi) \rangle \quad (2.7)$$

where u and e are such that $(u, e, p) \in A(w)$. Then $[\sigma_D : p]_\Omega \in M_b(\Omega)$. Furthermore, setting

$$\begin{aligned} [\sigma_D : p] &:= [\sigma_D : p]_\Omega \quad \text{on } \Omega \\ [\sigma_D : p] &:= [\sigma \nu]_\nu^\perp \cdot (w - u) \mathcal{H}^{n-1} \quad \text{on } \Gamma_0, \end{aligned}$$

then $[\sigma_D : p] \in M_b(\Omega \cup \Gamma_0)$, does not depend on the choice of (u, e, w) such that $(u, e, p) \in A(w)$, and satisfies the following properties:

- (i) if $\sigma \in C_0^0(\Omega \cup \Gamma_0)$, for any $\varphi \in C_0^0(\Omega \cup \Gamma_0)$

$$\langle [\sigma_D : p], \varphi \rangle = \langle \varphi \sigma_D, p \rangle,$$

where $\langle \cdot, \cdot \rangle$ is the standard duality between continuous functions and measures;

- (ii) if p^a and $[\sigma_D : p]^a$ are the absolutely continuous parts of p and $[\sigma_D : p]$, respectively, with respect to \mathcal{L}^n , then

$$[\sigma_D : p]^a = \sigma_D : p^a$$

Moreover, if $p \in L^1(\Omega)$, then $[\sigma_D : p] \ll \mathcal{L}^n$ and

$$[\sigma_D : p] = (\sigma_D : p) \mathcal{L}^n, \quad (2.8)$$

that is the absolutely continuous measure with respect to \mathcal{L}^n with density $\sigma_D : p$;

- (iii) for every $\varphi \in C^0(\bar{\Omega})$ we have

$$|[(\sigma_k)_D : p], \varphi| \leq \|\sigma_D\|_\infty \|p\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \|\varphi\|_\infty; \quad (2.9)$$

- (iv) if $\sigma_k \rightharpoonup \sigma$ weakly in $L^2(\Omega)$, $\text{div } \sigma_k \rightharpoonup \text{div } \sigma$ weakly in $L^n(\Omega; \mathbb{R}^n)$, and $(\sigma_k)_D$ is uniformly bounded in $L^\infty(\Omega; \mathbb{M}_D^{n \times n})$, then

$$\langle [(\sigma_k)_D : p], \varphi \rangle \rightarrow \langle [\sigma_D : p], \varphi \rangle$$

- (v) assuming that $-\text{div } \sigma = f$ in Ω , then

$$\langle [\sigma_D : p], \varphi \rangle + \langle \varphi \sigma, e - Ew \rangle + \langle \sigma, (u - w) \odot \nabla \varphi \rangle = \langle f, \varphi(u - w) \rangle_\Omega \quad (2.10)$$

for every $\varphi \in C^1(\bar{\Omega})$ such that $\varphi = 0$ in a neighborhood of $\bar{\Gamma}_1$.

Defining the stress-strain duality $\langle \sigma_D, p \rangle$ by

$$\langle \sigma_D, p \rangle := [\sigma_D : p](\Omega \cup \Gamma_0),$$

if additionally $[\sigma \nu] = g \in L^\infty(\Gamma_1; \mathbb{R}^n)$, and (2.2) holds, then

$$\langle \sigma_D, p \rangle + \langle \sigma, e - Ew \rangle = \langle f, u - w \rangle_\Omega + \langle g, u - w \rangle_{\Gamma_1}. \quad (2.11)$$

Proof. We first observe that (2.7) is well defined also in the case of a Lipschitz boundary $\partial\Omega$ since $u \in L^{\frac{n}{n-1}}(\Omega)$ by the Sobolev embedding, and $\sigma \in L^r(\Omega)$ for any $1 \leq r < \infty$ by [7, Proposition 6.1]. Then, the first part of the statement can be proved arguing for instance as in [17, Section 2]. The integration by parts formula (2.11) follows from [7, Theorem 6.5]. \square

Remark 2.3. Notice that by (2.8), the stress-strain duality $\langle \sigma_D, p \rangle$ reduces to the usual duality between L^∞ and L^1 when $p \in L^1(\Omega; \mathbb{M}_D^{n \times n})$, so no ambiguity is hidden in the notation.

In defining the plastic dissipation, we follow the general point of view of [17] including all the particular cases considered in [6], [16], and [7]. To this end, we recall the definition of supremum of a family of measures.

Definition 2.4. Let a family of real-valued measures $(\mu_\alpha)_{\alpha \in \mathbb{A}} \subset M_b(X)$ indexed by some (possibly uncountable) set \mathbb{A} be given. If for every $\alpha \in \mathbb{A}$ one has $|\mu_\alpha| \leq \lambda$ for some positive measure λ independent of α , the *supremum* of the measures μ_α is defined as

$$(\sup \mu_\alpha)(B) := \sup \left\{ \sum_{i=1}^k \mu_{\alpha_i}(B_i) : \alpha_i \in \mathbb{A} \text{ for every } i \right\},$$

for every Borel set $B \subset X$, where the supremum in the right-hand side is taken over all $k \in \mathbb{N}$ and over all finite Borel disjoint partitions B_1, \dots, B_k of B . It is not difficult to see that this defines a finite Borel measure, that trivially majorizes all the measures μ_α .

The *dissipation measure* $\mathbb{H}(p) \in M_b(\Omega \cup \Gamma_0)$ associated to a plastic strain $p \in \Pi_{\Gamma_0}(\Omega)$ is defined as follows:

$$\mathbb{H}(p) = \sup \{ [\sigma_D : p] : \sigma \in \mathcal{K}, \operatorname{div} \sigma \in L^n(\Omega; \mathbb{R}^n) \}, \quad (2.12)$$

where the supremum is taken in the sense of measures. The plastic dissipation functional $\mathcal{H}(p)$ is then defined as

$$\mathcal{H}(p) := \mathbb{H}(p)(\Omega \cup \Gamma_0). \quad (2.13)$$

The basic properties of \mathbb{H} and \mathcal{H} are collected in the next proposition.

