

# A MINIMIZATION APPROACH TO THE WAVE EQUATION ON TIME-DEPENDENT DOMAINS

G. DAL MASO AND L. DE LUCA

ABSTRACT. We prove the existence of weak solutions to the homogeneous wave equation on a suitable class of time-dependent domains. Using the approach suggested by De Giorgi and developed by Serra and Tilli, such solutions are approximated by minimizers of suitable functionals in space-time.

KEYWORDS: wave equation, time-dependent domains, minimization

AMS SUBJECT CLASSIFICATIONS: 35L15, 49J10, 35Q74, 74R10, 35L90

## INTRODUCTION

Several problems in dynamic fracture mechanics lead to the study of the wave equation in time-dependent domains (see [6, 7, 3]). The main difficulty is that at every time  $t$  the solution belongs to a different function space  $V_t$ . It is not restrictive to assume that all spaces  $V_t$  are embedded in a given Hilbert space  $H$ .

In the case of fracture mechanics, a common situation is  $V_t = H^1(\Omega \setminus \Gamma_t)$  and  $H = L^2(\Omega)$ , where  $\Omega$  is a domain in  $\mathbb{R}^d$  and  $\Gamma_t$  is a closed  $(d-1)$ -dimensional subset of  $\Omega$ , representing the crack at time  $t$ . A natural assumption on  $\Gamma_t$  is that it is monotonically increasing with respect to  $t$ , thus encoding the fact that, once created, a crack cannot disappear. As a consequence, the spaces  $V_t$  are increasing in time too.

To deal with possibly irregular cracks a more general increasing family of spaces has been considered in [2]:  $V_t = GSBV_2^2(\Omega, \Gamma_t)$ , defined as the space of functions  $u \in GSBV(\Omega)$  such that  $u \in L^2(\Omega)$ ,  $\nabla u \in L^2(\Omega; \mathbb{R}^d)$ , and  $J_u \subset \Gamma_t$  (see [1] for the definition and properties of these spaces and for the definition of the approximate gradient  $\nabla u$  and of the jump set  $J_u$ ).

Given  $u^0 \in V_0$  and  $u^1 \in H$ , the Cauchy problem we are interested in is formally written as

$$(0.1) \quad \begin{cases} u''(t) + Au(t) = 0 & \text{for a.e. } t > 0, \\ u(t) \in V_t & \text{for a.e. } t > 0, \\ u(0) = u^0, u'(0) = u^1, \end{cases}$$

where  $'$  denotes the time derivative and  $A$  is a continuous and coercive linear operator ( $A = -\Delta$  with homogeneous Neumann boundary conditions in the examples considered above).

The existence of a solution for (0.1) has already been proven in [2], through a time-discrete approach, by solving suitable incremental minimum problems and then passing to the limit as the time step tends to zero.

The purpose of this paper is to prove that a solution of (0.1) can be approximated by global minimizers of suitable energy functionals defined as integrals on  $[0, \infty)$  with respect to time. On the one hand this shows a link between solutions of the hyperbolic problem (0.1) and solutions of minimum problems for integral functionals on the same time domain. On the other hand this result provides a new proof of the existence of a solution to (0.1).

The seminal idea of this approximation process goes back to a conjecture by De Giorgi [5] on the nonlinear wave equation. Such a conjecture has been proven by Serra and Tilli in [8] and, in a more general setting, in [9].

In our paper we extend their result to the case of time-dependent domains. To illustrate the global minimization approach in our setting, we focus on the model case  $V_t = H^1(\Omega \setminus \Gamma_t)$  and  $A = -\Delta$ . The main idea is to associate to the Cauchy problem (0.1) a functional of the form

$$(0.2) \quad \mathcal{F}_\varepsilon(u) := \frac{1}{2} \int_0^\infty e^{-t/\varepsilon} \left( \varepsilon^2 \|u''(t)\|_{L^2(\Omega)}^2 + \|\nabla u(t)\|_{L^2(\Omega; \mathbb{R}^d)}^2 \right) dt,$$

This functional is to be minimized, for every fixed  $\varepsilon > 0$ , among all the functions  $t \mapsto u(t)$  satisfying the initial conditions  $u(0) = u^0$  and  $u'(0) = u^1$  and the time-dependent constraint  $u(t) \in V_t$  for a.e.  $t > 0$ . Once the existence of a minimizer  $u_\varepsilon$  is proven, the Euler-Lagrange equation of (0.2) formally reads as

$$\varepsilon^2 u_\varepsilon''''(t) - 2\varepsilon u_\varepsilon'''(t) + u_\varepsilon''(t) - \Delta u_\varepsilon(t) = 0 \quad \text{in } \Omega \setminus \Gamma_t,$$

and hence, letting  $\varepsilon \rightarrow 0$ , one *formally* obtains a solution to the wave equation in (0.1).

As mentioned above, a quite general scheme to pass to the limit rigorously has been introduced by Serra and Tilli in [9] when time-dependent constraint  $u(t) \in V_t$  is not present. The proof consists in finding suitable estimates on the minimizers  $u_\varepsilon$  of the functionals  $\mathcal{F}_\varepsilon$  and to exploit these estimates in order to obtain, by compactness, the convergence of  $u_\varepsilon$  to a weak solution  $u$  to the wave equation.

In this paper we implement this scheme in the case of time-dependent domains. This requires some changes in the proof, since all competitors of the minimum problem for (0.2) must satisfy the constraint  $u(t) \in V_t$  for a.e.  $t > 0$ .

The main change is in the proof of the key estimate for  $u_\varepsilon(t)$ , which is obtained in [9] by using an inner variation  $u_\varepsilon(\varepsilon\varphi_\delta(t))$  for a suitable function  $\varphi_\delta: [0, \infty) \rightarrow [0, \infty)$ . Since in our case we have to require that  $u_\varepsilon(\varepsilon\varphi_\delta(t)) \in V_t$  for a.e.  $t > 0$ , this variation is admissible only if  $\varphi_\delta(t) \leq t$  for a.e.  $t > 0$ . By the technical definition of  $\varphi_\delta$ , this leads to the constraint  $\delta > 0$ . Therefore the standard comparison between the functional on  $u_\varepsilon(\varepsilon\varphi_\delta(t))$  and on the minimizer  $u_\varepsilon(t)$ , in the limit as  $\delta \rightarrow 0+$ , gives only an inequality, instead of the equality proven in [9, formula (4.7)]. This inequality, however, turns out to be enough to obtain the other estimates of [9] with minor changes.

A further difficulty appears when proving that the limit  $u$  of  $u_\varepsilon$  is a weak solution of (0.1), since also the test functions  $\eta$  must satisfy the constraint  $\eta(t) \in V_t$  for a.e.  $t > 0$ . Therefore, to adapt the proof of [9], we have to approximate an arbitrary test function  $\eta$  satisfying the constraint  $\eta(t) \in V_t$  for a.e.  $t > 0$  by sums of functions of the form  $\varphi(t)v$  with  $v \in V_s$  and  $\varphi \in C^2(\mathbb{R})$  with  $\text{supp}(\varphi) \subset [s, \infty)$ , which still satisfy the constraint.

