

# Asymptotic analysis of periodically-perforated nonlinear media

NADIA ANSINI and ANDREA BRAIDES  
SISSA, Via Beirut 4, 34014 Trieste, Italy

## 1 Introduction

A well-known result on the asymptotic behaviour of Dirichlet problems in perforated domains shows the appearance of a ‘strange’ extra term as the period of the perforation tends to 0. In a paper by Cioranescu and Murat [10] (see also e.g. earlier work by Marchenko Khrushlov [17]) the following result (among others) is proved. Let  $\Omega$  be a bounded open set in  $\mathbb{R}^n$ ,  $n \geq 3$  and for all  $\delta > 0$  let  $\Omega_\delta$  be the *periodically perforated domain*

$$\Omega_\delta = \Omega \setminus \bigcup_{i \in \mathbb{Z}^n} \overline{B_i^\delta},$$

where  $B_i^\delta$  denotes the open ball of centre  $x_i^\delta = i\delta$  and radius  $\delta^{n/(n-2)}$ . Let  $\phi \in H^{-1}(\Omega)$  be fixed, and let  $u_\delta \in H_0^1(\Omega)$  be the solution of the problem

$$\begin{cases} -\Delta u_\delta = \phi \\ u \in H_0^1(\Omega_\delta), \end{cases}$$

extended to 0 outside  $\Omega_\delta$ . Then, as  $\delta \rightarrow 0$ , the sequence  $u_\delta$  converges weakly in  $H_0^1(\Omega)$  to the function  $u$  which solves the problem

$$\begin{cases} -\Delta u_\delta + Cu = \phi \\ u \in H_0^1(\Omega), \end{cases}$$

where  $C$  denotes the *capacity of the unit ball* in  $\mathbb{R}^n$ :

$$C = \inf \left\{ \int_{\mathbb{R}^n} |D\zeta|^2 dx : \zeta \in H^1(\mathbb{R}^n), \zeta = 1 \text{ on } B_1(0) \right\}.$$

This result can be easily translated in a equivalent variational form and set in the framework of  $\Gamma$ -convergence, since  $u_\delta$  is the solution of the minimum problem

$$\min \left\{ \int_{\Omega} |Dv|^2 dx - 2\langle \phi, v \rangle : v \in H_0^1(\Omega), v = 0 \text{ on } \Omega \setminus \Omega_\delta \right\},$$

and the limit function  $u$  solves

$$\min \left\{ \int_{\Omega} (|Dv|^2 + C|v|^2) dx - 2\langle \phi, v \rangle : v \in H_0^1(\Omega) \right\}.$$

In this paper we give a direct proof of the non-linear vector-valued version of this variational problem under minimal assumptions. More precisely, let  $\Omega$  be a bounded open set in  $\mathbb{R}^n$  and let  $m \geq 1$ . Let  $1 < p < n$  and for all  $\delta > 0$  let  $\Omega_{\delta}$  be the *periodically perforated domain* defined as above, where now  $B_i^{\delta}$  denotes the open ball of centre  $x_i^{\delta} = i\delta$  and radius  $\delta^{n/(n-p)}$  (for notational simplicity we do not treat the case  $n = p$ , which can be dealt with similarly; for the necessary changes in the statements see [10]). Note that this is the only meaningful scaling for the radii of the perforation, since other choices give trivial convergence results. Let  $f : \mathbb{M}^{m \times n} \rightarrow [0, +\infty)$  be a Borel function satisfying a growth condition of order  $p$ , and let  $(\delta_j)$  be a sequence of strictly positive numbers converging to 0 such that there exists the limit

$$g(A) = \lim_j \delta_j^{\frac{np}{n-p}} Qf \left( \delta_j^{-\frac{n}{n-p}} A \right)$$

for all  $A \in \mathbb{M}^{m \times n}$ , where  $Qf$  denotes the *quasiconvexification of  $f$* . Note that this condition is not restrictive upon passing to a subsequence and is trivially satisfied if  $f$  is positively homogeneous of degree  $p$ . Then, if  $\phi \in W^{-1,p'}(\Omega; \mathbb{R}^m)$  is fixed, the minimum values

$$m_j = \inf \left\{ \int_{\Omega_{\delta_j}} f(Du) dx + \langle \phi, u \rangle : u \in W_0^{1,p}(\Omega_{\delta_j}; \mathbb{R}^m) \right\}$$

converge to the minimum value

$$m = \min \left\{ \int_{\Omega} (Qf(Du) + \varphi(u)) dx + \langle \phi, u \rangle : u \in W_0^{1,p}(\Omega; \mathbb{R}^m) \right\},$$

where  $\varphi$  is given by the *nonlinear capacity formula*

$$\varphi(z) = \inf \left\{ \int_{\mathbb{R}^n} g(D\zeta) dx : \zeta - z \in W^{1,p}(\mathbb{R}^n; \mathbb{R}^m), \zeta = 0 \text{ on } B_1(0) \right\},$$

which agrees with those obtained in convex cases (see e.g. [2], [12], [19], [8]). Moreover, if  $u_j \in W_0^{1,p}(\Omega_{\delta_j}; \mathbb{R}^m)$  is such that  $\int_{\Omega_{\delta_j}} f(Du_j) dx + \langle \phi, u_j \rangle = m_j + o(1)$  as  $j \rightarrow +\infty$ , then, upon extending  $u_j$  to 0 outside  $\Omega_{\delta_j}$ ,  $(u_j)$  admits a subsequence weakly converging in  $W_0^{1,p}(\Omega; \mathbb{R}^m)$  to a solution of the problem defining  $m$ .

Note that we do not assume any structure or regularity condition on  $f$ . In the case of convex and differentiable  $f$  we may recover the corresponding result for systems contained in the paper by Casado Diaz and Garroni [8], where more arbitrary geometries are also considered. Note moreover that  $\varphi$  may depend on the subsequence  $(\delta_j)$ , and as a consequence the values  $m_j$  may not converge.

Furthermore, the function  $\varphi$  may not be positively homogeneous of degree  $p$ , as already observed by Casado Diaz and Garroni [9].

The proof of the result is based only on a direct  $\Gamma$ -convergence approach. The fundamental tool is a ‘joining lemma for perforated domains’ (Lemma 3.1), which, loosely speaking, allows us to restrict our attention to families of functions  $(u_\delta)$ , converging to a function  $u$ , which equal the constant  $u(x_i^\delta)$  on suitable annuli surrounding  $B_i^\delta$ . The contribution of these functions on such annuli easily leads to the formula defining  $\varphi$ . This method seems of interest also since it can be easily applied to sequences of integral functionals by considering minimum problems  $m_j$  where we replace  $f(Du)$  by  $f_j(x, Du)$ , in the spirit of a recent result by Dal Maso and Murat [13]. In a parallel work [1], for example, we examine the case  $f_j(x, z) = f(x/\varepsilon_j, z)$ .

## 2 Statement of the main result

In all that follows  $p > 1$ ,  $m \geq 1$ ,  $n > p$  are fixed ( $m, n \in \mathbb{N}$ ), and  $\Omega$  is a bounded open subset of  $\mathbb{R}^n$ . If  $E \subset \mathbb{R}^n$  is a Lebesgue-measurable set then  $|E|$  is its Lebesgue measure.  $B_\rho(x)$  is the open ball of centre  $x$  and radius  $\rho$ . We use standard notation for Lebesgue and Sobolev spaces. The letter  $c$  denotes a generic strictly positive constant.