Proposition 2.5. *Assume (2.1) and (2.2), and let \mathcal{K} be a C^1 -stable closed convex set in $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ satisfying (2.5) and (2.6). Let $p \in \Pi_{\Gamma_0}(\Omega)$. Then*

$$\mathcal{H}(p) = \sup \{ \langle \sigma_D, p \rangle : \sigma \in \mathcal{K}, \operatorname{div} \sigma \in L^n(\Omega; \mathbb{R}^n) \}. \quad (2.14)$$

The measure $\mathbb{H}(p)$ and the functional $\mathcal{H}(p)$ are nonnegative and satisfy

$$r|p| \leq \mathbb{H}(p) \leq R|p| \quad \text{and} \quad r\|p\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \leq \mathcal{H}(p) \leq R\|p\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})}, \quad (2.15)$$

with $0 < r < R$ given by (2.6). The functional \mathcal{H} is positively 1-homogeneous and satisfies the triangle inequality

$$\mathcal{H}(p_1 + p_2) \leq \mathcal{H}(p_1) + \mathcal{H}(p_2). \quad (2.16)$$

Finally, if p_k converges weakly to p_∞ in $M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})$ as $k \rightarrow +\infty$ and there exist bounded sequences $u_k \in BD(\Omega)$, $w_k \in H^1(\Omega; \mathbb{R}^n)$ and $e_k \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ such $(u_k, e_k, p_k) \in A(w_k)$, then*

$$\mathcal{H}(p_\infty) \leq \liminf_{k \rightarrow +\infty} \mathcal{H}(p_k). \quad (2.17)$$

Proof. See, for instance, [17, Proposition 3.1 and 3.2]. \square

Remark 2.6. In the case of an homogeneous material, \mathcal{K} is of the form

$$\mathcal{K} := \{ \sigma \in L^2(\Omega; \mathbb{M}_{sym}^{n \times n}) : \sigma_D(x) \in K_D \quad \text{a.e.} \}$$

with K_D a convex compact neighborhood of 0 in $\mathbb{M}_D^{n \times n}$. Then it follows from [6, Proposition 2.4] that $\mathbb{H}(p)$ reduces to the usual convex function of measures $H(p) := H\left(\frac{p}{|p|}\right)|p|$, with H the support function of K . In this case, all the properties in the previous proposition can be derived from the related theory in [8] and [19]. In particular, (2.17) simply follows from Reshetnyak's Theorem ([1, Theorem 2.38]).

In the heterogeneous case, when $K_D(x)$ has a piecewise continuous dependence on x , it has been shown in [17, Theorem 3.7] that $\mathbb{H}(p)$ reduces to the explicit formula proposed in [7].

About the initial and boundary data, we make the following assumptions. For simplicity of exposition, we consider the case of no applied forces, so that the evolution is simply driven by a prescribed boundary displacement

$$\mathbf{w} \in W_{loc}^{1,1}([0, +\infty); H^1(\Omega; \mathbb{R}^n)). \quad (2.18)$$

This is indeed a minor restriction: one can deal with the case of applied forces with similar methods, provided a uniform safe-load condition (such as, for instance, in [17, (2.26)-(2.28)]) is introduced. The only point where adapting the existence proof could be not straightforward is outlined in Remark 3.8. We put $w_0 := \mathbf{w}(0)$. The initial datum will be a triple $(u_0, e_0, p_0) \in A(w_0)$ such that, setting $\sigma_0 := \mathbb{C}e_0$, one has

$$\begin{cases} \sigma_0 \in \mathcal{K}, \\ -\operatorname{div} \sigma_0 = 0 \quad \text{in } \Omega; \quad [\sigma_0 \nu] = 0 \quad \text{on } \Gamma_1. \end{cases} \quad (2.19)$$

We remark that, differently from [17], here we allow for $u_0 \in BD(\Omega)$ instead of $u_0 \in H^1(\Omega)$.

We finally recall the definition of quasistatic evolution. There (and everywhere in what follows), the time derivative $\dot{\mathbf{p}}(t)$ of an absolutely continuous map $\mathbf{p}: [0, T] \rightarrow M_b(\Omega)$ has to be understood in the weak* sense of [6, Theorem 7.1], since the target space is not reflexive, but is the dual of a separable Banach space. The same will apply to the time derivative $\dot{\mathbf{u}}(t)$ of an absolutely continuous map $\mathbf{u}: [0, T] \rightarrow BD(\Omega)$. It follows however again from [6, Theorem 7.1] that the maps $t \mapsto \|\dot{\mathbf{p}}(t)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})}$ and $t \mapsto \|\dot{\mathbf{u}}(t)\|_{BD(\Omega)}$ belong to $L^1([0, T])$.

Definition 2.7. Assume (2.1) and (2.2). Consider a C^1 -stable closed convex set $\mathcal{K} \subset L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ satisfying (2.5) and (2.6). Take \mathbf{w} as in (2.18), (u_0, e_0, p_0) as in (2.19), and fix $T > 0$. We say that $(\mathbf{u}, \mathbf{e}, \mathbf{p})$ is a *quasistatic evolution* with prescribed boundary displacement \mathbf{w} and initial condition (u_0, e_0, p_0) in the interval $[0, T]$ if

$$\begin{aligned} \mathbf{u} &\in AC([0, T]; BD(\Omega)), \\ \mathbf{e} &\in W^{1,1}([0, T]; L^2(\Omega; \mathbb{M}_{sym}^{n \times n})), \\ \mathbf{p} &\in AC([0, T]; M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})), \end{aligned} \quad (2.20)$$

and, setting $\boldsymbol{\sigma}(t) := \mathbb{C}\mathbf{e}(t)$ for every $t \in [0, +\infty)$, the following conditions are satisfied:

- (ev0) *Initial condition:* $(\mathbf{u}(0), \mathbf{e}(0), \mathbf{p}(0)) = (u_0, e_0, p_0)$.
- (ev1) *Weak kinematic admissibility:* for every $t \in [0, +\infty)$, we have $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t)) \in A(\mathbf{w}(t))$.
- (ev2) *Equilibrium condition and stress constraint:* for every $t \in [0, +\infty)$

$$\begin{cases} \boldsymbol{\sigma}(t) \in \mathcal{K}, \\ -\operatorname{div} \boldsymbol{\sigma}(t) = 0 \quad \text{in } \Omega, \quad [\boldsymbol{\sigma}(t) \nu] = 0 \quad \text{on } \Gamma_1. \end{cases} \quad (2.21)$$

- (ev3) *Maximum plastic work:* for a.e. $t \in [0, +\infty)$

$$\mathcal{H}(\dot{\mathbf{p}}(t)) = \langle \boldsymbol{\sigma}_D(t), \dot{\mathbf{p}}(t) \rangle. \quad (2.22)$$

Remark 2.8. Since $\boldsymbol{\sigma} \in K$, the measure $\mathbb{H}(\dot{\mathbf{p}}(t)) - [\boldsymbol{\sigma}_D(t) : \dot{\mathbf{p}}(t)]$ is positive by definition, therefore equality (2.22) can be localized and we get that, for a.e. $t \in [0, +\infty)$,

$$\mathbb{H}(\dot{\mathbf{p}}(t))(B) = [\boldsymbol{\sigma}_D(t) : \dot{\mathbf{p}}(t)](B) \quad (2.23)$$

for every Borel set $B \subset \Omega \cup \Gamma_0$. This can be interpreted as an abstract dual version of the classical Prandtl-Reuss flow rule stating that at each time the rate of plastic strain $\dot{\mathbf{p}}(t, x)$, if nonzero, is normal to the yield surface at $\boldsymbol{\sigma}_D(t, x)$. In the case of continuous, piecewise continuous, or even Sobolev dependence of the yield surface $K(x)$ on the material point x , pointwise versions of the flow rule have been recovered from (2.23) (see for instance [6, Theorem 6.4], [7, Theorem 3.13], and [17, Proposition 4.16]).