## 1. DESCRIPTION OF THE PROBLEM

**1.1. Setting.** To study the wave equation in time-dependent domains we adopt the functional setting introduced in [4]. Let  $H$  be a separable Hilbert space and let  $(V_t)_{t \in [0, \infty)}$  be a family of separable Hilbert spaces with the following properties

- (H1) for every  $t \in [0, \infty)$  the space  $V_t$  is contained and dense in  $H$  with continuous embedding;
- (H2) for every  $s, t \in [0, \infty)$ , with  $s < t$ ,  $V_s$  is a closed subspace of  $V_t$  with the induced scalar product.

The scalar product in  $H$  is denoted by  $(\cdot, \cdot)$  and the corresponding norm by  $\|\cdot\|$ . The norm in  $V_t$  is denoted by  $\|\cdot\|_t$ . By (H2) for every  $0 \leq s < t$  we have  $\|v\|_s = \|v\|_t$  for every  $v \in V_s$ .

The dual of  $H$  is identified with  $H$ , while for every  $t \in [0, T]$  the dual of  $V_t$  is denoted by  $V_t^*$ . Note that the adjoint of the continuous embedding of  $V_t$  into  $H$  provides a continuous embedding of  $H$  into  $V_t^*$  and that  $H$  is dense in  $V_t^*$ . Let  $\langle \cdot, \cdot \rangle_t$  be the duality product between  $V_t^*$  and  $V_t$  and let  $\|\cdot\|_t^*$  be the corresponding dual norm. Note that  $\langle \cdot, \cdot \rangle_t$  is the unique continuous bilinear map on  $V_t^* \times V_t$  satisfying

$$(1.1) \quad \langle h, v \rangle_t = (h, v) \quad \text{for every } h \in H \text{ and } v \in V_t.$$

Let  $V_\infty := \bigcup_{t \geq 0} V_t$  and let  $a: V_\infty \times V_\infty \rightarrow \mathbb{R}$  be a bilinear symmetric form satisfying the following conditions:

(H3) continuity: there exists  $M_0 > 0$  such that

$$(1.2) \quad |a(u, v)| \leq M_0 \|u\|_t \|v\|_t \quad \text{for every } t \geq 0 \text{ and every } u, v \in V_t;$$

(H4) coercivity: there exist  $\lambda_0 \geq 0$  and  $\nu_0 > 0$  such that

$$(1.3) \quad a(u, u) + \lambda_0 \|u\|^2 \geq \nu_0 \|u\|_t^2 \quad \text{for every } t \geq 0 \text{ and every } u \in V_t;$$

(H5) positive semidefiniteness:

$$(1.4) \quad a(u, u) \geq 0 \quad \text{for every } u \in V_\infty.$$

For every  $\tau, t \in [0, \infty)$  let  $A_\tau^t: V_t \rightarrow V_\tau^*$  be the continuous linear operator defined by

$$(1.5) \quad \langle A_\tau^t u, v \rangle_\tau := a(u, v) \quad \text{for every } u \in V_t \text{ and } v \in V_\tau.$$

Note that

$$(1.6) \quad \|A_\tau^t u\|_\tau^* \leq M_0 \|u\|_t \quad \text{for every } u \in V_t.$$

Finally, we set  $Q(u) := a(u, u)$  for every  $u \in V_\infty$ .

**Definition 1.1.** Given  $T > 0$ , we define  $\mathcal{W}_T^{0,1} := L^2((0, T); V_T) \cap H^1((0, T); H)$ , with the Hilbert space structure induced by the scalar product

$$(u, v)_{\mathcal{W}_T^{0,1}} = (u, v)_{L^2((0, T); V_T)} + (u', v')_{L^2((0, T); H)},$$

where  $u'$  and  $v'$  denote the distributional derivatives. The norm induced by the scalar product  $(\cdot, \cdot)_{\mathcal{W}_T^{0,1}}$  is denoted by  $\|\cdot\|_{\mathcal{W}_T^{0,1}}$ . Moreover, we define

$$\mathcal{V}_T^{0,1} := \{u \in \mathcal{W}_T^{0,1} : u(t) \in V_t \text{ for a.e. } t \in (0, T)\},$$

and note that it is a closed subspace of  $\mathcal{W}_T^{0,1}$ .

Analogously, we define  $\mathcal{W}_T^{0,2} := L^2((0, T); V_T) \cap H^2((0, T); H)$ , with the Hilbert space structure induced by the scalar product

$$(u, v)_{\mathcal{W}_T^{0,2}} = (u, v)_{L^2((0, T); V_T)} + (u', v')_{L^2((0, T); H)} + (u'', v'')_{L^2((0, T); H)},$$

and the space

$$\mathcal{V}_T^{0,2} := \{u \in \mathcal{W}_T^{0,2} : u(t) \in V_t \text{ for a.e. } t \in (0, T)\},$$

which is a closed subspace of  $\mathcal{W}_T^{0,2}$ .

Finally,  $\mathcal{V}^{0,1}$  (resp.  $\mathcal{V}^{0,2}$ ) is defined as the space of functions  $u: (0, +\infty) \rightarrow H$  whose restrictions to  $(0, T)$  belong to  $\mathcal{V}_T^{0,1}$  (resp.  $\mathcal{V}_T^{0,2}$ ) for every  $T > 0$ .

**Remark 1.2.** It is well known that every function  $u \in H^1((0, T); H)$  (resp.  $u \in H^2((0, T); H)$ ) admits a representative, still denoted by  $u$ , which belongs to the space  $C^0([0, T]; H)$  (resp.  $C^1([0, T]; H)$ ). With this convention we have  $\mathcal{V}_T^{0,1} \subset C^0([0, T]; H)$  (resp.  $\mathcal{V}_T^{0,2} \subset C^1([0, T]; H)$ ) for every  $T > 0$ .

**Definition 1.3.** We say that  $u$  is a weak solution of the equation

$$(1.7) \quad u''(t) + A_t^t u(t) = 0, \quad u(t) \in V_t \quad \text{for } t \in [0, \infty)$$

if  $u \in \mathcal{V}^{0,1}$  and for every  $T > 0$

$$(1.8) \quad \int_0^T (u'(t), \psi'(t)) dt = \int_0^T a(u(t), \psi(t)) dt$$

for every  $\psi \in \mathcal{V}_T^{0,1}$  with  $\psi(0) = \psi(T) = 0$ .

For every Banach space  $X$  let  $C_w([0, T]; X)$  be the space of functions  $u: [0, T] \rightarrow X$  that are continuous for the weak topology of  $X$ .

**Remark 1.4.** If  $u$  is a weak solution of (1.7) with  $u \in L^\infty((0, T); V_T)$  and  $u' \in L^\infty((0, T); H)$  for every  $T > 0$ , then [4, Theorem 2.17 and Proposition 2.18] imply that, after a modification on a set of measure zero,  $u \in C_w([0, T]; V_T)$  and  $u' \in C_w([0, T]; H)$  for every  $T > 0$ .

