

THE STEINER TREE PROBLEM REVISITED THROUGH RECTIFIABLE G -CURRENTS

ANDREA MARCHESI, ANNALISA MASSACCESI

ABSTRACT. The Steiner tree problem can be stated in terms of finding a connected set of minimal length containing a given set of finitely many points. We show how to formulate it as a mass-minimization problem for 1-dimensional currents with coefficients in a suitable normed group. The representation used for these currents allows to state a calibration principle for this problem. We also exhibit calibrations in some examples.

INTRODUCTION

The classical *Steiner tree problem* consists in finding the shortest connected set containing n given distinct points p_1, \dots, p_n in \mathbb{R}^d . Some very well-known examples are shown in Figure 1.

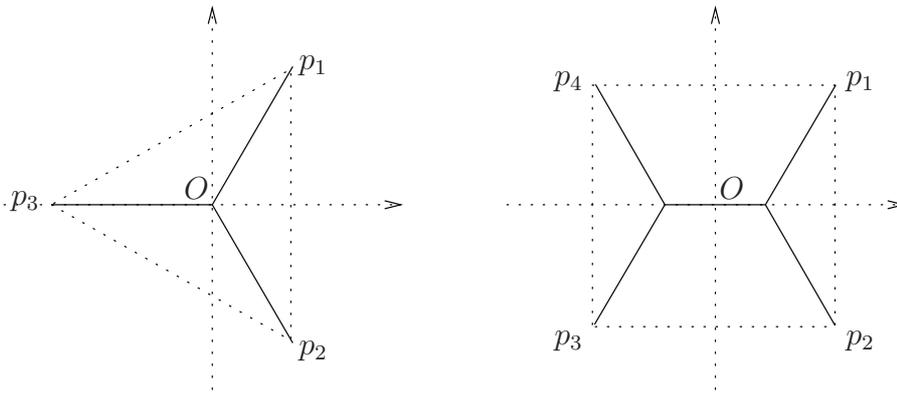


FIGURE 1. Solutions for the vertices of an equilateral triangle and a square

The problem is completely solved in \mathbb{R}^2 and there exists a wide literature on the subject, mainly devoted to improving the efficiency of algorithms for the construction of solutions: see, for instance, [GP] and [IT] for a survey of the problem. The recent papers [PS2] and [PU] witness the current studies on the problem and its generalizations.

Our aim is to rephrase the Steiner tree problem as an equivalent mass minimization problem by replacing connected sets with 1-currents with coefficients in a more suitable group than \mathbb{Z} , in such a way that solutions of one problem correspond to solutions of the other, and vice-versa. The use of currents allows to exploit techniques and tools from the Calculus of Variations and the Geometric Measure Theory.

Let us briefly point out a few facts suggesting that classical polyhedral chains with integer coefficients might not be the correct environment for our problem. First of

all, one should make the given points p_1, \dots, p_n in the Steiner problem correspond to some integral polyhedral 0-chain supported on p_1, \dots, p_n , with suitable multiplicities m_1, \dots, m_n . One has to impose that $m_1 + \dots + m_n = 0$ in order that this 0-chain is the boundary of a compactly supported 1-chain. In the example of the equilateral triangle, see Figure 1, the condition $m_3 = -(m_1 + m_2)$ forces to break symmetry, leading to the minimizer in Figure 2. The desired solution is instead depicted in Figure 1. In the second example from Figure 1, we get the “wrong” non-connected minimizer even though all boundary multiplicities have modulus 1; see Figure 2.

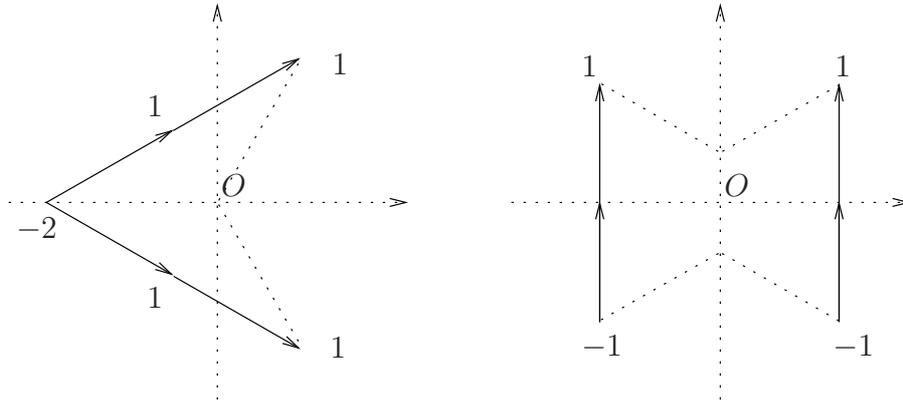


FIGURE 2. Solutions for the mass minimization problems among polyhedral chains with integer coefficients

These examples show that \mathbb{Z} is not the right group of coefficients.