3. THE EXISTENCE PROOF

The following Euler conditions for the incremental problems have been proved in full generality, that is in the heterogeneous case, in [17, Theorem 4.9]. Their role is however already well-known in plasticity (see for instance [6, Proposition 3.5] or [2, Assumption 3.1]).

Lemma 3.1. *Assume (2.1) and (2.2). Let $w \in H^1(\Omega; \mathbb{R}^n)$. Consider a triple $(\hat{u}, \hat{e}, \hat{p}) \in A(w)$ and a C^1 -stable closed convex set $\mathcal{K} \subset L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ satisfying (2.5) and (2.6). Then, the following two are equivalent:*

- (i) $(\hat{u}, \hat{e}, \hat{p})$ minimizes $\mathcal{Q}(e) + \mathcal{H}(p - \hat{p})$ among all $(u, e, p) \in A(w)$;
- (ii) setting $\hat{\sigma} := \mathbb{C}\hat{e}$, it holds

$$\begin{cases} \hat{\sigma} \in \mathcal{K}, \\ -\operatorname{div} \hat{\sigma} = 0 \quad \text{in } \Omega, \quad [\hat{\sigma}\nu] = 0 \quad \text{on } \Gamma_1. \end{cases}$$

From the Euler conditions we can deduce an improved stability estimate.

Lemma 3.2. *Assume (2.1) and (2.2). Let $w \in H^1(\Omega; \mathbb{R}^n)$. Consider a triple $(\hat{u}, \hat{e}, \hat{p}) \in A(w)$ and a C^1 -stable closed convex set $\mathcal{K} \subset L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ satisfying (2.5) and (2.6). If $(\hat{u}, \hat{e}, \hat{p})$ minimizes $\mathcal{Q}(e) + \mathcal{H}(p - \hat{p})$ among all $(u, e, p) \in A(w)$, we then have*

$$\mathcal{Q}(\hat{e}) + \mathcal{Q}(e - \hat{e}) \leq \mathcal{Q}(e) + \mathcal{H}(p - \hat{p}) \quad (3.1)$$

for all $(u, e, p) \in A(w)$.

Proof. Let $\hat{\sigma} := \mathbb{C}\hat{e}$. A direct computation gives

$$\mathcal{Q}(\hat{e}) + \mathcal{Q}(e - \hat{e}) - \mathcal{Q}(e) = \langle \hat{\sigma}, \hat{e} - e \rangle.$$

Since both \hat{e} and $e \in A(w)$, and $\hat{\sigma}$ satisfies (ii) in Lemma 3.1, the integration by parts formula (2.11) and (2.14) give

$$\langle \hat{\sigma}, \hat{e} - e \rangle = \langle \hat{\sigma}, (\hat{e} - Ew) - (e - Ew) \rangle = \langle \hat{\sigma}, p - \hat{p} \rangle \leq \mathcal{H}(p - \hat{p}),$$

proving the statement. \square

The existence proof we are going to give rests upon the following key lemma, being in turn a consequence of the two previous ones.

Lemma 3.3. *Let $w_1, w_2 \in H^1(\Omega; \mathbb{R}^n)$. Consider two triples (u_1, e_1, p_1) and (u_2, e_2, p_2) in $A(w_1)$ and $A(w_2)$, respectively, such that (u_2, e_2, p_2) minimizes $\mathcal{Q}(e) + \mathcal{H}(p - p_1)$ among all $(u, e, p) \in A(w_2)$. Set $\sigma_1 := \mathbb{C}e_1$, and $\sigma_2 := \mathbb{C}e_2$, and assume that $\sigma_1 \in \mathcal{K}$, $\operatorname{div} \sigma_1 = 0$ in Ω , and that $[\sigma_1\nu] = 0$ on Γ_1 . Let α and β be as in (2.3). Then*

$$\|e_2 - e_1\|_2 \leq \sqrt{\frac{\beta}{\alpha}} \|E(w_2 - w_1)\|_2 \quad (3.2)$$

and

$$\mathcal{H}(p_2 - p_1) \leq \frac{1}{2} \langle (\sigma_1 + \sigma_2)_D, p_2 - p_1 \rangle + \beta \left(1 + \sqrt{\frac{\beta}{\alpha}} \right) \|E(w_2 - w_1)\|_2^2. \quad (3.3)$$

Proof. Lemma 3.1 assures that (u_1, e_1, p_1) minimizes $\mathcal{Q}(e) + \mathcal{H}(p - p_1)$ among all $(u, e, p) \in A(w_1)$. Testing (3.1) on the admissible triple $(u_2 - (w_2 - w_1), e_2 - E(w_2 - w_1), p_2)$ in $A(w_2)$ leads to

$$\mathcal{Q}(e_1) + \mathcal{Q}((e_2 - e_1) - E(w_2 - w_1)) \leq \mathcal{Q}(e_2 - E(w_2 - w_1)) + \mathcal{H}(p_2 - p_1).$$

Developing the quadratic form \mathcal{Q} on both sides, using the symmetry of the tensor \mathbb{C} , we get

$$\begin{aligned} \mathcal{Q}(e_1) + \mathcal{Q}(e_2 - e_1) + \mathcal{Q}(E(w_2 - w_1)) - \langle \sigma_2 - \sigma_1, E(w_2 - w_1) \rangle &\leq \\ \mathcal{Q}(e_2) + \mathcal{Q}(E(w_2 - w_1)) - \langle \sigma_2, E(w_2 - w_1) \rangle + \mathcal{H}(p_2 - p_1), & \end{aligned}$$

that is

$$\mathcal{Q}(e_1) + \mathcal{Q}(e_2 - e_1) + \langle \sigma_1, E(w_2 - w_1) \rangle \leq \mathcal{Q}(e_2) + \mathcal{H}(p_2 - p_1), \quad (3.4)$$

Since the triple $(u_1 + w_2 - w_1, e_1 + E(w_2 - w_1), p_1)$ belongs to $A(w_2)$, by minimality of (u_2, e_2, p_2)

$$\mathcal{Q}(e_2) + \mathcal{H}(p_2 - p_1) \leq \mathcal{Q}(e_1 + E(w_2 - w_1)). \quad (3.5)$$

Inserting in (3.4) we then have

$$\mathcal{Q}(e_1) + \mathcal{Q}(e_2 - e_1) + \langle \sigma_1, E(w_2 - w_1) \rangle \leq \mathcal{Q}(e_1 + E(w_2 - w_1)).$$

Developing \mathcal{Q} at the right-hand side leads to $\mathcal{Q}(e_2 - e_1) \leq \mathcal{Q}(E(w_2 - w_1))$, which implies (3.2) in view of (2.3).