**1.2. Main results.** Throughout the paper we fix  $u^0 \in V_0$ ,  $u^1 \in H$ , and a sequence  $\{u_\varepsilon^1\} \subset V_0$  such that

$$(1.9) \quad \|u_\varepsilon^1 - u^1\|_H \rightarrow 0 \text{ as } \varepsilon \rightarrow 0+ \quad \text{and} \quad \varepsilon \|u_\varepsilon^1\|_0 \leq C_1,$$

for some constant  $C_1 > 0$ . For every  $\varepsilon > 0$  we consider the functional

$$(1.10) \quad \mathcal{F}_\varepsilon(u) := \frac{1}{2} \int_0^\infty e^{-t/\varepsilon} \left( \varepsilon^2 \|u''(t)\|^2 + Q(u(t)) \right) dt,$$

defined on the set

$$(1.11) \quad \mathcal{V}^{0,2}(u^0, u_\varepsilon^1) := \{u \in \mathcal{V}^{0,2} : u(0) = u^0, u'(0) = u_\varepsilon^1\},$$

which is well-defined in view of Remark 1.2.

We now state our main results, which are proven in Sections 2, 3, and 4.

**Theorem 1.5.** *For every  $\varepsilon \in (0, 1)$  the functional  $\mathcal{F}_\varepsilon$  admits a unique global minimizer  $u_\varepsilon$  in the set  $\mathcal{V}^{0,2}(u^0, u_\varepsilon^1)$ . Moreover,*

$$(1.12) \quad \mathcal{F}_\varepsilon(u_\varepsilon) \leq \bar{C}\varepsilon,$$

for some constant  $\bar{C} > 0$  depending only on  $\|u^0\|_0$  and  $C_1$ .

In particular, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then

$$(1.13) \quad \mathcal{F}_\varepsilon(u_\varepsilon) \leq \varepsilon \left( \frac{1}{2} Q(u^0) + r_\varepsilon \right),$$

where  $r_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ .

**Theorem 1.6.** *There exists a constant  $C > 0$  such that for every  $\varepsilon \in (0, 1)$  the minimizer  $u_\varepsilon$  of  $\mathcal{F}_\varepsilon$  in  $\mathcal{V}^{0,2}(u^0, u_\varepsilon^1)$  satisfies the estimates:*

$$(1.14) \quad \int_t^{t+\tau} Q(u_\varepsilon(s)) ds \leq C\tau \quad \text{for every } t \geq 0, \tau \geq \varepsilon,$$

$$(1.15) \quad \|u_\varepsilon(t)\|^2 \leq C(1+t^2) \quad \text{for every } t \geq 0,$$

$$(1.16) \quad \|u'_\varepsilon(t)\| \leq C \quad \text{for every } t \geq 0.$$

**Theorem 1.7.** *For every  $\varepsilon \in (0, 1)$  let  $u_\varepsilon$  be the minimizer of  $\mathcal{F}_\varepsilon$  in  $\mathcal{V}^{0,2}(u^0, u_\varepsilon^1)$ . Then for every sequence  $\{\varepsilon_n\} \subset (0, 1)$ , with  $\varepsilon_n \rightarrow 0$  as  $n \rightarrow \infty$ , there exist a subsequence, not relabeled, and a weak solution  $u$  of (1.7) such that  $u_{\varepsilon_n} \rightharpoonup u$  weakly in  $\mathcal{W}_T^{0,1}$  for every  $T > 0$ . Moreover the following properties hold:*

- (a) *weak continuity:  $u \in C_w([0, T]; V_T)$  and  $u' \in C_w([0, T]; H)$  for every  $T > 0$ ;*
- (b) *initial conditions:  $u(0) = u^0$  and  $u'(0) = u^1$ .*

*If, in addition,  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then the following energy inequality holds:*

$$(1.17) \quad \|u'(t)\|^2 + Q(u(t)) \leq \|u^1\|^2 + Q(u^0) \quad \text{for every } t > 0.$$

## 2. PROOF OF THEOREM 1.5

Before proving our results we introduce a change of variables that will be useful throughout the paper.

**Remark 2.1.** For every  $\varepsilon > 0$  and every  $T > 0$  we set

$$\begin{aligned} \mathcal{W}_{\varepsilon, T}^{0,2} &:= L^2((0, T); V_{\varepsilon T}) \cap H^2((0, T); H), \\ \mathcal{V}_{\varepsilon, T}^{0,2} &:= \{v \in \mathcal{W}_{\varepsilon, T}^{0,2} : v(t) \in V_{\varepsilon t} \text{ for a.e. } t \in (0, T)\}. \end{aligned}$$

Note that  $\mathcal{W}_{\varepsilon, T}^{0,2}$  is a Hilbert space with the scalar product

$$(u, v)_{\mathcal{W}_{\varepsilon, T}^{0,2}} = (u, v)_{L^2((0, T); V_{\varepsilon T})} + (u', v')_{L^2((0, T); H)} + (u'', v'')_{L^2((0, T); H)},$$

and  $\mathcal{V}_{\varepsilon, T}^{0,2}$  is a closed subspace of  $\mathcal{W}_{\varepsilon, T}^{0,2}$ . Furthermore,  $\mathcal{V}_\varepsilon^{0,2}$  denotes the space of functions  $u: [0, \infty) \rightarrow H$  whose restrictions to  $(0, T)$  belong to  $\mathcal{V}_{\varepsilon, T}^{0,2}$  for every  $T > 0$ . By Remark 1.2 every  $u \in \mathcal{W}_{\varepsilon, T}^{0,2}$  admits a representative, still denoted by  $u$ , which belongs to  $C^1([0, T]; H)$ . With this convention we have  $\mathcal{V}_{\varepsilon, T}^{0,2} \subset C^1([0, T]; H)$  for every  $T > 0$ . Finally, we define

$$\mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1) := \{v \in \mathcal{V}_\varepsilon^{0,2} : v(0) = 0, v'(0) = \varepsilon u_\varepsilon^1\}.$$

It is easy to see that if  $u \in \mathcal{V}^{0,2}(u^0, u_\varepsilon^1)$ , then the function  $v$  defined by

$$(2.1) \quad v(t) := u(\varepsilon t)$$

belongs to  $\mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$  and

$$(2.2) \quad \mathcal{F}_\varepsilon(u) = \varepsilon \mathcal{G}_\varepsilon(v),$$

where

$$\mathcal{G}_\varepsilon(v) := \frac{1}{2} \int_0^\infty e^{-t} \left( \frac{\|v''(t)\|^2}{\varepsilon^2} + Q(v(t)) \right) dt.$$

In view of Remark 2.1, Theorem 1.5 is a consequence of the following result for the functional  $\mathcal{G}_\varepsilon$ .

**Theorem 2.2.** *For every  $\varepsilon \in (0, 1)$  the functional  $\mathcal{G}_\varepsilon$  admits a unique global minimizer  $v_\varepsilon$  in the class  $\mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$ . Moreover,*

$$(2.3) \quad \mathcal{G}_\varepsilon(v_\varepsilon) \leq \bar{C},$$

for some constant  $\bar{C} < \infty$  depending only on  $\|u^0\|_0$  and  $C_1$ .

Furthermore  $u_\varepsilon(t) := v_\varepsilon(\frac{t}{\varepsilon})$  is the unique global minimizer of  $\mathcal{F}_\varepsilon$  in  $\mathcal{V}^{0,2}(u^0, u_\varepsilon^1)$  and satisfies (1.12).