With  $\mathbb{M}^{m \times n}$  we denote the space of  $m \times n$  matrices with real entries. If  $h : \mathbb{M}^{m \times n} \rightarrow [0, +\infty)$  is a Borel function, the  $(W^{1,p-})$ quasiconvexification of  $h$  is given by the formula

$$Qh(A) = \inf \left\{ \int_{(0,1)^n} h(A + Du) \, dx : u \in W_0^{1,p}((0,1)^n; \mathbb{R}^m) \right\} \quad (2.1)$$

for  $A \in \mathbb{M}^{m \times n}$ . We say that  $h$  is  $(W^{1,p-})$ quasiconvex if  $Qh = h$  (see [18], [3], [5]). We recall the following result.

**Remark 2.1** If  $h$  is a Borel function as above, and there exist constants  $c_1, c_2 > 0$  such that  $c_1(|A|^p - 1) \leq h(A) \leq c_2(|A|^p + 1)$ , then the function  $Qh$  is quasiconvex (see [5] Proposition 6.7) and the functional

$$\mathcal{H}(u) = \int_{\Omega} Qh(Du) \, dx$$

is the lower-semicontinuous envelope of the functional

$$H(u) = \int_{\Omega} h(Du) \, dx$$

on  $W^{1,p}(\Omega; \mathbb{R}^m)$  with respect to the  $L^p(\Omega; \mathbb{R}^m)$  convergence. In fact, e.g. by [5] Theorem 12.5, the lower-semicontinuous envelope  $\overline{H}$  of  $H$  can be written in an integral form  $\overline{H}(u) = \int_{\Omega} \psi(Du) \, dx$ , with  $\psi$  quasiconvex. Since  $\psi \leq h$  then  $\psi =$

$Q\psi \leq Qh$  and  $\overline{H} \leq \mathcal{H}$ . On the other hand  $Qh$  is quasiconvex; hence,  $\mathcal{H}$  is lower semicontinuous with respect to the  $L^p(\Omega; \mathbb{R}^m)$  convergence (see e.g. [5] Theorem 5.16), so that  $\mathcal{H} \leq \overline{H}$ .

## 2.1 $\Gamma$ -convergence

We recall the definition of  $\Gamma$ -convergence of a sequence  $(\Phi_j)$  of functionals defined on  $W^{1,p}(\Omega; \mathbb{R}^m)$  (with respect to the  $L^p(\Omega; \mathbb{R}^m)$ -convergence). We say that  $(\Phi_j)$   $\Gamma$ -converges to  $\Phi_0$  on  $W^{1,p}(\Omega; \mathbb{R}^m)$  if for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  we have:

(i) (*liminf inequality*) for all  $(u_j)$  sequences of functions in  $W^{1,p}(\Omega; \mathbb{R}^m)$  converging to  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  in  $L^p(\Omega; \mathbb{R}^m)$  we have

$$\Phi_0(u) \leq \liminf_j \Phi_j(u_j);$$

(ii) (*limsup inequality*) for all  $\eta > 0$  there exists a sequence  $(u_j)$  of functions in  $W^{1,p}(\Omega; \mathbb{R}^m)$  converging to  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  in  $L^p(\Omega; \mathbb{R}^m)$  such that

$$\Phi_0(u) \geq \limsup_j \Phi_j(u_j) - \eta.$$

If (i) and (ii) hold we write  $\Phi_0(u) = \Gamma\text{-lim}_j \Phi_j(u)$

We also introduce the notation

$$\Gamma\text{-lim inf}_j \Phi_j(u) = \inf \left\{ \liminf_j \Phi_j(u_j) : u_j \rightarrow u \text{ in } L^p(\Omega; \mathbb{R}^m) \right\},$$

$$\Gamma\text{-lim sup}_j \Phi_j(u) = \inf \left\{ \limsup_j \Phi_j(u_j) : u_j \rightarrow u \text{ in } L^p(\Omega; \mathbb{R}^m) \right\},$$

so that the equality  $\Gamma\text{-lim inf}_j \Phi_j(u) = \Gamma\text{-lim sup}_j \Phi_j(u)$  is equivalent to the existence of the  $\Gamma\text{-lim}_j \Phi_j(u)$ .

We will say that a family  $(\Phi_\delta)$   $\Gamma$ -converges to  $\Phi_0$  if for all sequences  $(\delta_j)$  of positive numbers converging to 0 (i) and (ii) above are satisfied with  $\Phi_{\delta_j}$  in place of  $\Phi_j$ .

We recall the following fundamental theorem (see e.g. [5] Theorem 7.2).

**Theorem 2.2** *Let  $U$  be an open subset of  $\mathbb{R}^n$  and let  $\Phi_j$   $\Gamma$ -converge to  $\Phi_0$  on  $W^{1,p}(U; \mathbb{R}^m)$ . Let there exist a compact set  $K \subset W^{1,p}(U; \mathbb{R}^m)$ , with respect to the  $L^p(U; \mathbb{R}^m)$  convergence, such that  $\inf \Phi_j = \inf_K \Phi_j$  for all  $j \in \mathbb{N}$ . Then there exists  $\min \Phi_0 = \lim_j \inf \Phi_j$ . Moreover, if  $(j_k)$  is an increasing sequence of integers and  $(u_k)$  is a converging sequence such that  $\lim_k \Phi_{j_k}(u_k) = \lim_j \inf \Phi_j$  then its limit is a minimum point for  $\Phi_0$ .*

For an introduction to  $\Gamma$ -convergence we refer to [11], [4] and Part II of [5].

## 2.2 Periodically perforated domains

For all  $\delta > 0$  we consider the lattice  $\delta\mathbb{Z}^n$  whose points will be denoted by  $x_i^\delta = \delta i$  ( $i \in \mathbb{Z}^n$ ). Moreover, for all  $i \in \mathbb{Z}^n$

$$B_i^\delta = B_{\delta^{n/(n-p)}}(x_i^\delta)$$

denotes the ball of center  $x_i^\delta$  and radius  $\delta^{n/(n-p)}$ . The main result of the paper is the following.

