# GEODESICS IN ASYMMETRIC METRIC SPACES

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ABSTRACT. In a recent paper [16] we studied asymmetric metric spaces; in this context we studied the length of paths, introduced the class of *runcontinuous* paths; we noted that there are different definitions of "length space" (also known as "path-metric space" or "intrinsic space"). In this paper we continue the analysis of asymmetric metric spaces. We propose possible definitions of completeness and (local) compactness. We define the geodesics using as admissible paths the class of *run-continuous* paths. We define midpoints, convexity, and quasi-midpoints, but without assuming that the space be intrinsic. We distinguish all along those results that need a stronger separation hypothesis. Eventually we discuss how the newly developed theory impacts the most important results, such as the existence of geodesics, and the renowned Hopf-Rinow (or Cohn-Vossen) theorem.

# 1. INTRODUCTION

We here continue the analysis of asymmetric metric spaces in the setting that was proposed in [16]. To keep this paper as self contained as possible we will summarize the main definitions of [16] in Section 2. We now nonetheless start with a few definitions and an informal discussion.

Let M be a non empty set.

# **Definition 1.1.** $b: M \times M \to [0,\infty]$ is an **asymmetric distance** if

- $b \ge 0$  and  $\forall x \in M, b(x, x) = 0$ ;
- $\forall x, y \in M, b(x, y) = b(y, x) = 0$  implies x = y,
- $\forall x, y, z \in M, \ b(x, z) \le b(x, y) + b(y, z)$ .

The second condition implies that the associated topology (that is defined in Sec. 2) is  $T_2$ , so we will call it *separation hypothesis*. The third condition is usually called the *triangle inequality*. If the second condition does not hold, then b is an *asymmetric semidistance*. (A semidistance is also called a "pseudometric".)

We call the pair (M, b) an **asymmetric metric space**.

The setting presented here and in [16] is similar to the approach of Busemann, see e.g. [4, 5, 6]; it is also similar to the metric part of Finsler Geometry, as presented in [2]. Differences were discussed in the appendix of [16], and are further highlighted in the appendix A.2 of this paper.

A different point of view is found in the theory of *quasi metrics* (or *ostensible metrics*); the main difference is that in this presentation there is only one topology associated to the space; whereas a quasi-metric is associated to *three different topologies*. This brings forth many different and non-equivalent definitions of "completeness" and "compactness". We will compare the two fields in the appendix A.4.

In [16] we introduced three different classes of paths taking values in M; this produced three different definitions of "intrinsic space". The larger class is the class

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 $\mathcal{C}_r$  of "run-continuous paths", that are paths  $\xi : [a, c] \to M$  such that the length

 $\operatorname{Len}^{b}\left(\xi|_{[a,t]}\right)$ 

of the path  $\xi$  restricted to [a, t] is a continuous function of t. (Len<sup>b</sup> is the length computed using the total variation formula, see eqn. (2.2)). We then presented in [16] some results regarding length structures and induced distances; those results show that this class  $C_r$  seems more natural in the asymmetric case than the usual class  $C_q$  of *continuous paths*.

We state a stronger version of the second condition in 1.1:

$$\forall x, y \in M, \ b(x, y) = 0 \Longrightarrow x = y ; \tag{1.1}$$

note that this is the "separation hypothesis" used by Busemann in [4, 6] and Zaustinsky [19], and in [15]. We will call **strongly separated** an asymmetric metric space (M, b) for which (1.1) holds. We will see all along this paper that using the weaker or respectively the stronger separation hypothesis has many effects on the theory; whereas the stronger separation hypothesis was unneeded for the results in [16].

In this paper we will continue the analysis of asymmetric metric spaces. We will propose possible definitions of *completeness* and *(local) compactness*. We will define the *geodesics* using as admissible paths the class of *run-continuous* paths. We will define *midpoints*, *convexity*, and *quasi-midpoints*. Eventually we will discuss some classical topics, such as the existence of geodesics, and the Hopf–Rinow (or Cohn-Vossen) theorem.

1.1. **Hopf–Rinow like theorem.** In what follows we will use the notations and definitions that are used in the books by Gromov [11], or by Burago & Burago & Ivanov [3]. <sup>1</sup> Consider a symmetric metric space (M, d): we can define the length Len<sup>d</sup>  $\gamma$  of a continuous path  $\gamma$  using the total variation formula (again, see eqn. (2.2)); then we can define a new metric  $d^g(x, y)$  as the infimum of Len<sup>d</sup>( $\gamma$ ) in the class of all continuous paths connecting x to y. When  $d = d^g$  Gromov defines that the space is "**path-metric**", or "**intrinsic**"; whereas [3] calls such a space a "**length space**".

In \$2.5.3 in [3] we can then find this result (a smaller version is in \$1.11 \$1.12 in [11]).

**Theorem 1.2** (symmetric Hopf–Rinow or Cohn-Vossen theorem). Suppose that (M, d) is path-metric and locally compact; then the following facts are equivalent.

- (1) (M,d) is complete;
- (2) closed bounded sets are compact;
- (3) every geodesic  $\gamma : [0,1) \to M$  can be extended to a continuous path  $\overline{\gamma} : [0,1] \to M$ .

The above is the metric counterpart of the theorem of Hopf–Rinow in Riemannian Geometry: indeed, if (M, g) is a finite-dimensional Riemannian manifold, and d is the associated distance, then (M, d) is path-metric and locally compact.

Since there is a Hopf–Rinow theorem in Finsler Geometry, we would expect that there would be a corresponding theorem for "asymmetric metric spaces". Indeed Busemann proved such a result in its theory of "General Metric Spaces" (see e.g. Chap. 1 in [6]) for the case of intrinsic and locally compact spaces. (Note that in

<sup>&</sup>lt;sup>1</sup>Note that the authors of [11] and [3] were not the first to discover this kind of result; but the axioms and definition used in previous works such as [6, 5] were different from what we use here. Note also that a first form of theorem 1.2 is due to Cohn-Vossen [7], according to the introduction of Busemann's [6].

"General Metric Spaces" there is only one notion of "intrinsic", as in the symmetric case).

In the following sections we will state "asymmetric definitions", such as "forward ball", "forward local compactness", "forward completeness", "forward boundedness", (and respectively "backward") and so on. We have moreover discussed in Sec. 3.6.2 in [16] three different definitions of "*intrinsic*" for the asymmetric case (they are recalled in Defn. 2.1 here). Eventually we will prove the desired Hopf–Rinow-like result for *asymmetric metric spaces* in Theorem 12.1.

1.2. Outline of the paper. In this paper we start in Sec. 2 by reviewing the definitions from [16]. In the initial sections we will propose the basic definitions for this paper. In Sec. 3 we will propose possible definitions of *(local) compactness*, and of *completeness* in Sec. 4. We will explore the relations between these notions, keeping parallels with the usual theory of symmetric metric spaces. (Sec. 5 contains technical lemmas that the casual reader may want to skip on a first reading). We will then encounter in Sec. 6 quasi-midpoints, and show (similarly to the symmetric case) that the existence of quasi-midpoints is tightly related to the space being *"r-intrinsic"*. We will then in Sec. 7 define *geodesics* as length minimizing paths in the class  $\mathcal{C}_r$  of run-continuous paths. If the space is compact and "strongly separated" then the run-continuous paths are continuous, *i.e.*  $\mathcal{C}_r \equiv \mathcal{C}_q$ , so the theories of "continuous geodesics" and "run-continuous geodesics" coincide. In general they do not. We will then note in Sec. 8 that, in spaces that are not strongly separated, the concept of *arc-length reparameterization* needs special care; and in particular that the reparameterization of a continuous rectifiable path may fail to be continuous. (All works fine though in the realm  $\mathcal{C}_r$  of *run-continuous* paths) In Sec. 9 we will show results of existence of geodesics when appropriate container sets are compact (similarly to the classical results); both in the class  $C_r$ In Sec. 10 we will talk of "convexity", define midpoints and use them to and in  $\mathcal{C}_q$ . build geodesics (similarly to the classical theory by Menger, but without forcing the space to be "intrinsic" in some sense); we will then note that in the asymmetric case the classical method of Menger builds run-continuous geodesics, and not continuous In Sec. 11 we will see examples and counterexamples. *qeodesics*! Eventually in Sec. 12 we will prove the renowned Hopf–Rinow (or Cohn-Vossen) theorem. We will conclude the analysis with some remarks on the separation hypotheses in Sec. 13, and the case when  $b(x, y) = \infty$  for some points in Sec. 14. In Sec. 15 we will draw some conclusions; in particular we will argue that, in the asymmetric metric spaces, the class of  $\mathcal{C}_r$  of run-continuous paths is more "natural" than the class  $\mathcal{C}_q$ of continuous paths.

### 2. Main definitions

We provide a short summary of the main definitions presented in the previous paper [16].

We already defined the asymmetric distance b in 1.1, and the asymmetric metric space as the pair (M, b). The space (M, b) is endowed with the topology  $\tau$  generated by the families of **forward** and **backward open balls** 

$$B^+(x,\varepsilon) \stackrel{\text{def}}{=} \{ y \mid b(x,y) < \varepsilon \}, \quad B^-(x,\varepsilon) \stackrel{\text{def}}{=} \{ y \mid b(y,x) < \varepsilon \}$$

for  $\varepsilon \in (0,\infty)$ ; this is also the topology generated by the symmetric distance

$$d(x,y) \stackrel{\text{def}}{=} b(x,y) \lor b(y,x) \quad . \tag{2.1}$$

When we will talk of "continuity", "compactness" or of "convergence", we will always use the topology  $\tau$  on M. Note that a sequence  $(x_n)_n \subset M$  converges to x if and only if  $d(x, x_n) \rightarrow_n 0$ ; note also that b is continuous. More details are in Sec. 3 in [16].

We also define

 $D^+(x,\varepsilon) \stackrel{\text{\tiny def}}{=} \{y \mid b(x,y) \leq \varepsilon\} \ , \ D^-(x,\varepsilon) \stackrel{\text{\tiny def}}{=} \{y \mid b(y,x) \leq \varepsilon\} \ ,$ 

for convenience. Note that in general  $\overline{B^+} \neq D^+$  (even in the symmetric case).

Given a (semi)distance b and  $\xi : I \to M$  with  $I \subseteq \mathbb{R}$  an interval, we define from b the **length** Len<sup>b</sup> of  $\xi$  by using the **total variation** 

$$\operatorname{Len}^{b}(\xi) \stackrel{\text{def}}{=} \sup_{T} \sum_{i=1}^{n} b\big(\xi(t_{i-1}), \xi(t_{i})\big)$$
(2.2)

where the sup is carried out over all finite subsets  $T \subset I$  that we enumerate as  $T = \{t_0, \dots, t_n\}$  so that  $t_0 < \dots < t_n$ . When  $\text{Len}^b(\xi) < \infty$  we say that  $\xi$  is **rectifiable**.

Given  $\gamma : [a, c] \to M$ , we define the **running length**  $\ell^{\gamma} : [a, c] \to \mathbb{R}^+$  of  $\gamma$  to be the length of  $\gamma$  restricted to [a, t], that is

$$\ell^{\gamma}(t) \stackrel{\text{def}}{=} \operatorname{Len}^{b} \left( \gamma|_{[a,t]} \right) \quad . \tag{2.3}$$

We will call **run-continuous** a rectifiable  $\gamma : [a, c] \to M$  such that  $\ell^{\gamma}$  is continuous.

More in general, given an interval  $I \subseteq \mathbb{R}$  (possibly unbounded) and a map  $\xi : I \to M$ , we will say that  $\xi$  is **run-continuous** when, for any  $a, c \in I$  with a < c, we have that  $\xi$  restricted to [a, c] is rectifiable and run-continuous. (Note that it may be the case that  $\xi$  is not rectifiable — as in the case of a straight line in the Euclidean space).

Note that a *run-continuous path* is not necessarily continuous. <sup>2</sup> See Cor. 5.5 for an equivalent definition of *run-continuous path*.

Let  $a \leq s \leq t \leq c$ , then the length of  $\gamma$  restricted to [s, t] is  $\ell^{\gamma}(t) - \ell^{\gamma}(s)$ ; so by the definition (2.2) we obtain that

$$b(\gamma(s), \gamma(t)) \le \ell^{\gamma}(t) - \ell^{\gamma}(s) \quad . \tag{2.4}$$

We say that a path  $\gamma : [a, c] \to M$  "connects x to y" when  $\gamma(a) = x, \gamma(c) = y$ . We define three classes of paths taking values in M.

- $\mathcal{C}_r$  is the class of all run-continuous paths;
- $C_g$  is the class of all continuous rectifiable paths (that are also run-continuous, by Prop. 3.9 in [16] or Lemma 5.4 here);
- $C_s$  is the class of all continuous paths such that both  $\gamma$  and  $\hat{\gamma}(t) \stackrel{\text{def}}{=} \gamma(-t)$  are rectifiable (note that other equivalent definitions are in Prop. 3.8 in [16]).

We noted in [16] that  $\mathcal{C}_r \subseteq \mathcal{C}_g \subseteq \mathcal{C}_s$ ; in symmetric metric spaces the three classes coincide, but in asymmetric metric spaces they may differ.

These classes induce three new distances. Let then  $b^r(x, y)$  (respectively  $b^g(x, y)$ ,  $b^s(x, y)$ ) be the infimum of  $\text{Len}^b(\xi)$  for all  $\xi$  connecting x, y and  $\xi \in \mathcal{C}_r$  (respectively  $\xi \in \mathcal{C}_g, \xi \in \mathcal{C}_s$ ). Obviously

$$b \le b^r \le b^g \le b^s \quad . \tag{2.5}$$

Note that  $b^r(x, y) < \infty$  if and only if there is a run-continuous rectifiable path that connects x to y; and so on.

We thus proposed this definition.

<sup>&</sup>lt;sup>2</sup>Actually we will use the word "path" only to denote a *run-continuous path*; otherwise we will say "map" or "function".

**Definition 2.1.** An asymmetric metric space (M, b) is called

- **r**-intrinsic when  $b \equiv b^r$ ,
- **g**-intrinsic when  $b \equiv b^g$ ,
- s-intrinsic when  $b \equiv b^s$ .

(By eqn. (2.5) the third implies the second, the second implies the first). In symmetric metric spaces the three notions coincide, so we simply say *intrinsic*. The theorem 3.15 in [16] shows that the induced metric space  $(M, b^r)$  is always r-intrinsic, and  $(M, b^s)$  is always s-intrinsic. It may be that  $(M, b^g)$  is not g-intrinsic, see Example 4.4 in [16].

Remark 2.2. For any "forward" definition in this paper there is a corresponding "**backward**" definition, obtained by exchanging the first and the second argument of b, *i.e.* by using the *conjugate distance*  $\overline{b}$  defined by

$$b(x,y) = b(y,x)$$
 . (2.6)

For this reason, in this paper we will mostly present the *forward* versions of the theorems, since *backward* results are obtained by replacing *b* with  $\overline{b}$ . For any *forward* definition there is also a corresponding **symmetric** definition, obtained by replacing *b* with *d*.

Before we end the introduction, we recall the definitions of *Finslerian metric* and of *General Metric Space* for the convenience of the reader.

**Definition 2.3.** We recall that a "General Metric Space", according to Busemann [4, 6] and Zaustinsky [19], is a strongly separated <sup>3</sup> asymmetric metric space satisfying also

$$\forall x \in M, \forall (x_n) \subset M, \quad \lim_{n \to \infty} b(x_n, x) = 0 \text{ iff } \lim_{n \to \infty} b(x, x_n) = 0 \quad . \tag{2.7}$$

As already remarked in the appendix of [16], due to the extra hypothesis (2.7), in a "General metric space" every run-continuous path is also continuous; so the classes  $\mathcal{C}_r = \mathcal{C}_q$  and  $b^r = b^g$ .

The following classical example was already discussed in [16] (see Example 1.3 and section 2.5.3) but again is here reported for convenience of the reader.

Example 2.4. Suppose that M is a differential manifold. Suppose that we are given a Borel function  $F: TM \to [0, \infty]$ , and that for all fixed  $x \in M$ ,  $F(x, \cdot)$  is positively 1-homogeneous. We define the *length*  $\operatorname{len}^{F}(\xi)$  of an absolutely continuous path  $\xi: [0, 1] \to M$  as

$$\ln^{F}(\xi) = \int_{0}^{1} F(\xi(s), \dot{\xi}(s)) \, \mathrm{d}s \quad .$$
 (2.8)

We then define the asymmetric semidistance function  $b^F(x, y)$  on M to be the infimum of this length  $\operatorname{len}^F(\xi)$  in the class of all absolutely continuous  $\xi$  connecting x to y.

The length  $\text{len}^F$  is called a *Finslerian Length* in Example 2.2.5 in [3]. So we will call  $b^F$  the *Finslerian distance function*.

 $<sup>^{3}</sup>$ Unfortunately in [16] we omitted to stress the fact that a "General metric space" has to satisfy (1.1) as well.

#### ANDREA C. G. MENNUCCI

#### 3. Local compactness

We say that (M, b) is forward-locally compact if  $\forall x \in M \exists \varepsilon > 0$  such that

 $D^+(x,\varepsilon) \stackrel{\text{def}}{=} \{ y \mid b(x,y) \le \varepsilon \}$ 

is compact. "Backward" and "symmetrical" definitions are obtained as explained in Remark 2.2. We say that (M, b) is **locally compact** if  $\forall x \in M \exists \varepsilon > 0$  such that both  $D^{-}(x, \varepsilon)$  and  $D^{+}(x, \varepsilon)$  are compact; that is, if (M, b) is both forward and backward locally compact. The following implications hold.

locally compact $\longrightarrow$	forward locally compact
Ļ	Ļ
backward locally compact→	symmetrically locally compact

The opposite implications do not hold in general, as shown in examples in Sec. 11. Other definitions are used in the literature, such as *finitely compact*, see Sec. A.3 and Sec. A.1 in [16].

3.1. Properties in strongly separated spaces. This section collects properties valid in (locally) compact spaces that are strongly separated (*i.e.* where (1.1) holds). All may be proved by using this Lemma (that is similar to (2.3), (2.6) in Zaustinsky's [19]).

