# Minimal clusters of four planar regions with the same area

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#### Abstract

We prove that the optimal way to enclose and separate four planar regions with equal area using the less possible perimeter requires all regions to be connected. Moreover, the topology of such optimal clusters is uniquely determined.

#### 1 Introduction

We consider the problem of enclosing and separating N regions of  $\mathbb{R}^2$  with prescribed area and with the minimal possible interface length.

The case N=1 corresponds to the celebrated isoperimetric problem whose solution, the circle, was known since antiquity.

For  $N \geq 1$  first existence and partial regularity in  $\mathbb{R}^n$  was given by Almgren [1] while Taylor [18] describes the singularities for minimizers in  $\mathbb{R}^3$ . Existence and regularity of minimizers in  $\mathbb{R}^2$  was proved by Morgan [12] (see also [10]): the regions of a minimizer in  $\mathbb{R}^2$  are delimited by a finite number of circular arcs which meet in triples at their end-points (see Theorem 2.3).

Foisy et al. [7] proved that for N=2 in  $\mathbb{R}^2$  the two regions of any minimizer are delimited by three circular arcs joining in two points (standard double bubble) and are uniquely determined by their enclosed areas. Wichiramala [20] proved that for N=3 in  $\mathbb{R}^2$  the three regions of any minimizer are delimited by six circular arcs joining in four points. Such configuration (standard triple bubble) is uniquely determined by the given enclosed areas, as shown by Montesinos [11]. The minimization problem can be stated also for  $N=\infty$  regions with equal areas (the honeycomb conjecture, see [13]): Hales [8] proved that the hexagonal grid is indeed the solution.

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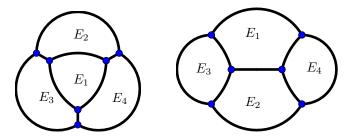


Figure 1: The flower (left hand side) and sandwich (right hand side) topologies.

In obtaining the results with N=2 or N=3 planar regions, the main difficulty is to prove that each region of the minimizer is connected. In fact, in general, it is an open question whether each region of a minimizer is connected (soap bubble conjecture, Conjecture 2.11, see Morgan and Sullivan [14]).

To investigate such a conjecture, in this paper (which originates from the Ph.D. Thesis [17] of the second author) we consider the case of N=4 regions in the plane. In Theorem 6.5 we prove that if the four planar regions have equal areas then the conjecture is true: the minimizing clusters must be connected. However, in this case the connectedness and stationarity is not enough to uniquely determine the topology of minimizers. In fact there are two nontrivial possible topologies: we call them the *flower* and the *sandwich* topologies (see Figure 1). We then exclude the flower topology, to conclude that minimizers have the sandwich type (Theorem 7.3).

We conjecture that the minimizer with equal areas is symmetric; i.e.: the regions  $E_1$  and  $E_3$  are congruent to the regions  $E_2$  and  $E_4$  respectively. However this point remains open: we have not excluded the possibility that a non-symmetric stationary cluster exists with the sandwich topology and equal areas.

The plan of the paper is as follows. In Section 2 we set up the notation and collect the known results that we need in the rest of the paper. In Section 3 we present some tools which apply to general planar clusters. In particular notice that Proposition 3.3 gives an estimate by below on the measure of each connected component of a minimal cluster. This estimate can be used to obtain an upper bound on the total number of connected components of a cluster as in Theorem 3.4. We also mention Proposition 3.6 which gives a lower bound on the pressure of a disconnected region and is extensively used in the rest of the paper.

In Section 4 we start the analysis of planar clusters with four equal areas. In particular we find a precise estimate on the length of the candidate minimizer (Proposition 4.1), we prove that possible components of a disconnected region cannot be too small (Proposition 4.2) and cannot be too big (Proposition 4.3). This estimates enable us to prove that a minimizer can have at most six connected components (Proposition 4.9). In Section 5 we exclude the clusters with six components. In Section 6 we exclude the clusters with five components and obtain the connectedness result Theorem 6.5. In Section 7 we consider all

connected clusters (four components) and exclude the flower topology (Proposition 7.2, Theorem 7.3).

#### 2 Notation and preliminary results

Let us denote with  $\mathbf{E} = (E_1, \dots, E_N)$  an N-uple of measurable subsets of  $\mathbb{R}^2$ . We will say that  $\mathbf{E}$  is an N-cluster if  $m(E_i \cap E_j) = 0$  for all  $i \neq j$   $(m(\cdot))$  is the Lebesgue measure). The external region  $E_0$  is defined as

$$E_0 = \mathbb{R}^2 \setminus \bigcup_{i=1}^N E_i.$$

The sets  $E_0, E_1, \ldots, E_N$  will be called the *regions* of the cluster E. We define the *measure* and the *perimeter* of a cluster by:

$$m(E) := (m(E_1), \dots, m(E_N)), \qquad P(E) := \frac{1}{2} \sum_{i=0}^{N} P(E_i)$$

where  $P(E_i)$  is the *perimeter* of the measurable set  $E_i$ . For regular sets  $E_i$  one has  $P(E_i) = \mathcal{H}^1(\partial E_i)$  which is the length of the boundary of  $E_i$ .

Given a measurable set E we say that C with m(C)>0 is a component of E if

$$m(E) = m(C) + m(E \setminus C)$$
 and  $P(E) = P(C) + P(E \setminus C)$ 

(i.e. the decomposition  $E = C \cup (E \setminus C)$  does not add any boundary). We say that E is connected if it has no component C with 0 < m(C) < m(E) (C = E is a trivial component). Notice that in our definitions a component does not need to be connected: in general a component can be a union of connected components. We say that a cluster E is connected if each region  $E_i$ , for i = 1, ..., N, is connected. We say that a cluster is disconnected if it is not connected (i.e. at least one region is not connected).

A component C of a region  $E_i$  of the cluster E (with  $i \neq 0$ ) is said to be *external* if is adjacent to the external region  $E_0$  (formally  $P(C \cup E_0) < P(C) + P(E_0)$ ) otherwise it is said to be *internal*.

Given a vector of positive numbers  $\mathbf{a} \in \mathbb{R}^N_+$ ,  $\mathbf{a} = (a_1, \dots, a_N)$ ,  $a_i > 0$  we will define the family of *competitors* as the clusters with measure  $\mathbf{a}$ :

$$\mathfrak{C}(\boldsymbol{a}) = \{ \boldsymbol{E} \colon \boldsymbol{m}(\boldsymbol{E}) = \boldsymbol{a} \}$$

among these we will consider the following minimization problem:

$$p(\boldsymbol{a}) = \inf\{P(\boldsymbol{E}) \colon \boldsymbol{E} \in \mathcal{C}(\boldsymbol{a})\}\$$

and the corresponding minimizers:

$$\mathcal{M}(\boldsymbol{a}) = \{ \boldsymbol{E} \in \mathcal{C}(\boldsymbol{a}) \colon P(\boldsymbol{E}) = p(\boldsymbol{a}) \}.$$

We will also consider the weak variants of this minimization problem:

$$C^*(a) = \{E : m(E) \ge a\}$$
  
 $p^*(a) = \inf\{P(E) : E \in C^*(a)\}$   
 $M^*(a) = \{E \in C^*(a) : P(E) = p^*(a)\}.$ 

(where the comparison between vectors of  $\mathbb{R}^N$  is understood componentwise).

**Definition 2.1** (regular cluster). We say that a planar N-cluster  $\boldsymbol{E}$  is regular when:

- 1. each region (including the external region  $E_0$ ) is (up to a negligible set) a closed set which is equal to the closure of its interior points (and in the following we will assume that the Lebesgue representant of the regions  $E_i$  is always a closed set);
- 2. each region, but the external one  $E_0$ , is bounded;
- 3. the boundary of the cluster, defined by

$$\partial \boldsymbol{E} = \bigcup_{k=1}^{N} \partial E_k$$

is the continuous embedding of a finite planar graph (i.e. there are a finite number of simple continuous curves which we will call *edges* which can only meet in their end-points which we will call *vertices* and the *faces* of the graph correspond to the connected components of the regions);

4. each *vertex* has order at least three (i.e. it coincides with at least three end-points of the edges).

Notice that the perimeter of a region  $E_i$  of a regular cluster E is the sum of the length of the edges of  $E_i$ . Moreover since each edge belongs to the boundary of exactly two regions, we have

$$P(\boldsymbol{E}) = \frac{1}{2} \sum_{k=0}^{N} P(E_k) = \sum_{\sigma \text{ edge of } \boldsymbol{E}} \ell(\sigma) = \mathfrak{H}^1(\partial \boldsymbol{E}).$$

**Definition 2.2** (stationary cluster). We say that a regular planar cluster  $E = (E_1, ..., E_N)$  is *stationary* if it satisfies the following conditions:

- 1. every edge is either a circular arc or a straight segment (which, in the following, we will identify with an arc of zero curvature);
- 2. in every vertex exactly three arcs meet, defining three equal angles of 120 degrees;

3. it is possible to associate a real number  $p_i$  (which we will call *pressure*) to each region  $E_i$  of the cluster, so that  $p_0 = 0$  and such that any arc between the regions  $E_i$  and  $E_j$  has curvature  $|p_i - p_j|$  (it is a straight segment if  $p_i = p_j$ ) and the region with higher pressure is towards the side where the the arc is convex.

In particular it follows that the sum of the signed curvatures of the three arcs meeting in a vertex is always zero.

The following existence result, in the planar case, can be found in [12] (see also [10] for an alternative proof).

**Theorem 2.3** (existence and regularity). Given  $\mathbf{a} \in \mathbb{R}_+^N$  the family of clusters  $\mathcal{M}(\mathbf{a})$  is not empty and every minimal cluster  $\mathbf{E} \in \mathcal{M}(\mathbf{a})$  is regular and stationary.

**Theorem 2.4** (existence and regularity, weak case). Given  $\mathbf{a} \in \mathbb{R}_+^N$  the family of clusters  $\mathbb{M}^*(\mathbf{a})$  is not empty and every minimal cluster  $\mathbf{E} \in \mathbb{M}^*(\mathbf{a})$  is regular and stationary.

Sketch of proof. The existence part of this proof can be obtained in exactly the same way as it is done for strong minimizers. In fact the only requirement on the constraint m(E) = a is the continuity with respect to the  $L^1$  convergence of E. This property is satisfied as well by the constraint m(E) > a. So  $\mathcal{M}^*(a) \neq \emptyset$ .

Now notice that given  $E \in \mathcal{M}^*(a)$  we have  $E \in \mathcal{M}(a^*)$  with  $a^* = m(E)$ . Hence weak minimizers have all the regularity properties that strong minimizers have.

Now we will notice that weak minimizers have some additional properties which makes them a better ambient space for our investigation.

**Proposition 2.5** (properties of weak minimizers). [20] Let  $E \in \mathcal{M}^*(a)$ ,  $a \in \mathbb{R}^N_+$ . Then:

- 1. the external region  $E_0$  is connected;
- 2. all the pressures  $p_i$  are nonnegative;
- 3. if  $m(E_i) > a_i$  then  $p_i = 0$ .

Sketch of proof. Suppose that C is a bounded connected component of  $E_0$ . Then consider any other region which shares and edge with C. Suppose such a region is  $E_1$ . Define  $E'_1 = E_1 \cup C$  and let  $\mathbf{E}' = (E'_1, E_2, \dots, E_N)$ . Clearly  $P(\mathbf{E}') < P(\mathbf{E})$  because the shared edge is cancelled and, moreover,  $\mathbf{E}' \in \mathbb{C}^*(\mathbf{a})$  since  $\mathbf{m}(\mathbf{E}') \geq \mathbf{m}(\mathbf{E}) \geq \mathbf{a}$ , so  $P(\mathbf{E}') \geq P(\mathbf{E})$ . This is a contradiction.

We briefly recall that pressures  $p_i$  represent the Lagrange multipliers of the constraint  $\mathbf{m}(\mathbf{E}) = \mathbf{a}$ . In fact, if we have a one parameter deformation  $\mathbf{E}(t)$  of  $\mathbf{E} = \mathbf{E}(0)$ , one has

$$\frac{dP(\boldsymbol{E}(t))}{dt}\bigg|_{t=0} = \sum_{i=1}^{N} p_i \left. \frac{d}{dt} m(E_i(t)) \right|_{t=0}. \tag{1}$$

Suppose the region  $E_i$  is the region with lower pressure among all regions (including the external one). If there is a region with negative pressure then i > 0 (recall that the external region has pressure zero). Then there exists variation which enlarges the measure of such a region and decreases perimeter. This contradicts weak minimality.

Similarly, if  $m(E_i) > a_i$  there exists a small variation of the cluster E which decreases the measure of  $E_i$  while keeping fixed the measure of all other regions. This variation cannot decrease the perimeter of the cluster, hence we find that  $p_i = 0$ .

**Theorem 2.6** (pressure formula). [6] Let  $E \in \mathcal{M}^*(a)$  with  $a \in \mathbb{R}^N_+$ . Then

$$P(\mathbf{E}) = 2\sum_{i=1}^{N} p_i m(E_i).$$

Sketch of proof. Let  $\mathbf{E}(t) = (t+1)\mathbf{E}$  for  $t \in [0,1]$  so that

$$m(E(t)) = (t+1)^2 m(E), \qquad P(E(t)) = (t+1)P(E).$$

The result follows by equation (1).