**Theorem 2.3** *Let  $\Omega$  be a bounded open subset of  $\mathbb{R}^n$  with  $|\partial\Omega| = 0$ . Let  $f : \mathbb{M}^{m \times n} \rightarrow [0, +\infty)$  be a Borel function such that  $f(0) = 0$  and satisfying a growth condition of order  $p$ : there exist two constants  $c_1, c_2 > 0$  such that*

$$c_1(|A|^p - 1) \leq f(A) \leq c_2(|A|^p + 1) \quad \text{for all } A \in \mathbb{M}^{m \times n}. \quad (2.2)$$

*Let  $(\delta_j)$  be a sequence of strictly positive numbers converging to 0. Then, upon possibly extracting a subsequence, for all  $A \in \mathbb{M}^{m \times n}$  there exist the limit*

$$g(A) = \lim_j \delta_j^{\frac{np}{n-p}} Qf\left(\delta_j^{-\frac{n}{n-p}} A\right), \quad (2.3)$$

where  $Qf$  denotes the quasiconvexification of  $f$ , so that the value

$$\varphi(z) = \inf \left\{ \int_{\mathbb{R}^n} g(D\zeta) dx : \zeta - z \in W^{1,p}(\mathbb{R}^n; \mathbb{R}^m), \zeta = 0 \text{ on } B_1(0) \right\} \quad (2.4)$$

is well defined for all  $z \in \mathbb{R}^m$ . Moreover, the functionals  $F_j : W^{1,p}(\Omega; \mathbb{R}^m) \rightarrow [0, +\infty]$  defined by

$$F_j(u) = \begin{cases} \int_{\Omega} f(Du) dx & \text{if } u = 0 \text{ a.e. on } \bigcup_{i \in \mathbb{Z}^n} B_i^{\delta_j} \cap \Omega \\ +\infty & \text{otherwise} \end{cases} \quad (2.5)$$

$\Gamma$ -converge to the functional  $F : W^{1,p}(\Omega; \mathbb{R}^m) \rightarrow [0, +\infty)$  defined by

$$F(u) = \int_{\Omega} Qf(Du) dx + \int_{\Omega} \varphi(u) dx. \quad (2.6)$$

**Corollary 2.4** *If  $f$  is positively homogeneous of degree  $p$  then the limit is independent of the subsequence and*

$$\varphi(z) = \inf \left\{ \int_{\mathbb{R}^n} f(D\zeta) dx : \zeta - z \in W^{1,p}(\mathbb{R}^n; \mathbb{R}^m), \zeta = 0 \text{ on } B_1(0) \right\} \quad (2.7)$$

for all  $z \in \mathbb{R}^m$ .

PROOF. It suffices to remark that in this case formula (2.3) gives  $g = Qf$  and that we may replace  $Qf$  by  $f$  in (2.4) by using Remark 2.1.  $\square$

**Corollary 2.5** (Convergence of minimum problems) *Let  $(\delta_j)$  satisfy the thesis of Theorem 2.3. Then for all  $\phi \in W^{-1,p'}(\Omega; \mathbb{R}^m)$  the minimum values*

$$m_j = \inf \left\{ F_j(u) + \langle \phi, u \rangle : u \in W_0^{1,p}(\Omega; \mathbb{R}^m) \right\}$$

converge to

$$m = \min \left\{ F(u) + \langle \phi, u \rangle : u \in W_0^{1,p}(\Omega; \mathbb{R}^m) \right\}.$$

Moreover, if  $u_j$  is such that  $F_j(u_j) + \langle \phi, u_j \rangle = m_j + o(1)$  as  $j \rightarrow +\infty$ , then it admits a subsequence weakly converging in  $W_0^{1,p}(\Omega; \mathbb{R}^m)$  to a solution of the problem defining  $m$ .

PROOF. By a cut-off argument near  $\partial\Omega$  (see [5] Section 11.3) if  $u \in W_0^{1,p}(\Omega; \mathbb{R}^m)$  then the sequences in (ii) of the definition of  $\Gamma$ -convergence can be taken in  $W_0^{1,p}(\Omega; \mathbb{R}^m)$  as well, while by the growth condition (2.2) we have  $u_j \rightharpoonup u$  weakly in  $W_0^{1,p}(\Omega; \mathbb{R}^m)$ . This fact, together with the continuity of  $G(u) = \langle \phi, u \rangle$  with respect to the weak convergence in  $W_0^{1,p}(\Omega; \mathbb{R}^m)$ , implies that the functionals

$$\Phi_j(u) = \begin{cases} F_j(u) + G(u) & \text{if } u \in W_0^{1,p}(\Omega; \mathbb{R}^m) \\ +\infty & \text{otherwise} \end{cases}$$

$\Gamma$ -converge to

$$\Phi_0(u) = \begin{cases} F(u) + G(u) & \text{if } u \in W_0^{1,p}(\Omega; \mathbb{R}^m) \\ +\infty & \text{otherwise} \end{cases}$$

on  $W^{1,p}(\Omega; \mathbb{R}^m)$ . We can then apply Theorem 2.2 with  $K = \{u \in W_0^{1,p}(\Omega; \mathbb{R}^m) : \|Du\|_{L^p(\Omega; \mathbb{R}^m)} \leq c\}$  for a suitable  $c > 0$ .  $\square$

**Remark 2.6** (*Non-spherical holes*) The results are easily extended to non-spherical geometries, by fixing any bounded set  $E \subset \mathbb{R}^n$  and considering  $x_i^\delta + \delta^{n/(n-p)}E$  in place of  $B_i^\delta$ . The same conclusion follows, upon replacing  $B_1(0)$  by  $E$  in the definition of  $\varphi$ .

**Remark 2.7** In general, the function  $g$  depends on the subsequence  $(\delta_j)$ , and so does  $\varphi$ . In this case, the  $\Gamma$ -limit as  $\delta \rightarrow 0$  of the functionals

$$F_\delta(u) = \begin{cases} \int_\Omega f(Du) dx & \text{if } u = 0 \text{ a.e. on } \bigcup_{i \in \mathbb{Z}^n} B_i^\delta \cap \Omega \\ +\infty & \text{otherwise} \end{cases} \quad (2.8)$$

does not exist.

The proof of Theorem 2.3 will be obtained in the next sections.

### 3 A joining lemma on varying domains

In this section we prove a technical result which allows to modify sequences of functions near the sets  $B_i^\delta$ . Its proof is close in spirit to the method introduced by De Giorgi to match boundary conditions for minimizing sequences (see [14]). For future reference we state this lemma in a general form.

Let  $(\delta_j)$  be a sequence of positive numbers converging to 0, and let  $f_j : \mathbb{R}^n \times \mathbb{M}^{m \times n} \rightarrow [0, +\infty)$  be Borel functions satisfying the growth conditions (2.2) uniformly in  $j$ . In the following sections we will simply take  $f_j(x, z) = f(z)$ .

Note that in this section and the following ones sometimes we simply write  $\delta$  in place of  $\delta_j$  not to overburden notation.

**Lemma 3.1** *Let  $(u_j)$  converge weakly to  $u$  in  $W^{1,p}(\Omega; \mathbb{R}^m)$ , and let*

$$Z_j = \{i \in \mathbb{Z}^n : \text{dist}(x_i^\delta, \mathbb{R}^n \setminus \Omega) > \delta_j\}. \quad (3.1)$$

*Let  $k \in \mathbb{N}$  be fixed. Let  $(\rho_j)$  be a sequence of positive numbers with  $\rho_j < \delta_j/2$ . For all  $i \in Z_j$  there exists  $k_i \in \{0, \dots, k-1\}$  such that, having set*

$$C_i^j = \left\{x \in \Omega : 2^{-k_i-1}\rho_j < |x - x_i^\delta| < 2^{-k_i}\rho_j\right\}, \quad (3.2)$$

$$u_j^i = |C_i^j|^{-1} \int_{C_i^j} u_j \, dx \quad (\text{the mean value of } u_j \text{ on } C_i^j), \quad (3.3)$$

and

$$\rho_j^i = \frac{3}{4} 2^{-k_i} \rho_j \quad (\text{the middle radius of } C_i^j), \quad (3.4)$$

there exists a sequence  $(w_j)$ , with  $w_j \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^m)$  such that

$$w_j = u_j \text{ on } \Omega \setminus \bigcup_{i \in Z_j} C_i^j \quad (3.5)$$

$$w_j(x) = u_j^i \text{ if } |x - x_i^\delta| = \rho_j^i \quad (3.6)$$

and

$$\left| \int_{\Omega} (f_j(x, Dw_j) - f_j(x, Du_j)) \, dx \right| \leq c \frac{1}{k}. \quad (3.7)$$

Moreover, if  $\rho_j = o(\delta_j)$  and the sequence  $(|Du_j|^p)$  is equi-integrable, then we can choose  $k_i = 0$  for all  $i \in Z_j$ .