Our framework will be that of currents with coefficients in a normed abelian group G (briefly: G -currents), which we will introduce in §1.

Currents with coefficients in a group were introduced by W. Fleming. There is a vast literature on the subject: let us mention only the seminal paper [Fl], the work of B. White [W2, W3], and the more recent papers by T. De Pauw and R. Hardt [DH] and by L. Ambrosio and M. G. Katz [AKa]. A Closure Theorem holds for these flat G -chains, see [Fl] and [W3].

In §2 we recast the Steiner problem in terms of a mass minimization problem over currents with coefficients in a discrete group G , chosen only on the basis of the number of boundary points. As we already said, this construction provides a way to pass from a mass minimizer to a Steiner solution and vice-versa.

This new formulation permits to initiate a study of calibrations as a sufficient condition for minimality; this is the subject of §3. Classically a calibration ω associated with a given oriented k -submanifold $S \subset \mathbb{R}^d$ is a unit closed k -form taking value 1 on the tangent space of S . The existence of a calibration guarantees the minimality of S among oriented submanifolds with the same boundary ∂S . Indeed, Stokes Theorem and the assumptions on ω imply that

$$\text{vol}(S) = \int_S \omega = \int_{S'} \omega \leq \text{vol}(S'),$$

for any submanifold S' having the same boundary of S .

In order to define calibrations in the framework of G -currents, it is convenient to view currents as linear functionals on forms, which is not always possible in the usual

setting of currents with coefficients in groups. This motivates the preliminary work in §1, where we embed the group G in a normed linear space E and we construct the currents with coefficients in E in the classical way. In Definition 3.5, the notion of calibration is slightly weakened in order to include piecewise smooth forms, which appear in Examples 3.10 and 3.11, where we exhibit calibrations for the problem on the right of Figure 1 and for the Steiner tree problem on the vertices of a regular hexagon plus the center. It is worthwhile to note that our theory works for the Steiner tree problem in \mathbb{R}^d and for currents supported in \mathbb{R}^d ; we made explicit computations only on 2-dimensional configurations for simplicity reasons. We conclude §3 with some remarks concerning the use of calibrations in similar contexts, see for instance [Mo1].

The existence of a calibration is a sufficient condition for a manifold to be a minimizer; one could wonder whether this condition is necessary as well. In general, a smooth (or piecewise smooth, according to Definition 3.7) calibration might not exist; nevertheless, one can still search for some *weak* calibration, for instance a differential form with bounded measurable coefficients. In §4 we discuss a strategy in order to get the existence of such a weak calibration. A duality argument due to H. Federer [Fe2] ensures that a weak calibration exists for mass-minimizing normal currents; the same argument works for mass-minimizing normal currents with coefficients in the normed vector space E . Therefore an equivalence principle between minima among normal and rectifiable 1-currents with coefficients in E and G , respectively, is sufficient to conclude that a calibration exists. Proposition 4.3 guarantees that the equivalence between minima holds in the case of classical 1-currents with real coefficients; hence a weak calibration always exists. The proof of this result is subject to the validity of a homogeneity property for the candidate minimizer stated in Remark 4.4. Example 4.5 shows that for 1-dimensional G -currents an interesting new phenomenon occurs, since (at least in a non-Euclidean setting) this homogeneity property might not hold; the validity of the homogeneity property may be related to the ambient space. The problem of the existence of a calibration in the Euclidean space is still open.

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1. RECTIFIABLE CURRENTS OVER A COEFFICIENT GROUP

In this section we provide definitions for currents over a coefficient group, with some basic examples.