**Lemma 2.7** (turning angle). Let  $E \in \mathcal{M}^*(a)$ ,  $a \in \mathbb{R}^N_+$  and let C be a connected component of some region  $E_i$  of E. Let n be the number of edges of C and let  $L_j$  be the total length of the edges of C in common with the region  $E_j$  ( $L_j = 0$  if C and  $E_j$  have not edges in common). Then, if  $i \neq 0$ , it holds

$$\frac{(6-n)\pi}{3} = \sum_{i=0}^{N} (p_i - p_j) L_j$$

where  $p_j$  is the pressure of the region  $E_j$ . For i = 0 we have instead

$$\frac{(6+n)\pi}{3} = \sum_{j=1}^{N} (p_j - p_0)L_j.$$

*Proof.* Consider the external normal vector along the component C.

In the case  $i \neq 0$  recall that C is simply connected, hence by making a round trip around the component, the normal vector will turn by an angle  $\pi/3$  in each vertex (since the internal angle between two edges is  $2\pi/3$ ) and will make a turn of an angle L/R along each edge of length L and radius R. Remember now that R is the inverse of the curvature, and the curvature is equal to the difference of pressure between the two adjacent regions. Hence the curvature of each edge between the component C and adjacent component of the region  $E_j$  is given by  $p_i - p_j$ . So, a complete turn of the normal vector will be given by:

$$2\pi = n\frac{\pi}{3} + \sum_{j} \frac{L_{j}}{R_{j}} = n\frac{\pi}{3} + \sum_{j} (p_{i} - p_{j})L_{j}$$

and the result follows.

In the case i=0 we can make the same reasoning with the complementary of  $E_0$ , but now notice that internal angles have amplitude  $4\pi/3$ , so the normal vector will turn by an angle  $-\pi/3$  in each vertex. The result follows.

**Proposition 2.8.** [4] [5] [17] Let  $E \in \mathcal{M}^*(a)$  with  $a \in \mathbb{R}^N_+$ . Let M be the total number of bounded connected components of the regions of E.

- 1. Every bounded connected component is simply connected.
- 2. Two connected components of E cannot share more than a single edge.
- 3. If N > 2 then each connected component C of **E** has at least three edges.
- 4. Each connected component of a region with k connected components has at most M+1-k edges, and if it is internal it has at most M-k edges.
- 5. The total number of edges is 3(M-1) and the total number of vertices is 2(M-1).
- 6. If M < 6 then  $\mathbf{E} \in \mathcal{M}(\mathbf{a})$  (i.e.  $\mathbf{E}$  is a strong minimizer).

Sketch of proof. If we had a component C which is not simply connected, we could find a subcluster inside C. By moving the subcluster we don't change area nor perimeter but we eventually will bump the subcluster against the other regions. This would contradict the stationarity of the resulting cluster.

If we had a component C with a single edge (a circle) and this is not the only component of the cluster (which could be if N=1), then we can move the component C preserving the area and perimeter of the cluster and bump it against another region. We would obtain a non-stationary minimal cluster, which is a contradiction.

If two connected components share two different edges, between the two edges we find a subcluster which could be moved along the edges without increasing the total perimeter. Eventually the subcluster will bump with the rest of the cluster and we would obtain a non-stationary minimal cluster. This would be a contradiction.

If we had a component C with only two edges, then in the two vertices of the component there are two arcs leaving the component and which separate the same two regions which are adjacent to C. So the two edges must be the same and one of the two regions adjacent to C has itself two edges. Thus we have found a double-bubble which is a component of E. If N > 2 we could move this double bubble and eventually bump the rest of the cluster, obtaining a non-stationary cluster (hence a contradiction).

Every connected component can have only a single edge in common with every other component of every other region. Including the external unbounded component there are M+1 components but k of them are in the same region and hence excluded. If the component is internal also the external region is excluded. So we obtain the estimates in 4.

The edges and vertices of E form a planar finite graph. So, Euler's formula holds: v - e + M = 1 where v is the number of vertices and e is the number of edges. Moreover since the cluster is stationary, every vertex has order three so: 3v = 2e. Solving the two equations one finds e = 3(M - 1) and v = 2(M - 1).

Suppose, by contradiction, that  $m(E) \neq a$ . This means that  $m(E_i) > a_i$  for some i = 1, ..., N. By Proposition 2.5 we obtain that  $p_i = 0$ . But since every other region has nonnegative pressure, this means that the edges of  $E_i$  are concave arcs (or straight segments). So, if we take any connected component C of  $E_i$  the sum of the internals angles is not larger than  $(k-2)\pi$  where k is the number of edges of C, and since every internal angle is equal to  $2\pi/3$ , we get  $k \geq 6$ . But since C can only have one edge in common with any other region, and  $N \leq M \leq 6$ , we conclude that k = 6 i.e. every connected component of  $E_i$  is hexagonal and all the edges are straight segments (otherwise the sum of the internal angles would be strictly less than  $(k-2)\pi$ ). As a consequence also the pressure  $p_j$  of any region adjacent to C is zero, but since all regions are adjacent to C, all pressures are 0 and by Theorem 2.6 we would have P(E) = 0 which is a contradiction with the isoperimetric inequality. Hence we conclude that m(E) = a, so  $E \in \mathcal{C}(a)$  and since  $p^*(a) \leq p(a)$  we obtain  $E \in \mathcal{M}(a)$ .  $\square$ 

The following theorem is taken from [20].

**Theorem 2.9** (removal of triangle components). Let  $E \in C(a)$  be a stationary regular cluster and suppose that a connected component C of some region  $E_i$  has three edges. Consider the three edges which arrive at the three vertices of C but are not edges of C. The circles containing these three edges meet in a point P inside the component C.

Moreover the cluster  $\mathbf{E}'$  obtained by  $\mathbf{E}$  removing the component C and prolonging the three edges is itself a stationary regular cluster  $\mathbf{E}' \in \mathcal{C}(\mathbf{a}')$  with  $a'_i = a_i - m(C)$  (and the region  $E_i$  disappears if C was the only component of  $E_i$ ) and  $a'_j \geq a_j$  for all  $j \neq i$ . Also the pressures  $p'_j$  of the regions of  $\mathbf{E}'$  are equal to the pressure  $p_j$  of the corresponding regions of  $\mathbf{E}$  (if  $E_i$  disappears because C was the only component of  $E_i$ , the regions must be relabeled but again the pressures of the corresponding regions remain the same).

The following theorem was first proved in [7]. Here we present a different proof following [11].

**Theorem 2.10** (double bubble monotonicity). Given  $\mathbf{r} = (r_1, r_2) \in \mathbb{R}^2_+$ , up to isometries there exists a unique double bubble  $\mathbf{E}(\mathbf{r})$  such that the external radii of the two regions  $E_1$  and  $E_2$  are  $r_1$  and  $r_2$  respectively.

Let  $f: \mathbb{R}^2_+ \to \mathbb{R}^2_+$  be the function which gives the corresponding areas: f(r) = m(E(r)).

Then if  $r'_2 > r_2$  one has:

$$f_1(r_1, r_2') < f_1(r_1, r_2)$$
  
 $f_2(r_1, r_2') > f_2(r_1, r_2)$ 

while if  $r'_1 > r_1$  one has:

$$f_1(r'_1, r_2) > f_1(r_1, r_2)$$
  
 $f_2(r'_1, r_2) < f_2(r_1, r_2)$ 

(i.e. increasing one of the two radii the corresponding region increases its area while the other region decreases).

As a consequence the map f is bijective.

*Proof.* We consider the case when  $r_1$  is fixed since the other case is obtained by symmetry  $(f_2(r_2, r_1) = f_1(r_1, r_2))$ .

Let  $\mathbf{E} = \mathbf{E}(r_1, r_2)$  and  $\mathbf{E}' = \mathbf{E}(r_1, r_2')$  be choosen so that the circle containing the edge of radius  $r_1$  is fixed and also one of the two vertices is fixed (call it P).

So the regions are delimited by three circles:

$$E_1 = D_{r_1} \cap D_r, \qquad E_2 = D_{r_2} \cap D_{-r}$$

where r is such that

$$\frac{1}{r} = \frac{1}{r_1} - \frac{1}{r_2},$$

 $D_{\rho}$  is a closed disk of radius  $\rho$  when  $\rho > 0$  while it is the complementary of a ball of radius  $\rho$  when  $\rho < 0$  and a closed half-plane if  $\rho = 0$ . The three circles meet in the two vertices P and Q.

If we keep P fixed,  $r_1$  fixed and take  $r'_2 > r_2$  we have

$$E_1(r_1, r_2') = D_{r_1} \cap D_{r'}, \qquad E_2(r_1, r_2') = D_{r_2'} \cap D_{-r'}$$

where  $D_{r_1}$  is the same disk as before, while  $D_{r'_2}$  is a disk which is tangent to  $D_{r_2}$  in the point P,  $D_{r'}$  is a disk of (signed) radius r' with

$$\frac{1}{r'} = \frac{1}{r_1} - \frac{1}{r_2'}$$

which is tangent to  $D_r$  in the point P. Notice that since  $r_2' > r_2$  we have r' < r and hence  $D_{r_2'} \supseteq D_{r_2}$  and  $D_{r'} \subseteq D_r$ . Consequently,  $E_2' \supseteq E_2$  and  $E_1' \subseteq E_1$ . The first part of the statement is proved.

Let us prove that f is injective. Let  $(r_1, r_2) \neq (r'_1, r'_2)$  be given and consider

$$m = \max\left\{\frac{r_1}{r_1'}, \frac{r_2}{r_2'}, \frac{r_1'}{r_1}, \frac{r_2'}{r_2}\right\} > 1.$$

Without loss of generality suppose  $m = r_1/r'_1$ . We have (recall that  $f(\lambda r) = \lambda^2 f(r)$ )

$$f_1(r_1, r_2) = f_1(mr'_1, r_2) = m^2 f_1(r'_1, r_2/m) \ge m^2 f_1(r'_1, r'_2) > f_1(r'_1, r'_2).$$

since  $r_2/m \le r_2'$  and  $f_1$  is strictly decreasing in the second component. Injectivity is hence proven.

To prove surjectivity let us take any pair of areas  $(a_1, a_2) \in \mathbb{R}^2_+$ . Suppose without loss of generality that  $a_2 \geq a_1$ . Notice that  $f_2(1,1)/f_1(1,1) = 1$  and  $f_2(1,t)/f_1(1,t) \to +\infty$  as  $t \to +\infty$  because when increasing  $r_2$  the area  $f_2$  increases at will, while  $f_1$  decreases. So there exists t such that  $f_2(1,t)/f_1(1,t) = a_2/a_1$ .

Now if we take  $r_1 = \sqrt{a_1/f_1(1,t)}$  and  $r_2 = tr_1$  we have

$$f_1(r_1, r_2) = r_1^2 f_1(1, r_2/r_1) = \frac{a_1}{f_1(1, t)} f_1(1, t) = a_1,$$
  
$$f_2(r_1, r_2) = r_1^2 f_2(1, r_2/r_1) = \frac{a_1}{f_1(1, t)} f_2(1, t) = a_2.$$

Conjecture 2.11 (soap bubble conjecture). [14] For all  $\mathbf{a} \in \mathbb{R}^{N}_{+}$  each  $\mathbf{E} \in \mathcal{M}(\mathbf{a})$  is connected.

The main aim of this paper is to prove that the conjecture holds in the case a = (1, 1, 1, 1).

### 3 Estimates on general clusters

**Lemma 3.1** (isoperimetric inequality for clusters). Given  $E \in \mathcal{C}^*(a)$  one has

$$P(\boldsymbol{E}) \ge \sqrt{\pi} \left( \sqrt{\sum_{k=1}^{N} a_k} + \sum_{k=1}^{N} \sqrt{a_k} \right).$$

*Proof.* Given any  $E \in \mathcal{C}^*(a)$ , by applying the isoperimetric inequality

$$P(E) \ge 2\sqrt{\pi}\sqrt{\min\{m(E), m(\mathbf{R}^2 \setminus E)\}}$$

one has:

$$P(\mathbf{E}) = \frac{1}{2} \sum_{k=0}^{N} P(E_k) \ge \sqrt{\pi} \left( \sqrt{\sum_{k=1}^{N} m(E_k)} + \sum_{k=1}^{N} \sqrt{m(E_k)} \right).$$
 (2)

**Proposition 3.2** (variation I). Let  $E \in \mathcal{M}^*(a)$  and suppose that  $C_i$  is a component of the region  $E_i$ . Let  $\ell$  be the sum of the lengths of the edges of  $C_i$  in common with the region  $E_k \neq E_i$  (k = 0 is also admitted). Then

$$\ell \le 2\sqrt{\pi}\sqrt{m(C_i)}$$
.