PROOF. For all  $j \in \mathbb{N}$ ,  $i \in Z_j$  and  $h \in \{0, \dots, k-1\}$  let

$$C_{i,h}^j = \left\{x \in \Omega : 2^{-h-1}\rho_j < |x - x_i^\delta| < 2^{-h}\rho_j\right\},$$

and let

$$u_j^{i,h} = |C_{i,h}^j|^{-1} \int_{C_{i,h}^j} u_j \, dx,$$

and

$$\rho_j^{i,h} = \frac{3}{4}2^{-h}\rho_j.$$

Consider a function  $\phi = \phi_{i,h}^j \in C_0^\infty(C_{i,h}^j)$  such that  $\phi = 1$  on  $\partial B_{\rho_j^{i,h}}(x_i^\delta)$  and  $|D\phi| \leq c/2^{-h}\rho_j = c/\rho_j^{i,h}$ . Let  $w_j^{i,h}$  be defined on  $C_{i,h}^j$  by

$$w_j^{i,h} = u_j^{i,h}\phi + (1-\phi)u_j \text{ on } C_{i,h}^j,$$

with  $\phi = \phi_{i,h}^j$  as above. We then have, by the growth conditions on  $f_j$ ,

$$\begin{aligned} \int_{C_{i,h}^j} f_j(x, Dw_j^{i,h}) dx &= \int_{C_{i,h}^j} f_j(x, D\phi(u_j^{i,h} - u_j) + (1-\phi)Du_j) dx \\ &\leq c \int_{C_{i,h}^j} (1 + |D\phi|^p |u_j - u_j^{i,h}|^p + |Du_j|^p) dx. \end{aligned}$$

By the Poincaré inequality and its scaling properties we have

$$\int_{C_{i,h}^j} |u_j - u_j^{i,h}|^p dx \leq c(\rho_j^{i,h})^p \int_{C_{i,h}^j} |Du_j|^p dx, \quad (3.8)$$

so that, recalling that  $|D\phi| \leq c/\rho_j^{i,h}$ ,

$$\int_{C_{i,h}^j} f_j(x, Dw_j^{i,h}) dx \leq c \int_{C_{i,h}^j} (1 + |Du_j|^p) dx.$$

Since by summing up in  $h$  we trivially have

$$\sum_{h=0}^{k-1} \int_{C_{i,h}^j} (1 + |Du_j|^p) dx \leq |B_{\rho_j}(x_i^\delta)| + \int_{B_{\rho_j}(x_i^\delta)} |Du_j|^p dx,$$

there exists  $k_i \in \{0, \dots, k-1\}$  such that

$$\int_{C_{i,k_i}^j} (1 + |Du_j|^p) dx \leq \frac{1}{k} \left( |B_{\rho_j}(x_i^\delta)| + \int_{B_{\rho_j}(x_i^\delta)} |Du_j|^p dx \right), \quad (3.9)$$

There follows that

$$\int_{C_{i,k_i}^j} f_j(x, Dw_j^{i,k_i}) dx \leq \frac{c}{k} \left( |B_{\rho_j}(x_i^\delta)| + \int_{B_{\rho_j}(x_i^\delta)} |Du_j|^p dx \right). \quad (3.10)$$

By (3.9) and (3.10) we get

$$\begin{aligned} \int_{C_{i,k_i}^j} |f_j(x, Du_j) - f_j(x, Dw_j)| dx &\leq \int_{C_{i,k_i}^j} (f_j(x, Du_j) + f_j(x, Dw_j)) dx \\ &\leq \frac{c}{k} \left( |B_{\rho_j}(x_i^\delta)| + \int_{B_{\rho_j}(x_i^\delta)} |Du_j|^p dx \right). \end{aligned}$$



Note that if  $(|Du_j|^p)$  is equi-integrable and  $\rho_j = o(\delta_j)$  then we do not need to use this argument, and may simply choose  $k_i = 0$  for all  $i \in Z_j$ .

With this choice of  $k_i$  for all  $i \in Z_j$ , conditions (3.5)–(3.7) are satisfied by choosing  $h = k_i$  in the definitions above, i.e. with  $C_i^j = C_{i,k_i}^j$ ,  $u_j^i = u_j^{i,k_i}$ ,  $\rho_j^i = \rho_j^{i,k_i}$  and  $w_j$  defined by (3.5) and

$$w_j = u_j^i \phi + (1 - \phi)u_j \text{ on } C_i^j,$$

with  $\phi = \phi_{i,k_i}^j$ .

Finally we prove the convergence of  $w_j$  to  $u$  in  $L^p(\Omega; \mathbb{R}^m)$ . By (3.8)

$$\begin{aligned} \int_{\Omega} |w_j - u|^p dx &= \int_{\Omega \setminus \bigcup_{i \in Z_j} C_i^j} |u_j - u|^p dx \\ &\quad + \int_{\bigcup_{i \in Z_j} C_i^j} |u_j^i \phi_{i,k_i}^j + (1 - \phi_{i,k_i}^j)u_j - u|^p dx \\ &\leq \int_{\Omega \setminus \bigcup_{i \in Z_j} C_i^j} |u_j - u|^p dx \\ &\quad + c \sum_{i \in Z_j} \int_{C_i^j} |u_j - u_j^i|^p dx + c \int_{\bigcup_{i \in Z_j} C_i^j} |u_j - u|^p dx \\ &\leq c \int_{\Omega} |u_j - u|^p dx + c \rho_j^p \sum_{i \in Z_j} \int_{C_i^j} |Du_j|^p dx \\ &\leq c \int_{\Omega} |u_j - u|^p dx + c \rho_j^p \sup_j \int_{\Omega} |Du_j|^p dx. \end{aligned}$$

Hence passing to the limit as  $j$  tends to  $+\infty$  we get the desired convergence. In particular, since  $(w_j)$  is bounded in  $W^{1,p}(\Omega; \mathbb{R}^m)$ , we get that  $(w_j)$  weakly converges to  $u$  in  $W^{1,p}(\Omega; \mathbb{R}^m)$ .  $\square$

## 4 Some auxiliary energy densities

It will be convenient to approximate the function  $\varphi$  defined in (2.4) by suitable energy densities defined by minimum problems on bounded sets so as to use the properties of convergence of minima by  $\Gamma$ -convergence (Theorem 2.2). In this section we define such energies and list some of their properties.

We begin by proving in the following remark the existence of  $g$  in (2.3).

