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# THE CANHAM-HELFRICH MODEL FOR THE ELASTICITY OF BIOMEMBRANES AS A LIMIT OF MESOSCOPIC ENERGIES

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**Abstract.** In this paper we review some recent results concerning the variational deduction of a Canham-Helfrich model for biomembranes obtained starting from a mesoscopic model which implements the amphiphilic behavior of the lipid molecules and the head-tail connection. The 2-dimensional analysis is complete while in the 3-dimensional case we have partial results and open problems.

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# 1. Introduction

A prominent way to model biomembranes is given by shape energies of *Canham-Helfrich* type [2,5]. These type of energies have the general form

$$E(S) = \int_{S} \kappa_1 (H - H_0)^2 - \kappa_2 K \, d\mathcal{H}^2 \tag{1}$$

where S denotes a smooth surface in  $\mathbb{R}^3$ , H and K are the mean curvature and the Gaussian curvature of S respectively, and the bending moduli  $\kappa_1, \kappa_2$  and the spontaneous curvature  $H_0$  are constant. Typically,  $\kappa_1 > \kappa_2 > 0$  is a compatibility condition coming both from mathematical considerations and from experiments [12, 14]. The shape of the membrane is an absolute minimizer of E among a suitable class of surfaces. We notice that, thanks to the Gauss-Bonnet's Theorem, when the spontaneous curvature is zero and the topology of S is fixed the minimization problem for the Canham-Helfrich functional reduces to the minimization problem for the very well studied *Willmore functional* [7, 11, 13]. The Canham-Helfrich energy functional had been introduced starting from physical experiments while much less is known about its deduction from simpler models. In this paper we review some recent results concerning a rigorous deduction of the Canham-Helfrich energy functional. We refer to the microscopic model proposed by Peletier and Röger in 2009 [10, App. A]. Here the authors implemented the amphiphilic behavior of the lipid molecules that constitute the cell membrane and the covalent bond between head and tail of any molecule. A mesoscopic model had been formally derived from the microscopic one [10, App. A] and in the same paper a complete analysis in the 2-dimensional case had been performed. Precisely, the authors proved that the limit, in the sense of  $\Gamma$ -convergence, of the mesoscopic energies introduced by them is the Euler elastica functional on suitable families of closed curves in the plane. The analysis in the 3-dimensional case is much harder and there are only partial results [8, 9]. In such a case deep tools from Geometric Measure Theory, like currents and curvature varifolds, are necessary.

The paper is organized as follows. In Section 2 we recall the mesoscopic model proposed by Peletier and Röger [10]. In Section 3 we review the notion of  $\Gamma$ -convergence, essential in order to understand the correct way to pass to the limit in a family of variational problems. Then, in Section 4 we describe the 2-dimensional analysis done by Peletier and Röger [10]. Finally, the last section is dedicated to the partial results obtained in the 3-dimensional case [8,9].

# 2. The Peletier-Röger mesoscopic model

In 2009 Peletier and Röger [10] proposed a mesoscale model for biomembranes in the form of an energy for idealized and rescaled head and tail densities. Such a model originates from a probabilistic micro-scale description in which heads and tails are treated as separate particles. The energy functional introduced by Peletier and Röger has essentially two contributions: the first one penalizes the proximity of tail to polar (head or water) particles, and the second one implements the head-tail connection as an energetic penalization. Configurations of head and tail particles are described by two rescaled density functions

$$u \in BV(\mathbb{R}^n; \{0, \varepsilon^{-1}\}), \quad v \in L^1(\mathbb{R}^n; \{0, \varepsilon^{-1}\})$$

with uv = 0 a.e. in  $\mathbb{R}^n$  and with prescribed total mass, namely

$$\int u(x) \, dx = \int v(x) \, dx = M_T.$$

Here  $\varepsilon > 0$  is a small parameter. We call

$$K_{\varepsilon} \subset X := L^1(\mathbb{R}^n) \times L^1(\mathbb{R}^n)$$

the set of such a configurations. The energy functional is defined by

$$F_{\varepsilon}(u,v) := \begin{cases} \varepsilon \int |\nabla u| + \frac{1}{\varepsilon} d_1(u,v) & \text{if } (u,v) \in K_{\varepsilon} \\ +\infty & \text{otherwise in } X. \end{cases}$$