Fix an open set $U \subset \mathbb{R}^d$ and a normed vector space $(E, \|\cdot\|_E)$ with finite dimension $m \geq 1$. We will denote by $(E^*, \|\cdot\|_{E^*})$ its dual space endowed with the dual norm

$$\|f\|_{E^*} := \sup_{\|v\|_E \leq 1} \langle f; v \rangle .$$

Definition 1.1. We say that a map

$$\omega : \Lambda_k(\mathbb{R}^d) \times E \rightarrow \mathbb{R}$$

is an E^* -valued k -covector in \mathbb{R}^d if

- (i) $\forall \tau \in \Lambda_k(\mathbb{R}^d)$, $\omega(\tau, \cdot) \in E^*$, that is $\omega(\tau, \cdot) : E \rightarrow \mathbb{R}$ is a linear function.
- (ii) $\forall v \in E$, $\omega(\cdot, v) : \Lambda_k(\mathbb{R}^d) \rightarrow \mathbb{R}$ is a (classical) k -covector.

Sometimes we will use $\langle \omega; \tau, v \rangle$ instead of $\omega(\tau, v)$, in order to simplify the notation. The space of E^* -valued k -covectors in \mathbb{R}^d is denoted by $\Lambda_E^k(\mathbb{R}^d)$ and it is endowed with the comass norm

$$(1.1) \quad \|\omega\| := \sup \{ \|\omega(\tau, \cdot)\|_{E^*} : |\tau| \leq 1, \tau \text{ simple} \} .$$

Remark 1.2. Fix an orthonormal system of coordinates in \mathbb{R}^d , $(\mathbf{e}_1, \dots, \mathbf{e}_d)$; the corresponding dual base in $(\mathbb{R}^d)^*$ is (dx_1, \dots, dx_d) . Consider a complete biorthonormal system for E , i.e., a pair

$$(v_1, \dots, v_m) \in E^m; (w_1, \dots, w_m) \subset (E^*)^m$$

such that $\|v_i\|_E = 1$, $\|w_i\|_{E^*} = 1$ and $\langle w_i; v_j \rangle = \delta_{ij}$. Given an E^* -valued k -covector ω , we denote

$$\omega^j := \omega(\cdot, v_j).$$

For each $j \in \{1, \dots, m\}$, ω^j is a k -covector in the usual sense. Hence the biorthonormal system (v_1, \dots, v_m) , (w_1, \dots, w_m) allows to write ω in ‘‘components’’

$$\omega = (\omega^1, \dots, \omega^m),$$

in fact we have

$$\omega(\tau, v) = \sum_{j=1}^m \langle \omega^j; \tau \rangle \langle w_j; v \rangle .$$

In particular ω^j admits the usual representation

$$\omega^j = \sum_{1 \leq i_1 < \dots < i_k \leq d} a_{i_1 \dots i_k}^j dx_{i_1} \wedge \dots \wedge dx_{i_k}, \quad j = 1, \dots, m.$$

Definition 1.3. An E^* -valued differential k -form in $U \subset \mathbb{R}^d$, or just a k -form when it is clear which vector space we are referring to, is a map

$$\omega : U \rightarrow \Lambda_E^k(\mathbb{R}^d);$$

we say that ω is \mathcal{C}^∞ -regular if every component ω^j is so (see Remark 1.2). We denote by $\mathcal{C}_c^\infty(U, \Lambda_E^k(\mathbb{R}^d))$ the vector space of \mathcal{C}^∞ -regular E^* -valued k -forms with compact support in U .

We are mainly interested in E^* -valued 1-forms, nevertheless we analyze k -forms in wider generality, in order to ease other definitions, such as the differential of an E^* -valued form and the boundary of an E -current.

Definition 1.4. We define the differential $d\omega$ of a \mathcal{C}^∞ -regular E^* -valued k -form ω by components:

$$d\omega^j := d(\omega^j) : U \rightarrow \Lambda^{k+1}(\mathbb{R}^d), \quad j = 1, \dots, m ,$$