**Remark 4.1** We can consider the functions  $g_j : \mathbb{M}^{m \times n} \rightarrow [0, +\infty)$  defined by

$$g_j(A) = \delta_j^{\frac{np}{n-p}} Qf\left(\delta_j^{-\frac{n}{n-p}} A\right). \quad (4.1)$$

Since  $g_j$  are quasiconvex and satisfy uniformly a growth condition of order  $p$  they are equi-locally Lipschitz continuous on  $\mathbb{M}^{m \times n}$ : there exists  $C$  depending only on  $c_1, c_2, p$  such that

$$|g_j(A) - g_j(B)| \leq C(1 + |A|^{p-1} + |B|^{p-1})|A - B| \quad (4.2)$$

for all  $A, B \in \mathbb{M}^{m \times n}$  (see [5] Remark 4.13). Hence, there exists a subsequence (not relabeled) converging pointwise to some limit function  $g$ . We may therefore assume that (2.3) holds. Note that this convergence implies that for all subsets  $U$  of  $\mathbb{R}^n$  the functionals  $G_j(\cdot, U)$  defined on  $W^{1,p}(U; \mathbb{R}^m)$  by

$$G_j(u, U) = \int_U g_j(Du) dx \quad (4.3)$$

$\Gamma$ -converge to the functional  $G(\cdot, U)$  defined on  $W^{1,p}(U; \mathbb{R}^m)$  by

$$G(u, U) = \int_U g(Du) dx \quad (4.4)$$

(see [5] Proposition 12.8).

Using the notation of the remark above, we set

$$\varphi_{N,j}(z) = \inf \left\{ \int_{B_N(0)} g_j(D\zeta) dy : \zeta - z \in W_0^{1,p}(B_N(0); \mathbb{R}^m), \zeta = 0 \text{ on } B_1(0) \right\}. \quad (4.5)$$

Note that by the  $\Gamma$ -convergence in Remark 4.1 and Theorem 2.2, arguing as in the proof of Corollary 2.5, we easily deduce that  $\varphi_{N,j}$  converge pointwise as  $j \rightarrow +\infty$  to the function  $\varphi_N$ , defined by

$$\varphi_N(z) = \inf \left\{ \int_{B_N(0)} g(D\zeta) dy : \zeta - z \in W_0^{1,p}(B_N(0); \mathbb{R}^m), \zeta = 0 \text{ on } B_1(0) \right\}. \quad (4.6)$$

We briefly examine some properties of the functions  $\varphi_{N,j}$  and  $\varphi_N$  which are easily deduced from the growth conditions satisfied by  $g_j$  and  $g$ .

**Remark 4.2** (i) For all  $N \in \mathbb{N}$  and  $\eta > 0$  there exists  $c_{N,\eta}$  such that

$$\begin{aligned} |\varphi_{N,j}(z) - \varphi_{N,j}(w)| &\leq c_{N,\eta} \delta_j^{n(p-1)/(n-p)} |z - w| (1 + |w|^{p-1} + |z|^{p-1}) \\ &\quad + c |z - w| (|w|^{p-1} + |z|^{p-1}) \end{aligned} \quad (4.7)$$

for all  $|z|, |w| > \eta$  and  $j$ . This can be easily checked if we consider a linear similitude  $\phi$  such that  $\phi(z) = w$  and  $\zeta \in z + W_0^{1,p}(B_N(0); \mathbb{R}^m)$  such that  $\zeta = 0$  on  $B_1(0)$  and

$$\varphi_{N,j}(z) = \int_{B_N(0)} g_j(D\zeta) dy.$$

The existence of  $\zeta$  follows from the quasiconvexity of  $g_j$ . If we define  $\tilde{\zeta} = \phi(\zeta)$  then  $\tilde{\zeta} \in w + W_0^{1,p}(B_N(0); \mathbb{R}^m)$  and  $\tilde{\zeta} = 0$  on  $B_1(0)$ . By using  $\tilde{\zeta}$  as a test function we can estimate  $\varphi_{N,j}(w)$  taking into account the following inequality

$$|g_j(A) - g_j(B)| \leq C(\delta_j^{n(p-1)/(n-p)} + |A|^{p-1} + |B|^{p-1})|A - B|,$$

which refines (4.2). By a symmetric argument we deduce the estimate on  $|\varphi_{N,j}(z) - \varphi_{N,j}(w)|$ .

(ii) From (i) we deduce that  $\varphi_{N,j} \rightarrow \varphi_N$  uniformly on compact sets of  $\mathbb{R}^m \setminus \{0\}$  by Ascoli Arzela's Theorem.

(iii) By comparison with the well-known case  $g_j(A) = |A|^p$ , in which case we have  $\varphi_{N,j}(z) = c|z|^p$ , we deduce that

$$\varphi_{N,j}(z) \leq c_N \delta_j^{np/(n-p)} + c|z|^p. \quad (4.8)$$

(iv) Note that  $c_1|A|^p \leq g(A) \leq c_2|A|^p$ , so that, again by comparison with the case  $g(A) = |A|^p$ , we have  $c_1c|z|^p \leq \varphi_N(z) \leq c_2c|z|^p$ . Taking this into account and arguing as in (i) for fixed  $\eta > 0$  we also have

$$|\varphi_N(z) - \varphi_N(w)| \leq c(\eta^p + |z - w|(|w|^{p-1} + |z|^{p-1})) \quad (4.9)$$

for all  $w, z \in \mathbb{R}^m$ .

(v) Arguing as in (ii) and taking (iv) into account, we deduce that  $\varphi_N \rightarrow \varphi$  uniformly on compact sets of  $\mathbb{R}^m$ .

**Proposition 4.3** *Let  $(u_j)$  be a bounded sequence in  $L^\infty(\Omega; \mathbb{R}^m)$  converging to  $u$  weakly in  $W^{1,p}(\Omega; \mathbb{R}^m)$ , let  $(C_i^j)$  ( $i \in Z_j$ ) be a collection of annuli of the form (3.2) for an arbitrary choice of  $k_i$ , let  $u_j^i$  be defined by (3.3), and let  $\psi_j$  be defined by*

$$Q_i^\delta = x_i^\delta + \left(-\frac{\delta_j}{2}, \frac{\delta_j}{2}\right)^n, \quad \psi_j = \sum_{i \in Z_j} \varphi_{N,j}(u_j^i) \chi_{Q_i^\delta}. \quad (4.10)$$

Then we have

$$\lim_j \int_\Omega |\psi_j - \varphi_N(u)| dx = 0. \quad (4.11)$$

PROOF. Let  $\eta > 0$  be fixed. If  $\eta < |z| \leq \sup_j \|u_j\|_\infty$  then we have, by Remark 4.2(ii),

$$|\varphi_{N,j}(z) - \varphi_N(z)| \leq o(1)$$

as  $j \rightarrow +\infty$ , uniformly in  $z$ , while, if  $|z| < \eta$  then, by Remark 4.2(iii),

$$|\varphi_{N,j}(z) - \varphi_N(z)| \leq c_N \delta_j^{np/(n-p)} + 2c\eta^p.$$

Set

$$\hat{\psi}_j = \sum_{i \in Z_j} \varphi_N(u_j^i) \chi_{Q_i^\delta}. \quad (4.12)$$