Finally, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then

$$(2.4) \quad \mathcal{G}_\varepsilon(v_\varepsilon) \leq \frac{1}{2}Q(u^0) + r_\varepsilon,$$

where  $r_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0$  and  $u_\varepsilon$  satisfies (1.13).

*Proof.* Fix  $\varepsilon > 0$  and set  $v(t) := u^0 + \varepsilon t u_\varepsilon^1$  for every  $t \geq 0$ . Note that  $v \in \mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$ , since  $u^0, u_\varepsilon^1 \in V_0 \subset V_t$  for every  $t \geq 0$ . By (H3) and by (1.9), we have

$$(2.5) \quad \mathcal{G}_\varepsilon(v) = \frac{1}{2} \int_0^\infty e^{-t} Q(v(t)) dt \leq \frac{1}{2} Q(u^0) + M_0 \varepsilon \|u_\varepsilon^1\|_0 (\varepsilon \|u_\varepsilon^1\|_0 + \|u^0\|_0) \leq \bar{C},$$

where  $\bar{C}$  is a constant depending only on  $C_1$  and  $\|u^0\|_0$ . Note that, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then by (2.3) it follows that

$$(2.6) \quad \mathcal{G}_\varepsilon(v) \leq \frac{1}{2} Q(u^0) + r_\varepsilon,$$

where  $r_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0$ .

In particular,  $\mathcal{G}_\varepsilon$  has a finite infimum and (2.3) (as well as (2.4)) follows as soon as  $\mathcal{G}_\varepsilon$  has an absolute minimizer  $v_\varepsilon$ . To show this, consider a minimizing sequence  $\{v_{\varepsilon,n}\} \subset \mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$  and fix  $T > 0$ . By the very definition of  $\mathcal{G}_\varepsilon$  and by (2.5),

$$(2.7) \quad \int_0^T \|v_{\varepsilon,n}''(t)\|^2 dt \leq e^T \int_0^T e^{-t} \|v_{\varepsilon,n}''(t)\|^2 dt \leq 2\varepsilon^2 e^T \mathcal{G}_\varepsilon(v_{\varepsilon,n}) \leq \varepsilon^2 C_T,$$

for some constant  $C_T > 0$ . The bound (2.7), together with the boundary conditions

$$(2.8) \quad v_{\varepsilon,n}(0) = u^0 \quad \text{and} \quad v_{\varepsilon,n}'(0) = \varepsilon u_\varepsilon^1,$$

implies

$$(2.9) \quad \|v_{\varepsilon,n}\|_{H^2((0,T);H)} \leq C_{T,\varepsilon}$$

for some constant  $C_{T,\varepsilon} > 0$  independent of  $n$ . Moreover, by (H2) and (H4), for  $t \in [0, T]$  we have

$$\nu_0 \|v_{\varepsilon,n}(t)\|_t^2 = \nu_0 \|v_{\varepsilon,n}(t)\|_t^2 \leq \lambda_0 \|v_{\varepsilon,n}(t)\|^2 + Q(v_{\varepsilon,n}(t))$$

from which, using (2.5) and (2.9), we get

$$\nu_0 \|v_{\varepsilon,n}\|_{L^2((0,T);V_T)}^2 \leq \lambda_0 \|v_{\varepsilon,n}\|_{L^2((0,T);H)}^2 + \int_0^T Q(v_{\varepsilon,n}(t)) dt \leq \hat{C}_{T,\varepsilon}$$

for some constant  $\hat{C}_{T,\varepsilon} > 0$  independent of  $n$ . It follows that  $\|v_{\varepsilon,n}\|_{\mathcal{W}_{\varepsilon,T}^{0,2}}$  is uniformly bounded and hence, up to a subsequence,  $v_{\varepsilon,n} \rightharpoonup v_\varepsilon$  in  $\mathcal{W}_{\varepsilon,T}^{0,2}$  as  $n \rightarrow \infty$ , for some  $v_\varepsilon \in \mathcal{W}_{\varepsilon,T}^{0,2}$ . Moreover, since  $\mathcal{V}_{\varepsilon,T}^{0,2}$  is closed,  $v_\varepsilon \in \mathcal{V}_{\varepsilon,T}^{0,2}$ . By the arbitrariness of  $T$  we have  $v_\varepsilon \in \mathcal{V}_\varepsilon^{0,2}$  and by (2.8) we get  $v_\varepsilon \in \mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$ . Finally, since  $\mathcal{G}_\varepsilon$  is lower semi-continuous and strictly convex by (H5),  $v_\varepsilon$  is the unique minimizer of  $\mathcal{G}_\varepsilon$  in  $\mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$ . The statements about  $u_\varepsilon(t)$  follow from Remark 2.1.  $\square$

## 3. PROOF OF THEOREM 1.6

We first introduce some notations. Let  $v_\varepsilon$  be the minimizer of  $\mathcal{G}_\varepsilon$  in  $\mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$  and let  $L_\varepsilon$  be the corresponding Lagrangian defined as

$$(3.1) \quad L_\varepsilon(t) := D_\varepsilon(t) + Q_\varepsilon(t),$$

where

$$(3.2) \quad D_\varepsilon(t) := \frac{\|v_\varepsilon''(t)\|^2}{2\varepsilon^2} \quad \text{and} \quad Q_\varepsilon(t) := \frac{Q(v_\varepsilon(t))}{2}.$$

Moreover, we define the kinetic energy function  $K_\varepsilon$  as

$$(3.3) \quad K_\varepsilon(t) := \frac{\|v_\varepsilon'(t)\|^2}{2\varepsilon^2}.$$

We shall use the following result, which can be proven as in [9, Lemma 3.4].

**Lemma 3.1.** *There exists a constant  $C > 0$  (depending only on  $\|u^0\|_0$ ,  $\|u^1\|$ , and  $C_1$  in (1.9)) such that for every  $\varepsilon \in (0, 1)$  the minimizer  $v_\varepsilon$  of  $\mathcal{G}_\varepsilon$  in  $\mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$  satisfies*

$$(3.4) \quad \int_0^\infty e^{-t} D_\varepsilon(t) dt = \int_0^\infty e^{-t} \frac{\|v_\varepsilon''(t)\|^2}{2\varepsilon^2} dt \leq C,$$

$$(3.5) \quad \int_0^\infty e^{-t} K_\varepsilon(t) dt = \int_0^\infty e^{-t} \frac{\|v_\varepsilon'(t)\|^2}{2\varepsilon^2} dt \leq C.$$

In particular, in view of Lemma 3.1, we have  $K_\varepsilon \in W^{1,1}(0, T)$  for all  $T > 0$  and

$$(3.6) \quad K_\varepsilon'(t) = \frac{1}{\varepsilon^2} (v_\varepsilon'(t), v_\varepsilon''(t)) \quad \text{for a.e. } t > 0.$$

Following the approach in [9], we introduce the *average operator*  $\mathcal{A}$ , defined by

$$(\mathcal{A}f)(s) := \int_s^\infty e^{-(t-s)} f(t) dt, \quad s \geq 0.$$

for every measurable function  $f: [0, \infty) \rightarrow [0, \infty]$ .