In this model u corresponds to the tail density while v is the density of heads. The term

$$\varepsilon \int |\nabla u|$$

is, up to the constant  $\varepsilon$ , the total variation of u and it measures the boundary size of the support of tails: this corresponds to the contribution which arises from the amphiphilic behavior of the polar particles. The second term which appear in the energy functional  $F_{\varepsilon}$ , that is

$$\frac{1}{\varepsilon}d_1(u,v)$$

takes into account the implicit implementation of the head-tail connection and it is given by the *Monge-Kantorovich distance between* u and v. Let us explain briefly what is  $d_1$  and the relation with the optimal transport problem; for details we refer to [1, 4]. Consider two mass distributions  $u, v \in L^1(\mathbb{R}^n)$  with compact support and with

$$\int u(x) \, dx = \int v(x) \, dx = 1.$$

We denote by  $\mathcal{A}(u, v)$  the set of all Borel vector fields  $T \colon \mathbb{R}^n \to \mathbb{R}^n$  pushing u forward to v, that is

$$\int \eta(T(x))u(x)\,dx = \int \eta(y)v(y)\,dy, \quad \forall \eta \in C^0(\mathbb{R}^n).$$

The Monge-Kantorovich distance between u and v is therefore defined by

$$d_1(u,v) := \min_{T \in \mathcal{A}(u,v)} \int |x - T(x)| u(x) \, dx.$$
(2)

Moreover, it turns out that there exists the so called *Kantorovich potential*  $\phi$ , that is a 1-Lipschitz map  $\mathbb{R}^n \to \mathbb{R}$  characterized by

$$\phi(x) - \phi(T(x)) = |x - T(x)|, \quad \text{a.e. } x \in \operatorname{spt}(u)$$

whenever T solves the optimization problem (2). A key property of  $d_1$  is the presence of *transport rays*. Let  $\phi$  be a Kantorovich potential as above. A *transport ray* is a maximal line segment in  $\mathbb{R}^n$  with endpoints  $a, b \in \mathbb{R}^n$  such that  $\phi$  has slope

one on that segment, that is

$$\begin{split} & a \in \operatorname{spt}(u), \quad b \in \operatorname{spt}(v), \quad a \neq b, \\ & \phi(a) - \phi(b) = |a - b|, \\ & |\phi(a + t(a - b)) - \phi(b)| < |a + t(a - b) - b|, \quad \forall t > 0, \\ & |\phi(b + t(b - a)) - \phi(a)| < |b + t(b - a) - a|, \quad \forall t > 0. \end{split}$$

Two transport rays can only intersect in a common endpoint and if z lies in the interior of a ray with endpoints  $a \in \operatorname{spt}(u), b \in \operatorname{spt}(v)$  then  $\phi$  is differentiable in z and

$$\nabla\phi(z) = \frac{a-b}{|a-b|}.$$

Let us back to  $F_{\varepsilon}$ . In order to understand what happens we consider ring structures (for details on the computations see [10]). Let the supports of u and v be the ring structures of Fig.2: the support of u is a single ring between circles of radii  $r_2$  and  $r_3$ , and the support of v is given by two rings flanking spt(u), namely between radii  $r_1$  and  $r_2$  and between radii  $r_3$  and  $r_4$ . Expanding  $F_1$  we find



Figure 1. The densities u and v are disposed forming a ring structure (courtesy of [10]).

$$F_1 \sim 2M_T + M_T \left(\frac{r_4 - r_1}{2} - 2\right)^2 + \frac{M_T}{(r_4 + r_1)^2}$$