Moreover, $\mathcal{C}_c^\infty(U, \Lambda_E^1(\mathbb{R}^d))$ has a norm, denoted by $\|\cdot\|$, given by the supremum of the comass norm of the form defined in (1.1). Hence we mean

$$(1.2) \quad \|\omega\| := \sup_{x \in U} \|\omega(x)\| .$$

Definition 1.5. A k -dimensional current T in $U \subset \mathbb{R}^d$, with coefficients in E , or just an E -current when there is no doubt on the dimension, is a linear and continuous function

$$T : \mathcal{C}_c^\infty(U, \Lambda_E^k(\mathbb{R}^d)) \longrightarrow \mathbb{R} ,$$

where the continuity is meant with respect to the locally convex topology on the space $\mathcal{C}_c^\infty(U, \Lambda_E^k(\mathbb{R}^d))$, built in analogy with the topology on $\mathcal{C}_c^\infty(\mathbb{R}^n)$, with respect to which distributions are dual. This defines the weak* topology on the space of k -dimensional E -currents. Convergence in this topology is equivalent to the convergence of all the “components” in the space of classical¹ k -currents, by which we mean the following. We define for every k -dimensional E -current T its components T^j , for $j = 1, \dots, m$, and we write

$$T = (T^1, \dots, T^m),$$

denoting

$$\langle T^j; \varphi \rangle := \langle T; \tilde{\varphi}_j \rangle ,$$

for every (classical) compactly supported differential k -form φ on \mathbb{R}^d . Here $\tilde{\varphi}_j$ denotes the E^* -valued differential k -form on \mathbb{R}^d such that

$$(1.3) \quad \tilde{\varphi}_j(\cdot, v_j) = \varphi,$$

$$(1.4) \quad \tilde{\varphi}_j(\cdot, v_i) = 0 \quad \text{for } i \neq j .$$

It turns out that a sequence of k -dimensional E -currents T_h weakly* converges to an E -current T (in this case we write $T_h \xrightarrow{*} T$) if and only if the sequence of the components T_h^j converge to T^j in the space of classical k -currents, for $j = 1, \dots, m$.

Definition 1.6. For a k -current T over E we define the boundary operator

$$\langle \partial T; \varphi \rangle := \langle T; d\varphi \rangle \quad \forall \varphi = (\varphi^1, \dots, \varphi^m) \in \mathcal{C}_c^\infty(U, \Lambda_E^{k-1}(\mathbb{R}^d))$$

and the mass

$$\mathbf{M}(T) := \sup_{\|\omega\| \leq 1} \langle T; \omega \rangle.$$

As one can expect, the boundary $\partial(T^j)$ of every component T^j is the relative component $(\partial T)^j$ of the boundary ∂T .

Definition 1.7. A k -dimensional normal E -current in $U \subset \mathbb{R}^d$ is an E -current T with $\mathbf{M}(T) < +\infty$ and $\mathbf{M}(\partial T) < +\infty$. Thanks to the Riesz Theorem, T admits the following representation:

$$\langle T; \omega \rangle = \int_U \langle \omega(x); \tau(x), v(x) \rangle d\mu_T(x) , \quad \forall \omega \in \mathcal{C}_c^\infty(U, \Lambda_E^k(\mathbb{R}^d)) .$$

where μ_T is a Radon measure on U , $v : U \rightarrow E$ is summable with respect to μ_T and $|\tau| = 1$, μ_T -a.e. A similar representation holds for the boundary ∂T .

Definition 1.8. A rectifiable k -current T in $U \subset \mathbb{R}^d$, over E , or a rectifiable E -current is an E -current admitting the following representation:

$$\langle T; \omega \rangle := \int_\Sigma \langle \omega(x); \tau(x), \theta(x) \rangle d\mathcal{H}^k(x), \quad \forall \omega \in \mathcal{C}_c^\infty(\mathbb{R}^d, \Lambda_E^k(U))$$

¹In the sequel we will use “classical” to refer to the usual currents, with coefficients in \mathbb{R} or possibly in \mathbb{Z} .

where Σ is a countably k -rectifiable set (see Definition 5.4.1 of [KP]) contained in U , $\tau(x) \in T_x \Sigma$ with $|\tau(x)| = 1$ for \mathcal{H}^k -a.e. $x \in \Sigma$ and $\theta \in L^1(\mathcal{H}^k \llcorner \Sigma; E)$. We will refer to such a current as $T = T(\Sigma, \tau, \theta)$. If B is a Borel set and $T(\Sigma, \tau, \theta)$ is a rectifiable E -current, we denote by $T \llcorner B$ the current $T(\Sigma \cap B, \tau, \theta)$.

Consider now a discrete subgroup $G < E$, endowed with the restriction of the norm $\|\cdot\|_E$. If the multiplicity θ takes only values in G , and if the same holds in the representation of ∂T , we call T a rectifiable G -current. Pay attention to the fact that, in the framework of currents over the coefficient group E , rectifiable E -currents play the role of (classical) rectifiable current, while rectifiable G -currents correspond to (classical) integral currents. Actually this correspondence is an equality, when E is the group \mathbb{R} (with the Euclidean norm) and G is \mathbb{Z} .