We note that  $\mathcal{A}f$  is well defined (possibly  $\infty$ ) since  $f \geq 0$ . Moreover, the equality

$$(3.7) \quad \mathcal{A}f(0) = \int_0^\infty e^{-t} f(t) dt,$$

implies that, if  $\mathcal{A}f(0) < \infty$ , then  $\mathcal{A}f$  is absolutely continuous on all intervals  $[0, T]$  and

$$(3.8) \quad (\mathcal{A}f)' = \mathcal{A}f - f \quad \text{a.e. in } [0, \infty).$$

In any case, since  $\mathcal{A}f \geq 0$ , starting from  $f \geq 0$  one can iterate  $\mathcal{A}$ , and a simple computation gives

$$(3.9) \quad (\mathcal{A}^2 f)(s) = \int_s^\infty e^{-(t-s)} (t-s) f(t) dt,$$

thus in particular

$$(3.10) \quad (\mathcal{A}^2 f)(0) = \int_0^\infty e^{-t} t f(t) dt.$$

Finally, we define the approximate energy

$$(3.11) \quad E_\varepsilon(t) := K_\varepsilon(t) + (\mathcal{A}^2 Q_\varepsilon)(t).$$

The key ingredient in order to prove Theorem 1.6 is given by the following proposition.

**Proposition 3.2.** *The function  $E_\varepsilon$  is uniformly bounded and monotonically nonincreasing. More precisely, there exists  $C'_1 > 0$ , depending only on  $\|u^0\|_0$ ,  $\|u^1\|$ , and  $C_1$  in (1.9), such that*

$$(3.12) \quad E_\varepsilon(t) \leq C'_1 \quad \text{for every } t \geq 0.$$

Moreover, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then

$$(3.13) \quad E_\varepsilon(t) \leq \frac{1}{2} \|u_\varepsilon^1\|^2 + \frac{1}{2} Q(u^0) + \tilde{r}_\varepsilon,$$

where  $\tilde{r}_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ .

*Proof.* The proof of Proposition 3.2 closely follows the strategy adopted in [9] to prove [9, Theorem 4.8]. We briefly sketch the main steps, underlining the main differences with respect to the case treated in [9]. The proof is divided into four steps.

*Step 1.* For every  $g \in C^{1,1}(\mathbb{R}; [0, \infty))$ , with  $g(0) = 0$  and  $g(t)$  affine for  $t$  sufficiently large, there exists a constant  $C_1(g) > 0$ , depending on  $g$ ,  $\|u^0\|_0$ , and  $C_1$  in (1.9), such that

$$(3.14) \quad \int_0^\infty e^{-s} (g'(s) - g(s)) L_\varepsilon(s) ds - \int_0^\infty e^{-s} (4D_\varepsilon(s)g'(s) + K'_\varepsilon(s)g''(s)) ds + R_\varepsilon \geq 0,$$

where

$$R_\varepsilon := \varepsilon g'(0) \int_0^\infty e^{-s} s a(v_\varepsilon(s), u_\varepsilon^1) ds$$

satisfies

$$(3.15) \quad |R_\varepsilon| < C_1(g).$$

In particular, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then

$$(3.16) \quad |R_\varepsilon| \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0+.$$

Using the approximation argument in [9, Corollary 4.5], it is enough to prove (3.14) for  $g \in C^2(\mathbb{R}; [0, \infty))$  with  $g(0) = 0$  and  $g(t)$  constant for  $t$  large enough.

For  $\delta \geq 0$  small enough, the function  $\varphi_\delta(t) := t - \delta g(t)$  is a  $C^2$ -diffeomorphism of  $[0, \infty)$  into itself. We consider the function  $v_{\varepsilon, \delta}(t) := v_\varepsilon(\varphi_\delta(t)) + t \delta \varepsilon g'(0) u_\varepsilon^1$ . By construction  $\varphi_\delta(t) \leq t$  so that, in view of (H2),  $v_{\varepsilon, \delta} \in \mathcal{V}_\varepsilon^{0,2}$ . Note that in the proof of this property the condition  $\delta \geq 0$  is crucial. Moreover,  $v_{\varepsilon, \delta}(0) = v_\varepsilon(0) = u^0$  and

$$v'_{\varepsilon, \delta}(t)|_{t=0} = v'_\varepsilon(0)(1 - \delta g'(0)) + \delta \varepsilon g'(0) u_\varepsilon^1 = \varepsilon u_\varepsilon^1,$$

whence  $v_{\varepsilon, \delta} \in \mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$ .

Set  $\psi_\delta(s) := \varphi_\delta^{-1}(s)$  for every  $s \geq 0$ . By the change of variables  $t = \psi_\delta(s)$ , it is straightforward to check that

$$(3.17) \quad \begin{aligned} \mathcal{G}_\varepsilon(v_{\varepsilon, \delta}) &= \frac{1}{2\varepsilon^2} \int_0^\infty \psi'_\delta(s) e^{-\psi_\delta(s)} \|v''_\varepsilon(s) |\varphi'_\delta(\psi_\delta(s))|^2 + v'_\varepsilon(s) \varphi''_\delta(\psi_\delta(s))\|^2 ds \\ &\quad + \frac{1}{2} \int_0^\infty \psi'_\delta(s) e^{-\psi_\delta(s)} Q(v_\varepsilon(s) + \delta \varepsilon g'(0) \psi_\delta(s) u_\varepsilon^1) ds. \end{aligned}$$

Notice that

$$(3.18) \quad s = \varphi_\delta(\psi_\delta(s)) = \psi_\delta(s) - \delta g(\psi_\delta(s))$$



so that, in view of the assumptions on  $g$ , we have  $e^{-\psi_\delta(s)} \leq e^{\delta\|g\|_{L^\infty}} e^{-s}$ . Moreover, since

$$\psi'_\delta(s) = 1 + \delta g'(\psi_\delta(s)) \psi'_\delta(s) \quad \text{and} \quad \psi''_\delta(s) = \delta(g''(\psi_\delta(s))(\psi'_\delta(s))^2 + g'(\psi_\delta(s))\psi''_\delta(s)),$$

for  $\delta$  sufficiently small both  $\psi'_\delta(s)$  and  $\psi''_\delta(s)$  are bounded uniformly with respect to  $s$ . This fact, together with Lemma 3.1, implies that the first integral in (3.17) is finite. As for the second integral we have

$$(3.19) \quad \frac{1}{2} \int_0^\infty \psi'_\delta(s) e^{-\psi_\delta(s)} Q(v_\varepsilon(s) + \delta \varepsilon g'(0) \psi_\delta(s) u_\varepsilon^1) ds \leq \frac{1}{2} \|\psi'_\delta\|_{L^\infty} e^{\delta\|g\|_{L^\infty}} (A_1 + A_2 + A_3),$$

where

$$\begin{aligned} A_1 &:= \int_0^\infty e^{-s} Q(v_\varepsilon(s)) ds \\ A_2 &:= \delta^2 (g'(0))^2 \varepsilon^2 Q(u_\varepsilon^1) \int_0^\infty e^{-s} (\psi_\delta(s))^2 ds \\ A_3 &:= 2\delta \varepsilon g'(0) \int_0^\infty e^{-s} \psi_\delta(s) a(v_\varepsilon(s), u_\varepsilon^1) ds. \end{aligned}$$

Now,  $A_1 < \infty$  by (2.3) and  $A_2 < +\infty$  in view of (3.18). Finally, by (H5) and the Cauchy inequality, we have  $A_3 \leq A_1 + A_2 < \infty$ . It follows  $\mathcal{G}_\varepsilon(v_{\varepsilon,\delta}) < \infty$  for  $\delta$  sufficiently small. Analogously, one can show that differentiation under the integral sign in (3.17) is possible.