The constant term  $2M_T$  is simply the Lagrange multiplier due to the total mass constraint. We then see a preference for thickness

$$\frac{r_4 - r_1}{2} = 2.$$

Moreover, we also notice a penalization of the curvature of the structure do to the term

$$\frac{M_T}{(r_4+r_1)^2}$$

After rescaling and renormalization we see that

$$F_{\varepsilon} \sim 2M_T + M_T \left(\frac{r_4 - r_1}{2\varepsilon} - 2\right)^2 + \frac{M_T \varepsilon^2}{(r_4 + r_1)^2}$$

Then, the  $\varepsilon$ -ring structure prefers the thickness  $2\varepsilon$  and again we notice a penalization of the curvature. In order to capture such a penalization, the right energy to investigate is given by

$$G_{\varepsilon}(u,v) := \frac{F_{\varepsilon}(u,v) - 2M_T}{\varepsilon^2}.$$

The main problem now is the following one: what happens when  $\varepsilon \to 0$ ? The limit structure should be a surface S (the membrane) and the energy  $G_{\varepsilon}$  should converge, in a suitable way, to an energy functional defined on S which penalizes the curvatures of S.

#### **3.** An overwiew on $\Gamma$ -convergence

In this section we review the notion of  $\Gamma$ -convergence which is the right way to pass to the limit in a family of variational problems. The theory of  $\Gamma$ -convergence dates back to De Giorgi (1975), for the general theory see [3]. We give the definition only for metric spaces even if it is possible to extend to topological spaces. Let (X, d) be a metric space. Let  $(F_h)$  be a sequence of functions  $X \to \mathbb{R} \cup \{\pm \infty\}$ . We say that  $(F_h) \Gamma$ -converges, as  $h \to +\infty$ , to  $F: X \to \mathbb{R} \cup \{\pm \infty\}$ , if for all  $u \in X$  we have:

(a) (*liminf inequality*) For every  $u \in X$  and for every sequence  $u_h \to u$  it holds

$$F(u) \leq \liminf_{h \to +\infty} F_h(u_h).$$

(b) (existence of a recovery sequence) For every  $u \in X$  there exists a sequence  $u_h \rightarrow u$  such that

$$F(u) \ge \limsup_{h \to +\infty} F_h(u_h).$$

It is easy to extend this definition of convergence to families depending on a real parameter. Given a family  $(F_{\varepsilon})_{\varepsilon>0}$  of functions  $X \to \mathbb{R} \cup \{\pm\infty\}$ , we say that it  $\Gamma$ -converges, as  $\varepsilon \to 0$ , to  $F: X \to \mathbb{R} \cup \{\pm\infty\}$  if for every positive infinitesimal sequence  $(\varepsilon_h)$  the sequence  $(F_{\varepsilon_h})$   $\Gamma$ -converges to F. The most important consequence of the definition of  $\Gamma$ -convergence is the following result about the convergence of minimizers [3, Cor. 7.20].

**Theorem 1.** Let  $F_h: X \to \mathbb{R} \cup \{\pm \infty\}$  be a sequence of functions which  $\Gamma$ converges to some  $F: X \to \mathbb{R} \cup \{\pm \infty\}$ . Assume that

$$\inf_{v \in X} F_h(v) > -\infty$$

for every  $h \in \mathbb{N}$ . Let  $(\varepsilon_h)$  be a positive infinitesimal sequence, and for every  $h \in \mathbb{N}$ let  $u_h \in X$  be an  $\varepsilon_h$ -minimizer of  $F_h$ , i.e.