The next proposition gives a formula to compute the mass of a 1-dimensional rectifiable E -current.

Proposition 1.9. *Let $T = T(\Sigma, \tau, \theta)$ be a 1-dimensional rectifiable E -current, then*

$$\mathbb{M}(T) = \int_{\Sigma} \|\theta(x)\|_E \, d\mathcal{H}^1(x) .$$

Since the mass is lower semicontinuous, we can apply the direct method of the Calculus of Variations for the existence of minimizers with given boundary, once we provide the following compactness result. Here we assume for simplicity that G is the subgroup of E generated by v_1, \dots, v_m (see Remark 1.2). A similar argument works for every discrete subgroup G .

Theorem 1.10. *Let $(T_h)_{h \geq 1}$ be a sequence of rectifiable G -currents such that there exists a positive finite constant C satisfying*

$$\mathbb{M}(T_h) + \mathbb{M}(\partial T_h) \leq C \quad \text{for every } h \geq 1 .$$

Then there exists a subsequence $(T_{h_i})_{i \geq 1}$ and a rectifiable G -current T such that

$$T_{h_i} \xrightarrow{*} T .$$

Proof. The statement of the theorem can be proved component by component. In fact, let T_h^1, \dots, T_h^m be the components of T_h . Since $(v_1, \dots, v_m), (w_1, \dots, w_m)$ is a biorthonormal system, we have

$$\mathbb{M}(T_h^j) + \mathbb{M}(\partial T_h^j) \leq m(\mathbb{M}(T_h) + \mathbb{M}(\partial T_h)) \leq mC ,$$

hence, after a diagonal procedure, we can find a subsequence $(T_{h_i})_{i \geq 1}$ such that $(T_{h_i}^j)_{i \geq 1}$ weakly* converges to some integral current T^j , for every $j = 1, \dots, m$. Denoting by T the rectifiable G -current, whose components are T^1, \dots, T^m , we have

$$T_{h_i} \xrightarrow{*} T .$$

□

We conclude this section with some notations and basic facts about certain classes of rectifiable E -currents. Given a Lipschitz path $\gamma : [0, 1] \rightarrow \mathbb{R}^2$ (parametrized by arc-length), and a coefficient $g \in G$, we define the associated 1-dimensional rectifiable G -current $T = T(\Gamma, \tau, g)$, where Γ is the curve $\gamma([0, 1])$ and, denoting by $\ell(\Gamma)$ the length of the curve Γ , the orientation τ is defined by $\tau(\gamma(t)) := \gamma'(t)/\ell(\Gamma)$ for a.e.

$t \in [0, 1]$. It turns out that the boundary of such a current is $\partial T = g\delta_{\gamma(1)} - g\delta_{\gamma(0)}$, where the notation means that for every smooth E^* -valued map ω , there holds $\langle \partial T; \omega \rangle = \langle \omega(\gamma(1)); g \rangle - \langle \omega(\gamma(0)); g \rangle$. Using this notation, we observe that, given some points P_1, \dots, P_k and some multiplicities g_1, \dots, g_k in G , the 0-dimensional rectifiable G -current $S = g_1\delta_{P_1} + \dots + g_k\delta_{P_k}$ is the boundary of some 1-dimensional rectifiable G -current with compact support T if and only if $g_1 + \dots + g_k = 0$.

2. STEINER TREE PROBLEM REVISITED

In this section we establish the equivalence between the Steiner tree problem and a mass minimization problem in a family of G -currents. We firstly need to choose the right group of coefficients G . Once we fix the number n of points in the Steiner problem, we construct a normed vector space $(E, \|\cdot\|_E)$ and a subgroup G of E , satisfying the following properties:

- (P1) there exist $g_1, \dots, g_{n-1} \in G$ and $h_1, \dots, h_{n-1} \in E^*$ such that (g_1, \dots, g_{n-1}) with (h_1, \dots, h_{n-1}) is a complete biorthonormal system for E and G is generated by g_1, \dots, g_{n-1} ;
- (P2) $\|g_{i_1} + \dots + g_{i_k}\|_E = 1$ whenever $1 \leq i_1 < \dots < i_k \leq n-1$ and $k \leq n-1$;
- (P3) $\|g\|_E \geq 1$ for every $g \in G \setminus \{0\}$;
- (P4) let $\theta = \sum_{j=1}^{n-1} \theta_j g_j$ and $\tilde{\theta} = \sum_{j=1}^{n-1} \tilde{\theta}_j g_j$ satisfy the following condition: $0 \leq \tilde{\theta}_j \leq \theta_j$ when $\theta_j \geq 0$ and $0 \geq \tilde{\theta}_j \geq \theta_j$ otherwise. Then $\|\tilde{\theta}\|_E \leq \|\theta\|_E$.