**Lemma 3.1** (modulus of symmetrization). Suppose that the space is strongly separated. Let  $C \subseteq M$  be a compact set. Then there exists a monotonic non decreasing continuous function

$$\omega: [0,\infty) \to [0,\infty)$$

with  $\omega(0) = 0$ , such that

$$\forall x, y \in C, \quad b(x, y) \le \omega(b(y, x))$$

Proof. Define

$$f(r) = \sup_{x,y \in C, \ b(x,y) \le r} b(y,x)$$

and then f is monotone. Since C is compact, then  $f < \infty$ . Moreover  $\lim_{r \to 0} f(r) = 0$ ; otherwise we may find  $\varepsilon > 0$  and  $x_n, y_n$  s.t.  $b(x_n, y_n) \to 0$  while  $b(y_n, x_n) > \varepsilon$ ; but, extracting converging subsequences, we obtain a contradiction. From f we can define an  $\omega$  as required, for example  $\omega(r) = \frac{1}{r} \int_r^{2r} f(s) ds$  (note that  $\omega \ge f$ ).  $\Box$ 

**Corollary 3.2.** Suppose that the space is strongly separated. If  $(x_n) \subset M$  is a sequence such that  $b(x, x_n) \to 0$ , and M is forward-locally compact, then  $x_n \to x$ .

The lemma may also be used as follows.

**Corollary 3.3.** Suppose that the space is strongly separated. If (M, b) is locally compact then  $\forall x \in M, \varepsilon > 0 \ \exists r > 0 \ s.t.$ 

$$B^+(x,r) \subseteq B^-(x,\varepsilon), \quad B^-(x,r) \subseteq B^+(x,\varepsilon)$$

and then  $\tau = \tau^+ = \tau^-$ .

In particular, an asymmetric metric space that is compact and strongly separated, is also a *General Metric Space* as defined by Busemann (see Definition 2.3), and  $C_r = C_q$  (but  $C_q \neq C_s$  in Exa. 4.4 in [16]).

The following is another corollary of 3.1 and is, in a sense, a vice versa of Prop. 3.9 in [16].

**Corollary 3.4.** Suppose that the space is strongly separated. Let  $\gamma : [a, c] \to M$  be a rectifiable path, and  $\ell^{\gamma}$  be its running length. Suppose that  $\ell^{\gamma}$  is continuous and that the image of  $\gamma$  is compact, then  $\gamma$  is continuous. (Proof follows from lemma 3.1 and eqn. (2.4)).

Note that, when the space is not strongly separated, then the examples 8.3 and 8.6 provide counterexamples to the above theses.

4. Completeness

**Definition 4.1.** A sequence  $(x_n)_{n \in \mathbb{N}} \subset M$  is called a forward Cauchy sequence if

$$\forall \varepsilon > 0, \ \exists N \in \mathbb{N} \text{ such that } \forall n, m, \ m \ge n \ge N \Rightarrow b(x_n, x_m) < \varepsilon$$

$$(4.1)$$

**Definition 4.2.** We say that (M, b) is forward complete if any forward Cauchy sequence  $(x_n)$  converges to a point  $x \in M$ .

"Backward" and "symmetrical" definitions are obtained as explained in Remark 2.2. Note that these definitions agree with those used in Finsler Geometry (see Chapter VI in [2]). In the appendix, in Remark A.5 we will present a different definition. When (M, b) is both "forward" and "backward" complete, we will simply say that it is **complete**.

Some relations hold.

**Proposition 4.3.** Let  $(x_n) \subset M$  be a sequence. Then the following are equivalent

- $(x_n)$  is forward Cauchy and backward Cauchy,
- $(x_n)$  is symmetrically Cauchy.

From that we obtain that, if (M, b) is either forward or backward complete, then it is symmetrically complete.

| forward complete  $| \Longrightarrow |$  symmetrically complete  $| \Leftarrow |$  backward complete

The second statement cannot be inverted, as shown in example 4.2 in [16], and 11.3, 11.4 here.

*Proof.* Suppose that  $(x_n)$  is symmetrically Cauchy: then  $\forall \varepsilon > 0 \exists N$  such that  $\forall n, m > N$ ,  $d(x_n, x_m) < \varepsilon$ : then  $b(x_n, x_m) < \varepsilon$ .

Suppose that  $(x_n)$  is forward Cauchy and is backward Cauchy:  $\forall \varepsilon > 0 \exists N''$  such that  $\forall n > m > N''$ ,  $b(x_n, x_m) < \varepsilon$ , and  $\exists N'$  such that  $\forall n > m > N'$ ,  $b(x_m, x_n) < \varepsilon$ : then we let  $N = N' \vee N''$ , and  $\forall n, m > N$ ,  $d(x_n, x_m) < \varepsilon$ .

Suppose that (M, b) is forward complete; let  $(x_n)$  be symmetrically Cauchy: then it is forward Cauchy, and then, since (M, b) is forward complete, there is an x such that  $x_n \to x$ . Similarly if (M, b) is backward complete.

An important property that is often used in symmetric metric spaces holds also in the asymmetric case.

**Proposition 4.4.** Suppose that  $(x_n)$  is either forward Cauchy, or backward Cauchy, and there exists a subsequence  $n_k$  and a point x such that  $\lim_{k\to\infty} x_{n_k} = x$ . Then  $\lim_{n\to\infty} x_n = x$ .

*Proof.* Fix  $\varepsilon > 0$ ; since  $(x_n)$  is forward Cauchy,  $\exists N$  such that  $\forall m, m'$  with  $m' \geq m \geq N$ ,  $b(x_m, x_{m'}) \leq \varepsilon$ ; let H be such that  $n_H \geq N$  and  $\forall k \geq H$ ,  $d(x, x_{n_k}) \leq \varepsilon$ ; for  $n \geq n_H$ ,

 $b(x, x_n) \le b(x, x_{n_H}) + b(x_{n_H}, x_n) \le d(x, x_{n_H}) + b(x_{n_H}, x_n) \le 2\varepsilon$ 

at the same time, choosing a large  $h \ge H$  such that  $n_h \ge n$ ,

k

$$b(x_n, x) \le b(x_n, x_{n_h}) + b(x_{n_h}, x) \le b(x_n, x_{n_h}) + d(x_{n_h}, x) \le 2\varepsilon$$

so in conclusion  $d(x_n, x) \leq 2\varepsilon$ . Similarly if  $(x_n)$  is backward Cauchy.

A similarly looking property though does not hold.

Remark 4.5. Fix a sequence  $(x_n) \subset M$ . Suppose that  $\forall \varepsilon > 0$  there exists a converging sequence  $(y_n)$  such that  $\forall n, b(y_n, x_n) < \varepsilon$ . If b is symmetric and (M, b) is complete, then  $(x_n)$  converges. If b is asymmetric and (M, b) is complete, then there is a counter-example in Example 11.5.(8).

So this standard property holds, as in the symmetric case.

**Proposition 4.6.** Suppose that (M, b) is compact, then it is complete.

The proof follows from Prop. 4.4. We will see that other properties valid in the symmetric case may fail, though.

Another interesting property links completeness and induced distances.

**Proposition 4.7.** Suppose that (M, b) is forward complete, then  $(M, b^r)$  and  $(M, b^g)$  are forward complete.

Proof. Let  $(x_n)_{n\geq 0}$  be a forward Cauchy sequence in  $(M, b^r)$ . Up to a subsequence, with no loss of generality (using 4.4), we assume that  $b^r(x_n, x_{n+1}) \leq 2^{-n}$ . Let  $\varepsilon > 1$ . We can then build a run-continuous path  $\gamma : [0, 1) \to M$  such that  $\gamma(1 - 2^{-n}) = x_n$ and the length of  $\gamma(t)$  for  $t \in [1 - 2^{-n}, 1 - 2^{-n-1}]$  is less than  $\varepsilon 2^{-n}$ ; so  $\gamma$  is rectifiable. Since (M, b) is forward complete, by Lemma 5.8 there exists  $z = \lim_{t \to 1^-} \gamma(t)$ , and we define  $\gamma(1) = z$  for convenience. Since  $\gamma(t)$  is continuous for t = 1, Lemma 5.4 guarantees that  $\ell^{\gamma}(t)$  is continuous at t = 1 as well; this implies that  $\gamma$  is run-continuous on all of [0, 1]; so by definition of  $b^r$ ,  $\lim_{\tau \to 1^-} b^r(\gamma(\tau), z) = 0$ , so we conclude using 4.4 in  $(M, b^r)$ .

For the case of  $(M, b^g)$  we use continuous rectifiable paths.

The opposite is not true, as shown in this simple (and symmetric) example.

*Example* 4.8. Let  $M \subset \mathbb{R}^2$  be given by the union of segments as follows

$$M=\bigcup_{n\in\mathbb{N},n\geq1}\{(x,y):x\in[0,1],y=x/n\}$$

and b the Euclidean distance, then M is not closed in  $\mathbb{R}^2$ , its closure is

$$\overline{M} = M \cup \{(x,0), x \in [0,1]\} ,$$

hence (M, b) is not complete; but  $(M, b^r)$  is complete.

If we add the segment  $\{(x,0), x \in [1/2,1]\}$  to M, we obtain a set  $\tilde{M}$  such that  $(\tilde{M}, b)$  is connected but  $(\tilde{M}, b^r)$  is disconnected.

## 4.1. Completeness and run-continuous paths.

**Proposition 4.9.** Suppose that the space is strongly separated. Suppose  $\gamma : [a, c] \rightarrow M$  is rectifiable and run-continuous. If the space (M, b) is backward complete, then  $\gamma$  is right-continuous; if (M, b) is forward complete, then  $\gamma$  is left-continuous.

The proof follows from technical Lemmas 5.8 and 5.9 (that also detail the rôle played by each of the hypotheses). If the space is not strongly separated, then this result may be false, see the path  $\psi$  in Example 8.6.

In Proposition 3.9 in [16] we saw that a rectifiable and continuous path is also run-continuous. The opposite holds in complete strongly separated spaces.

**Corollary 4.10.** If (M, b) is complete and strongly separated, then any runcontinuous rectifiable path is continuous; hence the classes  $C_r$  and  $C_g$  coincide, and  $b^r \equiv b^g$ .

Note that a space may be complete and strongly separated, but still not a *General Metric Space*, as in Example 11.5.

#### 5. Technical Lemmas

This section contains some technical lemmas and definitions that are needed in proofs. The reader not interested in the details of the fine properties of runcontinuous paths may skip to next section.

**Lemma 5.1.** Suppose that  $\gamma : [a, c] \to M$  is run-continuous, let  $z \in M$ , define  $\varphi(t) \stackrel{\text{def}}{=} b(z, \gamma(t))$ , suppose that for all  $t \in [a, c], \varphi(t) < \infty$ . Then  $\forall \tau \in [a, c]$ 

$$if \tau \neq a, \ \liminf_{\theta \to \tau-} \varphi(\theta) \ge \varphi(\tau) \ ; \quad if \tau \neq c, \ \varphi(\tau) \ge \limsup_{\theta \to \tau+} \varphi(\theta) \ . \tag{5.1}$$

This has some consequences. For any s,t with  $a \leq s < t \leq c$  such that  $\varphi(s) < \varphi(t)$ , the image of  $\varphi$  on [s,t] contains the interval  $[\varphi(s),\varphi(t)]$ . Moreover there is a  $\tilde{t} \in (s,t]$  such that  $\varphi(\tilde{t}) = \varphi(t)$  and  $\varphi(\tau) < \varphi(t)$  when  $s \leq \tau < \tilde{t}$ , and again  $\varphi([s,\tilde{t}]) \supseteq [\varphi(s),\varphi(t)]$ .

The same holds for  $\varphi(t) = b^r(z, \gamma(t))$ .

Intuitively the above is a Darboux-type condition that holds only when  $\varphi$  increases; and  $\tilde{t}$  is the first time when  $\varphi(\tilde{t}) = \varphi(t)$ . In general  $\varphi(t)$  is not continuous (set  $z = 1, \gamma(t) = t$  in example 4.6 in [16]).

*Proof.* For  $s, t \in [a, c]$ ,  $b(z, \gamma(s)) + b(\gamma(s), \gamma(t)) \ge b(z, \gamma(t))$  so when s < t

$$\varphi(t) - \varphi(s) \le \ell^{\gamma}(t) - \ell^{\gamma}(s) \tag{5.2}$$

and then we can prove (5.1). By eqn. (3.11) in [16] the same holds for  $b^r$ . The rest of the proof is based only on (5.2) and is standard.

We first prove that the image of  $\varphi$  on [s, t] contains the interval  $[\varphi(s), \varphi(t)]$ ; that is for any  $\lambda$  with  $\varphi(s) \leq \lambda \leq \varphi(t)$  there exists  $\tau$  with  $s \leq \tau \leq t$  such that  $\varphi(\tau) = \lambda$ .

Assume  $\lambda > \varphi(s)$ . Consider  $I_{\lambda}$  to be union of all intervals [s, a] (with  $s \le a \le t$ ) such that  $[s, a] \subseteq \{\varphi < \lambda\}$ ; this union is an interval of the form  $I_{\lambda} = [s, \tau)$  or  $I_{\lambda} = [s, \tau]$  with  $\tau = \tau(\lambda)$ . If  $\varphi(\tau) < \lambda$  then  $\tau < t$  and by (5.1),  $\varphi(\theta) < \lambda$  for  $\theta \in [\tau, \tau + \varepsilon]$  with  $\varepsilon > 0$  small, then  $[s, \tau + \varepsilon] \subseteq \{\varphi < \lambda\}$ , contradicting the definition of  $\tau$ . If  $\varphi(\tau) > \lambda$  then  $\tau > s$  and by (5.1),  $\varphi(\theta) > \lambda$  for  $\theta \in [\tau - \varepsilon, \tau]$ , contradiction again. So  $\varphi(\tau) = \lambda$  and  $I_{\lambda} = [s, \tau)$ . To conclude define  $\tilde{t} = \tau(t)$  so  $[s, \tilde{t}) = I_{\varphi(t)}$ ; then replace t with  $\tilde{t}$  and use the first condition.

5.1. On length and dense subsets. We introduce a convenient notation. Let  $\xi : [a, c] \to M$  be a path. For any  $T \subset [a, c]$  finite subset (containing at least two points), we denote by  $\Sigma(\xi, T)$  the sum

$$\Sigma(\xi, T) \stackrel{\text{def}}{=} \sum_{i=1}^{n} b\bigl(\xi(t_{i-1}), \xi(t_i)\bigr)$$
(5.3)

that is used when computing the length (*cf* eqn. (2.2)), where we enumerate  $T = \{t_0, \dots, t_n\}$  so that  $t_0 < \dots < t_n$ . The definition in eqn. (2.2) then reads

$$\operatorname{Len}^{b}(\xi) \stackrel{\text{def}}{=} \sup_{T \in \mathcal{F}, T \subset [a,c]} \Sigma(\xi,T)$$
(5.4)

where  $\mathcal{F}$  is the family of all finite subsets of  $\mathbb{R}$ . Note that  $\Sigma(\xi, \cdot)$  is monotonically non decreasing *w.r.t.* inclusion (due to the triangle inequality); so the definition (5.4) is also the limit on the directed family  $\mathcal{F}$  (ordered by inclusion).

For the purposes of this technical section, we generalize slightly the definitions given in the introduction.

**Definition 5.2.** Given  $D \subseteq I \subseteq \mathbb{R}$ , <sup>4</sup> given a map  $\xi : I \to M$ , we define

$$\operatorname{Len}_{D}^{b}(\xi) \stackrel{\text{def}}{=} \sup_{T \in \mathcal{F}, T \subset D} \Sigma(\xi, T) \quad .$$
(5.5)

We agree that if D contains less than two points, then we set  $\operatorname{Len}_D^b(\xi) = 0$ .

Similarly given  $D \subseteq I \subseteq [a, c]$  and given  $\gamma: I \to M$  we define  $\ell_D^{\gamma}: [a, c] \to \mathbb{R}$  as

$$\ell_D^{\gamma}(t) \stackrel{\text{def}}{=} \operatorname{Len}_D^b\left(\gamma|_{[a,t]}\right) = \sup_{T \in \mathcal{F}, T \subset D \cap [a,t]} \Sigma(\gamma, T) \quad .$$
(5.6)

When I = D we can omit the subscript "D" in Len<sup>b</sup><sub>D</sub> and  $\ell^{\gamma}_{D}$ .

**Lemma 5.3.** Take  $\gamma$  as above and  $a \leq s \leq t \leq c$ . If  $s \in D$ , or if  $\gamma$  is continuous at s, then the length of  $\gamma$  restricted to [s,t] can be deduced from  $\ell_D^{\gamma}$  using

$$\operatorname{Len}_{D}^{b}(\gamma|_{[s,t]}) = \ell_{D}^{\gamma}(t) - \ell_{D}^{\gamma}(s) \quad ; \tag{5.7}$$

otherwise in general the length may be strictly less.

**Lemma 5.4.** Let  $D \subseteq [a, c]$ , with D dense in [a, c]. Let  $\xi : D \to M$  be a rectifiable map. Let  $\tau \in D$ . <sup>5</sup> We write  $\ell$  for  $\ell_D^{\xi}$  for simplicity.

• Suppose  $\tau > a$ . Then

$$\ell(\tau) - \lim_{t \to \tau^-} \ell(t) = \lim_{t \to \tau^-} b(\xi(t), \xi(\tau))$$
(5.8)

(and the limit in RHS is guaranteed to exist).

- In particular,  $\ell$  is left continuous at  $\tau$  iff  $\lim_{t\to\tau^-} b(\xi(t),\xi(\tau)) = 0$ .
- Vice versa, suppose  $\tau < c$ , then

$$\lim_{t \to \tau+} \ell(t) - \ell(\tau) = \lim_{t \to \tau+} b(\xi(\tau), \xi(t)) \quad .$$
 (5.9)

In particular,  $\ell$  is right continuous at  $\tau$  iff  $\lim_{t\to\tau+} b(\xi(\tau),\xi(t)) = 0$ .

Note that this lemma proves (in a more descriptive way) Prop. 3.9 in [16], namely, "a rectifiable continuous path is run-continuous".