By the arbitrariness of  $\eta$  and the convergence of  $\varphi_N(u_j)$  to  $\varphi_N(u)$  in  $L^1(\Omega)$ , we deduce that the limit in (4.11) equals the limits

$$\begin{aligned} \lim_j \int_{\Omega} |\hat{\psi}_j - \varphi_N(u)| dx &= \lim_j \int_{\Omega} |\hat{\psi}_j - \varphi_N(u_j)| dx \\ &= \lim_j \sum_{i \in Z_j} \int_{Q_i^\delta} |\varphi_N(u_j^i) - \varphi_N(u_j)| dx \\ &\leq c \left( \eta^p + \lim_j \left( \sup_j \|u_j\|_{L^\infty(\Omega; \mathbb{R}^m)}^p \right) \sum_{i \in Z_j} \int_{Q_i^\delta} |u_j^i - u_j| dx \right) \end{aligned} \quad (4.13)$$

by (4.9). By Hölder's and Poincaré's inequalities, we have

$$\begin{aligned} \int_{Q_i^\delta} |u_j^i - u_j| dx &\leq \delta_j^{n(p-1)/p} \left( \int_{Q_i^\delta} |u_j^i - u_j|^p dx \right)^{1/p} \\ &\leq \delta_j^{n(p-1)/p} c \delta_j \left( \int_{Q_i^\delta} |Du_j|^p dx \right)^{1/p}, \end{aligned}$$

so that

$$\sum_{i \in Z_j} \int_{Q_i^\delta} |u_j^i - u_j| dx \leq c \delta_j \left( \int_{\Omega} |Du_j|^p dx \right)^{1/p},$$

which proves the convergence to 0 of the limits in (4.13) by the arbitrariness of  $\eta$ .  $\square$

## 5 Proof of the liminf inequality

Let  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and let  $u_j \rightarrow u$  in  $L^p(\Omega; \mathbb{R}^m)$  be such that  $\sup_j F_j(u_j) < +\infty$ . Note that by (2.2)  $u_j \rightharpoonup u$  weakly in  $W^{1,p}(\Omega; \mathbb{R}^m)$ .

We can use a sequence  $(w_j)$  constructed as in Lemma 3.1 to estimate the liminf inequality for  $(F_j)$ . We fix  $k, N \in \mathbb{N}$  with  $N > 2^k$ , and define  $w_j$  as in Lemma 3.1 with

$$\rho_j = N \delta_j^{n/(n-p)}. \quad (5.1)$$

Note that with this choice of  $\rho_j$  we always have  $w_j = u_j = 0$  on  $B_i^\delta$ . Let  $E_j = E_j^{k,N}$  be given by

$$E_j = \bigcup_{i \in Z_j} B_i^j, \quad \text{where} \quad B_i^j = B_{\rho_j^i}(x_i^\delta)$$

for all  $i \in Z_j$  ( $Z_j$  given by (3.1) and  $\rho_j^i$  by (3.4)). We first deal with the contribution of the part of  $Du_j$  outside the set  $E_j$ .

**Proposition 5.1** *We have*

$$\liminf_j \int_{\Omega \setminus E_j} f(Du_j) dx \geq \int_{\Omega} Qf(Du) dx - \frac{c}{k} \quad (5.2)$$

PROOF. Let

$$v_j(x) = \begin{cases} u_j^i & \text{if } x \in B_i^j \\ w_j(x) & \text{if } x \in \Omega \setminus E_j. \end{cases}$$

Note that by Lemma 3.1  $(v_j)$  is bounded in  $W^{1,p}(\Omega; \mathbb{R}^m)$  and that  $\lim_j |\{x \in \Omega : u_j(x) \neq v_j(x)\}| = 0$ . We deduce that  $v_j \rightharpoonup u$  weakly in  $W^{1,p}(\Omega; \mathbb{R}^m)$  so that

$$\begin{aligned} \liminf_j \int_{\Omega \setminus E_j} f(Du_j) dx + \frac{c}{k} &\geq \liminf_j \int_{\Omega \setminus E_j} f(Dw_j) dx \\ &= \liminf_j \int_{\Omega} f(Dv_j) dx \geq \int_{\Omega} Qf(Du) dx, \end{aligned}$$

the last inequality following from Remark 2.1.  $\square$

We now turn to the estimate of the contribution on  $E_j$ . With fixed  $j \in \mathbb{N}$  and  $i \in Z_j$ , let

$$\zeta(y) = w_j \left( x_i^\delta + \delta_j^{n/(n-p)} y \right)$$

be defined on  $B_{\frac{3}{4}2^{-k_i}N}(0)$ , and extended to  $u_j^i$  outside this ball. Note that

$$\zeta - u_j^i \in W_0^{1,p}(B_N(0); \mathbb{R}^m) \quad \text{and} \quad \zeta = 0 \text{ on } B_1(0). \quad (5.3)$$

By a change of variables we obtain

$$\int_{B_i^j} f(Dw_j) dx = \delta_j^n \int_{B_N(0)} \delta_j^{np/(n-p)} f(\delta_j^{-n/(n-p)} D\zeta) dx \geq \delta_j^n \varphi_{N,j}(u_j^i) \quad (5.4)$$

by (4.5); hence, to give the estimate on  $E_j$  we have to compute the limit

$$\liminf_j \sum_{i \in Z_j} \delta_j^n \varphi_{N,j}(u_j^i) = \liminf_j \int_{\Omega} \psi_j dx, \quad (5.5)$$

where  $\psi_j$  is defined as in (4.10).

**Proposition 5.2** *We have*

$$\Gamma\text{-}\liminf_j F_j(u) \geq \int_{\Omega} Qf(Du) dx + \int_{\Omega} \varphi(u) dx$$

for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ .

PROOF. Let  $u_j \rightarrow u$  in  $L^p(\Omega; \mathbb{R}^m)$ . We can assume, upon possibly passing to a subsequence, that there exists the limit

$$\lim_j F_j(u_j) < +\infty,$$

so that  $u_j \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^m)$ . By [6] Lemma 3.5, upon passing to a further subsequence, for all  $M \in \mathbb{N}$  and  $\eta > 0$  there exists  $R_M > M$  and a Lipschitz function  $\Phi_M$  of Lipschitz constant 1 such that  $\Phi_M(z) = z$  if  $|z| < R_M$  and  $\Phi_M(z) = 0$  if  $|z| > 2R_M$ , and

$$\lim_j F_j(u_j) \geq \liminf_j F_j(\Phi_M(u_j)) - \eta. \quad (5.6)$$

From Lemma 3.1, (5.5), and Proposition 4.3, applied to  $(\Phi_M(u_j))$  in place of  $(u_j)$ , we get that

$$\begin{aligned} \liminf_j \int_{E_j} f(D\Phi_M(u_j)) dx + \frac{c}{k} &\geq \liminf_j \sum_{i \in Z_j} \delta_j^n \varphi_{N,j}((\Phi_M(u))_j^i) \\ &= \int_{\Omega} \varphi_N(\Phi_M(u)) dx \\ &\geq \int_{\Omega} \varphi(\Phi_M(u)) dx. \end{aligned} \quad (5.7)$$

Summing up (5.7) and (5.2) and by the arbitrariness of  $k$ , we then obtain

$$\liminf_j F_j(\Phi_M(u_j)) \geq \int_{\Omega} Qf(D\Phi_M(u)) dx + \int_{\Omega} \varphi(\Phi_M(u)) dx. \quad (5.8)$$

By (5.6) we then have

$$\lim_j F_j(u_j) + \eta \geq \int_{\Omega} Qf(D\Phi_M(u)) dx + \int_{\Omega} \varphi(\Phi_M(u)) dx.$$

We can let  $M \rightarrow +\infty$  and note that  $\Phi_M(u) \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^m)$  to get

$$\lim_j F_j(u_j) + \eta \geq \int_{\Omega} Qf(Du) dx + \int_{\Omega} \varphi(u) dx.$$

The thesis is obtained by letting  $\eta \rightarrow 0$ . □

## 6 Proof of the limsup inequality

The limsup inequality is obtained by suitably modifying a recovery sequence for the lower semicontinuous envelope of  $\int_{\Omega} f(Du) dx$ .