*Proof.* Let B be any ball disjoint from E with the same area as  $C_i$ , so that  $P(B) = 2\sqrt{\pi}\sqrt{m(C_i)}$ . Consider the cluster E' obtained by E by means of the following variations on the regions  $E_i$  and  $E_j$ :

$$E'_i = (E_i \setminus C_i) \cup B, \qquad E'_j = E_j \cup C_i.$$

Clearly we have  $m(E_i') = m(E_i)$  and  $m(E_j') > m(E_j)$ . Hence  $\mathbf{E}' \in \mathcal{C}^*(\mathbf{a})$ . Moreover, since the edges of length  $\ell$  has been removed and the ball B has been added, by the minimality of  $\mathbf{E}$  we have:

$$0 \le P(\mathbf{E}') - P(\mathbf{E}) = P(B) - \ell = 2\sqrt{\pi}\sqrt{m(C_i)} - \ell.$$

**Proposition 3.3** (variation II). Let  $E \in \mathcal{M}^*(a)$  and suppose that  $C_i$  is a component of the region  $E_i$  with  $0 < m(C_i) < m(E_i)$ . Let  $\ell_k$  be the sum of the lengths of the edges of  $C_i$  in common with the region  $E_k \neq E_i$  (k = 0 is also admitted). Then

$$\ell_k \le \frac{m(C_i)}{|2a_i - m(C_i)|} P(\mathbf{E}). \tag{3}$$

Moreover, if we denote by  $r \leq N$  the number of regions which have an edge in common with  $C_i$ , for all  $\lambda \geq P(\mathbf{E})$  one has:

$$m(C_i) \ge \frac{16\pi a_i^2}{r^2 \lambda^2} \left( 1 - \frac{16\pi a_i}{r^2 \lambda^2} \right). \tag{4}$$

*Proof.* Let

$$t = \sqrt{\frac{m(E_i)}{m(E_i) - m(C_i)}} = \sqrt{1 + \frac{m(C_i)}{m(E_i) - m(C_i)}} \le 1 + \frac{1}{2} \frac{m(C_i)}{m(E_i) - m(C_i)}$$

and consider a new cluster E' whose regions are defined by  $E'_i = t(E_i \setminus C_i)$ ,  $E'_k = t(E_k \cup C_i)$  and  $E'_j = tE_j$  when  $j \notin \{i, k\}$ . Simply speaking, the cluster E' has been obtained from E by giving  $C_i$  to  $E_k$  and then rescaling of a factor t > 1.

Notice that t was defined so that

$$m(E'_i) = t^2(m(E_i) - m(C_i)) = m(E_i)$$

and clearly every other region does not decrease its measure since t > 1. So  $E' \in \mathcal{C}^*(a)$  is a weak competitor to E. On the other hand since in the cluster E' all edges in common between the component  $tC_i$  and the region  $tE_k$  have been removed (and these edges have a total length of  $t\ell_k$ ) we have

$$P(\mathbf{E}') = t(P(\mathbf{E}) - \ell_k).$$

Since  $P(\mathbf{E}) \leq P(\mathbf{E}')$  one obtains:

$$P(\mathbf{E}) \le t(P(\mathbf{E}) - \ell_k) \le \left(1 + \frac{m(C_i)}{2(m(E_i) - m(C_i))}\right) (P(\mathbf{E}) - \ell_k)$$

$$= P(\mathbf{E}) + \frac{m(C_i)}{2(m(E_i) - m(C_i))} P(\mathbf{E}) - \frac{2m(E_i) - m(C_i)}{2(m(E_i) - m(C_i))} \ell_k$$

which is equivalent to

$$\ell_k \le \frac{m(C_i)}{2m(E_i) - m(C_i)} P(\boldsymbol{E}).$$

Using  $0 \le a_i \le m(E_i)$  and  $m(C_i) \le m(E_i)$  one can easily check that

$$2m(E_i) - m(C_i) \ge |2a_i - m(C_i)|$$

so that (3) is proven.

Now if the component  $C_i$  has edges in common with at least r other regions, there is k such that  $\ell_k \geq P(C_i)/r$ . By also applying the isoperimetric inequality  $P(C_i) \geq 2\sqrt{\pi}\sqrt{m(C_i)}$  we obtain:

$$2\sqrt{\pi}\sqrt{m(C_i)} \le r\ell_k \le \frac{rm(C_i)}{|2a_i - m(C_i)|}P(\mathbf{E}) \le \frac{r\lambda m(C_i)}{|2a_i - m(C_i)|}$$

if  $P(E) \leq \lambda$  as in the statement of the Theorem being proved. Whence, by squaring and then dividing by  $m(C_i)$ , we obtain

$$4\pi \le \frac{r^2 \lambda^2 m(C_i)}{(2a_i - m(C_i))^2} = \frac{r^2 \lambda^2 m(C_i)}{4a_i^2 - 4a_i m(C_i) + m^2(C_i)}$$

which is equivalent to the following quadratic inequality in  $m(C_i)$ :

$$m^{2}(C_{i}) - \left(4a_{i} + \frac{r^{2}\lambda^{2}}{4\pi}\right)m(C_{i}) + 4a_{i}^{2} \le 0.$$

The corresponding equation has two positive solutions, and  $m(C_i)$  is larger than the smaller of the two. So we obtain:

$$m(C_i) \ge 2a_i + \frac{r^2 \lambda^2}{8\pi} - \sqrt{\left(2a_i + \frac{r^2 \lambda^2}{8\pi}\right)^2 - 4a_i^2}$$

$$= 2a_i - \frac{r^2 \lambda^2}{8\pi} \left(\sqrt{1 + \frac{32\pi a_i}{r^2 \lambda^2}} - 1\right).$$
(5)

By using the inequality:

$$\sqrt{1+x} \le 1 + \frac{x}{2} - \frac{x^2}{8} + \frac{x^3}{16}$$

with  $x = \frac{32\pi a_i}{r^2\lambda^2}$ , after some straightforward simplifications, we obtain (4).

The following result is not used in the rest of the paper, but might be interesting by itself.

**Theorem 3.4.** Let  $E \in \mathcal{M}^*(a)$  be an N-cluster with  $N \geq 3$  and suppose that  $C_i$  is a component of the region  $E_i$  with  $0 < m(C_i) < m(E_i)$  and suppose that r is the number of regions which are adjacent to  $C_i$ .

Let

$$\|\boldsymbol{a}\|_{\frac{1}{2}} = \left(\sum_{j=1}^{N} \sqrt{a_j}\right)^2, \qquad \|\boldsymbol{a}\|_{-1} = \left(\sum_{j=1}^{N} (a_j)^{-1}\right)^{-1}.$$

Then

$$m(C_i) \ge \frac{20}{9} \frac{a_i^2}{r^2 \|\mathbf{a}\|_{\frac{1}{3}}} \ge \frac{20}{9} \frac{a_i^2}{N^2 \|\mathbf{a}\|_{\frac{1}{3}}}.$$
 (6)

In particular, the number  $M_i$  of connected components of  $E_i$  has the following bound

$$M_i \le \frac{9}{20} N^2 \frac{\|\boldsymbol{a}\|_{\frac{1}{2}}}{a_i}$$

and hence the total number M of connected components of E is bounded by

$$M \le \frac{9}{20} N^2 \frac{\|\boldsymbol{a}\|_{\frac{1}{2}}}{\|\boldsymbol{a}\|_{-1}}.$$

*Proof.* Consider, as a competitor, a cluster E' whose regions  $E'_i$  are disjoint balls with area  $a_i$  and let

$$\lambda = P(\mathbf{E}') = 2\sqrt{\pi} \sum_{j=1}^{N} \sqrt{a_j} = 2\sqrt{\pi} \sqrt{\|\mathbf{a}\|_{\frac{1}{2}}}$$

Since  $E' \in \mathcal{C}^*(a)$  we have  $P(E) \leq \lambda$ . Notice that  $E \in \mathcal{M}^*(a)$  implies that  $E \in \mathcal{M}^*(a^*)$  with  $a^* = m(E)$ , we can apply Proposition 3.3 with  $a^*$  in place of a and with  $\lambda$  defined as above. So (4) holds with this value of  $\lambda$  and  $a^*$  in place of a.

Notice also that  $\lambda = P(\mathbf{E}') \geq P(\mathbf{E}) \geq P(E_i) \geq 2\sqrt{\pi}\sqrt{m(E_i)} = 2\sqrt{\pi}\sqrt{a_i^*}$ . Moreover  $r \geq 3$  since, by Proposition 2.8, we know that for  $N \geq 3$  every component has at least three edges. Hence we know that

$$1 - \frac{16\pi a^* i}{r^2 \lambda^2} \ge 1 - \frac{16\pi a_i^*}{9 \cdot 4\pi a_i^*} = \frac{5}{9}.$$

So (4) becomes (notice that  $r \leq N$ )

$$m(C_i) \ge \frac{16\pi(a_i^*)^2}{4\pi r^2 \|\boldsymbol{a}\|_{\frac{1}{2}}} \cdot \frac{5}{9} = \frac{20}{9} \cdot \frac{(a_i^*)^2}{r^2 \|\boldsymbol{a}\|_{\frac{1}{2}}} \ge \frac{20}{9} \cdot \frac{(a_i^*)^2}{N^2 \|\boldsymbol{a}\|_{\frac{1}{2}}}$$

and, noting that  $a_i^* = m(E_i) \ge a_i$ , (6) is proved.

Now suppose that  $C_i$  be the component of  $E_i$  with smaller area. Then  $a_i^* = m(E_i) \ge M_i \cdot m(C_i)$  and we have

$$M_i \leq \frac{a_i^*}{m(C_i)} \leq \frac{a_i^*}{\frac{20}{9} \frac{(a_i^*)^2}{N^2 \|\boldsymbol{a}\|_{\frac{1}{2}}}} = \frac{9}{20} \cdot \frac{N^2 \|\boldsymbol{a}\|_{\frac{1}{2}}}{a_i^*} \leq \frac{9}{20} \cdot \frac{N^2 \|\boldsymbol{a}\|_{\frac{1}{2}}}{a_i}$$

and summing up for i = 1, ..., N we obtain:

$$M = \sum_{i=1}^{N} M_i \le \frac{9}{20} N^2 \|\boldsymbol{a}\|_{\frac{1}{2}} \sum_{i=1}^{N} \frac{1}{a_i} = \frac{9}{20} N^2 \frac{\|\boldsymbol{a}\|_{\frac{1}{2}}}{\|\boldsymbol{a}\|_{-1}}.$$

**Proposition 3.5.** Let  $E \in \mathcal{M}^*(a)$  and let C be a connected component of some region  $E_i$ . Let n be the number of edges of C. Then we have the following estimate on the pressure of the region  $E_i$ :

$$p_i \ge \frac{(6-n)\pi}{3P(C)} + \left(1 - \frac{\ell}{P(C)}\right) p_{\min} \ge \frac{(6-n)\pi}{3P(C)}$$

where  $\ell$  is the length of the external edge of C ( $\ell = 0$  if C is internal) and  $p_{\min}$  is the lowest pressure of the bounded regions which are adjacent to C.

*Proof.* By Lemma 2.7 we have

$$\frac{(6-n)\pi}{3} = \sum_{j} (p_i - p_j) L_j = p_i \sum_{j} L_j - \sum_{j \neq 0} p_j L_j$$
$$\leq p_i \sum_{j} L_j - p_{\min} \sum_{j \neq 0} L_j = p_i P(C) - p_{\min}(P(C) - \ell)$$

where the sum in j is extended to the regions  $E_j$  which are adjacent to C. The first estimate of the statement follows.

To get the second estimate recall that  $p_{\min} \geq 0$  in view of Proposition 2.5.

**Proposition 3.6** (variation III). Let  $E \in \mathcal{M}^*(a)$  be a cluster and let B and C be two different components of the same bounded region  $E_i$  of E. Let  $p_i$  be the pressure of  $E_i$ . Suppose that B is external and let L be the length of the external arc of B and n be the number of different regions which are adjacent to C. Then

$$p_i \ge \frac{P(C)}{n \, m(C)} - \frac{2}{L} \ge \frac{2\sqrt{\pi}}{n\sqrt{m(C)}} - \frac{2}{L}.$$

*Proof.* Suppose i=1 and consider all the regions which are adjacent to C. Suppose that  $E_2$  is the region whose edges in common with C have largest total length. Let  $\ell$  be such total length in common between C and  $E_2$ : we have that  $n\ell \geq P(C)$ .

Let  $\gamma$  be the external edge of B and let v and w be its vertices. The arc  $\gamma$  has radius  $R=1/p_1$ , length L and spans an angle  $\theta=L/R$ . Given h>0 we are going to modify B by increasing the radius R up to R+h. Just consider the two radii in v and w: extend them of a length h and join them with a parallel arc of radius R+h. Let D be the strip between these two parallel arcs. We have  $m(D)=((R+h)^2-R^2)\theta/2=Lh+Lh^2/(2R)\geq Lh$ . It is easy to see that  $D\subseteq E_0$  (since all the external arcs are convex and meet at angles of 120 degrees). Fix h=m(C)/L and consider the following variation:

$$E_1' = (E_1 \setminus C) \cup D, \qquad E_2' = E_2 \cup C.$$

If we let  $E' = (E'_1, E'_2, E_3, \ldots, E_N)$  we notice that  $m(E'_1) \geq m(E_1)$  (since  $m(D) \geq Lh = m(C)$ ) so  $E' \in \mathcal{C}^*(\mathbf{a})$ . Moreover, in computing the perimeter of E' the edges in common between C and  $E_2$  have been removed so we gain  $\ell$  while the arc of length L has increased to length 2h + L(R+h)/R and so we have, by the minimality of E:

$$0 \le P(\mathbf{E}') - P(\mathbf{E}) \le -\ell + 2h + L\frac{R+h}{R} - L = m(C)\left(\frac{1}{R} + \frac{2}{L}\right) - \ell$$

To obtain the statement just remember that  $1/R = p_1$  and remember that  $\ell \geq P(C)/n$ .

**Lemma 3.7.** Let  $E \in C(a_1, a_2)$  be a connected stationary cluster (a double bubble) with  $a_1 \geq a_2$ . Then the pressures  $p_1$ ,  $p_2$  satisfy the following relations

$$\frac{k_8}{\sqrt{a_1}} \le p_1 \le p_2 \le \frac{k_8}{\sqrt{a_2}}$$

with

$$k_8 := \sqrt{\frac{2\pi}{3} + \frac{\sqrt{3}}{4}}, \qquad 1.5897 < k_8 < 1.5898.$$

*Proof.* By Theorem 2.10 we know that the external radii  $r_1, r_2$  and areas  $a_1, a_2$  of a double bubble are in one-to-one correspondence. Moreover we know that when  $r_1 = r_2$  we have  $a_1 = a_2$  because the resulting double bubble is symmetric. Hence, by the monotonicity proven in Theorem 2.10, since we have  $a_1 \geq a_2$  by assumption, we know that  $r_1 \geq r_2$  and hence  $p_1 \leq p_2$  (remember that  $p_i = 1/r_i$ ).