*Proof.* Let  $(s_k)_k \subset [a, \tau] \cap D$  be an increasing sequence with  $\lim_k s_k = \tau$ ; let  $x_k = \xi(s_k)$  and  $z = \xi(\tau)$  for convenience. Let  $\mathcal{F}$  be the family of finite subsets T of  $[a, \tau] \cap D$ , by definition

$$\ell(\tau) = \sup_{T \in \mathcal{F}} \Sigma(\xi, T) \quad .$$

Let  $\mathcal{F}_k$  be the subfamily of  $T \in \mathcal{F}$  such that  $s_k, \tau \in T$  and the last element before  $\tau$  in T is  $s_k$ . Let

$$L_k \stackrel{\text{def}}{=} \sup_{T \in \mathcal{F}_k} \Sigma(\xi, T) \quad ,$$

obviously  $L_k \leq \ell(\tau)$ . Expanding the definition of  $\Sigma(\xi, T)$  when  $T \in \mathcal{F}_k$  we see that

$$L_k = \ell(s_k) + b(x_k, z)$$

For any  $T \in \mathcal{F}_k$  we can add  $s_{k+1}$  to it and obtain that  $(T \cup \{s_{k+1}\}) \in \mathcal{F}_{k+1}$ , so we obtain that  $L_k \leq L_{k+1}$ . Since the family  $\bigcup_k \mathcal{F}_k$  is cofinal in  $\mathcal{F}$  then

$$\ell(\tau) = \sup_{k} \sup_{T \in \mathcal{F}_{k}} \Sigma(\xi, T) = \sup_{k} L_{k} = \lim_{k} L_{k}$$

since  $L_k$  is monotonic; since  $\ell$  is monotonic, then

$$\ell(\tau) = \lim_{k} L_k = \lim_{k} \left( \ell(s_k) + b(x_k, z) \right) = \lim_{k} \ell(s_k) + \lim_{k} b(x_k, z) \quad .$$

<sup>&</sup>lt;sup>4</sup>Usually in the applications I is an interval and D a set dense in I; or I = D is dense in an interval.

<sup>&</sup>lt;sup>5</sup>If  $\tau \notin D$  then a more complex statement is possible.

The limit  $\lim_{k \to \infty} \ell(s_k)$  does not depend on the choice of the sequence, hence the limit  $\lim_k b(x_k, z)$  as well.

For the vice versa, let  $(s_k)_k \subset [\tau, c]$  be a decreasing sequence with  $\lim_k s_k = a$ ; we now let  $\mathcal{G}$  be the family of finite subsets T of  $[\tau, c] \cap D$ , and  $\mathcal{G}_k$  be the subfamily of  $T \in \mathcal{G}$  such that  $\tau, s_k \in T$  and the first element after  $\tau$  in T is  $s_k$ ; reasoning as above

$$\ell(c) - \ell(\tau) = \sup_{k} \sup_{T \in \mathfrak{S}_{k}} \Sigma(\xi, T) = \lim_{k} \left( b(z, x_{k}) + \ell^{\xi}(c) - \ell(s_{k}) \right)$$

etcetera.

The above Lemma is the quantitative argument behind this fact.

**Corollary 5.5.** Let  $\tau^+$  be the topology generated by forward balls,  $\tau^-$  be the topology generated by backward balls. Let  $\xi : [a, c] \to M$  be a rectifiable map.  $\xi$  is runcontinuous, if and only if  $\xi$  is left continuous in the  $\tau^-$  topology and  $\xi$  is right continuous in the  $\tau^+$  topology.

(One implication in this corollary was already announced in Remark 3.7 in [16]).

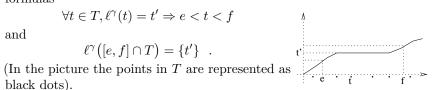
**Lemma 5.6.** Let  $D \subseteq I \subseteq [a, c]$ . Let  $\gamma : I \to M$  be a rectifiable path such that  $\ell^{\gamma}$ is continuous on [a, c]. <sup>6</sup> Let  $L = \ell^{\gamma}(c) = \operatorname{Len}^{b}(\gamma)$  be its length. Suppose that  $\ell^{\gamma}(D)$ is dense in [0, L] then

$$\operatorname{Len}^{b}(\gamma) = \operatorname{Len}_{D}^{b}(\gamma) , \quad \ell^{\gamma} \equiv \ell_{D}^{\gamma} .$$

Note that if D is dense in [a, c] then  $\ell^{\gamma}(D)$  is dense in [0, L]; but the opposite may be false.

*Proof.* As a first step, suppose for a moment that  $a, c \in D$ . We know that L = $\operatorname{Len}^{b}(\gamma) \geq \operatorname{Len}_{D}^{b}(\gamma)$  we wish to prove the converse. Let  $D' = \ell^{\gamma}(D)$ . Fix  $\varepsilon > 0$ . Let  $T \subseteq I$  finite such that  $\Sigma(\gamma, T) \geq L - \varepsilon$ . Let n be the number of points in T. Let  $T' = \ell^{\gamma}(T).$ 

(1) For any  $t' \in T'$  with  $t' \notin D'$  (note that then  $t' \neq 0, L$ ), find two nearby points  $e, f \in D$  with e < f such that  $\ell^{\gamma}(f) - \ell^{\gamma}(e) < \varepsilon/n$  and moreover all counterimages t of t' lie in [e, f], but no other points of T lie there; *i.e.* in formulas



Then we add all such points e, f to T; at the end of this step  $\Sigma(\gamma, T)$ may have increased.

(2) For any  $t' \in T'$  with  $t' \notin D'$ , and then for any t with  $\ell^{\gamma}(t) = t'$ , we delete t from T; at the end of this step  $\Sigma(\gamma, T)$  may have decreased, but not more than  $2\varepsilon$ .

At the end of all steps above, we obtain a  $T \subset D$  such that  $\Sigma(\gamma, T) \geq L - 3\varepsilon$ . So by arbitrariness of  $\varepsilon$ , we have proved that, when  $a, c \in D$ , surely  $\operatorname{Len}^{b}(\gamma) = \operatorname{Len}^{b}_{D}(\gamma)$ .

Now, given  $s, t \in D, s < t$ , the same reasoning may be applied by restricting  $\gamma$  to  $I \cap [s, t]$ ; so by Lemma 5.3 we obtain that

$$\ell^{\gamma}(t) - \ell^{\gamma}(s) = \ell^{\gamma}_{D}(t) - \ell^{\gamma}_{D}(s)$$

 $<sup>^{6}</sup>$ It is not enough to ask that  $\ell_{D}^{\gamma}$  is continuous on [a, c], as can be seen with simple examples of maps  $\gamma : [0,1] \to \mathbb{R}$ .

since both functions are monotonic and  $\ell^{\gamma}$  is continuous and

$$\ell^{\gamma}(a) = \ell^{\gamma}_D(a) = 0 \quad , \quad \ell^{\gamma}(c) \ge \ell^{\gamma}_D(c)$$

we obtain that  $\ell^{\gamma} \equiv \ell_D^{\gamma}$ .

**Lemma 5.7.** Let  $\gamma : I \to M$  be a map; let  $D \subseteq I$  be a dense set, that is  $I \subseteq \overline{D}$ ; suppose that for any  $t \in I, t \notin D$  there exists a sequence  $(s_n) \subset D$  with  $s_n \to_n t$  and  $\gamma(s_n) \to_n \gamma(t)$ ; then

$$\operatorname{Len}^{b}(\gamma) = \operatorname{Len}_{D}^{b}(\gamma)$$

Proof. Obviously  $\operatorname{Len}^{b}(\gamma) \geq \operatorname{Len}^{b}_{D}(\gamma)$ ; for  $0 \leq l < \operatorname{Len}^{b}(\gamma)$  consider a  $T \subset I$  finite such that  $\Sigma(\gamma, T) > l$ ; we want to build a  $S \subset D$  so that  $\Sigma(\gamma, S) > l$ : indeed using the hypothesis, for any  $t \in T$ , if  $t \in D$  we add t to S; whereas if  $t \notin D$  we can add to S a point  $d \in D$  that is near enough to t (taking it from the approximating sequence in hypothesis), and use the continuity of b to obtain in the end  $\Sigma(\gamma, S) > l$ . By arbitrariness of l we conclude.

This is (an easy adaptation of) a well known result for functions of bounded variations.

**Lemma 5.8.** Let  $D \subseteq [a, c]$  be a dense set; let  $\gamma : D \to M$  be a rectifiable map. Let  $\tau \in [a, c]$ .

• If  $\tau > a$  and (M, b) is forward complete then the limit

$$\lim_{t \to \tau^-} \gamma(t)$$

exists;

• If  $\tau < c$  and (M, b) is backward complete, then the limit

$$\lim_{t \to \tau+} \gamma(t)$$

exists.

*Proof.* We write  $\ell$  for  $\ell_D^{\gamma}$  for simplicity.

• Consider an increasing sequence  $(s_n)_n \subset D$  with  $s_n \nearrow_n \tau$ ; let  $x_n = \gamma(s_n)$ then for  $\forall n, m, m \ge n$ 

$$b(x_n, x_m) \le \ell(s_m) - \ell(s_n) \le \lim_{t \to \tau^-} \ell(t) - \ell(s_n)$$

(by eqn. (2.4) and (5.7)) so the sequence  $(x_n)_n$  is forward Cauchy, hence it converges to a point x. Given another increasing sequence  $(t_n)_n \subset D$ with  $t_n \to_n \tau$ , suppose for a moment that  $\gamma(t_n)$  converges to a point z; the union  $(l_n)_n$  of the two sequences  $(s_n)_n$  and  $(t_n)_n$  is though an increasing sequence, hence the limit of  $\gamma(l_n)$  must be both x and y (by Prop. 4.4) so x = z. Hence the limit does not depend on the chosen sequence.

• Similar, using a decreasing sequence  $(\tilde{s}_n)_n \subset D$  and proving that  $\tilde{x}_n = \gamma(\tilde{s}_n)$  is a backward Cauchy sequence.

The following Lemma is particularly useful when the space is strongly separated.

**Lemma 5.9.** Let  $D \subseteq [a, c]$  be a dense set; let  $\gamma : D \to M$  be a rectifiable function. Let  $\tau \in [a, c]$ , and suppose that  $\ell^{\gamma}(t)$  is continuous at  $\tau$ .

• If  $\tau \in (a, c)$ , if the limits

$$x = \lim_{s \to \tau -} \gamma(s)$$
,  $y = \lim_{t \to \tau +} \gamma(t)$ 

exist then necessarily b(x, y) = 0.

• If  $\tau > a$  and the limit

$$x = \lim_{s \to \tau^-} \gamma(s)$$

exists and  $\tau \in D$  then necessarily  $b(x, \gamma(\tau)) = 0$ .

• If  $\tau < c$  and the limit

$$y = \lim_{t \to \tau+} \gamma(t)$$

exist and  $\tau \in D$  then necessarily  $b(\gamma(\tau), y) = 0$ .

*Proof.* We write  $\ell$  for  $\ell^{\gamma}$  for simplicity.

• Consider  $s < \tau < t$ , by triangle inequality

$$b(x,y) \leq b(x,\gamma(s)) + b(\gamma(s),\gamma(t)) + b(\gamma(t),y) \leq b(x,\gamma(s)) + \ell(t) - \ell(s) + b(\gamma(t),y)$$

then let  $t \searrow \tau$  and  $s \nearrow \tau$  and use the continuity of b and of  $\ell$  at  $\tau$ . • If  $\tau \in D$  then write

$$b(x,\gamma(\tau)) \le b(x,\gamma(s)) + b(\gamma(s),\gamma(\tau)) \le b(x,\gamma(s)) + \ell(\tau) - \ell(s)$$

then let  $s \nearrow \tau$ .

• If  $\tau \in D$  then write

$$b(\gamma(\tau), y) \le b(\gamma(\tau), \gamma(t)) + b(\gamma(t), y) \le \ell(t) - \ell(\tau) + b(\gamma(t), y)$$

then let  $t \searrow \tau$ .

**Lemma 5.10.** Let  $D \subseteq [a, c]$  be a dense set. Let  $\xi : D \to M$  be a map. Suppose that  $\ell_D^{\xi}$  is continuous on all [a, c], and  $L = \operatorname{Len}_D^b(\xi) < \infty$ . Suppose that one of these two holds:

- (M, b) is forward complete and  $a \in D$ ; or
- (M, b) is backward complete and  $c \in D$ .

Then there exists a run-continuous path  $\gamma$  :  $[a,c] \rightarrow M$  that extends  $\xi$ , and  $\ell^{\gamma} \equiv \ell_D^{\xi}.$ 

- Proof. • Assume that (M, b) is forward complete. For any  $t \in [a, c]$  if  $t \in D$ we define  $\gamma(t) = \xi(t)$ ; whereas if  $t \notin D$ , we use Lemma 5.8 and define  $\gamma(t) = \lim_{s \to t^{-}} \xi(s)$ . We then use Lemma 5.7 on all intervals [a, t] to obtain that  $\ell^{\gamma}(t) = \ell^{\gamma}_{D}(t) = \ell^{\xi}_{D}(t)$ , for all  $t \in [a, c]$ .
  - Assume that (M, b) is backward complete. For any  $t \in [a, c]$  if  $t \in D$ we define  $\gamma(t) = \xi(t)$ ; whereas if  $t \notin D$ , we use Lemma 5.8 and define  $\gamma(t) = \lim_{s \to t+} \xi(s)$ . We then use Lemma 5.7 on all intervals [t, c] to obtain that  $\operatorname{Len}^{b}(\gamma|_{[t,c]}) = \operatorname{Len}^{b}_{D}(\gamma|_{[t,c]}) = \operatorname{Len}^{b}_{D}(\xi|_{[t,c]})$ . In particular  $\operatorname{Len}^{b}(\gamma) =$  $\operatorname{Len}_D^b(\gamma) = L$ . By equation (5.7) in Lemma 5.3 this implies that  $\ell^{\gamma}(t) =$  $\ell_D^{\gamma}(t) = \ell_D^{\xi}(t)$  for all  $t \in [a, c] \cap D$ . Since D is dense, and  $\ell_D^{\xi}$  is assumed to be continuous, and both are monotonic, and

$$\ell^{\gamma}(a) = \ell^{\gamma}_{D}(a) = 0 \ , \ \ell^{\gamma}(c) = \ell^{\gamma}_{D}(c) = L$$

this implies the result.

#### 6. Quasi midpoints

In r-intrinsic spaces, for any two points x, y with  $b(x, y) < \infty$ , for any  $\varepsilon > 0$ small, there is always a path  $\xi_{\varepsilon}$  joining them with a quasi optimal length, that is,  $\operatorname{Len}^{b}(\xi_{\varepsilon}) - \varepsilon < b(x, y) = b^{r}(x, y)$ . This is used in the following proposition to find approximate intermediate points z between x and y.

**Proposition 6.1.** Suppose that the asymmetric metric space (M, b) is r-intrinsic (that is  $b \equiv b^r$ ). Then  $\forall \theta \in (0, 1), \forall x, y \in M$  with  $b(x, y) < \infty$ 

$$\forall \varepsilon > 0 \quad \exists z \in M , \quad such \ that \\ b(x,z) < \theta b(x,y) + \varepsilon, \qquad b(z,y) < (1-\theta)b(x,y) + \varepsilon .$$

$$(6.1)$$

Note that the triangle inequality is almost an equality for the triple x, z, y: indeed summing the above two inequalities we obtain

$$b(x,z) + b(z,y) < b(x,y) + 2\varepsilon$$

The proof follows straightforward from the definition and from the relation eqn. (2.4). When  $\theta = 1/2$ , the point z is a called  $\varepsilon$ -midpoint in Lemma 2.4.10 in [3].

On the other hand,

**Proposition 6.2.** if M is either forward or backward complete, if  $\exists \theta \in (0, 1)$  such that  $\forall x, y$  with  $b(x, y) < \infty$  property (6.1) holds, then (M, b) is r-intrinsic.

We just sketch the proof since it is classic. (It is also quite similar to the proof of Prop. 10.3).

Proof. Let  $x, y \in M$  with  $x \neq y$ ; if b(x, y) = 0 then  $b^r(x, y) = 0$  as in the proof of Prop. 7.4. Suppose now  $x \neq y$  and b(x, y) > 0. Fix  $\varepsilon > 0$ . We aim to define a run-continuous  $\gamma : [0, 1] \to M$  connecting x to y that has  $\text{Len}^b(\gamma) \leq b(x, y)(1 + \varepsilon)$ . By arbitrariness of  $\varepsilon$  this will imply that  $b(x, y) = b^r(x, y)$ . We set  $D_0 = \{0, 1\}$ ; given  $D_h$  we define  $D_{h+1}$  as

$$D_{h+1} \stackrel{\text{def}}{=} D_h \cup \{t\theta + s(1-\theta) : s, t \in D_h \text{ consecutive points in } D_h, s < t\}$$

that is we interpolate consecutive points in  $D_h$  using the parameter  $\theta$ . We define the dense set  $D \subset [0,1]$  as  $D = \cup_h D_h$ . Let  $\delta > 0$  small so that  $1/(1-\delta) < 1 + \varepsilon$ . Let

$$\delta_h \stackrel{\text{def}}{=} 1 + \delta^{(2^h)} , \quad c_0 \stackrel{\text{def}}{=} \delta_0 , \quad c_{h+1} \stackrel{\text{def}}{=} c_h \delta_{h+1}$$

and note that

$$c_n \stackrel{\text{def}}{=} \prod_{h=0}^n \delta_h = \sum_{k=0}^{2^{n+1}-1} \delta^k = \frac{1-\delta^{(2^{n+1})}}{1-\delta} \nearrow_n \frac{1}{1-\delta} \quad .$$
(6.2)

Let L = b(x, y). Iterating on h we can define a map  $\xi : D \to M$ . Indeed  $\xi(0) = x$ ,  $\xi(1) = y$  so  $\xi$  is defined on  $D_0$ . Once  $\xi$  is defined on  $D_h$ , consider  $\tau \in D_{h+1} \setminus D_h$ ; then  $\tau = t\theta + s(1 - \theta)$  with s, t consecutive points in  $D_h$ ; we define  $\xi$  on  $\tau$  using (6.1), we set  $\xi(\tau)$  to be the point z such that

$$b(\xi(s), z) \le \theta \delta_h L$$
,  $b(z, \xi(t)) \le (1 - \theta) \delta_h L$ ,

and then for  $\tau_1, \tau_2 \in D_{h+1}, \tau_1 < \tau_2$  we can prove that

$$b(\xi(\tau_1),\xi(\tau_2)) \le Lc_h(\tau_2-\tau_1)$$

using (6.2) and some triangle inequalities. So for  $s, t \in D, s < t$  we obtain that  $\operatorname{Len}^{b}(\xi|_{[s,t]}) \leq L(1+\varepsilon)(t-s)$ ; moreover the space is forward or backward complete; so we can use Lemma 5.10 to extend  $\xi$  to run-continuous path  $\gamma : [0,1] \to M$  with  $\operatorname{Len}^{b}(\gamma) \leq L(1+\varepsilon)$ .

Regarding the completeness hypothesis, see example 11.1.

We now prove a property in Cor. 6.5 that will be used in Lemma 12.5, that is needed for the proof of the Hopf–Rinow–like Theorem. We will use the technical lemma 5.1.