Since  $v_{\varepsilon,0} = v_\varepsilon$  and  $v_{\varepsilon,\delta} \in \mathcal{V}_\varepsilon^{0,2}(u^0, \varepsilon u_\varepsilon^1)$  only for  $\delta \geq 0$ , the minimality of  $v_\varepsilon$  implies

$$\left. \frac{d}{d\delta} \mathcal{G}_\varepsilon(v_{\varepsilon,\delta}) \right|_{\delta=0} \geq 0,$$

while in [9] the equality holds. One can compute this derivative as in [9, pages 2031-2032] and one can check that it coincides with the left-hand side of (3.14).

As for  $R_\varepsilon$ , by assumptions (H3) and (H5) and by (1.9) and (2.2), we have

$$\begin{aligned} |R_\varepsilon| &= \varepsilon |g'(0)| \int_0^\infty e^{-s} s |a(v_\varepsilon(s), u_\varepsilon^1)| ds \\ (3.20) \quad &\leq \varepsilon |g'(0)| \left( \int_0^\infty e^{-s} Q(v_\varepsilon(s)) ds + M_0 \|u_\varepsilon^1\|_0 \int_0^\infty e^{-s} s^2 ds \right) \\ &\leq |g'(0)| (2\varepsilon \mathcal{G}_\varepsilon(v_\varepsilon) + 2M_0 \varepsilon \|u_\varepsilon^1\|_0) \leq 2g'(0) (\varepsilon \bar{C} + C_1) =: C_1(g), \end{aligned}$$

thus proving (3.15). By the last but one inequality in (3.20) and by (2.2), it follows that, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then  $R_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ .

*Step 2.*  $(\mathcal{A}^2 L_\varepsilon)(0) \leq (\mathcal{A} L_\varepsilon)(0) - 4(\mathcal{A} D_\varepsilon)(0) + R_\varepsilon$ .

The claim follows by applying (3.14) with  $g(t) = t$ .

*Step 3.*  $K'_\varepsilon(t) \leq (\mathcal{A} L_\varepsilon)(t) - (\mathcal{A}^2 L_\varepsilon)(t) - 4(\mathcal{A} D_\varepsilon)(t)$  for almost every  $t > 0$ .

The proof closely resembles the one of [9, Corollary 4.7]. Fix  $t > 0$  and for every  $\delta > 0$  let  $g_{t,\delta}$  be defined by

$$(3.21) \quad g_{t,\delta}(s) := \begin{cases} 0 & \text{if } s \leq t \\ \frac{(s-t)^2}{2\delta} & \text{if } s \in [t, t+\delta] \\ s-t-\frac{\delta}{2} & \text{if } s \geq t+\delta. \end{cases}$$

The claim follows by considering  $g = g_{t,\delta}$  in (3.14) and sending  $\delta \rightarrow 0$ .

*Step 4.* (3.12) holds true.

In view of Step 2 and (3.6),  $\mathcal{A}^2 Q_\varepsilon$  and  $K_\varepsilon$  are absolutely continuous on the intervals  $[0, T]$  for every  $T > 0$ . Therefore, we can differentiate  $E_\varepsilon$  and, using Step 3, (3.8), and the very definition of  $L_\varepsilon$  in (3.1), we get

$$\begin{aligned} E'_\varepsilon &= K'_\varepsilon + (\mathcal{A}^2 Q_\varepsilon)' = K'_\varepsilon + \mathcal{A}^2 Q_\varepsilon - \mathcal{A} Q_\varepsilon \\ &\leq \mathcal{A} L_\varepsilon - \mathcal{A}^2 L_\varepsilon - 4\mathcal{A} D_\varepsilon + \mathcal{A}^2 Q_\varepsilon - \mathcal{A} Q_\varepsilon = -\mathcal{A}^2 D_\varepsilon - 3\mathcal{A} D_\varepsilon \leq 0, \end{aligned}$$

and hence  $E_\varepsilon(t) \leq E_\varepsilon(0)$  for a.e.  $t \geq 0$ . Moreover, by the very definition of  $E_\varepsilon$  and  $L_\varepsilon$ , together with (2.3), Step 2, and (3.15), it follows that

$$\begin{aligned} (3.22) \quad E_\varepsilon(0) &= K_\varepsilon(0) + (\mathcal{A}^2 Q_\varepsilon)(0) = \frac{1}{2} \|u_\varepsilon^1\|^2 + (\mathcal{A}^2 Q_\varepsilon)(0) \\ &\leq \frac{1}{2} \|u_\varepsilon^1\|^2 + (\mathcal{A}^2 L_\varepsilon)(0) \leq \frac{1}{2} \|u_\varepsilon^1\|^2 + (\mathcal{A} L_\varepsilon)(0) + R_\varepsilon \\ &= \frac{1}{2} \|u_\varepsilon^1\|^2 + \mathcal{G}_\varepsilon(v_\varepsilon) + R_\varepsilon < C'_1, \end{aligned}$$

where  $C'_1$  depends on  $\|u^0\|_0$ ,  $\|u^1\|$ , and  $C_1$  in (1.9). This concludes the proof of (3.12). Finally, by using (3.16) and (2.4) in the last line in (3.22), we obtain that, if  $\varepsilon \|u_\varepsilon^1\|_0 \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ , then

$$E_\varepsilon(0) \leq \frac{1}{2} \|u_\varepsilon^1\|^2 + \frac{1}{2} Q(u^0) + r_\varepsilon + R_\varepsilon \leq \frac{1}{2} \|u_\varepsilon^1\|^2 + \frac{1}{2} Q(u^0) + \tilde{r}_\varepsilon,$$

where  $\tilde{r}_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0+$ . Therefore also (3.13) holds true.  $\square$

#### 4. PROOF OF THEOREM 1.7

Before proving Theorem 1.7, we introduce a suitable subset of  $\mathcal{V}_{\varepsilon, T}^{0,2}$ , which is dense in  $\{\eta \in C_c^2((0, T); V_T) : \eta(t) \in V_t \text{ for every } t \in (0, T)\}$ . For every  $\varepsilon > 0$  and  $T > 0$ , we define  $\mathcal{D}_T$  as the set of all functions  $\eta \in C_c^2((0, T); V_T)$  of the form

$$\eta(t) = \sum_{i=2}^{N-2} \sum_{j=0}^2 \varphi_{i,j}(t) h_{i,j}$$

for some  $N \in \mathbb{N}$ ,  $0 = t_0 < t_1 < \dots < t_N = T$ ,  $\varphi_{i,j} \in C^2(\mathbb{R})$  with  $\text{supp } \varphi_{i,j} \subset [t_{i-1}, t_{i+1}]$ , and  $h_{i,j} \in V_{t_{i-1}}$  for  $i = 2, \dots, N-2$  and  $j = 0, 1, 2$ . By (H2) the last two conditions imply that  $\eta(t) \in V_t$  for every  $t \in [0, T]$ . We now prove the density.