Now consider the function f defined in Theorem 2.10. We can easily compute

$$f_1(r,r) = f_2(r,r) = k_8^2 r^2$$

and by monotonicity we get at once:

$$a_1 = f_1(r_1, r_2) \ge f_1(r_1, r_1) = k_8^2 r_1^2$$
  
 $a_2 = f_2(r_1, r_2) \le f_2(r_2, r_2) = k_8^2 r_2^2$ 

whence

$$p_1 = \frac{1}{r_1} \ge \frac{k_8}{\sqrt{a_1}},$$
$$p_2 = \frac{1}{r_2} \le \frac{k_8}{\sqrt{a_2}}.$$

**Lemma 3.8** (reduction to double-bubble). Let  $\mathbf{E} = (E_1, \dots, E_N)$  be a stationary cluster which is reducible to a double bubble  $(E'_i, E'_j)$  by subsequent removal of triangular components where  $E'_i \supseteq E_i$ ,  $E'_j \supseteq E_j$ ,  $E'_i \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_j)$  and  $E'_j \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_i)$ . Let  $\mathbf{a} = \mathbf{m}(\mathbf{E})$  and  $\mathbf{a} = \sum_{k=1}^N a_k$ .

$$\frac{k_8}{\sqrt{\max\{a-a_i, a-a_j\}}} \leq \min\{p_i, p_j\} \leq \max\{p_i, p_j\} \leq \frac{k_8}{\sqrt{\min\{a_i, a_j\}}}.$$

*Proof.* By Theorem 2.9 we know that the pressures of the double bubble are equal to the corresponding pressures of the cluster E. Also notice that, for k=i,j one has  $m(E_k') \geq m(E_k) = a_k$  (k=i,j), while  $m(E_i') \leq m(\mathbb{R}^2 \setminus (E_0 \cup E_j)) = a - a_j$  and  $m(E_j') \leq m(\mathbb{R}^2 \setminus (E_0 \cup E_i)) = a - a_i$  so, by Theorem 2.10 we obtain the desired result.

**Lemma 3.9** (perimeter of triple bubble). Let  $E \in \mathcal{C}^*(1,1,1)$ . Then

$$P(E) \ge k_{10}$$

with

$$k_{10} := 6\sqrt{\frac{\pi}{2} + \frac{1}{\sqrt{3}}} \ge 8.7939.$$

*Proof.* From [20] we know that each  $E' \in \mathcal{M}^*(1,1,1) = \mathcal{M}(1,1,1)$  is a standard triple bubble where each region  $E'_i$  is a three sided component and the internal edges are straight segments and has area equal to 1. More precisely, each region is composed by the union of an half-circle and an isosceles triangle with two angles of 30 degrees. If r is the radius of the half circles, the triangle has the base of length 2r and the equal edges of length  $2r/\sqrt{3}$ .

So, the area of each region is

$$1 = \left(\frac{\pi}{2} + \frac{1}{\sqrt{3}}\right)r^2$$

while

$$P(\mathbf{E}') = (3\pi + 2\sqrt{3})r = 6\left(\frac{\pi}{2} + \frac{1}{\sqrt{3}}\right)r = 6\sqrt{\frac{\pi}{2} + \frac{1}{\sqrt{3}}}.$$

Since  $P(\mathbf{E}) \geq P(\mathbf{E}')$  the result follows.

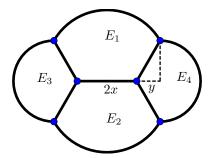


Figure 2: The competitor cluster defined in Proposition 4.1.

## 4 Estimates on $\mathcal{M}^*(1,1,1,1)$

Proposition 4.1 (the competitor). We have

$$p^*(1,1,1,1) \le k_0 := 11.1962.$$

Proof. Let

$$x := 0.2707, \quad y := 0.394$$

and  $R = 2(x+y)/\sqrt{3}$ . Consider the cluster represented in Figure 2. The area of the regions with four edges is given by:

$$m(E_1) = m(E_2) = (2x+y)y\sqrt{3} + \frac{\pi}{3}R^2 - \frac{\sqrt{3}}{4}R^2 > 1$$

while the area of the regions with three edges is:

$$m(E_3) = m(E_4) = \sqrt{3}y^2 + \frac{\pi}{2}(y\sqrt{3})^2 > 1.$$

So  $\mathbf{E} \in \mathcal{C}^*(1,1,1,1)$ . And we have

$$P(\mathbf{E}) = 2\frac{2\pi}{3}R + 2\pi\sqrt{3}y + 2x + 8y \ge k_0.$$

**Proposition 4.2.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  and suppose that C is a component of some region.

Then:

$$m(C) \ge k_2 := 0.0244.$$

Moreover, if the number of regions which have an edge in common with C is not larger than 3 one has

$$m(C) > k_6 := 0.0425.$$

. . .

*Proof.* We can apply Proposition 3.3 with  $a_i = 1$ ,  $r \le 4$ ,  $P(\mathbf{E}) \le k_0$  so  $P(\mathbf{E}) \le k \le 4k_0$ . We obtain:

$$m(C) \ge \frac{\pi}{k_0^2} \left( 1 - \frac{\pi}{k_0^2} \right) \ge k_2.$$

And with  $r \leq 3$  we would have

$$m(C) \ge \frac{16\pi}{9k_0^2} \left(1 - \frac{16\pi}{9k_0^2}\right) \ge k_6.$$

**Proposition 4.3.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  be such that the region  $E_1$  can be decomposed in two parts  $E_1 = E'_1 \cup C_1$  with

$$m(E_1) = m(E'_1) + m(C_1), \quad m(E'_1) \ge m(C_1), \quad P(E_1) = P(E'_1) + P(C_1)$$

then

$$m(C_1) \le k_1 := 0.1605$$
  
 $P(C_1) \le k_7 := 1.4199$ 

*Proof.* Let  $m = m(C_1)$ . By Lemma 3.1, one has

$$P(\mathbf{E}) \ge \sqrt{\pi} \left( \sqrt{4} + \sqrt{m} + \sqrt{m(E_1')} + 3\sqrt{1} \right) = \sqrt{\pi} (\sqrt{m} + \sqrt{m(E_1')} + 5)$$

whence

$$\sqrt{m} + \sqrt{m(E_1')} \le \frac{P(\mathbf{E})}{\sqrt{\pi}} - 5 \le \frac{k_0}{\sqrt{\pi}} - 5 \le c_1 := 1.3168$$

On one hand we have assumed that  $m(E_1') \ge m(C_1) = m$ , so  $2\sqrt{m} \le c_1 < \sqrt{2}$  which gives  $m \le 1/2$ .

On the other hand we know that  $m(E'_1) = m(E_1) - m \ge 1 - m$ , whence

$$\sqrt{m} + \sqrt{1 - m} \le c_1.$$

Now let  $f(x) = \sqrt{x} + \sqrt{1-x}$ . By computing the sign of f'(x) we easily notice that f(x) is increasing for  $x \in [0, 1/2]$ . By direct computation one checks that  $f(k_1) > c_1$  (in fact  $k_1$ , which is defined in the statement of the theorem being proved, has been choosen to satisfy this relation). Since we know that  $f(m) \le c_1$  and  $m \le 1/2$  we conclude that  $m = m(C_1) < k_1$ .

To get the estimate on the perimeter, we use again the isoperimetric inequality:

$$P(C_1) = 2P(\mathbf{E}) - (P(E_1') + P(E_0) + \sum_{i=2}^{4} P(E_i))$$

$$\leq 2k_0 - 2\sqrt{\pi}(\sqrt{1 - m(C_1)} + \sqrt{4} + 3\sqrt{1})$$

$$\leq 2k_0 - 2\sqrt{\pi}(\sqrt{1 - k_1} + 5) \leq k_7$$

**Definition 4.4** (big/small, internal/external components). Let E be a regular N-cluster. We say that a component C of a region  $E_i$  is small if  $m(C) \leq m(E_i)/2$ . Otherwise we say that C is big. Notice that at most one connected component of each region can be big.

A component is said to be *external* if it has at least one edge in common with the external region  $E_0$ . A component which is not *external* is called *internal*.

**Corollary 4.5.** Let  $E \in \mathcal{M}^*(1,1,1,1)$ . Then each region  $E_i$  has exactly one big connected component  $E_i'$ . Furthermore  $m(E_i') \geq 1 - k_1$ , where  $k_1$  is the constant introduced in Proposition 4.3.

*Proof.* It is enough to prove that one big component exists for each i = 1, ..., 4. Let  $E_i^1, ..., E_i^M$  be the connected components of the region  $E_i$ . Suppose by contradiction that all  $E_i^j$  are small:  $m(E_i^j) \leq m(E_i)/2$ , for all j = 1, ..., M. Let K be the smallest index such that

$$\sum_{j=1}^{K} m(E_i^j) > k_1. \tag{7}$$

We claim that

$$\sum_{j=1}^{K} m(E_i^j) < m(E_i) - k_1. \tag{8}$$

Otherwise we would have (notice that  $k_1 < 1/4$ )

$$\sum_{j=1}^{K-1} m(E_i^j) = \sum_{j=1}^K m(E_i^j) - m(E_i^K) \ge m(E_i) - k_1 - m(E_i^K)$$

$$\ge m(E_i) - k_1 - \frac{m(E_i)}{2} \ge \frac{m(E_i)}{2} - k_1$$

$$\ge \frac{1}{2} - k_1 > k_1$$

which is a contradiction since K was the minimal index satisfying the inequality (7).

So, if we define

$$E_i' = \bigcup_{i=1}^K E_i^j, \qquad E_i'' = E_i \setminus E_i'$$

we have (by (7) and (8))

$$m(E_i') > k_1, \qquad m(E_i'') > k_1.$$

This is now a contradiction with Proposition 4.3, since the smaller of the two components  $E'_i$ ,  $E''_i$  should have a measure smaller than  $k_1$ .

Finally if  $E'_i$  is the big connected component of the region  $E_i$ , applying Proposition 4.3 with  $C_i = E_i \setminus E'_i$ , we find  $m(E_i) \ge 1 - k_1$ .

**Corollary 4.6.** Let  $E \in \mathcal{M}^*(1,1,1,1)$ . Then at most one of the big components is internal.

*Proof.* Suppose by contradictions that two big components  $E_i^1$  and  $E_j^1$  are internal. Then by the isoperimetric inequality:

$$P(\mathbf{E}) \ge P(E_i^1 \cup E_i^2) + P(E_0)$$

$$\ge 2\sqrt{\pi} \left( \sqrt{m(E_i^1) + m(E_i^2)} + \sqrt{m(E_1) + m(E_2) + m(E_3) + m(E_4)} \right)$$

$$\ge 2\sqrt{\pi} \left( \sqrt{2(1 - k_1)} + \sqrt{4} \right) \ge 11.6831 > k_0 \ge p^*(1, 1, 1, 1).$$

Which is a contradiction.

**Proposition 4.7.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  be such that both regions  $E_i$  and  $E_j$  are disconnected  $(i \neq j)$ . Then every small component C of either  $E_i$  or  $E_j$  satisfies:

$$m(C) \le k_3 := 0.0408,$$
  
 $P(C) \le k_9 := 0.7154.$ 

*Proof.* Without loss of generality we might suppose that i = 1, j = 2. Let  $E'_1$  be the larger small component of  $E_1$  and let  $E'_2$  be the larger small component of  $E_2$ . Suppose moreover that  $m := m(E'_1) \ge m(E'_2)$ . Then we have

$$m(E_1 \setminus E_1') \ge 1 - m,$$
  $m(E_1') = m,$   
 $m(E_2 \setminus E_2') \ge 1 - m,$   $m(E_2') \ge k_2.$ 

So, from the isoperimetric inequality:

$$\frac{P(E)}{\sqrt{\pi}} \ge \sqrt{m(\mathbb{R}^2 \setminus E_0)} + \sum_{i=1}^2 \sqrt{m(E_i \setminus E_i')} + \sum_{i=1}^2 \sqrt{m(E_i')} + \sum_{j=3}^4 \sqrt{m(E_j)}$$

we obtain:

$$\frac{P(E)}{\sqrt{\pi}} \ge \sqrt{4} + \sqrt{1 - m} + \sqrt{m} + \sqrt{1 - m} + \sqrt{k_2} + 2\sqrt{1}$$
$$= 4 + 2\sqrt{1 - m} + \sqrt{m} + \sqrt{k_2}.$$

If we set  $f(x) = 2\sqrt{1-x} + \sqrt{x}$  and remember that  $P(E) \le k_0$  (Proposition 4.1) we obtain

$$f(m) \le \frac{k_0}{\sqrt{\pi}} - 4 - \sqrt{k_2} \le c_2 := 2.1606$$

We have:

$$f'(x) = -(1-x)^{-\frac{1}{2}} + \frac{1}{2}x^{-\frac{1}{2}}, \quad f''(x) = -\frac{1}{2}(1-x)^{-\frac{3}{2}} - \frac{1}{4}x^{-\frac{3}{2}}.$$

By direct computation one checks that  $f'(k_1) > 0.1565 > 0$  and since f'' < 0 we know that f is strictly increasing on  $[0, k_1]$ . By direct computation one checks  $k_3$  was choosen so that  $f(k_3) > c_2$ . If, by contradiction,  $m > k_3$  since  $m \in [k_2, k_1]$  (by Proposition 4.2 and Proposition 4.3) we would have  $f(m) > f(k_3) > c_2$  against (9). So  $m < k_3$ .