Observe now, that by (2.4) with $\eta = e_1 + E(w_2 - w_1)$ and $\hat{\eta} = e_2$, with simple algebraic manipulations and using the symmetry of the tensor \mathbb{C} , we have

$$\begin{aligned} \mathcal{Q}(e_1 + E(w_2 - w_1)) - \mathcal{Q}(e_2) &= \frac{1}{2} \langle \sigma_1 + \sigma_2 + \mathbb{C}E(w_2 - w_1), E(w_2 - w_1) + e_1 - e_2 \rangle = \\ &= \frac{1}{2} \langle \sigma_1 + \sigma_2, E(w_2 - w_1) + e_1 - e_2 \rangle + \frac{1}{2} \langle \mathbb{C}E(w_2 - w_1), E(w_2 - w_1) \rangle + \frac{1}{2} \langle \mathbb{C}E(w_2 - w_1), e_1 - e_2 \rangle = \\ &= \frac{1}{2} \langle \sigma_1 + \sigma_2, E(w_2 - w_1) - (e_2 - e_1) \rangle + \mathcal{Q}(E(w_2 - w_1)) + \frac{1}{2} \langle \sigma_1 - \sigma_2, E(w_2 - w_1) \rangle. \end{aligned}$$

Inserting in (3.5) we get

$$\mathcal{H}(p_2 - p_1) \leq \frac{1}{2} \langle \sigma_1 + \sigma_2, E(w_2 - w_1) - (e_2 - e_1) \rangle + \mathcal{Q}(E(w_2 - w_1)) + \frac{1}{2} \langle \sigma_1 - \sigma_2, E(w_2 - w_1) \rangle.$$

On the other hand, by (2.16), (u_2, e_2, p_2) also minimizes $\mathcal{Q}(e) + \mathcal{H}(p - p_2)$ among all $(u, e, p) \in A(w_2)$, so that Lemma 3.1 gives that $\sigma_2 \in \mathcal{K}$, $\operatorname{div} \sigma_2 = 0$ in Ω , and that $[\sigma_2 \nu] = 0$ on Γ_1 . In turn, this implies that $\operatorname{div}(\frac{\sigma_1 + \sigma_2}{2}) = 0$ in Ω , and that $[(\frac{\sigma_1 + \sigma_2}{2}) \nu] = 0$ on Γ_1 . By (2.11) we then arrive at

$$\mathcal{H}(p_2 - p_1) \leq \frac{1}{2} \langle (\sigma_1 + \sigma_2)_D, p_2 - p_1 \rangle + \mathcal{Q}(E(w_2 - w_1)) + \frac{1}{2} \langle \sigma_1 - \sigma_2, E(w_2 - w_1) \rangle \quad (3.6)$$

which implies (3.3) in view of (2.3) and (3.2). \square

Remark 3.4. Consider the plastic dissipation measures $\mathbb{H}(p_2 - p_1)$ and the stress-strain duality measure $\frac{1}{2}[(\sigma_1 + \sigma_2)_D : (p_2 - p_1)]$. Since by convexity $\frac{1}{2}(\sigma_1 + \sigma_2) \in \mathcal{K}$, by (2.12) we have that

$$\mathbb{H}(p_2 - p_1) - \frac{1}{2}[(\sigma_1 + \sigma_2)_D : (p_2 - p_1)] \geq 0$$

as a measure. From this and (3.3) we get that for every $\varphi \in C_0^0(\Omega \cup \Gamma_0)$ with $0 \leq \varphi \leq 1$, it holds

$$\langle \mathbb{H}(p_2 - p_1), \varphi \rangle \leq \langle \frac{1}{2}[(\sigma_1 + \sigma_2)_D : (p_2 - p_1)], \varphi \rangle + C_2 \|E(w_2 - w_1)\|_2^2. \quad (3.7)$$

In particular, $\frac{1}{2}[(\sigma_1 + \sigma_2)_D : (p_2 - p_1)]$ is a positive measure up to a higher-order remainder.

Let us now fix a sequence of subdivisions $(t_k^i)_{0 \leq i \leq k}$ of the interval $[0, T]$, with

$$0 = t_k^0 < t_k^1 < \dots < t_k^{k-1} < t_k^k = T, \quad (3.8)$$

$$\lim_{k \rightarrow \infty} \max_{1 \leq i \leq k} (t_k^{i+1} - t_k^i) = 0. \quad (3.9)$$

For $i = 0, \dots, k$ we set $w_k^i := \mathbf{w}(t_k^i)$ and we define u_k^i , e_k^i , and p_k^i by induction. We set $(u_k^0, e_k^0, p_k^0) := (u_0, e_0, p_0)$, which, by assumption, belongs to $A(w_0)$, and for $i = 1, \dots, k$ we define (u_k^i, e_k^i, p_k^i) as a solution to the incremental problem

$$\min_{(u, e, p) \in A(w_k^i)} \{ \mathcal{Q}(e) + \mathcal{H}(p - p_k^{i-1}) \}. \quad (3.10)$$

The existence of such a minimizer is immediately obtained thanks to the coercivity and lower semi-continuity in (e, p) of the functional. Moreover, by the triangle inequality (2.16) the triple (u_k^i, e_k^i, p_k^i) is also a solution of the problem

$$\min_{(u, e, p) \in A(w_k^i)} \{ \mathcal{Q}(e) + \mathcal{H}(p - p_k^i) \}. \quad (3.11)$$