**Proposition 6.1** *If  $|\partial\Omega| = 0$  then we have*

$$\Gamma\text{-}\limsup_j F_j(u) \leq \int_{\Omega} Qf(Du) dx + \int_{\Omega} \varphi(u) dx$$

for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ .

PROOF. Let  $u \in W^{1,p}(\Omega)$  and let  $(v_j)$  be a sequence converging to  $u$  weakly in  $W^{1,p}(\Omega)$  such that

$$\lim_j \int_{\Omega} f(Dv_j) dx = \int_{\Omega} Qf(Du) dx \quad (6.1)$$

We preliminarily note that we may assume that  $(|Dv_j|^p)$  is equi-integrable on  $\Omega$  (see e.g. [16], [5] Appendix C). With fixed  $N \in \mathbb{N}$ , by Lemma 3.1 applied with  $u_j = v_j$ ,

$$\rho_j = \frac{4}{3} N \delta_j^{n/(n-p)},$$

and taking the equi-integrability of  $|Dv_j|^p$  into account we may also suppose that  $v_j$  equals a constant  $v_j^i$  on  $\partial B_{\rho_j'}(x_i^\delta)$  for all  $i \in Z_j$ , where

$$\rho_j' = N \delta_j^{n/(n-p)}.$$

STEP 1. We first assume that in addition  $(v_j)$  is a bounded sequence in  $L^\infty(\Omega; \mathbb{R}^m)$ .

Let  $\eta > 0$  be fixed. We now modify the sequence  $(v_j)$  to obtain functions  $u_j \in W^{1,p}(\Omega; \mathbb{R}^m)$  such that

$$u_j = v_j \text{ on } \Omega \setminus \bigcup_{i \in \mathbb{Z}^n} B_{\rho_j'}(x_i^\delta), \quad u_j = 0 \text{ on } \Omega \cap \bigcup_{i \in \mathbb{Z}^n} B_i^\delta$$

and

$$\limsup_j \int_{\Omega \cap \bigcup_{i \in \mathbb{Z}^n} B_{\rho_j'}(x_i^\delta)} f(Du_j) dx \leq \int_{\Omega} \varphi(u) dx + \eta |\Omega|. \quad (6.2)$$

The sequence  $(u_j)$  will then be a recovery sequence for the limsup inequality. In fact, clearly  $u_j \rightarrow u$  in  $L^p(\Omega; \mathbb{R}^m)$  since  $\lim_j |\{u_j \neq v_j\}| = 0$  and  $(u_j)$  is bounded in  $W^{1,p}(\Omega; \mathbb{R}^m)$ , and

$$\begin{aligned} \limsup_j \int_{\Omega} f(Du_j) dx &\leq \limsup_j \int_{\Omega \setminus \bigcup_{i \in \mathbb{Z}^n} B_{\rho_j'}(x_i^\delta)} f(Dv_j) dx \\ &\quad + \limsup_j \int_{\Omega \cap \bigcup_{i \in \mathbb{Z}^n} B_{\rho_j'}(x_i^\delta)} f(Du_j) dx \\ &\leq \lim_j \int_{\Omega} f(Dv_j) dx + \int_{\Omega} \varphi(u) dx + \eta |\Omega| \\ &= \int_{\Omega} Qf(Du) dx + \int_{\Omega} \varphi(u) dx + \eta |\Omega|. \end{aligned} \quad (6.3)$$

We now define  $u_j$  on each  $B_{\rho_j'}(x_i^\delta) \cap \Omega$ . We treat separately the cases  $i \in Z_j$  and  $i \in \mathbb{Z}^n \setminus Z_j$ . We first treat the case  $i \in Z_j$ . Let

$$M = \sup_j \|v_j\|_{L^\infty(\Omega; \mathbb{R}^m)}.$$

By Remark 4.2(v) we can choose  $N$  such that

$$\varphi(z) \geq \varphi_N(z) - \frac{\eta}{3} \quad (6.4)$$

for all  $|z| \leq M$ . Recall moreover that  $\varphi_{N,j}$  converges uniformly on compact sets of  $\mathbb{R}^m$  to  $\varphi_N$  as  $j \rightarrow +\infty$ ; we may therefore assume that

$$|\varphi_{N,j}(z) - \varphi_N(z)| \leq \frac{\eta}{3} \quad (6.5)$$

for all  $|z| \leq M$  and  $j \in \mathbb{N}$ .

Let  $\zeta_j^i \in v_j^i + W_0^{1,p}(B_N(0); \mathbb{R}^m)$  be such that  $\zeta_j^i = 0$  on  $B_1(0)$  and

$$\int_{B_N(0)} \delta_j^{np/(n-p)} f(\delta_j^{-n/(n-p)} D\zeta_j^i) dx \leq \varphi_{N,j}(v_j^i) + \frac{\eta}{3} \leq \varphi(v_j^i) + \eta, \quad (6.6)$$

the last inequality being a consequence of (6.4) and (6.5), taking into account that  $|v_j^i| \leq M$ .

We define  $u_j$  on  $B_{\rho_j'}(x_i^\delta)$  by

$$u_j(x) = \zeta_j^i \left( (x - x_i^\delta) \delta_j^{-n/(n-p)} \right).$$

By a change of variables we then have

$$\int_{B_{\rho_j'}(x_i^\delta)} f(Du_j) dx = \delta_j^n \int_{B_N(0)} \delta_j^{np/(n-p)} f(\delta_j^{-n/(n-p)} D\zeta_j^i) dx \leq \delta_j^n \varphi(v_j^i) + \delta_j^n \eta. \quad (6.7)$$

If  $i \notin Z_j$  it is not possible to use the construction above since  $B_{\rho_j'}(x_i^\delta)$  might intersect  $\partial\Omega$ . We then consider a scalar  $\zeta \in W^{1,p}(B_N(0))$  such that  $\zeta - 1 \in W_0^{1,p}(B_N(0))$ ,  $0 \leq \zeta \leq 1$  and  $\zeta = 0$  on  $B_1(0)$ , and simply define

$$u_j(x) = v_j(x) \zeta \left( (x - x_i^\delta) \delta_j^{-n/(n-p)} \right)$$

on  $B_{\rho_j'}(x_i^\delta) \cap \Omega$ . We then have

$$\begin{aligned} & \int_{B_{\rho_j'}(x_i^\delta) \cap \Omega} f(Du_j) dx \\ & \leq c_2 \int_{B_{\rho_j'}(x_i^\delta) \cap \Omega} (1 + |Du_j|^p) dx \\ & \leq c \int_{B_{\rho_j'}(x_i^\delta) \cap \Omega} \left( 1 + |Dv_j|^p + \delta_j^{-np/(n-p)} \left| D\zeta \left( (x - x_i^\delta) \delta_j^{-n/(n-p)} \right) \right|^p |v_j|^p \right) dx \\ & \leq c \delta_j^n \left( 1 + M \int_{B_N(0)} |D\zeta|^p dx \right) + c \int_{B_{\rho_j'}(x_i^\delta) \cap \Omega} |Dv_j|^p dx. \end{aligned} \quad (6.8)$$