$$F_h(u_h) \le \inf_{v \in X} F_h(v) + \varepsilon_h$$

Assume that  $u_h \rightarrow u$  for some  $u \in X$ . Then u is a minimum point of F, and

$$F(u) = \lim_{h \to +\infty} F_h(u_h).$$

# 4. The 2D analysis

The 2-dimensional analysis had been investigated in 2009 by Peletier and Röger [10]. The mathematical analysis of the mesoscopic model in dimension 2 confirms that such a model shows the key properties of biomembranes, that is a preference for uniformly thin structures without ends and a resistance to bending of the structure. In [10] the authors proved a full  $\Gamma$ -convergence result for the family  $\{G_{\varepsilon}\}_{\varepsilon>0}$  in two space dimensions. In that limit the densities concentrate on families of  $W^{2,2}$ -curves and a generalized Euler elastica energy is obtained for moderate-energy structures. To be precise first of all we recall the notion of system of  $W^{2,2}$ -curves. Let  $\mathcal{C} = \{\gamma_i\}_{i=1,\ldots,N}$  be a finite collection of maps  $W^{2,2}_{\text{loc}}(\mathbb{R}; \mathbb{R}^2)$ . We say that  $\mathcal{C}$  is a  $W^{2,2}$ -system of closed curves if  $\gamma'_i \neq 0$  and  $\gamma_i$  are  $L_i$ -periodic for some  $L_i > 0$ ,  $i = 1, \ldots, N$ . We also let

$$\operatorname{spt}(\mathcal{C}) := \bigcup_{i=1}^N \gamma_i(\mathbb{R}), \quad |\mathcal{C}| := \sum_{i=1}^N \int_0^{L_i} |\gamma'_i(s)| \, ds.$$

Moreover, we define the corresponding Radon measure  $\mu_{\mathcal{C}}$  on  $\mathbb{R}^2$  to be the measure that satisfies

$$\int \varphi \, d\mu_{\mathcal{C}} = \sum_{i=1}^{N} \int_{0}^{L_{i}} \varphi(\gamma_{i}(s)) |\gamma_{i}'(s)| \, ds, \quad \forall \varphi \in C_{c}^{0}(\mathbb{R}^{2}).$$

We finally say that C has no transversal crossings if for any  $1 \le i, j \le N, s_i, s_j \in \mathbb{R}$ 

 $\gamma_i(s_i) = \gamma_j(s_j) \Longrightarrow \gamma'_i(s_i)$  and  $\gamma'_j(s_j)$  are parallel.

We remark that we can represent a given system of closed curves C as a finite collection  $\{\gamma_i\}_{i=1,...,N}$  where for any i = 1,...,N we have that  $\gamma_i$  is one-periodic, with 1 being the smallest possible period, and  $\gamma_i$  is parametrized proportional to

arclength. We are therefore able to generalize the classical curve bending energy to  $W^{2,2}$ -systems of closed curves. Precisely, we let

$$\mathcal{W}(\mathcal{C}) := \frac{1}{2} \sum_{i=1}^{N} L_i^{-3} \int_0^1 \gamma_i''(s)^2 \, ds.$$

We are ready to state the main theorem by Peletier and Röger [10, Thm. 4.1] which essentially says that the family  $\{G_{\varepsilon}\}_{\varepsilon>0}$   $\Gamma$ -converges to  $\mathcal{W}$  with respect to the weak\*-convergence of Radon measures on  $\mathbb{R}^2$ .

### **Theorem 2.** The following facts hold true.

(a) Let  $(u_{\varepsilon}, v_{\varepsilon}) \in L^1(\mathbb{R}^2) \times L^1(\mathbb{R}^2)$ , R > 0 and a Radon measure  $\mu$  on  $\mathbb{R}^2$  be given with

$$\operatorname{spt}(u_{\varepsilon}) \subset B_R(0), \quad \text{for all } \varepsilon > 0$$
  
 $u_{\varepsilon} \mathcal{L}^2 \stackrel{*}{\rightharpoonup} \mu \quad \text{as Radon measures on } \mathbb{R}^2$ 

and

 $\liminf_{\varepsilon \to 0} G_{\varepsilon}(u_{\varepsilon}, v_{\varepsilon}) < +\infty.$ 