For $i = 0, \dots, k$ we set $\sigma_k^i := \mathbb{C}e_k^i$. For every $t \in [0, T]$ we define the **piecewise affine** interpolations

$$\begin{aligned} \mathbf{u}_k^\Delta(t) &:= u_k^i + \frac{(t-t_k^i)}{t_k^{i+1}-t_k^i}(u_k^{i+1} - u_k^i), & \mathbf{e}_k^\Delta(t) &:= e_k^i + \frac{(t-t_k^i)}{t_k^{i+1}-t_k^i}(e_k^{i+1} - e_k^i), \\ \mathbf{p}_k^\Delta(t) &:= p_k^i + \frac{(t-t_k^i)}{t_k^{i+1}-t_k^i}(p_k^{i+1} - p_k^i), & \boldsymbol{\sigma}_k^\Delta(t) &:= \sigma_k^i + \frac{(t-t_k^i)}{t_k^{i+1}-t_k^i}(\sigma_k^{i+1} - \sigma_k^i), \\ \mathbf{w}_k^\Delta(t) &:= w_k^i + \frac{(t-t_k^i)}{t_k^{i+1}-t_k^i}(w_k^{i+1} - w_k^i), \end{aligned} \quad (3.12)$$

where i is the largest integer such that $t_k^i \leq t$. By construction $(\mathbf{u}_k^\Delta(t), \mathbf{e}_k^\Delta(t), \mathbf{p}_k^\Delta(t)) \in A(\mathbf{w}_k^\Delta(t))$ (resp. $(\dot{\mathbf{u}}_k^\Delta(t), \dot{\mathbf{e}}_k^\Delta(t), \dot{\mathbf{p}}_k^\Delta(t)) \in A(\dot{\mathbf{w}}_k^\Delta(t))$) for every (resp. a.e.) $t \in [0, T]$. By Lemma 3.1 and (3.12) we have

$$\boldsymbol{\sigma}_k^\Delta(t) \in \mathcal{K}, \quad \operatorname{div} \boldsymbol{\sigma}_k^\Delta(t) = 0, \quad [\boldsymbol{\sigma}_k^\Delta(t)\nu] = 0 \text{ on } \Gamma_1 \quad (3.13)$$

for every t . We will also later consider a particular piecewise constant interpolation of the stress, namely

$$\tilde{\boldsymbol{\sigma}}_k(t) := \frac{1}{2}(\sigma_k^i + \sigma_k^{i+1}) \quad (3.14)$$

where i is the largest integer such that $t_k^i \leq t$. As an almost immediate consequence of (3.2) we have the following a priori estimates.

Lemma 3.5. *There exists $C > 0$ such that*

$$\|\dot{\mathbf{e}}_k^\Delta(t)\|_2 + \|\dot{\mathbf{p}}_k^\Delta(t)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} + \|\dot{\mathbf{u}}_k^\Delta(t)\|_{BD(\Omega)} \leq C(\|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 + \|\dot{\mathbf{w}}_k^\Delta(t)\|_{L^1(\Gamma_0; \mathbb{R}^n)})$$

for almost every $t \in [0, T]$. In particular, the sequence

$$F_k(t) := \|\dot{\mathbf{e}}_k^\Delta(t)\|_2 + \|\dot{\mathbf{p}}_k^\Delta(t)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} + \|\dot{\mathbf{u}}_k^\Delta(t)\|_{BD(\Omega)} \quad (3.15)$$

is equibounded in $L^1([0, T])$ and equi-integrable.

Proof. By (3.12) and (3.2) we immediately get

$$\|\dot{\mathbf{e}}_k^\Delta(t)\|_2 \leq C\|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 \quad (3.16)$$

for a.e. $t \in [0, T]$. Since \mathbf{w}_k^Δ is equibounded in $H^1([0, T]; H^1(\mathbb{R}^n))$, this implies in particular that $\sup_{t \in [0, T]} \|\mathbf{e}_k^\Delta(t)\|_2 \leq C$ for some constant C independent of k .

Since the triple $(u_k^i + w_k^{i+1} - w_k^i, e_k^i + E(w_k^{i+1} - w_k^i), p_k^i)$ belongs to $A(w_k^{i+1})$, we have by minimality and (2.4)

$$\begin{aligned} \mathcal{H}(p_k^{i+1} - p_k^i) &\leq \mathcal{Q}(e_k^i + E(w_k^{i+1} - w_k^i)) - \mathcal{Q}(e_k^{i+1}) = \\ &= \frac{1}{2} \langle \mathbb{C}(e_k^i + E(w_k^{i+1} - w_k^i) + e_k^{i+1}), e_k^i - e_k^{i+1} + E(w_k^{i+1} - w_k^i) \rangle; \end{aligned}$$

dividing by $t_k^{i+1} - t_k^i$, using (3.12) and (2.15) we have

$$r\|\dot{\mathbf{p}}_k^\Delta(t)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \leq 2\beta \left(\sup_{t \in [0, T]} \|\mathbf{e}_k^\Delta(t)\|_2 + \sup_{t \in [0, T]} \|E\mathbf{w}_k^\Delta(t)\|_2 \right) (\|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 + \|\dot{\mathbf{e}}_k^\Delta(t)\|_2)$$

for a.e. $t \in [0, T]$, with β the continuity constant of \mathcal{Q} . From this and (3.16) we get

$$\|\dot{\mathbf{p}}_k^\Delta(t)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \leq C\|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 \quad (3.17)$$

for a.e. $t \in [0, T]$.

Finally, by [19, Proposition 2.4 and Remark 2.5], for every $u \in BD(\Omega)$ there exists a constant C only depending on Ω and Γ_0 such that

$$\|u\|_{L^1(\Omega; \mathbb{R}^n)} \leq C\|u\|_{L^1(\Gamma_0; \mathbb{R}^n)} + C\|Eu\|_{M_b(\Omega; \mathbb{M}_{sym}^{n \times n})}. \quad (3.18)$$

Since $(\dot{\mathbf{u}}_k^\Delta(t), \dot{\mathbf{e}}_k^\Delta(t), \dot{\mathbf{p}}_k^\Delta(t)) \in A(\dot{\mathbf{w}}_k^\Delta(t))$, by the previous inequality, (3.16), and (3.17) we get the existence of a positive constant still denoted by C such that

$$\|\dot{\mathbf{u}}_k^\Delta(t)\|_{BD(\Omega)} \leq C(\|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 + \|\dot{\mathbf{w}}_k^\Delta(t)\|_{L^1(\Gamma_0; \mathbb{R}^n)})$$

for a.e. $t \in [0, T]$. Together with (3.16) and (3.17), this implies the first part of the statement. The second implication follows by noticing that, since by construction $\mathbf{w}_k^\Delta \rightarrow \mathbf{w}$ strongly in $W^{1,1}([0, T]; H^1(\Omega; \mathbb{R}^n))$, the functions

$$t \mapsto \|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 + \|\dot{\mathbf{w}}_k^\Delta(t)\|_{L^1(\Gamma_0; \mathbb{R}^n)}$$

are an equibounded and equi-integrable sequence in $L^1([0, T])$. \square

In order to prove that the triple $(\mathbf{u}_k^\Delta(t), \mathbf{e}_k^\Delta(t), \mathbf{p}_k^\Delta(t))$ is converging to a quasistatic evolution in perfect plasticity we need the following Lemma, essentially proved in [17].