**Lemma 6.4.** If (M, b) is r-intrinsic,  $\rho > 0, x, z \in M$  with  $\rho \leq b(x, z) < \infty$  then

$$\inf_{\in D^+(x,\rho)} b(y,z) = b(x,z) - \rho \quad . \tag{6.3}$$

In particular if the above infimum has a minimum point  $\tilde{y}$  then  $b(x, \tilde{y}) = \rho$  and  $b(\tilde{y}, z) = b(x, z) - \rho.$ 

*Proof.* Let  $\delta \stackrel{\text{def}}{=} \inf_{z \in D^+(x,\rho)} b(y,z)$  be the LHS and  $t \stackrel{\text{def}}{=} b(x,z) - \rho$  be the RHS; note that by hypothesis  $t \ge 0$ . Since  $b(y,z) \ge b(x,z) - b(x,y) \ge t$  then to the infimum  $\delta \geq t$ . For any  $\varepsilon > 0$  small there exists a run-continuous  $\gamma_{\varepsilon} : [0,1] \to M$  connecting  $\gamma_{\varepsilon}(0) = x$  to  $\gamma_{\varepsilon}(1) = z$  and with

$$L_{\varepsilon} = \operatorname{Len}^{b}(\gamma_{\varepsilon}) < b^{r}(x, z) + \varepsilon = b(x, z) + \varepsilon = \rho + t + \varepsilon \quad ,$$

y

where we define  $L_{\varepsilon} \stackrel{\text{def}}{=} \operatorname{Len}^{b}(\gamma_{\varepsilon})$  for convenience. We use Lemma 5.1; we set  $\varphi_{\varepsilon}(t) = b(x, \gamma_{\varepsilon}(t))$  that is finite due to (2.4), and s = a = 0, t = c = 1 in the Lemma; by the Lemma we obtain  $\tilde{t}_{\varepsilon}$  such that, setting  $y_{\varepsilon} \stackrel{\text{def}}{=} \gamma_{\varepsilon}(\tilde{t}_{\varepsilon}), \, \text{the image of } b(x,\gamma_{\varepsilon}(\cdot)) \text{ on } [0,\tilde{t}_{\varepsilon}] \text{ is } [0,\rho] \text{ and } b(x,y_{\varepsilon}) = \rho,$ 

The paths  $\gamma_{\varepsilon}(t)$  are divided into two parts, the part for  $t \in [0, \tilde{t}_{\varepsilon}]$  that connects x to  $y_{\varepsilon}$  and has length  $\lambda_{\varepsilon}$ , and the part for  $t \in [\tilde{t}_{\varepsilon}, 1]$  that connects  $y_{\varepsilon}$  to to z and has length  $L_{\varepsilon} - \lambda_{\varepsilon}$ . As  $\varepsilon \to 0$  the paths get tighter and tighter; since in the first part we have

$$\rho = b(x, y_{\varepsilon}) = b^r(x, y_{\varepsilon}) \le \lambda_{\varepsilon}$$

then for the second part

$$b(y_{\varepsilon}, z) \leq L_{\varepsilon} - \lambda_{\varepsilon} \leq \rho + t + \varepsilon - \rho = t + \varepsilon$$
.

So letting  $\varepsilon \to 0$  we prove that  $\delta = t$ .

The last claim follows from  $\delta = b(\tilde{y}, z) > b(x, z) - b(x, \tilde{y}) > b(x, z) - \rho = t$ . 

**Corollary 6.5.** Let  $x \in M$ ,  $\rho, t > 0$  and

$$V_t \stackrel{\text{def}}{=} \bigcup_{y \in D^+(x,\rho)} D^+(y,t) \quad ; \tag{6.4}$$

then (by triangle inequality)  $V_t \subseteq D^+(x, \rho + t)$ . If (M, b) is r-intrinsic and  $D^+(x, \rho)$ is compact then  $V_t = D^+(x, \rho + t)$ .

*Proof.* Let  $z \in D^+(x, \rho+t)$ ; if  $z \in D^+(x, \rho)$  then  $z \in V_t$ ; suppose  $z \notin D^+(x, \rho)$ , then we use Lemma 6.4 and since  $D^+(x,\rho)$  is compact, we obtain a  $\tilde{y} \in D^+(x,\rho)$  such that  $b(x, \tilde{y}) = \rho$  and  $b(\tilde{y}, z) = b(x, z) - \rho \leq t$  so  $z \in D^+(\tilde{y}, t)$  and then  $z \in V_t$ .  $\square$ 

In general we do not have equality in (6.4): consider the Example 10.5 and set  $x = (-1, 0), \rho = t = 1.$ 

The equality  $V_t = D^+(x, \rho + t)$  holds also when (M, b) is convex and is r-intrinsic; see 10.6.

As noted in Remark 3.17 in [16], not all properties that are valid in *intrinsic* symmetric metric spaces are also valid in r-intrinsic asymmetric metric spaces.

**Proposition 6.6.** Suppose that the asymmetric metric space (M, b) is g-intrinsic (that is  $b \equiv b^r \equiv b^g$ ). Let  $\rho > 0$  and

$$S^+(a,\rho) \stackrel{\text{\tiny def}}{=} \{y \mid b(a,y) = \rho\}$$

$$D^+(a,\rho) \stackrel{\text{aef}}{=} \{y \mid b(a,y) \le \rho\}$$

(which are closed, since b is continuous) then

$$\overline{B^+(a,\rho)} = D^+(a,\rho), \qquad \mathring{S}^+(a,\rho) = \emptyset \quad . \tag{6.5}$$

Note that this result may be false in spaces where  $b \equiv b^r \neq b^g$  (set  $x = -1, \rho = 1$  in Example 4.2).

# 7. Geodesics

We recall that we will say that a path  $\gamma : [a, c] \to M$  "connects x to y" when  $\gamma(a) = x, \gamma(c) = y$ .

- **Definition 7.1.** (1) Given  $x, y \in M$ , a "**minimizing geodesic connecting** x **to** y" is a run-continuous rectifiable path  $\gamma$  that attains the minimum of  $\operatorname{Len}^{b}(\xi)$  in the family of all run-continuous paths  $\xi$  connecting x to y. In particular  $\operatorname{Len}^{b}(\xi) = b^{r}(x, y)$ .
  - (2) A run-continuous path  $\gamma: I \to M$ , with  $I \subseteq \mathbb{R}$  interval, is a **global minimizing geodesic** when any part of  $\gamma$  is a minimizing geodesic connecting its endpoints; that is,  $\forall s, t \in I$ , s < t we have that

$$b^{r}(\gamma(s),\gamma(t)) = \operatorname{Len}^{b}(\gamma_{|[s,t]}) \quad .$$
(7.1)

(3) A run-continuous path  $\gamma: I \to M$ , with  $I \subseteq \mathbb{R}$  interval, is a **local geodesic** <sup>7</sup> when it is a minimizing geodesic on short enough sub parts; that is,  $\forall t_0 \in I \exists \varepsilon > 0$  such that  $\forall s, t \in I$  with

$$t_0 - \varepsilon < s < t < t_0 + \varepsilon$$

we have that

$$b^r(\gamma(s), \gamma(t)) = \operatorname{Len}^b(\gamma_{|[s,t]})$$
.

Note that for the minimizing geodesic connecting x to y we must have  $\operatorname{Len}^{b}(\xi) = b^{r}(x, y) < \infty$ ; but a global geodesic may have  $\operatorname{Len}^{b}(\gamma) = \infty$ .

As in the symmetric case, there may be none, one, or multiple minimizing geodesics connecting x to y.

There are many different definitions of "geodesics" in the literature. A short overview and discussion of merits and caveats is in appendix A.2. The most prominent difference between our definition and the definitions in other texts is that we use the class  $C_r$  of run-continuous paths, instead of the class of continuous paths. We may provide other notions of "geodesic" using the classes  $C_g$  or  $C_s$ , but we will skip the definitions for sake of brevity, and just provide some remarks.

Proposition 7.2. A "minimizing geodesic" is also a "local geodesic".

When I = [a, c] then the "minimizing geodesic  $\gamma$  connecting x to y" is a "global minimizing geodesic" and vice versa; so we will usually just call it **minimizing** geodesic. The proofs are easy and identical to the symmetric case (see e.g. [17]); indeed for  $t_0, t_1, t_2 \in I, t_0 < t_1 < t_2$  we have that

$$b^{r}(\gamma(t_{0}),\gamma(t_{2})) = b^{r}(\gamma(t_{0}),\gamma(t_{1})) + b^{r}(\gamma(t_{1}),\gamma(t_{2})) \quad .$$
(7.2)

The property (7.2) implies that, if we split a piece out of a minimizing geodesic, then this piece is itself a minimizing geodesic. Geodesics can also be joined, as follows.

 $<sup>^{7}</sup>$ We will not study the properties of *local geodesics* in this paper. This definition is needed to be used as comparison tool in section A.2.

**Lemma 7.3** (joining). Suppose that  $\gamma : [t_0, t_1] \to M$ ,  $\gamma' : [t_1, t_2] \to M$ , are minimizing geodesics, of lengths L and L'. Suppose that  $\gamma'(t_1) = \gamma(t_1)$ : then the two paths may be joined to form a path  $\xi : [t_0, t_2] \to M$  (see Definition 2.2 in [16] for details).

 $\xi$  is a minimizing geodesic if and only if

$${}^{r}(\xi(t_1),\xi(t_2)) = L + L'$$
,

that is, if the triangle inequality is an equality.

b

(This is a well known property, see e.g. Prop. 2.2.11 in [17]).

We conclude with this Proposition.

**Proposition 7.4.** (M, b) is strongly separated iff  $(M, b^r)$  is strongly separated.

*Proof.* The rightward implication follows from the relation  $b \leq b^r$ .

For the leftward implication consider  $x, y \in M, x \neq y$  but such that b(x, y) = 0; consider the curve  $\gamma : [0,1] \to M$ ,  $\gamma(1) = y$ ,  $\gamma(t) = x$  for  $t \in [0,1)$ . The length of this curve is zero, so  $\gamma$  is run-continuous and it is a minimizing geodesic connecting x to y; moreover we obtain that  $b^r(x, y) = 0$ . We conclude that, if (M, b) is not strongly separated, then  $(M, b^r)$  is not strongly separated as well.  $\Box$ 

## 8. Arc parameter

**Definition 8.1.** We will say that a path  $\xi : [a, c] \to M$  is **parameterized by arc** parameter when  $\ell^{\xi}(t) = t - a$ .

The following is a long-known but very powerful result<sup>8</sup>.

**Lemma 8.2.** Suppose that the space is strongly separated. For any run-continuous rectifiable path  $\gamma$  of length L there exists an unique path  $\xi : [0, L] \to M$  such that

$$\gamma(t) = \xi(\ell^{\gamma}(t)), \quad \ell^{\xi}(t) = t, \quad \forall t \in [0, L]$$
(8.1)

where  $\ell^{\gamma}$  is the running length of  $\gamma$  and  $\ell^{\xi}$  of  $\xi$ . Moreover if  $\gamma$  is continuous then  $\xi$  is continuous.

 $\xi$  is called the **reparameterization to arc parameter** of  $\gamma$ . Note that if  $\gamma$  is a minimizing geodesic then  $\xi$  is a minimizing geodesic.

*Proof.* The definition of  $\xi$  by the relation  $\gamma(t) = \xi(\ell^{\gamma}(t))$  is well posed: indeed, if  $\ell^{\gamma}(t) = \ell^{\gamma}(t')$  then  $\gamma(t) = \gamma(t')$  by (2.4) and (1.1); moreover the domain of  $\xi$  is [0, L] that is also the image of  $\ell^{\gamma}$ . Let  $\hat{l} = \ell^{\gamma}(\hat{t})$ . If we restrict  $\gamma$  to  $[a, \hat{t}]$  and  $\xi$  to  $[0, \hat{l}]$ , we can write  $\gamma = \xi \circ \ell^{\gamma}$ . Since the length of a curve does not change when a curve is reparameterized (see *e.g.* Proposition 2.7 in [16]), we have that  $\ell^{\gamma}(\hat{t}) = \ell^{\xi}(\hat{l})$ , that is  $\hat{l} = \ell^{\xi}(\hat{l})$ . The statement on continuity follows from Prop. 3.4.

8.1. Quasi arc parameter. When the space is not strongly separated, the above Lemma may fail, as in this simple example.

Example 8.3. Let  $M = \mathbb{R}$  and

$$b(x,y) = \begin{cases} y-x & \text{if } y \ge x \\ 0 & \text{if } y < x \end{cases},$$

Let  $\varepsilon > 0$  and  $\gamma : [-\varepsilon, \varepsilon] \to M$  be defined simply as  $\gamma(t) = -t$ , this path has length zero but is not constant and then it cannot be reparameterized to arc parameter. (Note that (M, b) is neither forward nor backward complete, but see Example 8.6).

<sup>&</sup>lt;sup>8</sup>It may be found in section I in [4] or in Ch. 1 Sec. 1 in [6]. In the symmetric case, as Theorem 2.5.9 in [3], or as Theorem 4.2.1 in [1], that also discusses the *metric derivative* issue. On the metric derivative, see also Sec. 2.7 in [3].

The above example is induced by the Finslerian metric  $F(x, v) = v^+$  on  $TM = \mathbb{R}^2$ , (as explained in 2.4).

In this general case we may anyway state two results. The first may be seen as the metric generalization of lemma 2.24 in [16].

**Lemma 8.4.** For any run-continuous rectifiable path  $\gamma : [a, c] \to M$  of length L, for any  $\varepsilon > 0$ , there exists an increasing homeomorphism  $\varphi : [a, c] \to [a, c]$  such that for the path

$$\xi: [a,c] \to M \ , \ \xi = \gamma \circ \varphi^{-1} \tag{8.2}$$

we have that  $\ell^{\xi}$  satisfies

$$\forall s, t \ , \ a \leq s \leq t \leq c \ , \ \ell^{\xi}(t) - \ell^{\xi}(s) \leq (t-s)(L+\varepsilon)/(c-a)$$
(8.3)  
i.e.  $\ell^{\xi}$  is Lipschitz of constant  $(L+\varepsilon)/(c-a)$ .

Note that the length of  $\xi$  is again L.

Proof. Let

$$\varphi(t) = a + \frac{(c-a)\ell^{\gamma}(t) + (t-a)\varepsilon}{L + \varepsilon}$$
(8.4)

then  $\varphi: [a,c] \to [a,c]$  is an increasing homeomorphism. We now prove (8.2). Setting  $\tilde{t} = \varphi(t)$  and  $\tilde{s} = \varphi(s)$  we obtain

$$(L+\varepsilon)(\tilde{t}-\tilde{s}) = (\ell^{\gamma}(t)-\ell^{\gamma}(s))(c-a)+\varepsilon(t-s)$$
.

From  $\xi=\gamma\circ\varphi^{-1}$  we obtain that  $\ell^{\xi}\circ\varphi=\ell^{\gamma}$  hence eventually

$$\begin{aligned} \left(\ell^{\xi}(\tilde{t}) - \ell^{\xi}(\tilde{s})\right)(c-a) &= \left(\ell^{\gamma}(t) - \ell^{\gamma}(s)\right)(c-a) = \\ &= (L+\varepsilon)(\tilde{t}-\tilde{s}) - \varepsilon(t-s) \le (L+\varepsilon)(\tilde{t}-\tilde{s}) \end{aligned}$$

This second Lemma is the generalization of 8.2 to the case of (possibly) non strongly separated spaces.

**Lemma 8.5.** For any run-continuous <sup>9</sup> rectifiable path  $\gamma : [a, c] \to M$  of length L > 0, we define the map  $\psi : [0, L] \to [a, c]$  by

$$\psi(s) = \min\{t \in [a, c] , \ s = \ell^{\gamma}(t)\} \ ; \tag{8.5}$$

then the paths

$$\theta: [0, L] \to M , \ \theta = \gamma \circ \psi$$
 (8.6)

and

$$\beta:[0,L] \to M \quad , \quad \beta(t) = \begin{cases} \theta(t) & \text{if } t < L \\ \gamma(c) & \text{if } t = L \end{cases}$$

are both parameterized by arc parameter, that is  $\ell^{\theta}(t) = \ell^{\beta}(t) = t$ .

The proof is in Section B.5. Note that the map  $\psi$  is not the unique possible map, any right inverse of  $\ell^{\gamma}$  will do. Since  $\psi(0) = a$ , then  $\theta(0) = \beta(0) = \gamma(a)$ ; it may be the case that  $\psi(L) < c$  and  $\theta(L) \neq \gamma(c)$ , hence the definition of  $\beta$ .  $\psi$ is monotonically increasing, but may fail to be surjective (it is surjective iff  $\ell^{\gamma}$  is injective). So the trace of the paths  $\theta$  and  $\beta$  are contained in the trace of the path  $\gamma$ ; but they may be quite different. In particular if  $\gamma$  is continuous it may be easily the case that  $\theta$  and  $\beta$  are not continuous (as seen in the following example).

Consider that if we apply the reparameterization (8.6) in the Lemma to the map  $\gamma$  in the Example 8.3 (that has length zero) then the map  $\theta$  is just  $\theta : \{0\} \to \mathbb{R}$  with  $\theta(0) = \varepsilon$ . (!!)

We view the two lemmas in action in the example that follows.

<sup>&</sup>lt;sup>9</sup>Run continuity of  $\gamma$  is essential in this Lemma. A monotonic map  $\gamma : [0, 1] \to \mathbb{R}$  with a jump discontinuity cannot be reparameterized to arc parameter.

Example 8.6. Let  $M = \mathbb{R}$  and define the Finslerian metric

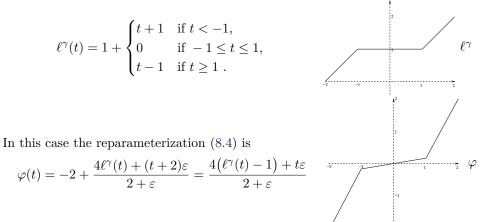
$$F(x,v) = \begin{cases} v & \text{if } v \ge 0 \ ,\\ -v & \text{if } v < 0 \ , \ x \notin [-1,1] \\ 0 & \text{if } v < 0 \ , \ x \in [-1,1] \end{cases}$$

so that induced distance (as by 2.4) is

$$b(x,y) = \begin{cases} y-x & \text{if } x \leq y \ , \\ x-y & \text{if } 1 \leq y < x \lor y < x < -1 \ , \\ x-1 & \text{if } -1 < y < 1 \leq x \ , \\ x-y-2 & \text{if } y < -1, 1 \leq x \ , \\ 0 & \text{if } -1 \leq y < x < 1 \ , \\ -1-y & \text{if } y < -1 \leq x < 1 \ . \end{cases}$$

Note that the associated topology is Euclidean; and all discs  $D^+(x, r)$  and  $D^-(x, r)$  are compact; so this space (M, b) is complete.