Let

$$E'_j = \bigcup_{i \in \mathbb{Z}^n \setminus Z_j} B_{\rho'_j}(x_i^\delta) \cap \Omega \quad \text{and} \quad \Omega'_j = \bigcup_{i \in \mathbb{Z}^n \setminus Z_j} Q_i^\delta.$$

Then (6.8) above implies that

$$\int_{E'_j} f(Du_j) \, dx \leq c|\Omega'_j| + c \int_{E'_j} |Dv_j^p| \, dx = o(1), \quad (6.9)$$

by the equi-integrability of  $(|Dv_j|^p)$  and the fact that  $\lim_j |\Omega'_j| = |\partial\Omega| = 0$ .

Taking (6.7) and (6.9) into account, we have

$$\limsup_j \int_{\Omega \cap \bigcup_{i \in \mathbb{Z}^n} B_{\rho'_j}(x_i^\delta)} f(Du_j) \, dx \leq \limsup_j \sum_{i \in Z_j} \delta_j^n \varphi(v_j^i) \, dx + \eta|\Omega|,$$

so that (6.2) is proved by Proposition 4.3.

STEP 2. We now remove the boundedness assumption. First assume that  $u \in L^\infty(\Omega; \mathbb{R}^m)$ . Then let  $M = 4\|u\|_{L^\infty(\Omega; \mathbb{R}^m)}$  and let  $\Phi : \mathbb{R}^m \rightarrow \mathbb{R}^m$  be a Lipschitz function of Lipschitz constant 1 such that  $\Phi(z) = z$  if  $|z| \leq M/2$  and  $\Phi(z) = 0$  if  $|z| \geq M$ . Let  $(v_j)$  be a sequence converging to  $u$  weakly in  $W^{1,p}(\Omega)$  such that (6.1) holds and  $(|Dv_j|^p)$  is equi-integrable on  $\Omega$ , and define  $v_j^M = \Phi(v_j)$ . We have  $v_j^M \rightharpoonup u$  weakly in  $W^{1,p}(\Omega; \mathbb{R}^m)$  and  $\lim_j |\{v_j \neq v_j^M\}| = 0$ . Hence, by the equi-integrability of  $(|Dv_j|^p)$ , we obtain that

$$\lim_j \int_{\Omega} f(Dv_j^M) \, dx = \lim_j \int_{\Omega} f(Dv_j) \, dx = \int_{\Omega} Qf(Du) \, dx.$$

We can then repeat all the reasonings above with  $(v_j^M)$  in the place of  $(v_j)$ .

Finally, for arbitrary  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ , simply note that it can be approximated by a sequence of functions  $u_k \in W^{1,p}(\Omega; \mathbb{R}^m) \cap L^\infty(\Omega; \mathbb{R}^m)$  with respect to the strong convergence of  $W^{1,p}(\Omega; \mathbb{R}^m)$ . By the lower semicontinuity of  $F''(u) = \Gamma\text{-}\limsup_j F_j(u)$  with respect to the  $L^p(\Omega; \mathbb{R}^m)$  convergence (see e.g. [5] Remark 7.8) we then have  $F''(u) \leq \liminf_k F''(u_k) = \lim_k F(u_k) = F(u)$  as desired.  $\square$

## References

- [1] N. Ansini and A. Braides, Separation of scales and almost-periodic effects in the asymptotic behaviour of perforated periodic media, *Acta Applicandae Mat.* to appear.
- [2] H. Attouch and C. Picard, Variational inequalities with varying obstacles: the general form of the limit problem, *J. Funct. Anal.* **50** (1983), 329–386.
- [3] J.M. Ball and F. Murat,  $W^{1,p}$ -quasiconvexity and variational problems for multiple integrals, *J. Funct. Anal.* **58** (1984), 225–253.

- [4] A. Braides,  *$\Gamma$ -convergence for Beginners*, Oxford University Press, to appear.
- [5] A. Braides and A. Defranceschi, *Homogenization of Multiple Integrals*, Oxford University Press, Oxford, 1998.
- [6] A. Braides, A. Defranceschi and E. Vitali, Homogenization of free discontinuity problems, *Arch. Rational Mech. Anal.* **135** (1996), 297–356.
- [7] G. Buttazzo, *Semicontinuity, Relaxation and Integral Representation in the Calculus of Variations*. Pitman, London, 1989.
- [8] J. Casado Diaz and A. Garroni, Asymptotic behaviour of nonlinear elliptic systems on varying domains. *SIAM J. Math. Anal.* **31** (2000), 581–624.
- [9] J. Casado Diaz and A. Garroni, A non homogeneous extra term for the limit of Dirichlet problems in perforated domains, in: D. Cioranescu et al. (eds), *Homogenization and Applications to Material Sciences. Proceedings of the international conference, Nice, France, June 6–10, 1995*. Tokyo: Gakkotosho. GAKUTO Int. Ser., Math. Sci. Appl. **9** (1995), 81–94.
- [10] D. Cioranescu and F. Murat, Un terme étrange venu d’ailleurs, I and II. *Nonlinear Partial Differential Equations and Their Applications. Collège de France Seminar. Vol. II*, 98–138, and *Vol. III*, 154–178, Res. Notes in Math., **60** and **70**, Pitman, London, 1982 and 1983.
- [11] G. Dal Maso, *An Introduction to  $\Gamma$ -convergence*, Birkhäuser, Boston, 1993.
- [12] G. Dal Maso and A. Defranceschi, Limits of nonlinear Dirichlet problems in varying domains, *Manuscripta Math.* **61** (1988), 251–278.
- [13] G. Dal Maso and F. Murat, Asymptotic behaviour and correctors for linear Dirichlet problems with simultaneously varying operators and domains, in preparation.
- [14] E. De Giorgi, Sulla convergenza di alcune successioni di integrali del tipo dell’area. *Rend. Mat.* **8** (1975), 277–294.
- [15] E. De Giorgi and T. Franzoni, Su un tipo di convergenza variazionale, *Atti Accad. Naz. Lincei Rend. Cl. Sci. Mat. Fis. Natur.* **58** (1975), 842–850.
- [16] I. Fonseca, S. Müller and P. Pedregal, Analysis of concentration and oscillation effects generated by gradients. *SIAM J. Math. Anal.* **29** (1998), 736–756.
- [17] A.V. Marchenko and E.Ya. Khruslov, *Boundary Value Problems in Domains with Fine-Granulated Boundaries* (in Russian), Naukova Dumka, Kiev, 1974.
- [18] C.B. Morrey, Quasiconvexity and the semicontinuity of multiple integrals. *Pacific J. Math.* **2** (1952), 25–53.

- [19] I.V. Skrypnik, Asymptotic behaviour of solutions of nonlinear elliptic problems in perforated domains, *Math. Sb.* **184** (1993), 67–90.