Since m was the measure of the largest small component we obtain the first estimate:  $m(C) \leq m \leq k_3$ .

To prove the estimate on the perimeter P(C) suppose now that  $C = E'_1$  (not it will not matter if  $E'_1$  is larger or smaller than  $E'_2$ ). Recall that (Proposition 4.2)

$$m(E_1') \ge k_2, \qquad m(E_2') \ge k_2$$

and the previous estimate gives:

$$m(E_1 \setminus E_1') \ge 1 - k_3, \qquad m(E_2 \setminus E_2') \ge 1 - k_3.$$

Hence, using the isoperimetric inequality we have

$$2P(\mathbf{E}) = P(E_1') + P(E_2') + \sum_{i=1}^{2} P(E_i \setminus E_i') + \sum_{i=3}^{4} P(E_i) + P(\mathbb{R}^2 \setminus E_0)$$
  
 
$$\geq P(E_1') + 2\sqrt{\pi} \left( \sqrt{k_2} + 2\sqrt{1 - k_3} + 2\sqrt{1} + \sqrt{4} \right)$$

whence, recalling also that  $P(\mathbf{E}) \leq k_0$ :

$$P(E_1') \le 2k_0 - 2\sqrt{\pi}(\sqrt{k_2} + 2\sqrt{1 - k_3} + 4) \le k_9$$

**Proposition 4.8.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  be such that the region  $E_i$  has at least three components. Then every small component C of  $E_i$  satisfies:

$$m(C) \le k_4 := 0.0411.$$

*Proof.* Without loss of generality we might suppose that i = 1. Notice that, by Corollary 4.5, there are at least two small components of  $E_1$ . Let  $E'_1$  be the larger small component of  $E_1$  and  $E''_1$  be another small component of  $E_1$ . Let  $m := m(E'_1) \ge m(E''_1)$ . Then we have

$$m(E_1 \setminus (E_1' \cup E_1'')) \ge 1 - m - m, \qquad m(E_1') = m, \qquad m(E_1'') \ge k_2.$$

So, from the isoperimetric inequality:

$$\frac{P(E)}{\sqrt{\pi}} \ge \sqrt{m(\mathbb{R}^2 \setminus E_0)} + \sqrt{m(E_i \setminus (E_i' \cup E_i''))} + \sqrt{m(E_i')} + \sqrt{m(E_i'')} + \sum_{i=2}^4 \sqrt{m(E_j)}$$

we obtain:

$$\frac{P(E)}{\sqrt{\pi}} \ge \sqrt{4} + \sqrt{1 - 2m} + \sqrt{m} + \sqrt{k_2} + 3\sqrt{1} 
= 5 + \sqrt{1 - 2m} + \sqrt{m} + \sqrt{k_2}.$$
(9)

If we set  $f(x) = \sqrt{1-2x} + \sqrt{x}$  and remember that  $P(E) \le k_0$  (Proposition 4.1) we obtain

$$f(m) \le \frac{k_0}{\sqrt{\pi}} - 5 - \sqrt{k_2} \le c_3 := 1.1606$$

We have:

$$f'(x) = -(1-2x)^{-\frac{1}{2}} + \frac{1}{2}x^{-\frac{1}{2}}, \quad f''(x) = -(1-2x)^{-\frac{3}{2}} - \frac{1}{4}x^{-\frac{3}{2}}.$$

By direct computation one checks that  $f'(k_1) > 0.0344 > 0$  and since f'' < 0 we know that f is strictly increasing on  $[0, k_1]$ . By direct computation one checks that  $k_4$  has been choosen so that  $f(k_4) > c_3$ . If, by contradiction,  $m > k_4$  since  $m \in [k_2, k_1]$  (by Proposition 4.2 and Proposition 4.3) we would have  $f(m) > f(k_4) > c_3$  against (9). So  $m \le k_4$ .

**Proposition 4.9.** Let  $E \in M^*(1,1,1,1)$ . Then the total number of small components is not larger than two.

*Proof.* Suppose by contradiction that the cluster  $E \in \mathcal{M}^*(1,1,1,1)$  has at least three small components  $C_1, C_2, C_3$ . Suppose  $m := m(C_1) \ge m(C_2) \ge m(C_3)$ . Let  $C = C_1 \cup C_2 \cup C_3$  and let  $E_i' = E_i \setminus C$  for  $i = 1, \ldots, 4$ .

From the isoperimetric inequality:

$$\frac{P(\boldsymbol{E})}{\sqrt{\pi}} \ge \sqrt{m(\mathbb{R}^2 \setminus E_0)} + \sum_{i=1}^4 \sqrt{m(E_i')} + \sum_{i=1}^3 \sqrt{m(C_i)}.$$

Now consider the quantity

$$A = \sum_{i=1}^{4} \sqrt{m(E_i')}$$

to get an estimate of A from below we use the estimates  $k_2 \leq m(C_i) \leq m$  but we have to distinguish three different cases:

- 1. if the small components all belong to the same region we have  $A \ge \sqrt{1-3m} + 3\sqrt{1}$ ;
- 2. if only two of the small components belong to the same region:  $A \ge \sqrt{1-2m} + \sqrt{1-m} + 2\sqrt{1}$ ;
- 3. if the three small components belong to three different regions:  $A \ge 3\sqrt{1-m} + \sqrt{1}$ .

With a straightforward algebraic manipulation one can check that for all  $x \in [0, 1/3]$  one has

$$3\sqrt{1-x}+1 \ge \sqrt{1-2x}+\sqrt{1-x}+2 \ge \sqrt{1-3x}+3$$

so that in every case it holds  $A > \sqrt{1-3m} + 3$ .

Hence

$$\frac{P(\mathbf{E}')}{\sqrt{\pi}} \ge \sqrt{4} + \sqrt{1 - 3m} + 3 + \sqrt{m} + 2\sqrt{k_2} 
= \sqrt{1 - 3m} + \sqrt{m} + 5 + 2\sqrt{k_2}$$
(10)

If we set  $f(x) = \sqrt{1-3x} + \sqrt{x}$  and remember that  $P(\mathbf{E}') = P(\mathbf{E}) \leq k_0$  (Proposition 4.1) we obtain

$$f(m) \le \frac{k_0}{\sqrt{\pi}} - 5 - 2\sqrt{k_2} \le k_5 := 1.0044$$

We have:

$$f'(x) = -\frac{3}{2}(1-3x)^{-\frac{1}{2}} + \frac{1}{2}x^{-\frac{1}{2}}, \quad f''(x) = -\frac{9}{4}(1-x)^{-\frac{3}{2}} - \frac{1}{4}x^{-\frac{3}{2}}.$$

By direct computation one checks that  $f(k_1) > 1.1206 > k_5$  and  $f(k_2) > 1.1189 > k_5$ . And since f'' < 0 we know that f is concave and hence  $f(x) > k_5$  if  $x \in [k_2, k_1]$ . Since  $f(m) \le k_5$  and we already know that  $m \ge k_2$  (Proposition 4.2) we conclude that  $m > k_1$ , which is a contradiction.

**Corollary 4.10.** Let  $E \in \mathcal{M}^*(1,1,1,1)$ . Then there are at most six bounded connected components. Four connected components are big and at most two are small (see Definition 4.4).

If the small components are exactly two, they have measure between  $k_2$  and  $k_4$ , they are external, and they have edges in common with all the other regions. If the two small components belong to the same region they both have four edges, while if they belong to different regions they might have four or five edges.

If there is only one small component it has measure not larger than  $k_1$ .

*Proof.* By Proposition 4.9 there are at most two small components, so the total number of bounded connected components is at most six.

If we have two small components they can either belong to the same region, and then by Proposition 4.8 each small component has measure not larger than  $k_4$ . Or, the two components belong to different regions and then by Proposition 4.7 each small component has measure not larger than  $k_3 < k_4$ . Every small component which is adjacent only to three other regions would have measure larger than  $k_6$  by Proposition 4.2 and since  $k_6 > k_4$  this is impossible. So every small component must have edges in common with all the other four regions, included the external one: so they have at least four edges and are external. If the two components belong to two different regions they can have four or five edges (the two small component might have an edge in common). If

the two components belong to the same region, each other region is connected and hence they cannot have more than four edges (each edge is adjacent to a different component).

If there is only one small component we can only apply Proposition 4.3 to get the estimate with the constant  $k_1$ .

#### 5 Clusters with six components

In this section we will consider possible minimizers  $E \in \mathcal{M}^*(1,1,1,1)$  with exactly six bounded components and we will exclude that they exist.

The following Corollary assures that we have  $m(E_i) = 1$  for i = 1, ..., 4. This will be used in the following without further notice.

Corollary 5.1.  $\mathcal{M}^*(1,1,1,1) = \mathcal{M}(1,1,1,1)$ .

*Proof.* Given any  $E \in \mathcal{M}^*(1,1,1,1)$  then by Corollary 4.10 we know that E has no more than six bounded components. By Proposition 2.8 we conclude that  $E \in \mathcal{M}(1,1,1,1)$ , hence  $\mathcal{M}^*(1,1,1,1) \subseteq \mathcal{M}(1,1,1,1)$ . Since  $\mathcal{M}^*(1,1,1,1)$  is not empty (Theorem 2.4) we obtain  $p^*(1,1,1,1) = P(E) = p(1,1,1,1)$ .

On the other hand, given  $E' \in \mathcal{M}(1,1,1,1)$  we have  $E' \in \mathcal{C}^*(1,1,1,1)$  and since  $P(E') = p(1,1,1,1) = p^*(1,1,1,1)$  we conclude that  $E' \in \mathcal{M}^*(1,1,1,1)$ .

**Corollary 5.2.** Let  $E \in \mathcal{M}^*(1,1,1,1)$ . Then we exclude that one region  $E_i$  can have three components.

*Proof.* Suppose by contradiction that the region  $E_1$  is composed by three components: one big and two small (recall that, by Corollary 4.5, each region has one big component). By Proposition 2.8 we know that every component has at least three edges. By Corollary 4.10, a small component has four edges, so, the two small components have exactly four vertices and the region  $E_1$  has at least 3+4+4=11 vertices. But the total number of bounded connected components is M=6 and by Proposition 2.8 the number of vertices should be v=2(M-1)=10. This is a contradiction.

**Proposition 5.3.** Let  $E \in \mathcal{M}^*(1,1,1,1)$ . Then we exclude that two different regions are disconnected.

*Proof.* By contradiction suppose that  $C_1$  and  $C_2$  are small components of  $E_1$  and  $E_2$  respectively and let  $E_1' = E_1 \setminus C_1$  and  $E_2' = E_2 \setminus C_2$  be the two big components.

Recall that, by Corollary 4.10, the small components  $C_1$  and  $C_2$  have four or five edges.

If the component  $C_i$  (i = 1, 2) has five edges, by Proposition 3.5 and Proposition 4.7, one finds that

$$p_i \ge \frac{\pi}{3P(C)} \ge \frac{\pi}{3k_9} > 1.4637 > \frac{k_0}{8}$$
 (11)

On the other hand if  $C_i$  has only four edges, one finds:

$$p_i \ge \frac{2\pi}{3P(C)} \ge \frac{2\pi}{3k_9} > \frac{k_0}{4}.$$

Remember that, by Theorem 2.6 and Proposition 4.1, we have

$$p_1 + p_2 + p_3 + p_4 = \frac{P(\mathbf{E})}{2} \le \frac{k_0}{2}.$$

Without loss of generality we might and shall suppose that  $p_1 \geq p_2$ .

Notice that  $p_1$  and  $p_2$  are both larger than the average and, in particular,  $p_2$  is not the lowest pressure:  $p_2 > \min\{p_3, p_4\}$ . If both regions  $C_1$  and  $C_2$  had four edges, we would find  $p_1 + p_2 > k_0/2$  which is a contradiction. Hence we know that  $C_1$  has four or five edges and  $C_2$  has five edges (if  $C_i$  has four edges  $p_i$  is the higher pressure).

Step 1: we claim that at most one component is internal. By Corollary 4.10 we know that the small components are external and by Corollary 4.6 we know that at most one big component is internal. The claim follows.

Step 2: we claim that  $E'_2$  is external and has three or four edges.

Notice that since at most one component is internal, and we have a total of 6 bounded components, the external region  $E_0$  has either 5 or 6 vertices. On the other hand the big component  $E'_2$  has at least 3 vertices and the small component  $C_2$  has 5 vertices. Two of the vertices of  $C_2$  are in common with the vertices of  $E_0$  and, if  $E'_2$  were internal, all its vertices would be distinct from the vertices of  $E_0$  and, of course, from the vertices of  $C_2$ . So we find at least 3+3+5=11 distinct vertices of the cluster E while we know (Proposition 2.8) that E has exactly 10 vertices.

The same contradiction holds in the case that  $E_2'$  has more than four vertices since also in this case at least three of them would be internal.