**Lemma 4.1.** *Let  $T > 0$ . For every  $\eta \in C_c^2((0, T); V_T)$ . with  $\eta(t) \in V_t$  for every  $t \in (0, T)$ , there exists a sequence  $\{\eta_N\} \subset \mathcal{D}_T$  such that*

$$(4.1) \quad \|\eta - \eta_N\|_{C^2([0, T]; V_T)} \rightarrow 0 \quad \text{as } N \rightarrow \infty.$$

*Proof.* Let  $\eta \in C_c^2((0, T); V_T)$ , with  $\eta(t) \in V_t$  for every  $t \in (0, T)$ . In order to construct the approximating sequence  $\{\eta_N\} \subset \mathcal{D}_T$  we make use of quintic Hermite interpolants, that we construct here through the Bernstein polynomials. Let  $N \in \mathbb{N}$  and set  $t_i = i \frac{T}{N}$  for  $i = 0, 1, \dots, N$ . Fix  $i = 0, \dots, N$ . For  $n \in \mathbb{N}$ , we define the Bernstein polynomials in the interval  $[t_i, t_{i+1}]$  as

$$B_{k,n}^i(t) := \begin{cases} \binom{n}{k} (t - t_i)^k (t_{i+1} - t)^{n-k} & \text{for } k = 0, \dots, n, \\ 0 & \text{for } k < 0 \text{ or } k > n, \end{cases}$$

and we define the polynomials of the spline basis as follows

$$\begin{aligned}\psi_{i,0,+}(t) &:= \frac{N^5}{T^5}(B_{0,5}^i(t) + B_{1,5}^i(t) + B_{2,5}^i(t)), & \psi_{i,0,-}(t) &:= \frac{N^5}{T^5}(B_{3,5}^i(t) + B_{4,5}^i(t) + B_{5,5}^i(t)), \\ \psi_{i,1,+}(t) &:= \frac{N^4}{5T^4}(B_{1,5}^i(t) + 2B_{2,5}^i(t)), & \psi_{i,1,-}(t) &:= -\frac{N^4}{5T^4}(2B_{3,5}^i(t) + B_{4,5}^i(t)), \\ \psi_{i,2,+}(t) &:= \frac{N^3}{20T^3}B_{2,5}^i(t), & \psi_{i,2,-}(t) &:= \frac{N^3}{20T^3}B_{3,5}^i(t).\end{aligned}$$

By construction, it is easy to see that

$$(4.2) \quad \psi_{i,0,+}(t) + \psi_{i,0,-}(t) = 1 \quad \text{for } t \in [t_i, t_{i+1}].$$

Moreover, by using that

$$\frac{d}{dt}B_{k,n}^i(t) = n(B_{k-1,n-1}^i(t) - B_{k,n-1}^i(t)),$$

one can easily show that

$$(4.3) \quad -\frac{T}{N}\psi'_{i,0,+}(t) + \psi'_{i,1,+}(t) + \psi'_{i,1,-}(t) = 1,$$

$$(4.4) \quad -\frac{T^2}{2N^2}\psi''_{i,0,+}(t) + \frac{T}{N}\psi''_{i,1,-}(t) + \psi''_{i,2,+}(t) + \psi''_{i,2,-}(t) = 1.$$

For every  $i = 1, \dots, N-1$  and  $j = 0, 1, 2$  we set

$$\varphi_{i,j}(t) := \begin{cases} \psi_{i-1,j,-}(t) & \text{if } t \in [t_{i-1}, t_i], \\ \psi_{i,j,+}(t) & \text{if } t \in [t_i, t_{i+1}], \\ 0 & \text{elsewhere.} \end{cases}$$

Finally, we define the function

$$\eta_N(t) := \sum_{i=2}^{N-2} (\varphi_{i,0}(t)\eta(t_{i-1}) + \varphi_{i,1}(t)\eta'(t_{i-1}) + \varphi_{i,2}(t)\eta''(t_{i-1})).$$

By (H2) we have  $\eta(t_{i-1}), \eta'(t_{i-1}), \eta''(t_{i-1}) \in V_{t_{i-1}}$ , hence  $\eta_N \in \mathcal{D}_T$  for every  $N \in \mathbb{N}$ .

It remains to prove (4.1). Let  $t \in \text{supp } \eta$ . For  $N \in \mathbb{N}$  large enough there exists  $i = 2, \dots, N-3$  such that  $t \in [t_i, t_{i+1})$ , so that by (4.2) and by the very definition of  $\eta_N, \psi_{i,1,\pm}$ , and  $\psi_{i,2,\pm}$ , we have

$$\begin{aligned}\|\eta_N(t) - \eta(t)\|_T &\leq \|\psi_{i,0,+}(t)\eta(t_{i-1}) + \psi_{i,0,-}(t)\eta(t_i) - \eta(t)\|_T + \mathcal{O}(1/N) \\ &\leq \|\eta(t_{i-1}) - \eta(t)\|_T + \|\eta(t_i) - \eta(t)\|_T + \mathcal{O}(1/N),\end{aligned}$$

and hence  $\eta_N$  converges to  $\eta$  in  $V_T$  uniformly in  $[0, T]$ . Analogously, by (4.3), we obtain

$$\begin{aligned}\|\eta'_N(t) - \eta'(t)\|_T &\leq \left\| \psi'_{i,0,+}(t)\eta(t_{i-1}) + \psi'_{i,0,-}(t)\eta(t_i) + \frac{T}{N}\psi'_{i,0,+}(t)\eta'(t) \right\|_T \\ &\quad + \|\psi'_{i,1,+}\|_{L^\infty} \|\eta'(t_{i-1}) - \eta'(t)\|_T + \|\psi'_{i,1,-}\|_{L^\infty} \|\eta'(t_i) - \eta'(t)\|_T + \mathcal{O}(1/N),\end{aligned}$$

which, using that (by (4.2)) the first term on the right-hand side is bounded by

$$\frac{T}{N} \|\psi'_{i,0,+}(t)\|_{L^\infty} \left\| -\frac{\eta(t_i) - \eta(t_{i-1})}{T/N} + \eta'(t) \right\|_T,$$

implies that  $\eta'_N$  converges to  $\eta'$  in  $V_T$  uniformly in  $[0, T]$ . Analogously, using (4.2), (4.3), and (4.4), one can show that  $\eta''_N$  converges uniformly to  $\eta''$  in  $[0, T]$ .  $\square$

**Lemma 4.2.** *Let  $\varepsilon > 0$  and  $T > 0$ . For every  $\eta \in C_c^2((0, T); V_T)$ , with  $\eta(t) \in V_t$  for every  $t \in (0, T)$ , we have*

$$(4.5) \quad \int_0^T e^{-s/\varepsilon} \left( \varepsilon^2 (u_\varepsilon''(s), \eta''(s)) + a(u_\varepsilon(s), \eta(s)) \right) ds = 0.$$

*Proof.* In view of Lemma 4.1, it is sufficient to prove (4.5) for  $\eta \in \mathcal{D}_T$ . The proof is analogous to the one of [9, Lemma 5.1]. Let  $\delta \in [-1, 1]$  and set  $u_{\varepsilon, \delta} := u_\varepsilon + \delta \eta$ . By construction,  $u_{\varepsilon, \delta} \in \mathcal{V}_T^{0,2}$  and, since  $\eta$  has compact support, also the initial conditions are satisfied. Therefore  $u_{\varepsilon, \delta} \in \mathcal{V}^{0,2}(u^0, u_\varepsilon^1)$ , and, again by construction,  $\mathcal{F}_\varepsilon(u_{\varepsilon, \delta})$  is finite. Then the Euler-Lagrange equation (4.5) easily follows by differentiating  $\mathcal{F}_\varepsilon(u_{\varepsilon, \delta})$  with respect to  $\delta$  at  $\delta = 0$ .  $\square$

We are now in a position to prove Theorem 1.7.