Let  $\gamma : [-2, 2] \to M$  be defined simply as  $\gamma(t) = -t$ ; this path is continuous and is a minimizing geodesic connecting 2 to -2; but is not parameterized by arc parameter, indeed



so that the "quasi arc parameterized curve" is just  $\xi(t) = -\varphi^{-1}(t)$ ; this curve transverses the segment [-1, 1], where F = 0, with Euclidean speed  $(2 + \varepsilon)/\varepsilon$ ; and the parts where  $F \neq 0$  with speed  $(2 + \varepsilon)/(4 + \varepsilon) \sim 1/2 + \varepsilon/8$ .

The map  $\psi$  defined in the Lemma 8.5 is just

$$\psi(s) = \begin{cases} s-2 & \text{if } s \le 1\\ s & \text{if } s > 1 \end{cases}$$

and  $\theta(s) = \beta(s) = -\psi(s)$ , that are not continuous.

More in general, given  $a \in [-1, 1]$ , all maps  $\theta_a : [0, 2] \to \mathbb{R}$ 

$$\theta_a(s) = \begin{cases} 2-s & \text{if } s < 1\\ a & \text{if } s = 1\\ -s & \text{if } s > 1 \end{cases}$$

are arc parameterized minimal geodesics connecting 2 to -2.

 $\frac{1}{2} \psi$ 

#### ANDREA C. G. MENNUCCI

#### 9. EXISTENCE OF GEODESICS

The following results is similar in spirit to (5),(6),(7) in section I in [6], but is extended to the settings and needs of this paper.

**Theorem 9.1.** Let  $\rho > 0$ . Fix  $x, y \in M$  with  $b^r(x, y) \leq \rho$ . We recall that

 $D^{+r}(x,\rho) \stackrel{\text{def}}{=} \{ y \mid b^r(x,y) \le \rho \} \quad .$ 

Assume that, for all v with  $0 < v < \rho$ ,  $D^{+r}(x, v)$  is contained in a compact set (compact according to the (M, b) topology). Then there is a arc-parameterized minimizing geodesic connecting x to y.

The choice of hypotheses in the above Theorem is different from what is usually seen in texts; see the discussion in Sec. A.2.2. Note that  $D^{+r}(x,\rho)$  is not guaranteed to be closed in the (M, b) topology: just consider the set  $\tilde{M}$  in Example 4.8 and consider the sequence (1/2, 1/2n) in  $D^{+r}((0,0), 1)$ . When the space is strongly separated and  $D^{+r}(x,\rho)$  is contained in a compact set, the proof of the theorem 9.1 uses the symmetrization estimate 3.1 and the reparameterization lemma (either 8.2 or 8.4) so that, inside  $D^{+r}(x,\rho)$ , the argument of the proof in *General Metric Spaces* can be easily adapted (the proof is in Sec. B.7 for convenience of the reader). When the space is not strongly separated, a different proof is needed, see in Sec. B.6. Note that, in the above Theorem, we cannot replace  $D^{+r}$  with  $D^+$ : see in example 4.8 (that is a symmetric metric space!) in [16]. The above Theorem can be applied to Example 8.6, where  $\theta_a$  are all different arc parameterized minimal geodesics connecting 2 to -2 that the proof of the Theorem can construct.

A possible result in the class  $\mathcal{C}_q$  is as follows.

**Theorem 9.3.** Suppose that the space is strongly separated. Fix  $x, y \in M$ . Let  $\rho > 0$ . We define

$$D^{+g}(x,\rho) \stackrel{\text{def}}{=} \{ y \mid b^g(x,y) \le \rho \} \quad .$$

Suppose that  $D^{+g}(x,\rho)$  is contained in a compact set (compact according to the (M,b) topology). Then for any  $y \in D^{+g}(x,\rho)$  (i.e.  $b^g(x,y) \leq \rho$ ), there is a arc-parameterized continuous minimizing geodesic connecting x to y.

The proof is in Sec. B.7.

We currently do not know if the hypothesis "strongly separated" can be dropped in this theorem.

As a corollary of the above discussion we obtain what is nowadays known as *Busemann's theorem*.

**Theorem 9.4.** Suppose that (M, b) is compact; for any  $x, y \in M$  with  $b^r(x, y) < \infty$  there is a minimizing geodesic  $\xi$  that connects x to y.

## 10. Convexity

All of the results in Sec. 6 relate the existence of approximate intermediate points to the fact that (M, b) be intrinsic (in some sense). In this section we will deal with exact intermediate points z at a prescribed distance  $b^r$  from x and y. We present two different definitions. We start with a weaker definition.

**Definition 10.1.** (M, b) is "weakly convex" if given two different points  $x, y \in M$  with  $0 < b^r(x, y) < \infty$ , a third (different from x, y) point z exists such that  $b^r(x, z) + b^r(z, y) = b^r(x, y)$ .

The weaker definition is similar to the definition of "Menger convexity" used in other texts; the main difference is that, in our definition we used  $b^r$ , whereas in other papers the definition is stated using b; see the discussion in Section A.3 in appendix.

We propose also a stronger definition, that will be articulated in the following.

**Definition 10.2.** (M, b) is "strongly convex" if given any two points  $x, y \in M$  with  $b^r(x, y) < \infty$ , and any  $\theta \in (0, 1)$ ,

$$\exists z \in M , \text{ such that} \\ b^r(x,z) = \theta b^r(x,y), \qquad b^r(z,y) = (1-\theta)b^r(x,y) .$$
(10.1)

Note that the triangle inequality is an equality for the triple x, z, y: indeed summing the above two equalities we obtain

$$b^r(x,z) + b^r(z,y) = b^r(x,y) .$$

By analogy with the theory of quasi-midpoints, we will call *midpoint* a point z such that  $b^r(x, z) = b^r(x, y)/2$ ,  $b^r(z, y) = b^r(x, y)/2$ .

With additional hypotheses, these definitions imply that any two points may be connected by a minimizing geodesics, as shown below. In turn, if any two points x, y with  $b^r(x, y) < \infty$  may be connected by a minimizing geodesics, then it is easily seen that the space is convex (in both senses).

The following result is similar to a classical result, see Proposition A.3 in appendix.

**Proposition 10.3.** Suppose M is either forward or backward complete. Suppose that there exists a  $\theta \in (0,1)$  such that for any two points x, y with  $b^r(x, y) < \infty$  the property (10.1) holds. Then for any two points x, y with  $b^r(x, y) < \infty$  there exists a minimizing geodesic connecting them.

(We just sketch the proof; it is also quite similar to the proof of Prop. 6.2).

Proof. Let  $x, y \in M$ . If x = y then the geodesic is the constant path. If  $x \neq y$ but  $b^r(x, y) = 0$  then the geodesic is  $\gamma$  as defined in the proof of Prop. 7.4. The last case is when  $x \neq y$  and  $b^r(x, y) > 0$ . Let  $L = b^r(x, y)$ . We aim to define a  $\gamma : [0, 1] \to M$  connecting x to y with  $\text{Len}^b(\gamma) = L$ ; this  $\gamma$  is the sought geodesic. Let D and  $D_h$  be defined as in the proof of Prop. 6.2. Iterating on h we can define a map  $\xi : D \to M$ ; indeed  $\xi(0) = x, \xi(1) = y$  so  $\xi$  is defined on  $D_0$ ; once  $\xi$  is defined on  $D_h$ , taken  $\tau \in D_{h+1} \setminus D_h$  then  $\tau = t\theta + s(1 - \theta)$  with s, t consecutive points in  $D_h$ ; we define  $\xi$  on  $\tau$  to be the point z such that

$$f^{r}(\xi(s), z) = \theta \, b^{r}(\xi(s), \xi(t)) \text{ and } b^{r}(z, \xi(t)) = (1 - \theta) \, b^{r}(\xi(s), \xi(t))$$

(using strong convexity with the given  $\theta$ ). For  $s, t \in D, s < t$  we can prove that  $b^r(\xi(s), \xi(t)) = L(t-s)$ , again by induction on h, and using some triangle inequalities. Since  $b \leq b^r$  then  $\operatorname{Len}^b(\xi|_{[s,t]}) \leq L(t-s)$ ; moreover the space is forward or backward complete; so we can use Lemma 5.10 to extend  $\xi$  to run-continuous path  $\gamma : [0, 1] \to M$  connecting x to y and satisfying  $\operatorname{Len}^b(\gamma|_{[s,t]}) \leq L(t-s)$ . Eventually we can prove that  $\operatorname{Len}^b(\gamma|_{[s,t]}) = L(t-s)$  using triangle inequalities and the fact that the length of  $\gamma$  is at least L.

Another result is as follows.

h

**Proposition 10.4.** Suppose M is complete and weakly convex. Then for any two points x, y with  $b^r(x, y) < \infty$  there exists a minimizing geodesic connecting them.

The proof uses Zorn's Lemma to find a maximal  $\xi : D \to M$  such that  $b^r(\xi(s), \xi(t)) = t - s$ ; it uses completeness to prove that D is closed, and convexity to prove that D = [0, 1].

Other similar statements are possible; we skip them for sake of brevity.

The above proposition are quite similar to the usual results in symmetric metric theory. The surprising remark, in the setting of this paper, is that the classical method of Menger, in the asymmetric case, builds *run-continuous geodesics*, and not *continuous geodesics*! So the fact that the classical theory of geodesics studies *continuous geodesics* looks just as a byproduct of the symmetry of the theory, and not as a natural necessity.

"Completeness" is fundamental in the above propositions.

Example 10.5. The subset  $M = \mathbb{R}^2 \setminus \{(0,0)\}$  obtained by deleting the origin from 2-space, endowed with the Euclidean distance b(x,y) = |x - y|, is a simple example of a space that is intrinsic, it is weakly convex, but not strongly convex. It is also locally compact but not complete. The points (0,1) and (0,-1) cannot be connected by a minimizing geodesic.

We conclude with this proposition.

**Proposition 10.6.** Let  $x \in M$ ,  $\rho, t > 0$  and

$$V_t \stackrel{def}{=} \bigcup_{y \in D^+(x,\rho)} D^+(y,t) \; ;$$

as in eqn. (6.4). Suppose that (M, b) is r-intrinsic and strongly convex, then  $V_t = D^+(x, \rho + t)$ .

# 11. Examples

This subset of  $\mathbb{R}^2$  complements the already seen Example 10.5.

Example 11.1. The set  $M = (\mathbb{R} \times \mathbb{Q}) \cup (\{0\} \times \mathbb{R})$ , equipped with b(x, y) = |x - y|, admits quasi-midpoints; and it is weakly convex but not strongly convex; and M is arc connected; but (M, b) is not complete; and (M, b) is not intrinsic, since  $b^g(x, y) = |x_1| + |y_1| + |x_2 - y_2|$  when  $x_2 \neq y_2$ .

Example 11.2. Consider  $M \subset \mathbb{R}^n$  to be an open set, and b to be the Euclidean distance; then

- (M, b) is locally compact;
- (M, b) admits minimizing geodesics iff M is convex;
- (M, b) is complete iff  $M = \mathbb{R}^n$ ;
- if moreover M is equal to the interior of the closure of M in  $\mathbb{R}^n$ , then (M, b) is intrinsic iff M is convex.

Example 11.3. If  $M = \mathbb{R}$  and

$$b(x,y) = \begin{cases} e^y - e^x & \text{if } x < y\\ e^{-y} - e^{-x} & \text{if } x > y \end{cases}$$
(11.1)

then b generates on  $\mathbb{R}$  the usual topology, and (M, b) is locally compact and sintrinsic. Note that (M, b) is a Finsler manifold, indeed the distance b derives from the metric

$$F(x,v) = \begin{cases} e^x v & v > 0\\ -e^{-x}v & v < 0 \end{cases}.$$

The balls are the open intervals

$$B^{+}(a,r) = \{y \mid b(a,y) < r\} = \left(-\log(r+e^{-a}), \log(r+e^{a})\right)$$
$$B^{-}(a,r) = \{x \mid b(x,a) < r\} = \left(\log(e^{a}-r), -\log(e^{-a}-r)\right)$$

where  $\log(z) = -\infty$  if  $z \le 0$ . (see fig. 1 and 2)

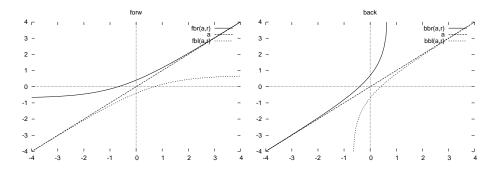


FIGURE 1. forward and backward balls, left and right extrema (M is vertical, r = 1/2, a in abscissa)

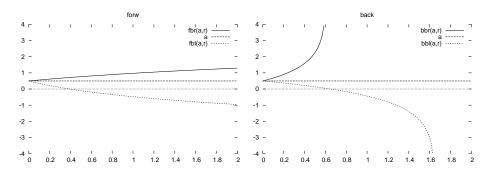


FIGURE 2. forward and backward balls, left and right extrema (M is vertical, a = 1/2, r in abscissa)

- (1) We immediately note that  $B^-(0,1) = \mathbb{R}$ , that is, M is backward bounded (but is not forward bounded).
- (2) If  $x_n = n$  then for m < n,  $b(x_n, x_m) = e^{-m} e^{-n} < e^{-m}$  so this sequence is backward-Cauchy: then M is not backward complete.
- (3) (M, b) is forward complete (and then is symmetrically complete, by 4.3). Proof: Suppose that  $(x_n)$  is an increasing forward-Cauchy sequence: then for m > n > N,  $b(x_n, x_m) = e^{x_m} - e^{x_n} < \varepsilon$  that implies  $x_m \le \log(\varepsilon + e^{x_n})$ , so  $x_m$ has a limit  $x_m \to x^{10}$ ; while if it were a decreasing forward-Cauchy sequence for m > n > N,  $b(x_n, x_m) = e^{-x_m} - e^{-x_n} < \varepsilon$  that implies  $x_m \ge -\log(\varepsilon + e^{-x_n})$ , and again  $x_m$  has a limit. Suppose that  $x_n$  is a generic forward-Cauchy sequence: from any subsequence  $x_{nk}$  of  $x_n$  we may extract a monotonic sub-sub-sequence  $(x_{nkh})_h$ : this would be convergent to a point x; this point does not depend on the choice of subsequence: indeed,  $b(x_n, x_{nkh}) \to_h b(x_n, x) < \varepsilon$  for n large.  $\Box$
- (4) Consider the ball  $B^+(0,\rho)$  of extrema (-R,R) with  $R(\rho) = \log(\rho + 1)$ , and then the two balls

$$\begin{split} B^+(R,r) &= \left(-\log(r+e^{-R}), \log(r+e^{R})\right), \quad B^+(-R,r) = \left(-\log(r+e^{R}), \log(r+e^{-R})\right) \\ & \text{then if } r \geq \rho/(\rho+1), \end{split}$$

$$B^+(0,\rho) \subset B^+(R,r) \cup B^+(-R,r)$$
.

Proof: indeed the right extrema of  $B^+(-R,r)$  is positive when  $\log(r+e^{-R}) \ge 0$  that is  $(r+e^{-\log(\rho+1)}) \ge 1$  that is  $(r+1/(\rho+1)) \ge 1$ 

 $<sup>{}^{10}</sup>x_m \to x$  in the topological sense, i.e.  $d(x_m, x) \to 0$ .

Example 11.4. Consider two copies (M, b) of the above space, join them at the origin, reverse the metric on one: the resulting space  $\tilde{M}, \tilde{b}$  is symmetrically complete, but is neither forward nor backward complete.

More precisely, let  $M_+ = \mathbb{R} \times \{+\}, M_- = \mathbb{R} \times \{-\},\$ 

$$\tilde{M} = M_+ \cup M_- / \sim$$

where  $(0, +) \sim (0, -)$  and then

$$\tilde{b}(x,y) = \begin{cases} b(x,y) & \text{if } x, y \in M_+ \\ b(y,x) & \text{if } x, y \in M_- \\ \tilde{b}(x,[0]) + \tilde{b}([0],y) & \text{otherwise} \end{cases}$$

*Example* 11.5. Let M be the disjoint union of segments with glued extrema; more precisely

$$M = (\mathbb{Z} \times [0,1]) / \sim$$

with ~ identifying  $(n, 0) \sim (m, 0)$  and  $(n, 1) \sim (m, 1)$  for  $n, m \in \mathbb{Z}$ , so that all points (n, 0) collapse into a class [0] in M, and all points (n, 1) into a class [1] in M.

Let b be defined on segments as follows, if x = (n, s), y = (n, t) then

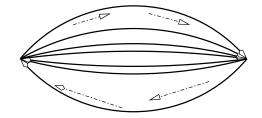
$$b(x,y) = \begin{cases} (s-t)(1+e^n) & s \ge t\\ (t-s)(1+e^{-n}) & s \le t \end{cases}$$

whereas

$$b([0], [1]) = b([1], [0]) = 1$$

and extend b (geodesically) when x=(n,s) and y=(m,t) with  $n\neq m$  using the rule

 $b(x,y) = \min \left\{ b(x,[0]) + b([0],y), \quad b(x,[1]) + b([1],y) \right\} .$ 



We highlight the following properties

- (1) (M, b) is a complete space.
- (2) (M, b) is a s-intrinsic space.
- (3) It is strongly separated.
- (4) (M, b) is not locally compact.
- (5) There is no minimizing geodesic connecting [0] to [1].
- (6) If  $x_n = (-n, 1/n)$  then

$$b(x_n, [0]) = (1 + e^{-n})/n \to 0$$

but

$$b([0], x_n) = (1 + e^n)/n \to \infty$$
;

so this space is not "General metric spaces", it does not satisfy (2.7).

(7) Moreover the set  $A = \{[0], x_n \mid n\}$  is backward bounded but not forward bounded.

(8) Moreover  $\forall \varepsilon > 0$  we can define

$$y_n = \begin{cases} x_n & \text{if } n\varepsilon < 2\\ [0] & \text{if } n\varepsilon \ge 2 \end{cases}$$

and then  $b(x_n, y_n) < \varepsilon$  and  $y_n \to [0]$ ; but nonetheless  $x_n \not\to [0]$ .

The following example marks a fundamental difference between the cutlocus in Riemannian Manifolds, and in asymmetric metric spaces. It is based on Example 4.6 in [16]. <sup>11</sup> (A similar example using a Finsler structure may be built starting from Example 4.1 in [16].)