Lemma 3.6. *Let $\mathbf{u}: [0, T] \rightarrow BD(\Omega)$, $\mathbf{e}: [0, T] \rightarrow L^2(\Omega)$, $\mathbf{p}: [0, T] \rightarrow M_b(\Omega \cup \Gamma_0)$, and $\mathbf{w}: [0, T] \rightarrow H^1(\Omega; \mathbb{R}^n)$ be absolutely continuous mappings such that $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t)) \in A(\mathbf{w}(t))$ for every t . Consider four sequences $\mathbf{u}_k: [0, T] \rightarrow BD(\Omega)$, $\mathbf{e}_k: [0, T] \rightarrow L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$, $\mathbf{p}_k: [0, T] \rightarrow M_b(\Omega \cup \Gamma_0)$, and $\mathbf{w}_k: [0, T] \rightarrow H^1(\Omega; \mathbb{R}^n)$ of equi-absolutely continuous mappings such that $(\mathbf{u}_k(t), \mathbf{e}_k(t), \mathbf{p}_k(t)) \in A(\mathbf{w}_k(t))$ for every t and every $k \in \mathbb{N}$. Assume that*

$$\begin{aligned} \mathbf{u}_k(t) &\rightharpoonup \mathbf{u}(t) \text{ weakly}^* \text{ in } BD(\Omega), & \mathbf{e}_k(t) &\rightharpoonup \mathbf{e}(t) \text{ weakly in } L^2(\Omega; \mathbb{M}_{sym}^{n \times n}) \\ \mathbf{p}_k(t) &\rightharpoonup \mathbf{p}(t) \text{ weakly}^* \text{ in } M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n}), & \mathbf{w}_k(t) &\rightharpoonup \mathbf{w}(t) \text{ weakly in } H^1(\Omega; \mathbb{R}^n) \end{aligned}$$

for every $t \in [0, T]$. Let $\varphi \in C^1(\bar{\Omega})$ such that $\varphi = 0$ in a neighborhood of $\bar{\Gamma}_1$. Then the functions $t \rightarrow \langle \mathbb{H}(\dot{\mathbf{p}}_k(t)), \varphi \rangle$, $t \rightarrow \mathcal{H}(\dot{\mathbf{p}}_k(t))$, $t \rightarrow \langle \mathbb{H}(\dot{\mathbf{p}}(t)), \varphi \rangle$ and $t \rightarrow \mathcal{H}(\dot{\mathbf{p}}(t))$ all belong to $L^1([0, T])$ and

$$\int_0^T \langle \mathbb{H}(\dot{\mathbf{p}}(t)), \varphi \rangle dt \leq \liminf_{k \rightarrow \infty} \int_0^T \langle \mathbb{H}(\dot{\mathbf{p}}_k(t)), \varphi \rangle dt \quad \text{and} \quad \int_0^T \mathcal{H}(\dot{\mathbf{p}}(t)) dt \leq \liminf_{k \rightarrow \infty} \int_0^T \mathcal{H}(\dot{\mathbf{p}}_k(t)) dt. \quad (3.19)$$

Proof. By [6, Lemma 5.5] we have $(\dot{\mathbf{u}}(t), \dot{\mathbf{e}}(t), \dot{\mathbf{p}}(t)) \in A(\dot{\mathbf{w}}(t))$ and $(\dot{\mathbf{u}}_k(t), \dot{\mathbf{e}}_k(t), \dot{\mathbf{p}}_k(t)) \in A(\dot{\mathbf{w}}_k(t))$ for a.e. t and every k . Therefore all the involved integrands are well-defined. They also belong to $L^1([0, T])$ by [17, Lemma 4.6]. The first inequality in (3.19) can be deduced arguing exactly as in the proof of [17, Lemma 4.15]. The second one is an easy consequence of the first one, since $\mathbb{H}(\dot{\mathbf{p}}(t))$ and $\mathbb{H}(\dot{\mathbf{p}}_k(t))$ are positive measures on $\Omega \cup \Gamma_0$ whose total mass is given by $\mathcal{H}(\dot{\mathbf{p}}(t))$, and $\mathcal{H}(\dot{\mathbf{p}}_k(t))$, respectively. \square

We can finally state and prove the announced result.

Theorem 3.7. *Assume (2.1) and (2.2). Let \mathbf{w} be as in (2.18), and assume that u_0 , e_0 , p_0 , and σ_0 satisfy (2.19). Consider a C^1 -stable closed convex set $\mathcal{K} \subset L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ satisfying (2.5) and (2.6), and the functional \mathcal{H} defined in (2.13). Define $(\mathbf{u}_k^\Delta(t), \mathbf{e}_k^\Delta(t), \mathbf{p}_k^\Delta(t))$ as in (3.12). Then, up to a subsequence independent of t , $\mathbf{u}_k^\Delta(t) \rightharpoonup \mathbf{u}(t)$ weakly* in $BD(\Omega)$, $\mathbf{e}_k^\Delta(t) \rightharpoonup \mathbf{e}(t)$ weakly in $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$, and $\mathbf{p}_k^\Delta(t) \rightharpoonup \mathbf{p}(t)$ weakly* in $M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})$ for every $t \in [0, T]$. Furthermore $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t))$ is a quasistatic evolution with datum \mathbf{w} and initial condition (u_0, e_0, p_0) .*