Step 3: we claim that  $E_1'$  and  $E_2'$  are adjacent. Let  $\ell_1$  and  $\ell_2$  be the lengths of the external edges of  $E_1'$  and  $E_2'$  respectively ( $\ell_i = 0$  if  $E_i'$  is internal). Suppose by contradiction that  $E_1'$  and  $E_2'$  have no common edge. Then

$$k_0 \ge P(\mathbf{E}) \ge P(E_1') + P(E_2') + P(E_0) - (\ell_1 + \ell_2)$$

and by applying the isoperimetric inequality and the estimates  $m(E_i') \ge 1 - k_3$  we obtain:

$$k_0 \ge 2\sqrt{\pi}(2\sqrt{1-k_3} + \sqrt{4}) - (\ell_1 + \ell_2)$$

whence

$$\frac{\ell_1 + \ell_2}{2} \ge 2\sqrt{\pi}(\sqrt{1 - k_3} + 1) - \frac{k_0}{2} > c_4 := 1.4186.$$

If we let  $\ell_i$  be the largest between  $\ell_1$  and  $\ell_2$  we have  $\ell_i > c_4$  and from Proposition 3.6 we obtain the following estimate on the pressure of the corresponding region  $E_i$  (remember that every component of E is adjacent to at most four different regions):

$$p_i \ge \frac{\sqrt{\pi}}{2\sqrt{m(C_i)}} - \frac{2}{\ell_i} \ge \frac{\sqrt{\pi}}{2\sqrt{k_3}} - \frac{2}{c_4} > 2.9776 > \frac{k_0}{4}.$$
 (12)

Remember that  $p_1 + p_2 + p_3 + p_4 \le k_0/2$  so  $p_i$  is the highest pressure (actually i = 1 since we decided that  $p_1 \ge p_2$ ). Then let  $n \ge 3$  be the number of edges of  $E'_i$  and let  $L_{i,j}$  be the total length of the edges in common between  $E'_i$  and  $E_j$  (so that  $L_{i,0} = \ell_i$ ):

$$\pi \ge \frac{(6-n)\pi}{3} = \sum_{i} (p_i - p_j) L_{i,j} \ge p_i \ell_i$$

whence:

$$p_i \le \frac{\pi}{\ell_i} \le \frac{\pi}{c_4} < 2.2146$$

which is in contradiction with with (12).

Step 4: if a connected region  $E_i$  (i = 3, 4) is internal, it is adjacent to both  $E'_1$  and  $E'_2$ .

The proof is the same as in the previous Step. Just take  $E_i$  in place of  $E_2'$  and  $E_1'$  or  $E_2'$  in place of  $E_1'$ . Notice that  $\ell_2 = 0$  so that  $\ell_i = \ell_1$  and the proof completes in exactly the same way (the estimates are actually stronger).

Step 5: we claim that if one of  $E_3$  or  $E_4$  is internal and the other one is external with only three edges, then  $E_3$  and  $E_4$  must be adjacent. We proceed in a similar way as the step before. Suppose by contradiction that  $E_3$  is internal and not adjacent to  $E_4$ .

So  $E_3$  is only adjacent to the components of  $E_1$  and  $E_2$  and it has at most four edges, so, by Lemma 2.7, we have

$$0 < \frac{(6-4)\pi}{3} \le \sum_{i=1}^{2} (p_3 - p_i) L_{3,i}.$$

We deduce that  $p_3 \ge p_2$  since otherwise (being  $p_1 \ge p_2$ ) the right hand side of the previous equation would be negative. So  $p_3 \ge p_2 \ge k_0/8$ .

Now, let  $\ell_i$  be the length of the external edges of  $E'_i$  (recall that only one component can be internal hence  $E'_i$  is external and  $\ell_i > 0$ ). We have

$$k_0 \ge P(\mathbf{E}) \ge P(E_1' \cup E_2' \cup E_3) + P(E_0) - (\ell_1 + \ell_2)$$

whence, by applying the isoperimetric inequality,

$$\frac{\ell_1 + \ell_2}{2} \ge \sqrt{\pi}(\sqrt{2(1 - k_3) + 1} + \sqrt{4}) - \frac{k_0}{2} > c_5 := 0.9747.$$

Now if  $\ell_i$  is the maximum between  $\ell_1$  and  $\ell_2$  we know that  $\ell_i > c_5$ . By Proposition 3.6 (since any component can be adjacent to at most 4 different regions), we have

$$p_i \ge \frac{2\sqrt{\pi}}{4\sqrt{m(C_i)}} - \frac{2}{\ell_i} \ge \frac{\sqrt{\pi}}{2\sqrt{k_3}} - \frac{2}{c_5} > 2.3355 > \frac{3}{16}k_0.$$

So  $p_1 > 3k_0/16$  (since  $p_1$  has been choosen to be the maximum between  $p_1$  and  $p_2$ ).

Now we work on  $E_4$  which is external with m=3 edges. Remember that  $p_2$  cannot be the lowest pressure and since  $p_1 \geq p_2$  and  $p_3 \geq p_2$  we deduce that  $p_4$  is the lowest pressure. Hence, by Lemma 2.7

$$\pi = \frac{(6-m)\pi}{3} = \sum_{j} (p_4 - p_j) L_{4,j} \le p_4 L_{4,0}$$

and by Proposition 3.2

$$p_4 \ge \frac{\pi}{L_{4,0}} \ge \frac{\pi}{2\sqrt{\pi}\sqrt{m(E_4)}} = \frac{\sqrt{\pi}}{2} > 0.8862 > \frac{k_0}{16}$$

So, we have found that

$$P(\mathbf{E}) = 2(p_1 + p_2 + p_3 + p_4) > 2\left(\frac{3k_0}{16} + \frac{k_0}{8} + \frac{k_0}{8} + \frac{k_0}{16}\right) = k_0$$

which contradicts the minimality of E. The claim is proved.

Step 6: we claim that  $E_0$  has not five edges. Suppose by contradiction that  $E_0$  has exactly five edges and consider two possible cases:  $E'_2$  has either (i) three or (ii) four edges.

If  $E_2'$  has three edges the region  $E_2 = C_2 \cup E_2'$  has 8 distinct vertices (since  $C_2$  has five vertices). Three vertices of  $C_2$  (let us call them  $x_1$ ,  $x_2$  and  $x_3$ ) are not vertices of  $E_0$ , and one vertex of  $E_2'$  (let us call it y) is not a vertex of  $E_0$ . On the other hand  $E_0$  has five vertices, and four of them are shared by  $C_2$  and  $E_2'$ . We denote by v the remaining vertex. Up to now we have considered 9 vertices in total, since the cluster E has exactly 10 vertices, there is an additional vertex v belonging to neither v nor v. The situation is depicted in Figure 3(a). We see that 11 edges have been already identified, so 4 edges are missing.

Consider the three edges which meet in the vertex w. At least two of them should connect w to the vertices  $x_k$  of  $C_2$ . In fact if only one edges connects w to  $C_2$  the other two edges of w should go to v and y and hence the two remaining vertices of  $C_2$  should be joined together which is not admitted (we would obtain a two sided component). Not all three edges of w can join the three free vertices of  $C_2$  because otherwise we would obtain two three-sided internal components. But we know that at most one component can be internal. So, exactly two edges join w with two vertices of  $C_2$ . The two vertices of  $C_2$  must be consecutive, otherwise the third vertex  $x_2$  could not be connected to anything (the edge would be closed in the loop: w,  $x_3$ ,  $x_2$ ,  $x_1$ ). We have two possibilities: the two vertices are either  $x_1$  and  $x_2$  or  $x_2$  and  $x_3$  (the order of the vertices is given by the Figure, where  $x_1$  is "closer" to the component  $E_2$ .

In the first case  $(x_1 \text{ and } x_2 \text{ are joined to } w)$  the third edge in w cannot go to  $x_3$  (already excluded) and cannot go to v because otherwise the edge from  $x_3$  to y would cross the already defined edges. So the diagram is completed by an edge joining w with y and an edge joining v with  $x_3$ . The resulting diagram is depicted in Figure 3(b). We know that  $C_1$  is external and has four or five edges: the only possibility is  $X = C_1$ . Then  $E'_1$  must be adjacent to  $E'_2$  so it must be  $Z = E'_1$ : however  $E'_1$  cannot be adjacent to  $C_1$  and we get a contradiction.

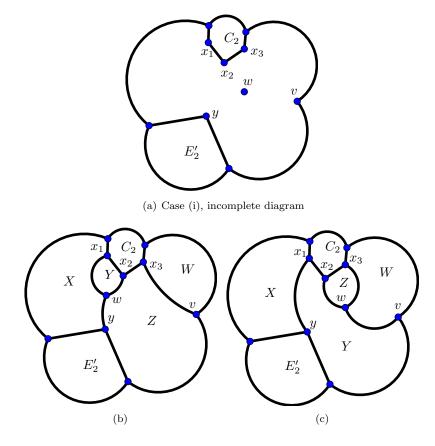


Figure 3: Diagrams used in the proof of Proposition 5.3, Step 6, case (i).

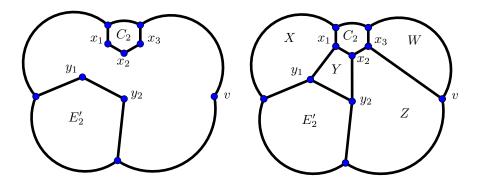


Figure 4: Diagram used in the proof of Proposition 5.3, Step 6, case (ii).

In the second case  $(x_2 \text{ and } x_3 \text{ are joined to } w)$  we can complete the diagram in a unique way, by adding an edge from w to v and an edge from y to  $x_1$  as represented in Figure 3(c). In this case we have  $X = E_1' \text{ since } E_1'$  must be adjacent to  $E_2'$  but cannot have six edges. So  $W = C_1$  because  $C_1$  is external and not adjacent to  $E_1'$ . So Y and Z are the two connected regions  $E_3$  and  $E_4$ . However in  $Step \not= W$  we proved that the connected region, if internal, must be adjacent to both  $E_1'$  and  $E_2'$  which is not the case for the component Z. So this configuration must be excluded, too.

So, the case when  $E_2'$  has only three edges has been completed and excluded. Suppose now (ii) that  $E_2'$  has four edges. In this case no additional vertex must be added, and we are in the situation depicted in Figure 4. Let  $x_1$ ,  $x_2$  and  $x_3$  be the free vertices of  $C_2$  and v be the free vertex of  $E_0$ , as before. Let  $y_1$  and  $y_2$  be the two free vertices of  $E_2'$ . There are three edges missing in the diagram and there is only one possibility (since the edges from  $C_2$  cannot go back to  $C_2$  and they cannot cross each other):  $x_1$  is joined to  $y_1$ ,  $x_2$  to  $y_2$  and  $x_3$  to v. The component  $C_1$  is external with four or five edges, hence  $C_1$  is either X or Z. The component  $E_1'$  is adjacent to  $E_2'$  but cannot be adjacent to  $C_1$  hence  $E_1'$  is either X or Z. So Y and W are the two connected regions  $E_3$  and  $E_4$ : say  $Y = E_3$  and  $W = E_4$ .

But now we notice that  $E_3$  is internal and  $E_4$  is external with only three edges, hence by Step 5 they should be adjacent, which is not the case.

Step 7: conclusion. We know now that  $E_0$  has six edges. Recall that  $C_2$  is external and has five vertices, two of which are shared with  $E_0$  while  $E_2'$  has at least three vertices (all distinct from  $C_2$ ) two of which are shared with the vertices of  $E_0$ . So we have identified 6 vertices of  $E_0$  and at least 3+1=4 internal vertices of  $E_2=C_2\cup E_2'$ . We know that the cluster has 10 vertices in total, so we have identified all of them. In particular we conclude that  $E_2'$  has three vertices. Let  $x_1$ ,  $x_2$  and  $x_3$  be the three internal vertices of  $C_2$  and let v be the internal vertex of  $E_2'$ .

If we look at the edges, we have already identified the six edges of  $E_0$ , other four are the internal edges of  $C_2$  and other two are the internal edges of  $E'_2$ . To reach the total of 15 edges, we need to place other three edges. No edge can join two points of  $C_2$  (otherwise a two sided component would rise). So the three missing edges start from the three internal points of  $C_2$ . One of them goes to the internal vertex of  $E'_2$  and the other two go to the two free vertices of  $E_0$ .

There are now two possibilities: either (a) the vertex v is connected to the middle of the three internal vertices of  $C_2$  or (b) it is connected to one lateral vertex (see Figure 5)

We can easily exclude case (a) because the component  $C_1$  must be one of the two five-sided components ( $C_1$  has either four or five edges and there are no components with four edges) while  $E'_1$  must be adjacent to  $E'_2$  and hence must be the other component with five edges. But this is a contradiction since  $C_1$ cannot be adjacent to  $E'_1$ .

So we remain with the configuration of case (b). The region with three edges adjacent to  $C_2$  is not  $C_1$  (because  $C_1$  has four or five edges) and it cannot be  $E'_1$  because  $E'_1$  must be adjacent to  $E'_2$ . Hence we conclude that it is one of

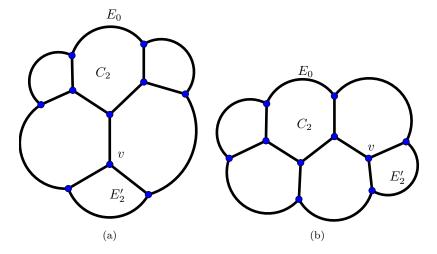


Figure 5: Diagrams used in the proof of Proposition 5.3 Step 7.

 $E_3$  and  $E_4$ . Let us say it is  $E_3$ . Then  $E_4$  must be the region with five edges, because otherwise  $C_1$  and  $E_1'$  would be adjacent to each other. So  $C_1$  has four edges and hence  $p_1 \geq k_0/4$  is the region with higher pressure and  $p_2 \geq k_0/8$  is the second higher pressure while  $p_3 + p_4 \leq k_0/8$ .