*Example* 11.6. Given a continuous injective monotonic map  $\xi : [a, c] \to \mathbb{R}$  we define the length len<sub>6</sub> as

$$\operatorname{len}_6(\xi) = \xi(c) - \xi(a)$$

if  $\xi$  is increasing, while when  $\xi$  is decreasing we set

$$len_6(\xi) = \xi(a) - \xi(c) + \frac{1}{2} \# \Big( (\xi(c), \xi(a)] \cap \mathbb{Z} \Big)$$

where #A is the cardinality of the set A. As in 4.6 the effect is that, when paths run rightwards the space is Euclidean, whereas when they run leftwards, it looks as if there is a gap of width 1/2 near any integer point ("infinitesimally at the left of" any integer point, in a sense).

We then define  $\mathcal{C}_P$  as the class of  $\gamma : [a, c] \to \mathbb{R}$  that are continuous and piecewise strictly monotonic, and extend the length len<sub>6</sub> to  $\mathcal{C}_P$  by additivity.

We identify the circle  $S^1$  with the quotient  $\mathbb{R}/\mathbb{Z}$ . We define a length structure in  $S^1$  by projecting the above structure ( $\mathbb{C}_p$ , len<sub>6</sub>). We then induce the distance function  $b_6$  from this length structure. By using the Theorem 2.19 in [16], it is possible to prove that len<sub>6</sub>  $\equiv \text{Len}^{b_6}$  in  $\mathbb{C}_P$ .

Consider now  $x = [1/3] \in S^1$  and the paths  $\xi, \gamma : [0,1] \to S^1$  given simply by  $\xi(t) = 1/3 - t$  and  $\gamma(t) = 1/3 + t$ ; for these paths we have  $\ell^{\gamma}(t) = t$  but

$$\xi(t) = \begin{cases} t & \text{if } t \le 1/3 \\ t + 1/2 & \text{if } t > 1/3 \end{cases}$$

Let then  $\varepsilon \ge 0$  but  $\varepsilon < 1/12$ ; if we want to connect x to  $y = [-\varepsilon]$  we can use the path  $\gamma(t)$  with  $t \in [0, 2/3 - \varepsilon]$ , or the path  $\xi(t)$  with  $t \in [0, 1/3 + \varepsilon]$ . The remarkable fact is that, if  $\varepsilon > 0$  then the path  $\gamma$  is the minimizing geodesic, and  $\xi$  is not even run-continuous; but for  $\varepsilon = 0$  then  $\xi$  is the minimizing geodesic, and  $\gamma$  is strictly longer than  $\xi$ , so  $\gamma$  is not a minimizing geodesic anymore.

Note that the space in Example 4.6 in [16] is not r-intrinsic, since there is no run continuous path connecting 1 to -1 hence  $b(1,-1) = 3 \neq b^r(1,-1) = \infty$ . Similarly this space  $(S^1, b_6)$  is not r-intrinsic and not compact (indeed  $(S^1, b_6)$  is homeomorphic to [0, 1) and not to  $S^1$  with the usual topology).

11.1. Examples from [16]. In the following we refer to the examples in [16].

- Consider the space  $([-1, 1], b_1)$  in Example 4.1.
  - $(M, b_1)$  is forward complete. Indeed, we show that if  $x_n$  is forward Cauchy, then it converges. If at a certain point we have that  $x_n > 0$  then for all m > n,  $x_m > 0$  (otherwise,  $b(x_n, x_m) = \infty$ !). So the sequence is definitively  $x_n \le 0$ , or definitively  $x_n > 0$ . In the first case definitively  $b(x_n, x_m) = |x_n x_m|$  so it

<sup>&</sup>lt;sup>11</sup>Unfortunately in 4.6 in [16] we claimed that " $(C_H, \text{len}_5)$  is a length structure"; this is inexact, since the class  $C_H$  described in 4.6 is not closed under join of paths. The correct class would be the class  $C_P$  described in this example. That inexactness fortunately does not affect the importance of the Example 4.6.

converges. In the second case let  $\varepsilon > 0$  and then  $m \ge n \ge N$  as per definition, then whenever  $x_m < x_n$  we have that  $b(x_n, x_m) = \log(x_n) - \log(x_m) \le \varepsilon$  so  $x_m$  is bounded from below by  $x_N e^{-\varepsilon}$ , but also bounded from above by  $x_N + \varepsilon$ ; in this interval b is equivalent to the Euclidean metric.

- $(M, b_1)$  is not backward complete since the sequence  $x_n = 1/n$  does not converge to zero, but, for  $m \ge n \ge N$ ,  $b_1(x_m, x_n) = 1/n 1/m \le 1/n \le 1/N$ .
- We have that  $D^+(0,\varepsilon) = [-\varepsilon,\varepsilon]$  while  $D^-(0,\varepsilon) = [-\varepsilon,0]$ , so  $(M,b_1)$  is backward locally compact but is not forward locally compact.

Consider the space  $([-1, 1], b_2)$  in Example 4.2.

- The space  $([-1, 1], b_2)$  is symmetrically complete but it is not forward complete and is not backward complete.
- $(M, b_2)$  is symmetrically locally compact, but it is not forward locally compact and not backward locally compact.

The space  $([-1,1], b_3)$  in 4.4 is forward and backward complete; it is compact.

11.2. **Randers spaces.** We now propose an example based on the *Randers metrics* (that are a classical example of Finsler structures — see in Sec. 1.3C and Chap. XI in [2]).

Consider a Riemannian manifold (M, g); we call len<sup>g</sup> the length of absolutely continuous paths in (M, g),  $|v|_x = \sqrt{g_x(v, v)}$  the norm of vectors  $v \in T_x M$ , and  $\delta$ the Riemannian distance in (M, g). It is well known that len<sup>g</sup> = Len<sup> $\delta$ </sup>. (A possible proof is Prop. 2.25 in [16]).

Suppose moreover that there exists a smooth  $f:M\to \mathbb{R}$  such that

$$\forall x \in M \quad , \quad |\nabla f(x)|_x \le 1 \quad ; \tag{11.2}$$

this implies that

$$|f(y) - f(x)| \le \delta(x, y) \quad .$$

We now proceed as in Example 2.4. We define

$$F(x,v) = |v|_x + g_x(\nabla f(x), v)$$

a simple computation shows that

$$\operatorname{len}^{F}(\gamma) = \operatorname{len}^{g}(\gamma) + f(y) - f(x)$$

for any  $\gamma$  connecting x to y, and then

$$b^F(x,y) = \delta(x,y) + f(y) - f(x)$$
,

and

$$d^{F}(x,y) = \delta(x,y) + |f(y) - f(x)|$$
.

It is easy to prove that  $b^F$  is always a distance (and not only a semi distance). Moreover the identity map  $(M, b^F) \to (M, \delta)$  is continuous, so the topology of  $(M, b^F)$  is finer than the topology of  $(M, \delta)$  (it has more open sets and less compact sets).

If the inequality in (11.2) is always strict, then the space (M, F) is a classical "Randers space". In particular, the space  $(M, b^F)$  is strongly separated, and the distances  $\delta$  and  $b^F$  are locally equivalent, so that the topology of  $(M, b^F)$  coincides with the topology of M (as a differential manifold) and of  $(M, \delta)$  (as a metric space).

If instead there is a large enough region in M where (11.2) is an equality, then the space (M, b) is not strongly separated. In the general case we can anyway study the above objects using the methods developed in this paper.

**Proposition 11.7.**  $\operatorname{len}^{F} = \operatorname{Len}^{b^{F}}$ , and the space (M, b) is r-intrinsic.

*Proof.* We recall some results from [16]. Let (len,  $\mathcal{C}$ ) be a length structure, and  $b^l$  be the induced semi distance. In Sec. 2.4 in [16] we noted that  $\operatorname{Len}^{b^l}$  is the relaxation of len according to an appropriate topology  $\tau_{\mathrm{DF}}$ ; in Thm. 2.19 we then concluded that  $\operatorname{len}^F = \operatorname{Len}^{b^f}$  iff  $\operatorname{len}^F$  is lower semi continuous in  $\tau_{\mathrm{DF}}$ .

Let now  $\mathcal{C}_{AC}$   $\mathcal{C}$  the class of absolutely continuous paths. (len<sup>g</sup>,  $\mathcal{C}_{AC}$ ) is a length structure. We already argued that len<sup>g</sup> = Len<sup> $\delta$ </sup>, and len<sup>g</sup> is lower semi continuous in  $\tau_{DF}$ .

The quantity f(y) - f(x) is locally constant according to the topology  $\tau_{\text{DF}}$ ; So by obtain that is len<sup>F</sup> lower semi continuous on  $\mathcal{C}_{\text{AC}}$  and that len<sup>F</sup> = Len<sup>b<sup>F</sup></sup>.

It is also easy to see that  $(\text{len}^F, \mathcal{C}_{AC})$  is a *run-continuous and length structure* (as defined in 2.4 in [16]). So by Prop. 3.18 in [16] the space (M, b) is r-intrinsic.

Note that, when we have equality in (11.2) at some points in M, we cannot use Prop. 2.25 in [16] directly.

We conclude by remarking that the above type of reasonings was also one of the main ingredients of [15].

### 12. Hopf-Rinow Theorem

We now present the asymmetric Hopf–Rinow-like theorem that holds in our settings. We define that A is **forward–bounded** if  $A \subseteq B^+(x, r)$  for a choice of  $x \in M, r > 0$ .

Note for example that the image  $\gamma([a, c])$  of a run-continuous path  $\gamma : [a, c] \to M$  is forward and backward-bounded.

**Theorem 12.1** (Hopf–Rinow). Consider the following three statements.

- (1) Forward-bounded and closed sets are compact.
- (2) (M, b) is forward complete.
- (3) Any rectifiable minimizing geodesic  $\gamma : [a, c) \to M$  may be completed to a path that is run-continuous on [a, c] and continuous at c.

In general, the implications  $(1) \Longrightarrow (2) \Longrightarrow (3)$  hold for any asymmetric metric space (M, b).

Suppose that (M, b) is r-intrinsic and forward-locally compact, then the three properties above are equivalent.

We gladly note that the theorem works as desired in the realm  $C_r$  of *run-continuous* paths; and that *strong separation* is not a necessary condition.

Note that statement (1) implies that any two points x, y with  $\rho = b^r(x, y) < \infty$ may be connected by a minimizing geodesic (that is also continuous if the space is strongly separated); indeed  $D^{+r}(x, \rho) \subseteq D^+(x, \rho)$  so we may apply Thm. 9.1.

Note that statement (3) is not saying that the extension of  $\gamma$  is a geodesic. Indeed Example 11.6 shows that this may be false in general.

The example 2.1.17 in [17] show that, even in the symmetric case, we cannot discard any of the hypotheses in the above theorem.

12.1. Lemmas. In the rest of the section we will mainly prove the Theorem. We extracted from the proof a plethora of lemmas (some of some interest in themselves); some were presented in the section 6 on midpoint properties, some in Sec. 5, the others are here following.

**Definition 12.2.** Let  $D^+(a, \rho) \stackrel{\text{def}}{=} \{y \mid b(a, y) \leq \rho\}$ . We define the forward radius of compactness  $R: M \to [0, +\infty]$  as

$$R(x) \stackrel{\text{der}}{=} \sup\{\rho \ge 0 \mid D^+(x,\rho) \text{ is compact } \} .$$

Note that for all  $\rho$  with  $0 \leq \rho < R(x)$  we have that  $D^+(x,\rho)$  is compact. (M,b) is forward-locally compact iff  $R(x) > 0 \ \forall x \in M$ .

**Lemma 12.3.** •  $\forall x, y \in M \text{ with } b(x, y) < \infty \text{ we have } R(y) \ge R(x) - b(x, y).$ 

- Consequently, if  $R(y) < \infty$  then  $\forall x \in M$  with  $b(x, y) < \infty$  we have  $R(x) < \infty$ .
- $d(x,y) \ge |R(x) R(y)|$  for all  $x, y \in M$  for which  $R(x), R(y) < \infty$ .

*Proof.* Indeed, fix  $x, y \in M$  with  $b(x, y) < \infty$ ; if  $R(x) \le b(x, y)$  there is nothing to be proved; otherwise, for any  $\rho$  with  $b(x, y) < \rho < R(x)$  we have that  $D^+(y, \rho - b(x, y))$  is compact, since

$$D^+(y, \rho - b(x, y)) \subseteq D^+(x, \rho)$$

This implies that  $R(y) \ge \rho - b(x, y)$ , and then by arbitrariness of  $\rho$  we obtain  $R(y) \ge R(x) - b(x, y)$ . If R(y) is finite, the above entails

$$b(x,y) \ge R(x) - R(y)$$

reversing the role of x, y we obtain the second statement.

In general (even when  $0 < R(x) < \infty$ ) it is possible to find examples where  $D^+(x, R(x))$  is compact, and examples where it is not.

*Example 12.4.* Let  $M \subset I$  be given by

$$I = ([-7, -6] \cap \mathbb{Q}) \cup [-6, -4];$$

and  $M = I \cup \{0\}$ ; let

$$b(x,y) = \begin{cases} |x-y| & \text{if } x, y \in I, \\ 4 & \text{if } x \in I, y = 0, \\ \infty & \text{if } x = 0, y \neq 0 \end{cases}$$

The topology of (M, b) is the Euclidean topology. We note that

- R(x) = 0 when  $x \in [-7, -6]$ ,
- R(x) = x + 6 when  $x \in [-6, -5]$ , and  $D^+(x, R(x))$  is compact,
- R(x) = -x 4 when  $x \in [-5, -4)$ , and  $D^+(x, R(x))$  is not compact,
- $R(0) = \infty$  since  $D^+(0, \rho) = \{0\}$  is compact for any  $\rho$ .

In *r*-intrinsic and forward-locally compact spaces, instead, we can precisely describe the behavior of R(x) as follows.

**Lemma 12.5.** Suppose that (M, b) is r-intrinsic and forward-locally compact. Choose  $x \in M$  such that  $R(x) < \infty$  and fix  $\rho > 0$  such that  $D^+(x, \rho)$  is compact; let  $\delta \stackrel{def}{=} \min_{y \in D^+(x, \rho)} R(y)$ . Then  $\delta > 0$ , and  $R(x) = \rho + \delta$ .

Proof. Since  $D^+(x,\rho)$  is compact then b is bounded on it, so by the second point of 12.3,  $R(y) < \infty$  for all  $y \in D^+(x,\rho)$ ; hence R is continuous and bounded by the third point of 12.3; since  $D^+(x,\rho)$  is compact and R > 0 we conclude that  $\delta > 0$ . Choose  $0 < t < \delta$ ; define  $V_t$  as in 6.5; we know that  $V_t = D^+(x,\rho+t)$ . We want to prove that  $V_t$  is compact. Indeed choose  $(z_n)_n \subset V_t$ ; then  $z_n \in D^+(y_n,t)$  for a choice of  $y_n \in D^+(x,\rho)$ ; choose s so that  $t < s < \delta$ ; up to a subsequence,  $y_n \to y \in D^+(x,\rho)$ , so that for n large,  $d(y,y_n) \leq s - t$  hence  $b(y,z_n) \leq s$ . We proved that  $z_n$  is definitively contained in  $D^+(y,s)$ ;  $D^+(y,s)$  is compact since  $s < \delta \leq R(y)$ ; so we can extract a converging subsequence.

" $V_t \supseteq D^+(x, \rho + t)$ " and " $V_t$  compact" imply that  $R(x) \ge \rho + t$ , and we conclude by arbitrariness of t that  $R(x) \ge \rho + \delta$ . The opposite inequality is easily inferred from first point in the previous lemma 12.3, namely  $R(y) \ge R(x) - b(x, y)$ , that implies  $\delta \ge R(x) - \rho$ . A corollary of the above lemma is that (in the above hypothesis)  $D^+(x, R(x))$  is not compact; so the above lemma is the quantitative version of the argument shown in (8) in section I in [6].

**Lemma 12.6.** Suppose that (M, b) is r-intrinsic, for simplicity. Suppose that  $0 < R(x) < \infty$ , let  $\rho = R(x)$ ; then for any y with  $L = b(x, y) \leq \rho$  there is a arc-parameterized minimizing geodesic  $\gamma : [0, L] \to M$  connecting x to y.

This Lemma is actually a Corollary of Theorem 9.1. An alternative proof, based on the repeated application of Lemma 6.4 (similarly to Prop. 6.5.1 in [2]) is in Sec. B.8.

The example 4.1 shows that, even if the space is strongly separated, we cannot expect that  $\gamma(t)$  be continuous at  $t = \rho$  in general: indeed the "identity path"  $\gamma: [0, 1] \rightarrow [0, 1]$  is a minimizing geodesic but is not continuous at 0.

**Lemma 12.7.** Suppose that (M, b) is r-intrinsic and forward locally compact. Suppose that there is a x such that  $\rho = R(x) < \infty$ . Suppose that any rectifiable minimizing geodesic  $\gamma : [a, c) \to M$  with  $\gamma(a) = x$  may be extended to a path that is run-continuous on [a, c] and continuous at c. Then  $D^+(x, \rho)$  is compact.

*Proof.* Let  $\{y_n\} \subset D^+(x,\rho)$ , and let  $L_n = b(x,y_n)$ . If  $\liminf_n L_n < \rho$ , we can extract a subsequence  $n_k$  s.t.  $L_{n_k} \leq t < \rho$ , that is,  $\{y_{n_k}\} \subset D^+(x,t)$  that is compact: so we can extract a converging subsequence.

Suppose now that  $\lim_n L_n = \rho$ . For any  $y_n$  we use Lemma 12.6 to obtain the minimizing geodesic  $\gamma_n : [0, L_n] \to M$  connecting x to  $y_n$ ; if  $L_n < \rho$  we extend  $\gamma_n$  constantly to  $[L_n, \rho]$  (the same method is used in eqn. (B.5)).

This part of the proof follows closely the proof of 9.1 (see Sec. B.6), so we will just sketch these steps. Let  $D \subseteq [0, \rho)$  be a countable dense subset. For any  $t \in D, t < \rho$  we have that  $\gamma_n(t) \in D^+(x, t)$  that is compact. Using a diagonal argument, up to a subsequence, we obtain that  $\lim_n \gamma_n(t)$  exists, and we define  $\xi : D \to M$  by  $\xi(t) = \lim_n \gamma_n(t)$ . Since length is lower semi continuous, then  $\xi$  is run-continuous; moreover any ball  $D^+(x, r)$  with  $r < \rho$  is compact and hence complete; so we can apply Lemma 5.10, and extend  $\xi$  to a arc-parameterized run-continuous  $\gamma : [0, \rho) \to M$ . The hypothesis now states that we can further extend  $\gamma : [0, \rho] \to M$  so that  $\gamma(t)$  is continuous at  $t = \rho$ . Since the space is forward locally compact, let then  $\varepsilon > 0$  be small so that  $D^+(y, 3\varepsilon)$  is compact. Since  $\gamma(t)$  is continuous at  $t = \rho$ , there is a t s.t.  $b(y, \gamma(s)) < \varepsilon$  for all  $s, t \leq s \leq \rho$ ; fix s > t,  $s > \rho - \varepsilon$ ,  $s \in D$ ; we apply the triangle inequality to prove that

$$b(y, y_n) \le b(y, \gamma(s)) + b(\gamma(s), \gamma_n(s)) + b(\gamma_n(s), y_n) ;$$

this is less than  $3\varepsilon$ , definitively in *n*. We proved that the sequence  $y_n$  is definitively contained in  $D^+(y, 3\varepsilon)$ , so it admits a convergence subsequence.