Then there is a  $W^{2,2}$ -system of closed curves  $\mathcal{C} = \{\gamma_i\}_{i=1,...,N}$  such that  $2\mu_{\mathcal{C}} = \mu, 2|\mathcal{C}| = M_T$ ,  $\operatorname{spt}(\mathcal{C})$  is bounded,  $\mathcal{C}$  has no transversal crossings, and

$$\mathcal{W}(\mathcal{C}) \leq \liminf_{\varepsilon \to 0} G_{\varepsilon}(u_{\varepsilon}, v_{\varepsilon}).$$

(b) Let  $C = \{\gamma_i\}_{i=1,...,N}$  be a  $W^{2,2}$ -system of closed curves such that 2|C| = M,  $\operatorname{spt}(C)$  is bounded and with no transversal crossings. Then there exists  $(u_{\varepsilon}, v_{\varepsilon}) \in K_{\varepsilon}$  such that  $\operatorname{spt}(u_{\varepsilon}) \subset B_R(0)$  for all  $\varepsilon > 0$  and for some R > 0, such that

 $u_{\varepsilon}\mathcal{L}^2 \stackrel{*}{\rightharpoonup} 2\mu_{\mathcal{C}}$  as Radon measures on  $\mathbb{R}^2$ 

and such that

$$\mathcal{W}(\mathcal{C}) \geq \limsup_{\varepsilon \to 0} G_{\varepsilon}(u_{\varepsilon}, v_{\varepsilon}).$$

# 5. The 3D analysis

The analysis in the 3-dimensional case is much more complicated and there are only partial results. The main starting point of such an analysis is the following theorem [8, Thm. 2.1] that can be proved parametrizing spt(u) by means of transport rays. Let  $(u, v) \in K_{\varepsilon}$  and let  $\phi$  be the corresponding Kantorovich potential as in Section 2. We let  $\theta := \nabla \phi$ . Moreover, for an arbitrary  $3 \times 3$  matrix A we let

$$Q(A) := \frac{1}{4} (\operatorname{tr} A)^2 - \frac{1}{6} \operatorname{tr}(\operatorname{cof} A)$$

with cof A denoting the cofactor matrix of A.

Luca Lussardi

**Theorem 3.** Let  $(u, v) \in K_{\varepsilon}$  and assume that  $J_u =: S$  is compact, orientable surfaces of class  $C^1$  in  $\mathbb{R}^3$ . Then there exist non-negative measurable functions  $M: S \to \mathbb{R}$  such that

$$M_T = \int_S M \, d\mathcal{H}^2$$

such that  $\theta$  and the inner unit normal field  $\nu$  of spt(u) on S satisfy  $\theta \cdot \nu > 0$ everywhere on  $\{M > 0\}$ , and such that

$$G_{\varepsilon}(u,v) \geq \frac{1}{\varepsilon^2} \int_S (M-1)^2 d\mathcal{H}^2 + \frac{1}{\varepsilon^2} \int_S \left(\frac{1}{\theta \cdot \nu} - 1\right) M^2 d\mathcal{H}^2 + \int_S \frac{M^4}{(\theta \cdot \nu)^3} Q(D\theta) d\mathcal{H}^2.$$
(3)

Estimate (3) suggests the form of the  $\Gamma$ -limit. Indeed, take  $(u, v) \in K_{\varepsilon}$  such that  $G_{\varepsilon}(u_{\varepsilon}, v_{\varepsilon}) \leq c$ . Correspondingly we have  $S_{\varepsilon}, M_{\varepsilon}, \theta_{\varepsilon}, \nu_{\varepsilon}$  satisfying Theorem 3. If we assume that some compactness for  $\{S_{\varepsilon}\}$  hold true, say  $S_{\varepsilon} \to S$  in some sense, thanks to

$$\frac{1}{\varepsilon^2} \int_{S_{\varepsilon}} (M_{\varepsilon} - 1)^2 \, d\mathcal{H}^2 \le c$$

we expect that functions  $M_{\varepsilon}$  tend to be 1 as  $\varepsilon \to 0$ . As a consequence, since