Proof. Let F_k be defined as in (3.15). By Lemma 3.5 and the Dunford-Pettis Theorem, we can assume that $F_k \rightarrow F$ weakly in $L^1([0, T])$. For all t_1 and $t_2 \in [0, T]$ it holds now

$$\|\mathbf{e}_k^\Delta(t_2) - \mathbf{e}_k^\Delta(t_1)\|_2 \leq \int_{t_1}^{t_2} F_k(s) ds; \quad (3.20)$$

hence, since $\mathbf{e}_k^\Delta(0) = e_0$ and F_k are equibounded and equi-integrable. $\mathbf{e}_k^\Delta(t)$ is an equibounded and equicontinuous sequence from $[0, T]$ to $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$. By the Arzelà-Ascoli Theorem, possibly taking a (not relabelled) subsequence we get the existence of a function $\mathbf{e}: [0, T] \rightarrow L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ such that $\mathbf{e}_k^\Delta(t) \rightharpoonup \mathbf{e}(t)$ weakly in $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ for every $t \in [0, T]$. Therefore, setting $\boldsymbol{\sigma}(t) := \mathbb{C}\mathbf{e}(t)$, we obviously have

$$\boldsymbol{\sigma}_k^\Delta(t) \rightharpoonup \boldsymbol{\sigma}(t) \quad \text{weakly in } L^2(\Omega; \mathbb{M}_{sym}^{n \times n}) \quad (3.21)$$

for every $t \in [0, T]$. It follows from (3.13) and the convexity of \mathcal{K} that

$$\boldsymbol{\sigma}(t) \in \mathcal{K}, \quad \operatorname{div} \boldsymbol{\sigma}(t) = 0, \quad [\boldsymbol{\sigma}(t)\nu] = 0 \text{ on } \Gamma_1 \quad (3.22)$$

for every $t \in [0, T]$. Furthermore, by (3.20), the weak lower semicontinuity of the norm, and the weak convergence of F_k to F we have

$$\|\mathbf{e}(t_2) - \mathbf{e}(t_1)\|_2 \leq \int_{t_1}^{t_2} F(s) \, ds$$

for all t_1 and $t_2 \in [0, T]$. Therefore, \mathbf{e} is an absolutely continuous function from $[0, T]$ to $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$. Since the target space is reflexive, the results in [4, Appendix] imply that $\mathbf{e} \in W^{1,1}([0, T]; L^2(\Omega; \mathbb{M}_{sym}^{n \times n}))$.

Now, let M_T be the supremum of the integrals $\int_0^T \|\dot{\mathbf{p}}_k^\Delta(t)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \, dt$, which is finite by Lemma 3.5, and set

$$\mathcal{B}_T := \{p \in M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n}) : \|p\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \leq \|p_0\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} + M_T\}.$$

There exists a distance d_T on \mathcal{B}_T inducing the weak* convergence such that

$$d_T(p, q) \leq \|p - q\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \quad \text{for every } p, q \in \mathcal{B}_T. \quad (3.23)$$

Since $\mathbf{p}_k^\Delta(t) \in \mathcal{B}_T$ for all $t \in [0, T]$, using the estimate

$$d_T(\mathbf{p}_k^\Delta(t_2), \mathbf{p}_k^\Delta(t_1)) \leq \|\mathbf{p}_k^\Delta(t_2) - \mathbf{p}_k^\Delta(t_1)\|_{M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n})} \leq \int_{t_1}^{t_2} F_k(s) \, ds$$

and arguing as in the previous step, we deduce the existence of $\mathbf{p}(t) \in AC([0, T]; M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n}))$ such that ¹

$$\mathbf{p}_k^\Delta(t) \rightharpoonup \mathbf{p}(t) \text{ weakly* in } M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n}) \quad (3.24)$$

for every $t \in [0, T]$.

The existence of a function $\mathbf{u} \in AC([0, T]; BD(\Omega))$ such that $\mathbf{u}_k^\Delta(t) \rightharpoonup \mathbf{u}(t)$ weakly* in $BD(\Omega)$ for every t follows now from (3.18) with similar arguments as before. Now, the initial condition (ev0) of Definition 2.7 is trivially satisfied by the triple $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t))$. Since, for every t , $(\mathbf{u}_k^\Delta(t), \mathbf{e}_k^\Delta(t), \mathbf{p}_k^\Delta(t)) \in A(\mathbf{w}_k^\Delta(t))$, by [6, Lemma 2.1] we infer that $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t)) \in A(\mathbf{w}(t))$, so also condition (ev1) is satisfied. Taking into account (3.22), it only remains to show that $\mathcal{H}(\dot{\mathbf{p}}(t)) = \langle \boldsymbol{\sigma}(t), \dot{\mathbf{p}}(t) \rangle$ for a.e. $t \in [0, T]$. Since the inequality

$$\mathcal{H}(\dot{\mathbf{p}}(t)) \geq \langle \boldsymbol{\sigma}_D(t), \dot{\mathbf{p}}(t) \rangle$$

simply follows by (3.22) and the definition of \mathcal{H} , it suffices to prove that

$$\int_0^T \mathcal{H}(\dot{\mathbf{p}}(t)) \, dt \leq \int_0^T \langle \boldsymbol{\sigma}_D(t), \dot{\mathbf{p}}(t) \rangle \, dt. \quad (3.25)$$

To this end, we introduce the piecewise constant interpolation $\tilde{\boldsymbol{\sigma}}_k(t)$ defined in (3.14). Fix $t \in [0, T]$ and for fixed k let i be the largest integer such that $t_k^i \leq t$. By construction we have

$$\tilde{\boldsymbol{\sigma}}_k(t) = \boldsymbol{\sigma}_k^\Delta \left(\frac{t_k^i + t_k^{i+1}}{2} \right)$$

so that, by (3.9) and the equicontinuity of $\boldsymbol{\sigma}_k^\Delta$

$$\|\tilde{\boldsymbol{\sigma}}_k(t) - \boldsymbol{\sigma}_k^\Delta(t)\|_2 = \left\| \boldsymbol{\sigma}_k^\Delta \left(\frac{t_k^i + t_k^{i+1}}{2} \right) - \boldsymbol{\sigma}_k^\Delta(t) \right\|_2 \rightarrow 0 \quad (3.26)$$

when $k \rightarrow +\infty$, uniformly with respect to $t \in [0, T]$. In particular $\|\tilde{\boldsymbol{\sigma}}_k(t)\|_2$ is equibounded and $\tilde{\boldsymbol{\sigma}}_k(t) \rightharpoonup \boldsymbol{\sigma}(t)$ weakly in $L^2(\Omega; \mathbb{M}_{sym}^{n \times n})$ for every $t \in [0, T]$. From this, since $E\dot{\mathbf{w}}_k^\Delta \rightarrow E\dot{\mathbf{w}}$ strongly in $L^1([0, T]; L^2(\Omega; \mathbb{M}_{sym}^{n \times n}))$, by dominated convergence we deduce that

$$\lim_{k \rightarrow \infty} \int_0^T \langle \tilde{\boldsymbol{\sigma}}_k(t), E\dot{\mathbf{w}}_k^\Delta(t) \rangle \, dt = \lim_{k \rightarrow \infty} \int_0^T \langle \tilde{\boldsymbol{\sigma}}_k(t), E\dot{\mathbf{w}}(t) \rangle \, dt = \int_0^T \langle \boldsymbol{\sigma}(t), E\dot{\mathbf{w}}(t) \rangle \, dt. \quad (3.27)$$

¹since the target space is neither separable nor reflexive, $\mathbf{p} \in AC([0, T]; M_b(\Omega; \mathbb{M}_D^{n \times n}))$ does not imply that $\mathbf{p} \in W^{1,1}([0, T]; M_b(\Omega \cup \Gamma_0; \mathbb{M}_D^{n \times n}))$.