*Proof of Theorem 1.7.* Let us fix a sequence  $\{\varepsilon_n\} \subset (0, 1)$ , with  $\varepsilon_n \rightarrow 0$  as  $n \rightarrow \infty$ . We divide the proof into five steps.

*Step 1:* *There exist a subsequence, not relabeled, and a function  $u \in \mathcal{V}^{0,1}$  such that*

$$(4.6) \quad u_{\varepsilon_n} \rightharpoonup u \quad \text{in } \mathcal{W}_T^{0,1} \quad \text{for every } T > 0.$$

*Moreover,  $u' \in L^\infty((0, \infty); H)$  and  $u \in L^\infty((0, T); V_T)$  for every  $T > 0$ .*

Let  $T > 0$ . By (1.15) and (1.16),

$$\sup_{n \in \mathbb{N}} \|u_{\varepsilon_n}\|_{H^1((0, T); H)} < \infty.$$

This inequality, together with (H4) and (1.14), implies that there exists  $C_T < \infty$  such that

$$\nu_0 \|u_{\varepsilon_n}\|_{L^2((0, T); V_T)}^2 \leq \int_0^T Q(u_{\varepsilon_n}(t)) dt + \lambda_0 \|u_{\varepsilon_n}\|_{L^2((0, T); H)}^2 \leq C_T.$$

As a result  $\{u_{\varepsilon_n}\}$  is equibounded in  $\mathcal{W}_T^{0,1}$  and hence there exist a subsequence, not relabeled, and a function  $u \in \mathcal{W}_T^{0,1}$  such that  $u_{\varepsilon_n} \rightharpoonup u$  weakly in  $\mathcal{W}_T^{0,1}$ . Moreover, since  $\{u_{\varepsilon_n}\} \subset \mathcal{V}_T^{0,2} \subset \mathcal{V}_T^{0,1}$  and  $\mathcal{V}_T^{0,1}$  is a closed subspace of  $\mathcal{W}_T^{0,1}$ , we have that  $u \in \mathcal{V}_T^{0,1}$ . By the arbitrariness of  $T$ , the function  $u$  belongs to  $\mathcal{V}^{0,1}$  and (4.6) holds true. Furthermore, in view of (4.6), inequality (1.16) implies  $u' \in L^\infty((0, \infty); H)$  and (1.15) gives  $u \in L^\infty((0, T); V_T)$  for every  $T > 0$ .

*Step 2:* *Let  $T > 0$ . For every  $\psi \in C_c^\infty((0, T); V_T)$ , with  $\psi(t) \in V_t$  for every  $t \in (0, T)$ , we have*

$$(4.7) \quad \int_0^T (u'_{\varepsilon_n}(t), \varepsilon_n^2 \psi'''(t) + 2\varepsilon_n \psi''(t) + \psi'(t)) dt = \int_0^T a(u_{\varepsilon_n}(t), \psi(t)) dt.$$

The claim follows by considering  $\eta(t) = e^{t/\varepsilon_n} \psi(t)$  in (4.5) and integrating by parts.

*Step 3:*  *$u$  is a weak solution of (1.7).* By [4, Lemma 2.8], it is enough to prove the claim for  $\psi \in C_c^\infty((0, T); V_T)$  with  $\psi(t) \in V_t$  for every  $t \in (0, T)$ . In view of (4.6), one can pass to the limit as  $n \rightarrow \infty$  in (4.7), thus obtaining (1.8).

*Step 4:*  *$u$  satisfies (a) and (b).* Since  $u' \in L^\infty((0, \infty); H)$  and  $u \in L^\infty((0, T); V_T)$  for every  $T > 0$  by Step 1, property (a) follows from Step 3, thanks to Remark 1.4. Claim (b) is obtained by combining (a), (1.9), and (4.6), together with the fact that  $u_{\varepsilon_n} \in \mathcal{V}^{0,1}(u^0, u_{\varepsilon_n}^1)$ .

*Step 5:*  *$u$  satisfies the energy inequality (1.17).* By using [9, Lemma 6.1] and (3.13), one can argue as in [9, Section 6] to obtain that the energy inequality (1.17) is satisfied for almost every  $t > 0$ . Actually, in view of (a), this inequality is satisfied for every  $t > 0$ .  $\square$

## ACKNOWLEDGMENTS

This material is based on work supported by the Italian Ministry of Education, University, and Research through the Project “Calculus of Variations” (PRIN 2015). The authors are members of the Gruppo Nazionale per l’Analisi Matematica, la Probabilità e le loro Applicazioni (GNAMPA) of the Istituto Nazionale di Alta Matematica (INdAM).

## REFERENCES

- [1] L. Ambrosio, N. Fusco, D. Pallara: *Functions of Bounded Variation and Free Discontinuity Problems*, Oxford University Press, Oxford, 2000.
- [2] G. Dal Maso, C. J. Larsen: Existence for wave equations on domains with arbitrary growing cracks. *Atti Accad. Naz. Lincei Rend. Lincei Mat. Appl.* **22** (2011), 387–408.
- [3] G. Dal Maso, C. J. Larsen, R. Toader: Existence for constrained dynamic Griffith fracture with a weak maximal dissipation condition. *J. Mech. Phys. Solids* **95** (2016), 697–707.
- [4] G. Dal Maso, R. Toader: On the Cauchy problem for the wave equation on time-dependent domains. Preprint SISSA, 2018.
- [5] E. De Giorgi: Conjectures concerning some evolution problems. *Duke Math. J.* **81** (1996), 255–268.
- [6] L.B. Freund: *Dynamic Fracture Mechanics*. Cambridge Univ. Press, New York, 1990.
- [7] S. Nicaise, A.-M. Sändig: Dynamic crack propagation in a 2D elastic body: the out-of-plane case. *J. Math. Anal. Appl.* **329** (2007), 1–30.
- [8] E. Serra, P. Tilli: Nonlinear wave equations as limits of convex minimization problems: proof of a conjecture by De Giorgi. *Ann. of Math. (2)* **175** (2012), 1551–1574.
- [9] E. Serra, P. Tilli: A minimization approach to hyperbolic Cauchy problems. *J. Eur. Math. Soc.* **18** (2016), 2019–2044.

(Gianni Dal Maso) SISSA, VIA BONOMEA 265, I - 34136 TRIESTE, ITALY  
*E-mail address*, G. Dal Maso: [dalmaso@sissa.it](mailto:dalmaso@sissa.it)

(Lucia De Luca) SISSA, VIA BONOMEA 265, I - 34136 TRIESTE, ITALY  
*E-mail address*, L. De Luca: [ldeluca@sissa.it](mailto:ldeluca@sissa.it)