We know that  $E_3$  has three edges,  $E_4$  has five edges and both  $E_3$  and  $E_4$  are external. Let  $L_{j,k}$  be the total length of the edges between  $E_j$  and  $E_k$ . Applying Proposition 3.2 we obtain, for j = 3, 4:

$$L_{j,0} \le 2\sqrt{\pi}\sqrt{m(E_j)} = 2\sqrt{\pi} \tag{13}$$

Since  $p_1$  and  $p_2$  are the largest pressures and  $E_3$  is not adjacent to  $E_4$  we have, for j=3,4

$$L_{j,0} p_j \ge \sum_{k=1}^4 L_{j,k} (p_j - p_k)$$

hence, by Lemma 2.7

$$L_{3,0} p_3 \ge \pi, \qquad L_{4,0} p_4 \ge \frac{\pi}{3}$$
 (14)

and putting together with (13) we obtain

$$p_3 \ge \frac{\pi}{L_{3,0}} \ge \frac{\sqrt{\pi}}{2}, \qquad p_4 \ge \frac{\pi}{3L_{4,0}} \ge \frac{\sqrt{\pi}}{6}.$$

Now we are going to improve the estimates on  $p_1$  and  $p_2$ . First notice that if we denote by  $\ell_i$  the length of the external edge of  $C_i$  we have, by Proposition 3.3 (notice that  $m(C_i) < k_3 < 1$ ),

$$\ell_i \le \frac{m(C_i)}{|2 - m(C_i)|} P(\mathbf{E}) \le \frac{m(C_i)}{2 - k_3} k_0$$

while, by the isoperimetric inequality, we have

$$P(C_i) \ge 2\sqrt{\pi}\sqrt{m(C_i)}$$
.

Now, applying Proposition 3.5 to the component  $C_i$  with i = 1, 2, which has  $n_i = i + 3$  edges, we have

$$p_{i} \geq \frac{(6-n_{i})\pi}{3P(C_{i})} + p_{\min}\left(1 - \frac{\ell_{i}}{P(C_{i})}\right)$$

$$\geq \frac{(3-i)\pi}{3k_{9}} + \frac{\sqrt{\pi}}{6}\left(1 - \frac{m(C_{i})k_{0}}{(2-k_{3})2\sqrt{\pi}\sqrt{m(C_{i})}}\right)$$

$$= \frac{(3-i)\pi}{3k_{9}} + \frac{\sqrt{\pi}}{6}\left(1 - \frac{\sqrt{m(C_{i})}k_{0}}{2\sqrt{\pi}(2-k_{3})}\right)$$

$$\geq \frac{(3-i)\pi}{3k_{9}} + \frac{\sqrt{\pi}}{6}\left(1 - \frac{\sqrt{k_{3}}k_{0}}{2\sqrt{\pi}(2-k_{3})}\right) \geq \frac{(3-i)\pi}{3k_{9}} + c_{7}$$

with  $c_7 := 0.1992$ . By using (14)

$$P(\mathbf{E}) = 2(p_1 + p_2 + p_3 + p_4) \ge 2\left(\frac{2\pi}{3k_9} + c_7 + \frac{\pi}{3k_9} + c_7 + \frac{\sqrt{\pi}}{2} + \frac{\sqrt{\pi}}{6}\right)$$
$$= \frac{2\pi}{k_9} + 4c_7 + \frac{4}{3}\sqrt{\pi} \ge 11.9428 > k_0$$

which is a contradiction.

## 6 Clusters with five components

In this section we consider a weak minimizer  $E \in \mathcal{M}^*(1,1,1,1)$  with five bounded components. Only one region is disconnected and we will assume the region is  $E_1$  and we denote with  $E'_1$  and  $C_1$  respectively, its big and small connected components.

We recall that  $m(C_1) \in [k_2, k_1]$  by Proposition 4.2 and Proposition 4.3.

Then recall that by Proposition 2.8 we know that  $C_1$  and  $E'_1$  have three or four edges and they have exactly three edges if they are internal while the connected regions  $E_2$ ,  $E_3$  and  $E_4$  have at least three edges, at most four if they are internal and at most five if they are external.

By Proposition 2.8 we know that the cluster E has 8 vertices and 12 edges.

**Proposition 6.1.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  be a cluster with 5 components. Then, up to a relabeling of the components, the topology of E is one of the cases represented in Figure 6.

*Proof.* Suppose that  $E_1$  is the only disconnected region and let  $E'_1$  and  $C_1$  respectively be the big and small connected components of  $E_1$ . By Proposition 2.8

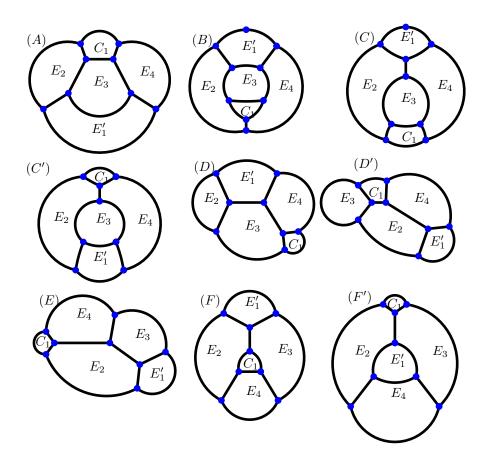


Figure 6: Classification of clusters with five components, Proposition 6.1.

we know that  $\partial \mathbf{E}$  is composed by 12 edges and 8 vertices moreover both  $E'_1$  and  $C_1$  may have at most 4 edges if they are external and 3 edges if they are internal.

Step 1. Suppose that both  $E'_1$  and  $C_1$  have four edges (and hence they are external). All the 8 vertices of the cluster are vertices of either  $E'_1$  or  $C'_1$  and both  $E'_1$  and  $C_1$  have an external edge with two external vertices. The external region  $E_0$  has four edges.

The remaining two internal vertices of  $E'_1$  must be connected with the two internal vertices of  $C_1$  (remember that we cannot have two edges with the same end points, because two-sided components are not allowed). Hence the cluster is of type (A) in Figure 6.

Step 2. Suppose that  $E'_1$  has 4 edges (hence it is external) and suppose  $C_1$  is external with 3 edges. In this case we need to add an additional vertex v.

If v is external then the external region  $E_0$  has five edges. The vertex v must be connected to an internal vertex of  $E'_1$  while the other internal vertex of  $E'_1$  must be connected to the internal vertex of  $C_1$ . The resulting topology is (D).

If, instead, the additional vertex v is internal, it must be connected to the two internal vertices of  $E'_1$  and to the internal vertex of  $C_1$ . Hence we are in case (C').

Step 3. Suppose  $E'_1$  has 4 edges (hence it is external) and suppose  $C_1$  is internal with 3 edges. Since the external region must have at least three edges, there is an additional external vertex v and  $E_0$  has three edges. One of the three vertices of  $C_1$  must be connected to the vertex v while the other two vertices of  $C_1$  must be connected to the two internal vertices of  $E'_1$ . The resulting topology is (B).

Step 4. Suppose  $E'_1$  has 3 edges and is external while  $C_1$  has four edges (and hence is external). We repeat the same reasoning of Step 2 with  $E'_1$  and  $C_1$  exchanged and we obtain cases (D') and (C).

Step 5. Suppose  $E_1'$  has 3 edges and is internal while  $C_1$  has four edges (and hence is external). We repeat the same reasoning of Step 3 and obtain case (B) with  $E_1'$  and  $C_1$  exchanged. But in this case we would have two big internal components:  $E_1'$  and  $E_3$  and this is impossible in view of Corollary 4.6.

Step 6. Suppose that both  $E'_1$  and  $C_1$  have three edges and are external. There are two additional vertices v, w which are not vertices of  $E'_1$  or  $C_1$ . Since the external region  $E_0$  has at most 5 edges (there are only 5 bounded components) one of the two vertices, say v, is internal. The other vertex w cannot be internal, because otherwise v and w need to be joined by two different edges, which is not possible. The internal vertex v must be connected to w and to the two internal vertices of  $E'_1$  and  $C_1$ . The resulting topology is (E).

Step 7. Suppose that both  $E'_1$  and  $C_1$  have three edges and suppose  $E'_1$  is external and  $C_1$  is internal. We need to place two additional vertices v and w. Certainly one among v and w is external, since  $E_0$  has at least three edges. In case both v and w are external  $E_0$  has four edges.

If two of the three vertices of  $C_1$  are connected to the same vertex, we would obtain an additional three sided component (say it is  $E_2$ ). Hence we notice we have three components with three edges:  $E'_1$ ,  $C_1$  and  $E_2$ . Let  $n_0$ ,  $n_3$  and  $n_4$  be the number of edges of  $E_0$ ,  $E_3$  and  $E_4$ . By Euler's formula we have

 $24 = 3 \times 3 + n_0 + n_3 + n_4 \le 9 + 4 + n_3 + n_4$ , which means that  $\max\{n_3, n_4\} \ge \frac{11}{2}$ , i.e  $\max\{n_3, n_4\} \ge 6$  (notice that  $n_3$  and  $n_4$  are integers), which is impossible by Proposition 2.8 (each component can only have one edge in common with each other component).

So the three vertices of  $C_1$  are connected to v, w and to the internal vertex of  $E'_1$ . Necessarily v and w are also connected to the external vertices of  $E'_1$  hence they are both external and  $E_0$  has 4 edges. The resulting cluster is of type (F).

Step 8. Suppose that both  $E'_1$  and  $C_1$  have three edges and suppose that  $E'_1$  is internal and  $C_1$  is external. We obtain the same classification of Step 7 but with  $E'_1$  and  $C_1$  exchanged. We obtain case (F').

Step 9. Suppose that both  $E'_1$  and  $C'_1$  have three edges and are both internal. This is impossible because the external region would only have two edges, which is excluded.

**Proposition 6.2.** Let  $E \in \mathcal{M}^*(1,1,1,1)$ . Then E cannot have the topologies (B), (C), (C'), (D), (D'), (E), (F) of Figure 6.

*Proof.* Notice that in each case it is possible (by subsequently removing triangular components) to reduce the cluster E to a double bubble  $(E''_1, E''_2)$  where  $E''_1 \supseteq E'_1$  and  $E''_2 \supseteq E_2$ .

So, by applying Lemma 3.8 we obtain at once

$$p_1 \le \frac{k_8}{\sqrt{\min\{m(E_1'), m(E_2)\}}} = \frac{k_8}{\sqrt{1 - m(C_1)}} \le \frac{k_8}{\sqrt{1 - k_1}} \le 1.7352.$$
 (15)

In the case when  $C_1$  has only three edges (i.e. cases (B), (C'), (D), (E), and (F)) we can apply Proposition 3.5 and then Proposition 4.3 to obtain

$$p_1 \ge \frac{(6-3)\pi}{3P(C_1)} \ge \frac{\pi}{k_7} \ge 2.2125$$

and this is in contradiction with (15).

In both cases (C) and (D') we can reduce the triangular components to find a double bubble  $(E_2'', E_4'')$  with  $E_2'' \supseteq E_2$  and  $E_4'' \supseteq E_4$ . Moreover  $E_2'' \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_4)$  and  $E_4'' \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_2)$  so that  $m(E_2'') \le 3$  and  $m(E_4'') \le 3$ . So, by using Lemma 3.8 we obtain

$$\min\{p_2,p_4\} \geq \frac{k_8}{\sqrt{\min\{4-m(E_4),4-m(E_2)\}}} = \frac{k_8}{\sqrt{3}}.$$

In case (D') we can find another reduction to a double bubble  $(E_2'', E_3'')$  and, as before, we find

$$\min\{p_2, p_3\} \ge \frac{k_8}{\sqrt{3}}$$

so that, in this case,  $\min\{p_2, p_3, p_4\} \ge k_8/\sqrt{3}$ .

In case (C) we apply Proposition 3.5 to the component  $C_1$  to obtain:

$$p_1 \ge \frac{(6-4)\pi}{3P(C_1)} \ge \frac{2\pi}{3k_7} \ge 1.4750 > 0.9179 \ge \frac{k_8}{\sqrt{3}}$$

and then we apply the same Proposition 3.5 to  $E_3$  to obtain (notice that we consider  $\ell = 0$  since  $E_3$  is internal):

$$p_3 \ge \frac{(6-3)\pi}{3P(E_3)} + \min\{p_1, p_2, p_4\} \ge \min\{p_1, p_2, p_4\} \ge \frac{k_8}{\sqrt{3}}.$$

So, in both cases C and D', we obtain

$$\min\{p_2, p_3, p_4\} \ge \frac{k_8}{\sqrt{3}}.$$

Now we need to estimate the length  $\ell$  of the external edge of  $C_1$ . By Proposition 3.3 we have (notice that  $m(C_1) < k_1 < 1$ ),

$$\ell \le \frac{m(C_1) \cdot P(\mathbf{E})}{|2 - m(C_1)|} \le \frac{m(C_1)k_0}{2 - k_1}$$

while, by Proposition 4.3, we have

$$P(C_1) \leq k_7$$
.

By applying Proposition 3.5, and using the previous estimates, we get

$$p_{1} \geq \frac{(6-4)\pi}{3P(C_{1})} + \min\{p_{2}, p_{3}, p_{4}\} \left(1 - \ell \cdot \frac{1}{P(C_{1})}\right)$$

$$\geq \frac{2\pi}{3k_{7}} + \frac{k_{8}}{\sqrt{3}} \left(1 - \frac{m(C_{1})k_{0}}{2 - k_{1}} \cdot \frac{1}{2\sqrt{\pi}\sqrt{m(C_{1})}}\right)$$

$$\geq \frac{2\pi}{3k_{7}} + \frac{k_{8}}{\sqrt{3}} \left(1 - \frac{\sqrt{k_{1}}k_{0}}{2\sqrt{\pi}(2 - k_{1})}\right) \geq 1.7615$$

which, again, is in contradiction with (15).