On the other hand, using (3.26) and the weak lower semicontinuity of \mathcal{Q} one has

$$\begin{aligned} \liminf_{k \rightarrow \infty} \int_0^T \langle \tilde{\boldsymbol{\sigma}}_k(t), \dot{\mathbf{e}}_k^\Delta(t) \rangle dt &= \liminf_{k \rightarrow \infty} \int_0^T \langle \boldsymbol{\sigma}_k^\Delta(t), \dot{\mathbf{e}}_k^\Delta(t) \rangle dt = \\ \liminf_{k \rightarrow \infty} \mathcal{Q}(\mathbf{e}_k^\Delta(t)) - \mathcal{Q}(e_0) &\geq \mathcal{Q}(\mathbf{e}(t)) - \mathcal{Q}(e_0) = \int_0^T \langle \boldsymbol{\sigma}(t), \dot{\mathbf{e}}(t) \rangle dt. \end{aligned} \quad (3.28)$$

Putting together (3.27) and (3.28), by means of the integration by parts formula (2.11), (3.13) and (3.22) we get to

$$\begin{aligned} \limsup_{k \rightarrow \infty} \int_0^T \langle (\tilde{\boldsymbol{\sigma}}_k)_D(t), \dot{\mathbf{p}}_k^\Delta(t) \rangle dt &= \limsup_{k \rightarrow \infty} \int_0^T \langle \tilde{\boldsymbol{\sigma}}_k(t), E\dot{\mathbf{w}}_k^\Delta(t) - \dot{\mathbf{e}}_k^\Delta(t) \rangle dt \\ &\leq \int_0^T \langle \boldsymbol{\sigma}(t), E\dot{\mathbf{w}}(t) - \dot{\mathbf{e}}(t) \rangle dt = \int_0^T \langle \boldsymbol{\sigma}_D(t), \dot{\mathbf{p}}(t) \rangle dt. \end{aligned} \quad (3.29)$$

We finally set

$$\delta_k := \max_{0 \leq i \leq k} \int_{t_k^i}^{t_k^{i+1}} \|E\dot{\mathbf{w}}(t)\|_2 dt \rightarrow 0$$

because of (3.9). By positive 1-homogeneity of \mathcal{H} , (3.3) and (3.12) we get

$$\mathcal{H}(\dot{\mathbf{p}}_k^\Delta(t)) \leq \langle (\tilde{\boldsymbol{\sigma}}_k)_D(t), \dot{\mathbf{p}}_k^\Delta(t) \rangle + \delta_k \beta \left(1 + \sqrt{\frac{\beta}{\alpha}} \right) \|E\dot{\mathbf{w}}_k^\Delta(t)\|_2$$

for a.e. $t_k^i \leq t \leq t_k^{i+1}$. Therefore

$$\int_0^T \mathcal{H}(\dot{\mathbf{p}}_k^\Delta(t)) dt \leq \int_0^T \langle \tilde{\boldsymbol{\sigma}}_k(t), \dot{\mathbf{p}}_k^\Delta(t) \rangle dt + \delta_k \beta \left(1 + \sqrt{\frac{\beta}{\alpha}} \right) \int_0^T \|E\dot{\mathbf{w}}_k^\Delta(t)\|_2 dt.$$

Since $\delta_k \rightarrow 0$ and $E\dot{\mathbf{w}}_k^\Delta \rightarrow E\dot{\mathbf{w}}$ strongly in $L^1([0, T]; L^2(\Omega; \mathbb{M}_{sym}^{n \times n}))$, (3.25) follows now from (3.19) and (3.29). \square

Remark 3.8. While considering a nonzero volume force $\mathbf{f}(t)$, under the usual assumptions, does not really change the proof of the previous theorem, a minor difficulty has to be overcome in the case where a nonzero surface force $\mathbf{g}(t) \in H^1([0, T]; L^\infty(\Gamma_1; \mathbb{R}^n))$ is given. Actually, in this case, (3.29) may be no longer satisfied. Indeed, considering the piecewise affine interpolations \mathbf{g}_k^Δ of \mathbf{g} , from the condition $[\boldsymbol{\sigma}_k^\Delta(t)\nu] = \mathbf{g}_k^\Delta(t)$ on Γ_1 and integrating by parts according to (2.11), an additional term

$$\int_0^T \langle \mathbf{g}_k^\Delta(t), \dot{\mathbf{u}}_k^\Delta(t) - \dot{\mathbf{w}}_k^\Delta(t) \rangle_{\Gamma_1} dt$$

appears. This latter is in general neither continuous nor semicontinuous. Roughly speaking, this is because the trace of $\mathbf{u}_k^\Delta(t)$ on Γ_1 may be not compact in $L^1(\Gamma_1; \mathbb{R}^n)$, although $\mathbf{u}_k^\Delta(t)$ is weakly* compact in $BD(\Omega)$. The trace operator is indeed not continuous with respect to weak* convergence.

The proof is to be modified as follows: by an integration by parts argument using (2.10), we first prove that

$$\limsup_{k \rightarrow \infty} \int_0^T \langle [(\tilde{\boldsymbol{\sigma}}_k)_D(t) : \dot{\mathbf{p}}_k^\Delta(t)], \varphi \rangle dt \leq \int_0^T \langle [\boldsymbol{\sigma}_D(t) : \dot{\mathbf{p}}(t)], \varphi \rangle dt$$

for every $\varphi \in C^1(\bar{\Omega})$ such that $\varphi = 0$ in a neighborhood of $\bar{\Gamma}_1$. By (3.7) and (3.19) this gives

$$\int_0^T \langle \mathbb{H}(\dot{\mathbf{p}}(t)), \varphi \rangle dt \leq \int_0^T \langle [\boldsymbol{\sigma}_D(t) : \dot{\mathbf{p}}(t)], \varphi \rangle dt.$$

Considering now a sequence $\varphi_j \in C^\infty(\bar{\Omega})$, with $0 \leq \varphi_j \leq 1$ in $\bar{\Omega}$ and $\varphi_j = 0$ in a neighborhood of $\bar{\Gamma}_1$, such that $\varphi_j(x) \rightarrow 1$ for every $x \in \Omega \cup \Gamma_0$, we eventually get (3.25) by (2.9) and the dominated convergence theorem.

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