$$\frac{1}{\varepsilon^2} \int_{S_{\varepsilon}} \left( \frac{1}{\theta_{\varepsilon} \cdot \nu_{\varepsilon}} - 1 \right) M_{\varepsilon}^2 \, d\mathcal{H}^2 \le c$$

we can conjecture that  $\theta_{\varepsilon}$  tends to be orthogonal to S. Putting all together these informations, if the estimate (3) was optimal, the limit of  $G_{\varepsilon}(u_{\varepsilon}, v_{\varepsilon})$  should be

$$\int_{S} Q(D\nu) d\mathcal{H}^2 = \int_{S} \frac{1}{4} H^2 - \frac{1}{6} K d\mathcal{H}^2$$

which is a functional of Canham-Helfrich-type: choose, in (1),  $\kappa_1 = \frac{1}{4}$ ,  $\kappa_2 = \frac{1}{6}$  and  $H_0 = 0$ . This heuristic explanation can be formalized at least for the existence of a recovery sequence accordingly with the very definition of  $\Gamma$ -convergence. Indeed, the following theorem holds true [8, Thm. 2.5].

**Theorem 4.** Fix a smooth compact orientable surface  $S \subset \mathbb{R}^3$  without boundary such that  $\mathcal{H}^2(S) = M_T$ . Then there exists a family  $(u_{\varepsilon}, v_{\varepsilon})_{\varepsilon>0}$  in  $K_{\varepsilon}$  such that

 $u_{\varepsilon}\mathcal{L}^3 \stackrel{*}{\rightharpoonup} \mathcal{H}^2 \sqcup S$  as Radon measures on  $\mathbb{R}^2$ 

and

$$G_{\varepsilon}(u_{\varepsilon}, v_{\varepsilon}) \to \int_{S} \frac{1}{4} H^2 - \frac{1}{6} K \, d\mathcal{H}^2.$$

The main problem is the compactness and the limit inequality. The first difficulty stems in the fact that we are not able to prove rigorously that  $M_{\varepsilon} \rightarrow 1$ , so that in order to have some compactness and limit inequality we need to simplify the

setting. Precisely, fix  $\Omega \subset \mathbb{R}^3$  open and let  $\mathcal{M}$  be the set of tuples  $(S, \theta)$ , where S is a compact and orientable surface of class  $C^2$  in  $\mathbb{R}^3$  that is given by the boundary of an open set  $A(S) \subset \Omega$ , and  $\theta \colon S \to \mathbb{R}^3$  is a Lipschitz vector field such that

$$|\theta| = 1$$
 and  $\theta \cdot \nu > 0$  on S

where  $\nu: S \to \mathbb{R}^3$  denotes the outer unit normal field on S. For any  $p \in S$  denote by  $L(p): \mathbb{R}^3 \to \mathbb{R}^3$  the extension of  $D\theta(p): T_pS \to \mathbb{R}^3$  defined by the properties

$$L(p)\tau = D\theta(p)\tau$$
 for all  $\tau \in T_pS$ ,  $L(p)\theta(p) = 0$ 

if  $D\theta(p)$  exists and L(p) = 0 else. We next define  $\mathcal{Q}_{\varepsilon} : \mathcal{M} \to [0, +\infty)$  as

$$\mathcal{Q}_{\varepsilon}(S,\theta) := \frac{1}{\varepsilon^2} \int_S \frac{1}{\theta \cdot \nu} - 1 \, d\mathcal{H}^2 + \int_S Q(L(p)) \, d\mathcal{H}^2(p). \tag{4}$$