**Proposition 6.3.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  has 5 components. Then we exclude that E has the topology (F') of Figure 6.

*Proof.* By removing the triangular components we are able to reduce the cluster E to a double bubble  $(E_2'', E_3'')$  with  $E_2'' \supseteq E_2$  and  $E_3'' \supseteq E_3$ . Notice that  $E_2'' \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_3)$  and  $E_3'' \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_2)$  so that  $m(E_2'') \le 3$  and  $m(E_3'') \le 3$ . So, by Lemma 3.8, we obtain

$$\min\{p_2, p_3\} \ge \frac{k_8}{\sqrt{3}}.$$

We repeat the same argument with  $E_4$  in place of  $E_3$  to obtain  $\min\{p_2, p_4\} \ge \frac{k_8}{\sqrt{3}}$  so that

$$\min\{p_2, p_3, p_4\} \ge \frac{k_8}{\sqrt{3}}.$$

Now we estimate the length  $\ell$  of the external edge of  $C_1$  by using Proposition 3.3:

$$\ell \le \frac{m(C_1)}{|2 - m(C_1)|} \cdot P(\boldsymbol{E})$$

i.e. (notice that  $m(C_1) < k_1 < 1$ )

$$\frac{\ell}{P(C_1)} \leq \frac{\ell}{2\sqrt{\pi}\sqrt{m(C_1)}} \leq \frac{\sqrt{m(C_1)}P(\pmb{E})}{2\sqrt{\pi}(2-m(C_1))} \leq \frac{\sqrt{k_1}k_0}{2\sqrt{\pi}(2-k_1)}$$

and we apply Proposition 3.5 to obtain

$$p_1 \ge \frac{(6-3)\pi}{3P(C_1)} + \min\{p_2, p_3\} \left(1 - \frac{\ell}{P(C_1)}\right)$$
$$\ge \frac{\pi}{k_7} + \frac{k_8}{\sqrt{3}} \left(1 - \frac{\sqrt{k_1}k_0}{(2-k_1)2\sqrt{\pi}}\right) \ge c_8 := 2.4990.$$

By Lemma 2.7 applied to the component  $E'_1$  we have

$$\pi = \frac{(6-3)\pi}{3} = \sum_{j=0}^{4} (p_1 - p_j) L_j \ge (p_1 - \max\{p_0, p_2, p_3, p_4\}) P(E_1')$$
$$= (p_1 - \max\{p_2, p_3, p_4\}) 2\sqrt{\pi} \sqrt{1 - k_1}$$

so that

$$\max\{p_2, p_3, p_4\} \ge p_1 - \frac{\sqrt{\pi}}{2\sqrt{1 - k_1}}$$

Hence

$$P(\mathbf{E}) = 2(p_1 + p_2 + p_3 + p_4) \ge 2(p_1 + \max\{p_2, p_3, p_4\} + 2\min\{p_2, p_3, p_4\})$$
$$\ge 4c_8 - 2 \cdot \frac{\sqrt{\pi}}{2\sqrt{1 - k_1}} + 4 \cdot \frac{k_8}{\sqrt{3}} \ge 11.5561 \ge k_0$$

which is a contradiction.

**Proposition 6.4.** Let  $E \in M^*(1,1,1,1)$  be a cluster with 5 components. Then we exclude that E has the topology (A) depicted in Figure 6.

*Proof.* First of all notice that

$$2k_0 \ge 2P(\mathbf{E}) = P(E_1') + P(C_1) + P(E_2) + P(E_4) + P(E_0) + P(E_3)$$
$$\ge 2\sqrt{\pi} \left(\sqrt{1 - k_1} + \sqrt{k_2} + 2\sqrt{1} + \sqrt{4}\right) + P(E_3)$$

so that

$$P(E_3) \le 2k_0 - 2\sqrt{\pi} \left(\sqrt{1 - k_1} + \sqrt{k_2} + 4\right) \le c_9 := 4.4111.$$

Now let  $\ell_j$  be the total length of the external edges of the region  $E_j$  (j = 1, 2, 4). If we remove  $E_1$  from  $\mathbf{E}$  we obtain a 3-cluster  $\mathbf{E}' = (E_2, E_3, E_4)$  with  $\mathbf{E}' \in \mathcal{C}^*(1, 1, 1)$ . Hence, by Lemma 3.9 we have  $P(\mathbf{E}') \geq k_{10}$ . Moreover

$$\ell_1 = P(\mathbf{E}) - P(\mathbf{E}') \le k_0 - k_{10}.$$

We can repeat the same argument for  $\ell_2$  and  $\ell_4$  to obtain

$$\max\{\ell_1, \ell_2, \ell_4\} \le k_0 - k_{10}. \tag{16}$$

By Proposition 3.5 we have (notice that we let  $\ell = 0$  since  $E_3$  is internal)

$$p_3 \ge \frac{(6-4)\pi}{3P(E_3)} + \min\{p_1, p_2, p_4\} > \min\{p_1, p_2, p_4\}.$$
(17)

The same proposition applied to the component  $C_1$  gives

$$p_1 \ge \frac{(6-4)\pi}{3P(C_1)} \ge \frac{2\pi}{3k_7} \ge 1.4750.$$

Since

$$k_0 \ge P(\mathbf{E}) = 2(p_1 + p_2 + p_3 + p_4) \ge 2p_1 + 6\min\{p_2, p_3, p_4\}$$
  
  $\ge \frac{4\pi}{3k_7} + 6\min\{p_2, p_3, p_4\},$ 

we obtain

$$\min\{p_2, p_3, p_4\} \le \frac{k_0}{6} - \frac{2\pi}{9k_7} \le 1.3744,$$

so that

$$p_1 > \min\{p_2, p_3, p_4\}. \tag{18}$$

Putting together (17) and (18) we can say that the minimum among  $p_1$ ,  $p_2$ ,  $p_3$ ,  $p_4$  is either  $p_2$  or  $p_4$ . Without loss of generality we can assume that such a minimum is  $p_2$ .

Hence, applying Lemma 2.7 to the region  $E_2$  we obtain

$$\frac{(6-4)\pi}{3} = \sum_{i=0}^{4} (p_2 - p_i) L_i \le p_2 \ell_2$$

where  $L_i$  is the total length of the edges between  $E_2$  and  $E_i$  (so that  $L_0 = \ell_2$ ) and we used the estimate  $p_2 - p_i \leq 0$  for  $i \neq 0$ . So, using (16)

$$\min\{p_1, p_2, p_3, p_4\} = p_2 \ge \frac{2\pi}{3\ell_2} \ge \frac{2\pi}{3(k_0 - k_{10})}.$$

Now, use again Proposition 3.5 on the region  $E_3$  to obtain

$$p_3 \ge \frac{(6-4)\pi}{3P(E_3)} + \min\{p_1, p_2, p_4\} \ge \frac{2\pi}{3c_9} + \frac{2\pi}{3(k_0 - k_{10})} \ge c_{10} := 1.3466.$$
 (19)

Finally we apply Lemma 2.7 to the region  $E_0$  to obtain

$$\frac{(6+4)\pi}{3} = p_1\ell_1 + p_2\ell_2 + p_4\ell_4 \le \max\{\ell_1, \ell_2, \ell_4\}(p_1 + p_2 + p_4)$$

hence, using also (16)

$$p_1 + p_2 + p_4 \ge \frac{10\pi}{3(k_0 - k_{10})}.$$

So, using also (19), we have

$$P(\mathbf{E}) = 2p_3 + 2(p_1 + p_2 + p_4) \ge 2c_{10} + \frac{20\pi}{3(k_0 - k_{10})} \ge 11.4116 > k_0$$

which is a contradiction.

**Theorem 6.5.** Let  $E \in \mathcal{M}(1,1,1,1)$ . Then E is connected.

*Proof.* By Corollary 5.1 we know that  $E \in \mathcal{M}^*(1,1,1,1)$ .

By Corollary 4.5 and by Proposition 4.9 we know that each region  $E_i$  has exactly one big component and the total number of small components is not larger than two.

If the cluster has exactly two small components, with Corollary 5.2, we exclude that they belong to the same region and with Proposition 5.3 we exclude that they belong to two different regions.

Finally, from Proposition 6.1 and Propositions 6.2, 6.3 and 6.4 we exclude that the cluster has exactly one small connected component (which means five connected components in total).  $\Box$ 

## 7 Connected clusters (four components)

**Proposition 7.1.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  be a connected cluster. Then E has two possible topologies (see Figure 1):

- 1. one internal three sided region and three four sided external regions: we call this topology the flower;
- 2. two three sided external regions and two four sided external regions; the three sided regions are not adjacent to each other: we call this topology the sandwich.

*Proof.* Since every region is connected, by Proposition 2.8 every region (comprising  $E_0$ ) has three or four edges and the cluster has a total of nine edges and six vertices. Let x be the number of regions (bounded or unbounded) with four edges and let y the number of regions (bounded or unbounded) with three edges. We have one unbounded region  $E_0$  and four bounded regions, hence: x + y = 5. Moreover summing up all the edges of all the regions we would count each edge twice, hence we have: 4x + 3y = 18. Solving the system of two equations gives x = 3, y = 2 hence we have three regions with four edges and two regions with four edges.

If the unbounded region  $E_0$  has three edges (note that there is a total of six vertices), there is one internal region and three external regions. The internal region can only have three edges (because it is not adjacent to  $E_0$ ) and we are in the first case of the statement.

If the unbounded region  $E_0$  has four edges, all the bounded regions are external: two of them have three edges and two have four edges. The regions with four edges are adjacent to all other regions hence the regions with three edges don't touch each other. We are in the second case of the statement.  $\square$ 

**Proposition 7.2.** Let  $E \in \mathcal{M}^*(1,1,1,1)$  be a connected cluster. Then E has not the flower topology described in Theorem 7.1.

*Proof.* Suppose by contradiction that E has the flower topology and let  $E_1$  be the internal three sided region.

First of all notice that

$$k_0 \ge P(\mathbf{E}) \ge P(E_0) + P(E_1) \ge 2\sqrt{\pi}\sqrt{4} + P(E_1)$$

so that

$$P(E_1) < k_0 - 4\sqrt{\pi} < c_{11} := 4.1064.$$

Now let  $\ell_2$  be the length of the external edge of the region  $E_2$ . If we remove  $E_2$  from  $\boldsymbol{E}$  we obtain a 3-cluster  $\boldsymbol{E}'=(E_1,E_3,E_4)$  with  $\boldsymbol{E}'\in\mathcal{C}^*(1,1,1)$ . Hence, by Lemma 3.9 we have  $P(\boldsymbol{E}')\geq k_{10}$ . Moreover

$$\ell_2 = P(\mathbf{E}) - P(\mathbf{E}') < k_0 - k_{10}.$$

We can repeat the same argument for the lengths  $\ell_3$  and  $\ell_4$  of the external edges of  $E_3$  and  $E_4$ , to obtain

$$\max\{\ell_2, \ell_3, \ell_4\} \le k_0 - k_{10}. \tag{20}$$

By removing the triangular components we are able to reduce the cluster E to a double bubble  $(E_2'', E_3'')$  with  $E_2'' \supseteq E_2$  and  $E_3'' \supseteq E_3$ . Notice that  $E_2'' \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_3)$  and  $E_3'' \subseteq \mathbb{R}^2 \setminus (E_0 \cup E_2)$  so that  $m(E_2'') \le 3$  and  $m(E_3'') \le 3$ . So, by Lemma 3.8, we obtain

$$\min\{p_2, p_3\} \ge \frac{k_8}{\sqrt{3}}.$$

We repeat the same argument with  $E_4$  in place of  $E_3$  to obtain  $\min\{p_2, p_4\} \ge \frac{k_8}{\sqrt{3}}$  so that

$$\min\{p_2, p_3, p_4\} \ge \frac{k_8}{\sqrt{3}}.$$

Now, use again Proposition 3.5 on the region  $E_1$  to obtain (notice that we let  $\ell = 0$  since  $E_1$  is internal)

$$p_1 \ge \frac{(6-3)\pi}{3P(E_1)} + \min\{p_2, p_3, p_4\} \ge \frac{\pi}{c_{11}} + \frac{k_8}{\sqrt{3}} \ge c_{12} := 1.6829.$$
 (21)

Finally we apply Lemma 2.7 to the region  $E_0$  to obtain

$$\frac{(6+3)\pi}{3} = p_2\ell_2 + p_3\ell_3 + p_4\ell_4 \le \max\{\ell_1, \ell_2, \ell_4\} \cdot (p_2 + p_3 + p_4)$$

hence, using also (20)

$$p_2 + p_3 + p_4 \ge \frac{3\pi}{k_0 - k_{10}}.$$

So, using also (21), we have

$$P(\mathbf{E}) = 2p_1 + 2(p_2 + p_3 + p_4) \ge 2c_{12} + \frac{6\pi}{k_0 - k_{10}} \ge 11.2124 > k_0$$

which is a contradiction.

**Theorem 7.3.** Let  $E \in \mathcal{M}(1,1,1,1)$ . Then E has the sandwich topology as in Figure 1.

*Proof.* By Theorem 6.5 we know that E is connected so by Proposition 7.1 we know that E can either have the flower or the sandwich topology. With Proposition 7.2 we exclude the flower topology and the result follows.

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