12.2. Proof of Theorem 12.1. We now use the above lemmas to prove 12.1.

- **Proof.** Suppose that forward-bounded closed sets are compact. If  $x_n$  is a forward-Cauchy sequence, then there exists N s.t.  $b(x_N, x_m) \leq 1$  for m > N, that is,  $x_m \in D^+(x_N, 1)$  that is compact; then we can extract a converging subsequence, and use Prop. 4.4 to obtain the result.
  - Let  $\gamma : [a, c) \to M$  be the rectifiable geodesic. Suppose that (M, b) is forward complete, then by Lemma 5.8 the limit  $y = \lim_{t\to c^-} \gamma(t)$  exists, so we define  $\gamma(c) = y$ . By Lemma 5.4 this extension is run-continuous.
  - Suppose that any minimizing geodesic  $\gamma : I \to M$  defined on I = [a, c) may be extended: we will prove that forward-bounded closed sets are compact (that is, that the *radius of compactness*  $R(x) \equiv \infty$ ).

The proof is by contradiction: suppose that there is a x such that  $\rho = R(x) < \infty$ ; Lemma 12.7 show that  $D^+(x, \rho)$  is compact, contradicting Lemma 12.5.

We remark that the proof of the above equivalence cannot simply follow from the proof for metric spaces §1.11 in [11], since that proof uses the property described in Remark 4.5; neither it does follow from the proof in Finsler Geometry (see section VI of [2]), since the latter uses the exponential map. The proof is also more involved than in *General metric spaces*, many more extra technical lemmas are needed.

### 13. On the semidistances and the separation hypotheses

We conclude the paper with some remarks on the rôles of b = 0 or  $b = \infty$ . Consider a symmetric semidistance d, that is a  $d: M \times M \to [0, \infty]$  satisfying

- $d \ge 0$  and  $\forall x \in M, d(x, x) = 0;$
- $d(x,y) = d(y,x) \ \forall x,y \in M;$
- $d(x,z) \le d(x,y) + d(y,z) \ \forall x, y, z \in M.$

There is a standard procedure to reduce this case to the more usual case of metric spaces. Indeed, the relation  $x \sim y$  given by

$$x \sim y \iff d(x, y) = 0$$

is an equivalence relation; if we define  $\tilde{M} = M/\sim$  and let d([x], [y]) = d(x, y) then  $(\tilde{M}, \tilde{d})$  is a metric space. Many important properties and operations (both metric and topological) can be "projected" from (M, d) to  $(\tilde{M}, \tilde{d})$ .

Suppose that b is an asymmetric distance. If the space is not strongly separated, it may be the case that, for a pair  $x, y \in M$  with  $x \neq y$ , b(x, y) = 0 but b(y, x) > 0. When we associate to (M, b) the symmetric distance d using (2.1), we also have that d(x, y) > 0. So we cannot address this situation projecting to the quotient, as above. This is the reason why we have to deal with the case of  $x, y \in M$ ,  $x \neq y$ , b(x, y) = 0 in some results of this paper, such as 10.3.

Note that the procedure described at the beginning of the section may be instead used, in the asymmetric case, to project a space (M, b) where b is an asymmetric semidistance to a space  $(\tilde{M}, \tilde{b})$  where  $\tilde{b}$  is an asymmetric distance; by defining  $\tilde{M} = M/\sim$  and

$$x \sim y \iff b(x, y) = b(y, x) = 0$$
.  
14. When  $b = \infty$ 

The attentive reader may have noted that, in our definition of asymmetric metric space, there may be points x, y at infinite distance. There are good reasons at that. In general, even if the distance d is symmetric and  $d < \infty$  at all points, it may be the case that two points  $x, y \in M$  cannot be connected by a continuous curve, so the induced geodesic distance  $d^g(x, y) = \infty$ . So we included this possibility in the definition.

Anyway the case of infinite distance is uncommonly found in texts, so we propose some remarks.

• Consider a symmetric distance d such that  $d: M \times M \to [0, \infty]$ , but otherwise satisfying all the usual axioms. Again, there is a standard procedure <sup>12</sup> to

30

<sup>&</sup>lt;sup>12</sup>Compare the idea in Exercise 2.1.3 in [3].

reduce this case to the more usual case of metric spaces with  $d < \infty$ . Indeed, the relation  $x \sim y$  given by

$$x \sim y \iff d(x,y) < \infty$$

is an equivalence relation; moreover equivalence classes are both open and closed, that is, points in different equivalence classes are in different connected components.

Usual questions in topology and geometry can be studied by restricting our attention to an equivalence class (or to a connected component); so most texts, when presenting the theory of metric spaces, define the distance d as  $d: M \times M \to [0, \infty)$ .

- The above method immediately fails if the distance b is not symmetric, since  $b(x, y) < \infty$  is not an equivalence relation (it fails to be symmetric, obviously). More in general, we have developed the theory of geodesics using *run-continuous* curves; we have seen in examples that a run-continuous curve can start in a connected component and end in a different connected component: so we cannot study this theory by "restricting to a connected component".
- Another approach is as follows.

**Proposition 14.1.** Let  $\varphi : \mathbb{R}^+ \to \mathbb{R}^+$  be continuous and concave,  $\varphi(x) = 0$  only for x = 0. Then  $\varphi$  is subadditive. So, defining  $\tilde{b} \stackrel{\text{def}}{=} \varphi \circ b$ ,  $\tilde{b}$  is an asymmetric distance.

Define  $\varphi$  by  $\varphi(t) = t/(1+t)$  and  $\varphi(\infty) = 1$ ; then we set  $\tilde{b} \stackrel{\text{def}}{=} \phi \circ b$ ; so we obtain a space  $(M, \tilde{b})$  that is topologically equivalent, and where the distance does not assume the value  $+\infty$ .

• Unfortunately this last remedy is, in general, only a placebo, when we are interested in intrinsic spaces and/or in studying geodesics: suppose b is intrinsic and we decide to set  $\varphi$  as above and define  $\tilde{b} \stackrel{\text{def}}{=} \phi \circ b$ : then  $\tilde{b}$  is not intrinsic; so if we try to substitute  $\tilde{b}$  by its generated r-intrinsic distance  $\tilde{b}^r$  we find out, by prop. 14.2 below, that  $\tilde{b}^r = b^r = b$ , and we are back to square one.

So it seems that we may sometimes be forced to address the case when  $b = \infty$  for some points.

**Proposition 14.2.** Let  $\varphi : \mathbb{R}^+ \to \mathbb{R}^+$  be continuous and concave,  $\varphi(x) = 0$  only for x = 0. Let  $\tilde{b} \stackrel{\text{def}}{=} \phi \circ b$ . Suppose moreover the derivative of  $\varphi$  exists and is finite at 0. If  $\gamma$  is run-continuous then

$$\varphi'(0)\operatorname{Len}^b \gamma = \operatorname{Len}^b \gamma$$

so

$$b^r(x,y)\varphi'(0) = \tilde{b}^r(x,y) \quad ;$$

and similarly for  $b^g$  and  $b^s$ .

*Proof.* Let  $\gamma : [a,c] \to M$ . Let  $\varepsilon > 0$ . In the definition (2.2) of  $\operatorname{Len}^b \gamma$  it is not restrictive to use only subsets T of [a,c] such that  $b(\gamma(t_i),\gamma(t_{i+i})) \leq \varepsilon \ \forall i \in \{1,\ldots,n-1\}$  (use uniform continuity of  $\ell^{\gamma}$  and (2.4) to prove this fact).

Let now  $\delta > 0$ ; then there exists a  $\varepsilon > 0$  such that

$$x(a-\delta) \le \varphi(x) \le x(a+\delta) \quad \forall x \in [0,\varepsilon]$$

with  $a = \varphi'(0)$ ; we obtain that

$$(a - \delta) \operatorname{Len}^b \gamma \leq \operatorname{Len}^b \gamma \leq (a + \delta) \operatorname{Len}^b \gamma$$

hence the conclusion.

#### ANDREA C. G. MENNUCCI

If  $\varphi'(0) = \infty$ , wild things may happen: see Example 3.6 in [16], or 1.4.b in [11].

# 15. Conclusions

The results in Sec. 6 and Sec. 10 state that, in complete spaces ("complete" in an appropriate sense),

- existence of *quasi-midpoints* is tightly related to the space being *r-intrinsic*;
- existence of *midpoints* is tightly related to existence of geodesics (in the class  $\mathcal{C}_r$  of *run-continuous* paths).

Furthermore,

- in Sec. 9, where we presented theorems that ensure the existence of minimizing geodesics, we found out that the results in the class  $C_r$  are more satisfactory than the results in the class  $C_g$ ; indeed in  $C_g$  (at the state of the art of this paper) we needed to assume that the space is strongly separated;
- in Sec. 8 we noted that the *arc-length reparameterization* of a run-continuous path is always run-continuous, but the reparameterization of a continuous path may fail to be continuous.

Those results further support the idea (already developed in [16]) that, in the asymmetric case, run-continuous paths are more "natural" than continuous paths.

The definition of *asymmetric metric space* was already presented also in Defn. 2.15 in [15], but in that case the space was always assumed to be *strongly separated*. In [15] the Hopf–Rinow theorem was used as a tool to provide a condition for existence and uniqueness to a class of Hamilton–Jacobi problems. One hope in developing the current paper is that the more general Theorem 12.1 here presented may be used for a generalization of the results in [15].

In developing this paper some natural definitions and questions have been skipped; for example we did not present a definition of Lipschitz maps <sup>13</sup>.

In the symmetric case the length of a curve may be seen as the integral of the *metric derivative* along the curve itself; and the *metric derivative* itself is then perused in the developing of *analysis in metric spaces*, a field in current and interesting active development. An important question left unsolved is the definition (if any) of *metric derivative* in the asymmetric case.

The reader may also have noted that no theory have been presented about the class  $C_s$  defined in the introduction. A theory of geodesics in the class  $C_s$  has yet to be developed (if it will be of any interest).

The proof of Prop. 9.1 (in Sec. B.6) does not use the (symmetric) Ascoli–Arzelà theorem, since the space is not assumed to be strongly separated, and then runcontinuous paths may be not continuous (cf. Cor. 3.4). [8] presents an asymmetric Ascoli–Arzelà theorem for quasi–metric spaces (where the topology is distinguished in a "forward" and a "backward" topology, and hence the continuity of functions). In this optic, part of the proof of Prop. 9.1 may be seen as a primitive Ascoli– Arzelà theorem, valid only for run-continuous paths. It may be the case that an adaptation of the arguments in [8] would provide an asymmetric Ascoli–Arzelà for an appropriate class of functions between asymmetric metric spaces; this theorem then would be used for a proof of Prop. 9.1 (but, see Example 5.13 in [8] for caveats).

 $<sup>^{13}</sup>$ A definition had been there in a draft version...

### APPENDIX A. COMPARISON WITH RELATED WORKS

A.2. **Regarding geodesics.** Unfortunately the word *geodesic* has been associated to different and incompatible definitions in the literature.

*Remark* A.1. In all works cited in this section, a path is a continuous mapping. We remark moreover that in all of these works, a run-continuous path is also continuous; either because the metric is symmetric, or because of the extra hypothesis (2.7). Instead in this paper, when studying geodesics, we considered run-continuous paths; and in this paper a run-continuous path is not necessarily continuous. This marks a fundamental difference between the definitions in 7.1 here, and those found in other papers.

A.2.1. Glossary. Let's see other possible names and definitions. Let  $I \subseteq \mathbb{R}$  be an interval.

- Consider a minimizing geodesic connecting x to y as defined in in 7.1.
  - It is called *shortest path* in the definition 2.5.15 in [3].
  - It is called *shortest join* in [5, 6].
  - It is called *geodesic* in the introduction of Chap. 2 in  $[17]^{14}$ .
- Consider a *local geodesic* as defined here in 7.1.

When the space is intrinsic, it is called *geodesic* in the definition 2.5.27 of [3].

• Consider a path connecting x, y such that

$$b(x,y) = \operatorname{Len}^{b}(\gamma) \quad . \tag{A.1}$$

It is called *segment* in [4, 5, 19, 6].

A *segment* is necessarily a *minimizing geodesic*; the vice versa is true when the space is intrinsic. Moreover existence of segments does imply that the space be intrinsic, as we will see afterward.

• Consider a distance preserving path  $\gamma: I \to M$ , *i.e.* 

$$\forall s, t \in I, s < t \quad \Rightarrow \quad t - s = b\big(\gamma(s), \gamma(t)\big) \quad . \tag{A.2}$$

When the space is intrinsic, this is called *minimizing geodesic* in Sec. 1.9 in [11].

In [5, 6] Busemann calls this a *straight line* (assuming  $I = \mathbb{R}$ ).

In Definition 2.2.1 in [17] this is called a *geodesic path* (or simply *geodesic*) when I = [a, b], a *geodesic ray* if  $I = [0, \infty)$ , a *geodesic line* if  $I = \mathbb{R}$ . A *geodesic segment* in [17] is the image of a geodesic path; a *straight line* is the image of a geodesic line.

Up to arc reparameterization, this is similar to the definition of global geodesic proposed here in, but with an important difference:  $b^r$  is replaced with b. That is, if the space is r-intrinsic, then a path satisfying (A.2) is a arc parameterized global geodesic (in the language of this paper). See though Remark A.2.

• Consider a locally distance preserving path  $\gamma: I \to M, \ i.e.$ 

 $\forall t_0 \in I, \; \exists \varepsilon > 0, \; \forall s, t \in I, \; t_0 - \varepsilon < s < t < t_0 + \varepsilon \; \Rightarrow \; t - s = b \big( \gamma(s), \gamma(t) \big) \;. \; (A.3)$ 

In [5, 6] Busemann calls this a *partial geodesic*, or *geodesic* if  $I = \mathbb{R}$ .<sup>15</sup>

When (M, b) is intrinsic, this is called *geodesic* in Sec. 1.9 in [11].

It is called *local geodesic* in Definition 2.4.8 in [17].

In [19] this same definition is called *extremal*.

 $<sup>^{14}</sup>$ Curiously, the definition of *geodesic* given in the introduction of Chap. 2 in [17] is different from the Definition 2.2.1 in the same book [17], see (A.2) here.

<sup>&</sup>lt;sup>15</sup>In [4] geodesics were equivalence classes of paths; that definition was simplified in later texts.

This is similar to the definition of *local geodesic* proposed here in, but with an important difference:  $b^r$  is replaced with b. That is, if the space is r-intrinsic, then a path satisfying (A.3) is a *arc parameterized local geodesic*. See though Remark A.2.

Remark A.2 (Arc parameter and strong separation). If the space (M, b) is r-intrinsic and strongly separated, then a theory of *continuous partial geodesics* based on the the definition (A.3) would be equivalent to a a theory of *continuous local geodesics* based on the definition in 7.1. Indeed we may always reparameterize any local geodesic to arc parameter, so as to satisfy (A.3). Due to the discussion in Sec. 8.1 we understand that, in the general case of asymmetric metrics, when the hypothesis (1.1) does not necessarily hold, the two approaches are not equivalent. This explains why, in Definition 7.1, we used a formulation that does not force geodesics to be arc-parameterized. The same remark holds for the definition in eqn. (A.2) vs the definition of *geodesic* here presented.

A.2.2. Non intrinsic spaces. As we see above, another important difference between the theory of geodesics in some texts and the definition 7.1 here, is that b is used where we instead use  $b^r$ .

A first consequence is Prop. 2.4.2 in [17]: "if in a space any two points can be connected by a segment (i.e. a path satisfying (A.1)), then the space has to be intrinsic". The same is noted in the introduction in [6].

In some sense, this different choice does not lead to a loss of generality. We recall from [16] that  $\operatorname{Len}^b \equiv \operatorname{Len}^{b^r}$  and that the space  $(M, b^r)$  is r-intrinsic. So a path  $\gamma$  that is a *geodesic* in (M, b) according to the definition in 7.1, is a *geodesic/segment* in  $(M, b^r)$  according to the definition (A.1). So we may think that the two approaches are equivalent, up to replacing (M, b) with  $(M, b^r)$ .

There is though a subtle difference. Indeed the topology of (M, b) and of  $(M, b^r)$ may be different (even when the metric is symmetric). In particular if  $D \subseteq M$  is compact in  $(M, b^r)$  then it is compact in (M, b); the opposite is not true, as shown *e.g.* by example 4.7 in [16]. So the result 9.1 is more general than what may be expressed using the definition A.1 and/or assuming that the space is intrinsic. This result 9.1 is then quite useful in cases when (M, b) is not intrinsic, the distance *b* is known and well understood, but  $b^r$  is not completely understood, and yet it is possible to prove that  $D^{+r}$  is compact, or contained in a compact set, in the (M, b)topology: this is the case *e.g.* in [9].

A.3. Menger convexity. Suppose that, for any  $r > 0, x \in M$ ,  $D^+(x, r)$  and  $D^-(x, r)$  are compact: such space (M, b) is called **finitely compact** in [4] and other texts. A *finitely compact* space is also *complete*.

In [4] and later works <sup>16</sup>, a (possibly asymmetric) metric space (M, b) is called **Menger convex** if given two different points  $x, y \in M$ , a third point z (different from x, y) exists such that b(x, z) + b(z, y) = b(x, y).

**Proposition A.3.** If the General Metric space (M, b) is finitely compact and Menger convex then the space is intrinsic and any two points x, y with  $b(x, y) < \infty$ can be connected with a minimizing geodesic.

This proposition is adapted to the language of this paper from (1.16) in  $[4]^{-17}$ ; [4] attributes to Menger this kind of result. As we see, the classical definition of *Menger convexity* forces the space to be intrinsic.

<sup>&</sup>lt;sup>16</sup>Or also in [17], where though only symmetric metrics are studied.

 $<sup>^{17}</sup>$ Or see Theorem 2.6.2 in [17], where the hypothesis "proper" is the same as the hypothesis "finitely compact" in Busemann's works.

For the already expressed reasons, we preferred to propose definitions of *convexity* that do not force the space to be intrinsic.