The functional  $Q_{\varepsilon}$  is a simplification of the right-hand side of (3) and could represent a good functional to study in order to understand the general case. The analysis of  $Q_{\varepsilon}$  in terms of compactness and liminf inequality is contained in [9]. A bound  $Q_{\varepsilon}(S_{\varepsilon}, \theta_{\varepsilon}) \leq c$  at a first sight produces only a bound on the area of  $S_{\varepsilon}$  but we have to produce curvatures in the limit. The idea is to look at the family of measures  $\mathcal{H}^2 \sqcup S_{\varepsilon}$  and its weak\*-limit  $\mu$  in the sense of Radon measures on  $\mathbb{R}^2$ . Indeed, it is possibile to prove, but the proof is very complicated [9], that  $\mu$  is supported on a sort of *weak surface* for which curvatures make sense, precisely an *integral curvature varifold*. We briefly recall the main definitions, and we refer to Hutchinson [6] for details. Let G(2,3) denote the Grassmann manifold of all two-dimensional unoriented planes in  $\mathbb{R}^3$ . An *integral curvature varifold* V in  $\mathbb{R}^3$  is a Radon measure on  $\mathbb{R}^3 \times G(2,3)$  characterized by

$$V(\psi) = \int_{S} \psi(x, T_x S) \beta(x) \, d\mathcal{H}^2(x), \quad \text{for all } \psi \in C_c^0(\mathbb{R}^3 \times G(2, 3))$$

where  $S \subset \mathbb{R}^3$  is a 2-rectifiable set,  $\beta \colon S \to \mathbb{N}$  is locally  $\mathcal{H}^2$ -integrable, and such that there exist V-measurable functions  $A_{ijk} \colon \mathbb{R}^3 \times G(2,3) \to \mathbb{R}, 1 \leq i, j, k \leq 3$  such that for any  $\varphi \in C^1(\mathbb{R}^3 \times \mathbb{R}^{3 \times 3})$  compactly supported with respect to the first variable

$$0 = \int \left( P_{ij} \partial_j \varphi + A_{ijk} \partial_{jk}^* \varphi + A_{jij} \varphi \right) dV(x, P), \quad i = 1, 2, 3$$
 (5)

where we identify  $P \in G(2,3)$  and the associated orthogonal projection  $\mathbb{R}^3 \to P$ with matrix representation  $(P_{ij})$  and where  $\partial^*$  denotes the derivatives with respect to the P variable. We also let  $\mu_V := \beta \mathcal{H}^2 \sqcup S$ , which therefore is a Radon measure on  $\mathbb{R}^3$ . Formula (5) generalizes the integration by parts on smooth manifolds without boundary, and the idea is that starting from  $A = (A_{ijk})$  it is possibile, as in the smooth differential geometry, to construct mean curvature vector and Gauss curvature. Precisely, we let

$$H_i := A_{jij}, \quad K := \sum_k \operatorname{tr}(\operatorname{cof}(A_{ijk})_{ij}).$$

As a consequence the mean curvature square is defined as the norm square of  $H_i$ , so that for an integral curvature varifolds the quantity  $H^2$  and K are well defined. We are ready to go back to compactness and limit inequality for the family  $\{Q_{\varepsilon}\}_{\varepsilon>0}$ . The main result is the following compactness and lower bound statements [9, Thm. 2.2].

**Theorem 5.** Let  $(\varepsilon_j)_{j\in\mathbb{N}}$  be an infinitesimal sequence of positive numbers and  $(S_j, \theta_j)_{j\in\mathbb{N}}$  be a sequence in  $\mathcal{M}$  such that  $\sup_j \mathcal{H}^2(S_j) < \infty$  and

$$\bigcup_{j} S_{j} \subset \tilde{\Omega} \quad \textit{for some } \tilde{\Omega} \subset \subset \Omega$$

and that for a fixed  $\Lambda > 0$ 

$$\mathcal{Q}_{\varepsilon_i}(S_j, \theta_j) \leq \Lambda \quad \text{for all } j \in \mathbb{N}$$

Assume furthermore that in the sense of Radon measures on  $\Omega$ 

$$\mathcal{H}^2 \sqcup S_j \to \mu \quad as \ j \to \infty.$$

Then  $\mu = \mu_V$  where V is an integral curvature varifold and

$$\int \frac{1}{4}H^2 - \frac{1}{6}K \, dV \leq \liminf_{j \to +\infty} \mathcal{Q}_{\varepsilon_j}(S_j, \theta_j).$$

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