The example 10.5 shows that "finitely compact" cannot be replaced with "locally compact".

## A.4. Comparison with quasi metric spaces.

A.4.1. Topology. As already pointed out, our definition of the asymmetric metric is quite similar to the definition of a *quasi metric* that is found in the literature, cf. Wilson [18], Kelly [13], Reilly, Subrahmanyam and Vamanamurthy [12]<sup>18</sup> Fletcher and Lindgren [10, (pp 176-181)], Künzi [14], and more recently Collins and Zimmer [8]. One important difference is that in most texts, quasi-metrics are defined to be strongly separated. Another important difference between our theory of asymmetric *metric spaces* and *quasi metric spaces* is in the choice of the associated topology.

Indeed, we have three topologies at hand:

- the topology  $\tau$ , generated by the families of forward and backward balls, or equivalently by the metric d defined in (3.1);
- the topology  $\tau^+$  generated by the families of forward balls;

• the topology  $\tau^-$  generated by the families of backward balls;

it may happen that these three topologies are different.

This problem has been studied in [13]: there Kelly introduces the notion of a bitopological space  $(M, \tau^+, \tau^-)$ , and extends many definition and theorems, (such as the Urysohn lemma, the Tietze's extension theorem, the Baire category theorem $^{19}$ ) to these spaces. Unfortunately Kelly does not include the topology  $\tau$  in his studies.

We have chosen to associate the topology  $\tau$  to the "asymmetric metric space".  $\tau$ is a symmetric kind of object: as a consequence, we have only one notion of "open set", of "compact set", of "the sequence  $(x_n)$  converges to x", and of "the functions"  $f: N \to M$  and  $g: M \to N$  are continuous". Furthermore

**Proposition A.4.** If  $x_n \to x$  (according to  $\tau$ ) then the sequence  $(x_n)$  is both a forward Cauchy sequence and a backward Cauchy sequence,

as in the symmetric case.

A.4.2. Cauchy sequences, and completeness. In papers on quasi metric spaces, the quasi-metric space (M, b) is instead usually endowed with the topology  $\tau^+$ : this entails a different notion of *convergence* and *compactness*, and poses the problem to find a good definition of "Cauchy sequence" and "complete space".

This problem has been studied in [12], where 7 different notions of "*Cauchy*" sequence" are presented.

We remark that the list in [12] includes the three that we defined in Sec. 4: a "forward Cauchy sequence" (resp. backward) is a "left K-Cauchy sequence" (resp. right); a "symmetrical Cauchy sequence" is a "b-Cauchy sequence".

Combining these 7 definition with the  $\tau^+$  topology, [12] presents 7 different definitions of "complete space". <sup>20</sup>

One of the notions of "Cauchy sequence" and "complete space" from [12] has been further studied by Künzi [14]; we present it here.

# Definition A.5.

 $<sup>^{18}</sup>$ [12] provides also a wide discussion of the references on *quasi metrics*.

 $<sup>^{19}\</sup>mathrm{Another}$  version of Baire theorem, using a better definition of completeness, is found in Thm 2

in [12]. <sup>20</sup>Actually, by combining 7 "*Cauchy sequences*" with all the above 3 topologies, we may reach f "using the  $\bar{b}$  instead of b, see eq. (2.6)). a total of 14 (!) different definitions of "complete space" (using the  $\bar{b}$  instead of b, see eq. (2.6)). To our knowledge, no one has taken the daunting task of examining all of them.

### ANDREA C. G. MENNUCCI

- A sequence  $(x_n) \subset M$  is a "left b-Cauchy sequence" when  $\forall \varepsilon > 0 \ \exists x \in M$ and  $\exists k \in \mathbb{N}$  such that  $b(x, x_m) < \varepsilon$  whenever  $m \geq k$ .
- (M, b) is a "left b-sequentially complete space" if any left b-Cauchy sequence converges to a point, according to the topology  $\tau^+$ .

It is easy to prove that

- (1) if  $x_n \to x$  according to  $\tau^+$  then the sequence  $(x_n)$  is a "left b-Cauchy sequence".
- (2) Any "forward Cauchy sequence" (as defined in (4.1)) is a "left b-Cauchy sequence". (To prove this, choose n = N = k and  $x = x_n$  in the definition of left b-Cauchy sequence).
- (3) If  $\tau = \tau^+$ , then any "left b-sequentially complete space" is a "forward complete metric space" as defined in this paper.
  - In case  $\tau \neq \tau^+$ , the implication may not hold.
- (4) Whereas, if x<sub>n</sub> → x according to τ<sup>+</sup> then the sequence (x<sub>n</sub>) may fail to be either a "forward Cauchy sequence" or a "backward Cauchy sequence". <sup>21</sup> Cf. example 11.5.(6) here.

For those reasons, it is not easy to compare the results and examples in the above papers, with the result and examples here presented.

### Appendix B. Proofs

### B.5. Proof of 8.5.

*Proof.* For  $s \in [0, L]$  we define the preimage

$$I_s \stackrel{\text{def}}{=} \{t \in [a,c] , s = \ell^{\gamma}(t)\} ;$$

since  $\ell^{\gamma}$  is continuous then it is surjective, so  $I_s$  is a bounded closed interval, never empty, hence  $\psi(s)$  is just its leftmost point. Moreover  $\psi$  is injective, and  $\psi$  is a right inverse of  $\ell^{\gamma}$  *i.e.*  $\ell^{\gamma}(\psi(s)) = s$  for all  $s \in [a, c]$ .

Let D be the image of  $\psi$ , that is the family of all left extrema of  $I_s$  for  $s \in [0, L]$ . Obviously  $\ell^{\gamma}(D) = [0, L]$ , so by Lemma 5.6 we obtain that  $\ell^{\gamma} \equiv \ell_D^{\gamma}$ .

Fix  $\tilde{s} \in [0, L]$ . Let  $\tilde{t} = \psi(\tilde{s})$  so  $\ell^{\gamma}(\tilde{t}) = \tilde{s}$ . Since  $\psi$  is injective and its image is D, visual inspection shows that  $\ell_D^{\gamma}(\tilde{t}) = \ell^{\theta}(\tilde{s})$  so  $\ell^{\theta}(\tilde{s}) = \tilde{s}$ .

The proof for  $\beta$  is identical, just replace  $\psi(L)$  in D with c.

# B.6. Proof of 9.1.

*Proof.* Fix  $x, y \in M$ ,  $\rho > 0$ , as in the statement. suppose  $y \neq x$  (otherwise  $\gamma \equiv x$  is the geodesic) and b(x, y) > 0 (otherwise the geodesic can be defined as in the proof of 7.4).

Let  $L = b^r(x, y)$ ; since b(x, y) > 0 then L > 0. Let  $\gamma_n : [0, 1] \to M$  be a sequence of rectifiable run-continuous paths from x to y such that,  $L_n \stackrel{\text{def}}{=} \operatorname{Len}^b \gamma_n$ ,

$$L+1/n \ge L_n \ge L_{n+1} \ge L \quad ,$$

and  $\gamma_n$  are parameterized using lemma 8.4 with  $\varepsilon = 1/n$ ; so  $\ell^{\gamma_n}$  is Lipschitz of constant L + 2/n.

Let now  $D \subset [0,1]$  be a dense countable set with  $0, 1 \in D$ ; let  $\xi_n = \gamma_n|_D$  the restriction; by Lemma 5.6  $\ell^{\gamma_n} \equiv \ell^{\xi_n}$ .

Consider  $t \in D, t \neq 0, 1$ ; let v such that  $tL < v < \rho$ ; since  $\ell^{\xi_n}(t) \leq t(L+2/n)$ we obtain that t(L+2/n) < v for n large, so  $\xi_n(t) \in D^{+r}(x,v)$  for n large; hence  $\xi_n(t)$  admits a converging subsequence. Using a diagonal argument we can find a

<sup>&</sup>lt;sup>21</sup>Indeed, Kelly [13] had encountered this problem, which was a motivation of [12].

subsequence  $n_k$  such that  $\xi_{n_k}(t)$  converges for all  $t \in D$ . We define  $\xi(t) = \lim_k \xi_{n_k}(t)$ . For t = 0, 1 we have

$$x = \gamma_n(0) = \xi_n(0) = \xi(0)$$
,  $y = \gamma_n(1) = \xi_n(1) = \xi(1)$   $\forall n$ .

Using Ascoli-Arzelá theorem we can also assume that  $\ell^{\xi_{n_k}}$  converges to a function  $\ell$  that is monotonic and Lipschitz of constant L. For simplicity we will rename the subsequence  $n_k$  to n in the following.

The length  $\operatorname{Len}_D^b$  is lower semi continuous w.r.t. the pointwise convergence, hence

$$\ell^{\xi}(1) = \operatorname{Len}^{b}(\xi) \le \liminf_{n} \operatorname{Len}^{b}(\xi_{n}) = L \quad . \tag{B.1}$$

By applying the above idea to any subinterval [s, t] with  $s \in D$  and using Lemma 5.3 we also obtain

$$\ell^{\xi}(t) - \ell^{\xi}(s) \le \liminf_{n} \left( \ell^{\xi_{n}}(t) - \ell^{\xi_{n}}(s) \right) = \ell(t) - \ell(s) \le L(t-s) \quad . \tag{B.2}$$

This inequality implies two important properties.

- Since D is dense it implies that  $\ell^{\xi}$  is continuous on [0, 1].
- Setting  $s = 0, t \in [0, 1)$ , from  $\ell^{\xi}(t) \leq Lt$  we obtain that  $\xi(t) \in D^{+r}(x, Lt)$ . But  $Lt < L \leq \rho$  so  $D^{+r}(x, Lt)$  is contained in a compact set.

Hence we can define a map  $\gamma : [0,1] \to M$  as follows (similarly to the proof of Lemma 5.10), setting  $\gamma = \xi$  on D, while for any  $t \in (0,1) \setminus D$  we define  $\gamma(t)$  as the limit of a subsequence of  $\xi(s_n)$  for  $s_n \subseteq D$  with  $s_n \nearrow t$ . By Lemma 5.7 we obtain that  $\ell^{\gamma} \equiv \ell^{\xi}$  on [0,1]. So  $\gamma$  is run-continuous. Since  $L = b^r(x,y)$  is the infimum of the lengths, by eqn. (B.1) we obtain that actually  $\ell^{\gamma}(1) = \text{Len}^{b}(\gamma) = L$ . Eventually exploiting the relation (B.2) and the fact that  $\ell^{\gamma}(1) = L, \ell^{\gamma}(0) = 0$  we prove that actually  $\ell^{\gamma}(t) = Lt$ . By a linear change of parameter we obtain the desired curve.

B.7. **Proof of 9.3.** This is instead the proof of 9.3; it is based on the classical "direct method" in Calculus of Variations. By replacing  $D^{+g}(x,\rho)$  with  $D^{+r}(x,\rho)$ , and dropping the request that paths be continuous, <sup>22</sup> it can also be a proof of 9.1 when the space is strongly separated and  $D^{+r}(x,\rho)$  is compact.

*Proof.* Fix  $x, y \in M$ ,  $\rho > 0$ , as in the statement. We will write " $D^{+g}$ " instead of " $D^{+g}(x, \rho)$ " and "len" for "Len<sup>b</sup>" for brevity. By lemma 3.1, let  $\omega$  be the modulus of symmetrization of  $D^{+g}$ ; let  $\tilde{\omega}(r) \stackrel{\text{def}}{=} \max\{r, \omega(r)\}$ : then

$$d(z,y) \le \tilde{\omega}(b(z,y)) \tag{B.3}$$

for any  $z, y \in D^{+g}$ .

Let  $L = b^r(x, y)$ ; suppose  $y \neq x$  (otherwise  $\gamma \equiv x$  is the geodesic). Let  $\gamma_n : [0, 1] \to M$  be a sequence of rectifiable continuous paths from x to y such that

$$L_n \stackrel{\text{der}}{=} \operatorname{len} \gamma_n \quad , \quad L_n \ge L_{n+1} \to_n L$$

and moreover  $\gamma_n$  are parameterized using lemma 8.4 with  $\varepsilon = 1/n$ . By (B.3) above,

$$d(\gamma_n(t), \gamma_n(s)) \le \tilde{\omega}((L_1 + 1) |t - s|)$$
(B.4)

for all  $s, t \in [0, 1]$ .

Suppose now that  $L = b^r(x, y) < \rho$ ; then definitively  $L_n \leq \rho$ ; by eqn. (2.4), we know that all of  $\gamma_n$  is contained in  $D^{+g}$ . Combining this argument and (B.4) we can apply the Ascoli-Arzelà theorem: we know that there is a  $\gamma : [0, 1] \to M$  (that again satisfies (B.4)) such that, up to a subsequence, there is uniform convergence of  $\gamma_n \to \gamma$ ; this uniform convergence is w.r.t. the distance  $d(x, y) = b(x, y) \lor b(y, x)$ .

<sup>&</sup>lt;sup>22</sup>But note that, due to Prop. 4.9, run-continuous paths are continuous in this proof!

The functional  $\gamma \mapsto \text{len } \gamma$  is l.s.c. w.r.t. uniform convergence so we conclude that  $\gamma$  is a geodesic connecting x to y.

When  $L = b^r(x, y) = \rho$ , if  $\gamma_n$  is frequently wholly contained in  $D^{+g}$ , all works as above; otherwise the proof is obtained by a slight change in the above argument. Let  $t_n$  be the last of the times such that  $\gamma_n([0, t]) \subseteq D^{+g}$ , that is,

$$t_n \stackrel{\text{def}}{=} \inf\{s \in [0,1] \mid \gamma_n(s) \notin D^{+g}(x,\rho)\} = \inf\{s \in [0,1] \mid b^r(x,\gamma_n(s)) > \rho\}$$
  
Since  $\ell^{\gamma_n}$  is Lipschitz then

$$b^r(x,\gamma_n(s)) \le \ell^{\gamma_n}(s) \le (L_n + 1/n)s$$

 $\mathbf{SO}$ 

$$\rho \le (L_n + 1/n)\tilde{t}_n$$

so  $\tilde{t}_n \to_n 1$ . Define

$$\tilde{\gamma}_n(t) = \begin{cases} \gamma_n(t) & \text{if } t < t_n \\ \gamma_n(t_n) & \text{if } t_n \le t \le 1 \end{cases}$$
(B.5)

since  $\tilde{\gamma}_n$  are wholly contained in  $D^{+g}$ , we can apply the above reasoning to say that there is a continuous  $\tilde{\gamma}$  such that  $\tilde{\gamma}_n \to \tilde{\gamma}$  uniformly. To conclude the proof we need to prove that  $\tilde{\gamma}(L) = y$ :

$$b(\tilde{\gamma}_n(t_n), y) \le b^r(\tilde{\gamma}_n(t_n), y) = L_n - \rho$$

so by (B.3) again,  $\tilde{\gamma}_n(t_n) \to y$ : the sequence  $\tilde{\gamma}_n$  is uniformly equicontinuous, this implies that  $\tilde{\gamma}(1) = y$ .

# B.8. Proof of Lemma 12.6. This is an alternative proof of Lemma12.6.

*Proof.* We will define the sequence  $(z_n)_{n\geq 1}$  iteratively.  $z_0 = x$  and  $z_{n+1}$  is minimum point for the problem

$$\min_{z \in D^+(z_n, 2^{-n-1}\rho)} b(z, y) \quad . \tag{B.6}$$

Let  $\rho_n = (1 - 2^{-n})\rho$  so  $\rho_{n-1} + \rho 2^{-n} = \rho_n$ . Iteratively, we assert by induction that  $h(x, z_n) = \rho_n = h(z_n, u) = 2^{-n}\rho$ .

$$b(x, z_n) = \rho_n \quad , \quad b(z_n, y) = 2 \quad \stackrel{\circ}{n} \rho \quad ,$$

then  $D^+(z_n, 2^{-n-1}\rho) \subseteq D^+(x, \rho_{n+1})$ ; since  $\rho_{n+1} < \rho$  we obtain that the leftmost is compact, so the above problem (B.6) has a minimum  $z_{n+1}$  that satisfies

$$b(z_n, z_{n+1}) = 2^{-n-1}\rho$$
,  $b(z_{n+1}, y) = b(z_n, y) - 2^{-n-1}\rho = 2^{-n-1}\rho$ 

by Lemma 6.4, so

$$b(x, z_{n+1}) \le b(x, z_n) + b(z_n, z_{n+1}) \le \rho_n + \rho 2^{-n-1} = \rho_{n+1}$$

but also

$$\rho = b(x, y) \le b(x, z_{n+1}) + b(z_{n+1}, y) \le \rho$$

so  $b(x, z_{n+1}) = \rho_{n+1}$  and induction step is concluded.

Using 9.1, there exists a arc-parameterized geodesic  $\gamma_n : [\rho_n, \rho_{n+1}] \to M$  connecting  $z_n$  to  $z_{n+1}$ . We define  $\gamma$  on  $[0, \rho)$  as the join of all these paths; since all triangle inequalities above are equalities, then  $\gamma$  is a arc-parameterized geodesic on  $[0, \rho)$ .

To conclude, we set  $\gamma(\rho) = y$ ; the properties  $\gamma(\rho_n) = z_n$ ,  $b(z_n, y) = 2^{-n}\rho$  and Lemma 5.4 imply that  $\gamma$  is rectifiable and  $\text{Len}^b(\gamma) = \rho$ , and that  $\gamma$  is run-continuous on all of  $[0, \rho]$ .

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### Contents

1. Introduction	1
1.1. Hopf–Rinow like theorem	2
1.2. Outline of the paper	3
2. Main definitions	3
3. Local compactness	6
3.1. Properties in strongly separated spaces	6
4. Completeness	7
4.1. Completeness and run-continuous paths	8
5. Technical lemmas	9
5.1. On length and dense subsets	9
6. Quasi midpoints	14
7. Geodesics	16
8. Arc parameter	17
8.1. Quasi arc parameter	17
9. Existence of Geodesics	20
10. Convexity	20
11. Examples	22
11.1. Examples from [16]	25
11.2. Randers spaces	26
12. Hopf–Rinow Theorem	27
12.1. Lemmas	27
12.2. Proof of Theorem 12.1	29
13. On the semidistances and the separation hypotheses	30
14. When $b = \infty$	30
15. Conclusions	32
Appendix A. Comparison with related works	33
A.2. Regarding geodesics	33
A.3. Menger convexity	34
A.4. Comparison with quasi metric spaces	35
Appendix B. Proofs	36
B.5. Proof of 8.5	36
B.6. Proof of 9.1	36
B.7. Proof of 9.3	37
B.8. Proof of Lemma 12.6	38
Acknowledgments	38
References	39

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