For the moment we will assume the existence of G and E . The proof of their existence and an explicit representation, useful for the computations, is given in Lemma 2.6.

The next lemma has a fundamental role: through it, we can give a nice structure of 1-dimensional rectifiable G -current to every suitable competitor for the Steiner tree problem. From now on we will denote $g_n := -(g_1 + \dots + g_{n-1})$.

Lemma 2.1. *Let B be a compact and connected set with finite length in \mathbb{R}^d , containing the points p_1, \dots, p_n . Then there exists a connected set $B' \subset B$ containing p_1, \dots, p_n and a 1-dimensional rectifiable G -current $T_{B'} = T(B', \tau, \theta)$, such that*

- (i) $\|\theta(x)\|_E = 1$ for a.e. $x \in B'$,
- (ii) $\partial T_{B'}$ is the 0-dimensional G -current $g_1\delta_{p_1} + \dots + g_n\delta_{p_n}$.

Proof. Since B is a connected, compact set of finite length, then B is connected by paths of finite length (see Lemma 3.12 of [Fa]). Consider a curve B_1 which is the image of an injective path contained in B going from p_1 to p_n and associate to it the rectifiable G -current T_1 with multiplicity $-g_1$, as explained in §1. Repeat this procedure keeping the end-point p_n and replacing at each step p_1 with p_2, \dots, p_{n-1} . To be precise, in this procedure, as soon as a curve B_i intersects an other curve B_j with $j < i$, we force B_i to coincide with B_j from that intersection point to the end-point p_n . The set $B' = B_1 \cup \dots \cup B_{n-1} \subset B$ is a connected set containing p_1, \dots, p_n and the 1-dimensional rectifiable G -current $T = T_1 + \dots + T_{n-1}$ satisfies the requirements of the lemma, in particular condition (i) is a consequence of (P2). \square

Via the next lemma (Lemma 2.3), we can say that solutions to the mass minimization problem defined in Theorem 2.4 have connected supports. For the proof we need the following theorem on the structure of classical integral 1-currents. This theorem has been firstly stated as a corollary of Theorem 4.2.25 in [Fe1]. It allows

us to consider an integral 1-current as a countable sum of oriented simple Lipschitz curves with integer multiplicities.

Theorem 2.2. *Let T be an integral 1-current in \mathbb{R}^d , then*

$$(2.1) \quad T = \sum_{k=1}^K T_k + \sum_{\ell=1}^{\infty} C_{\ell},$$

with

- (i) T_k are integral 1-currents associated to injective Lipschitz paths, for every $k = 1, \dots, K$ and C_{ℓ} are integral 1-currents associated to Lipschitz paths which have the same value at 0 and 1 and are injective on $(0, 1)$, for every $\ell \geq 1$;
- (ii) $\partial C_{\ell} = 0$ for every $\ell \geq 1$.

Moreover

$$(2.2) \quad \mathbb{M}(T) = \sum_{k=1}^K \mathbb{M}(T_k) + \sum_{\ell=1}^{\infty} \mathbb{M}(C_{\ell})$$

and

$$(2.3) \quad \mathbb{M}(\partial T) = \sum_{k=1}^K \mathbb{M}(\partial T_k).$$

Lemma 2.3. *Let $T = T(\Sigma, \tau, \theta)$ be a 1-dimensional rectifiable G -current such that ∂T is the 0-current $g_1 \delta_{p_1} + \dots + g_n \delta_{p_n}$. Then there exists a rectifiable G -current $\tilde{T} = T(\tilde{\Sigma}, \tilde{\tau}, \tilde{\theta})$ such that*

- (i) $\partial \tilde{T} = \partial T = g_1 \delta_{p_1} + \dots + g_n \delta_{p_n}$;
- (ii) $\text{supp}(\tilde{T})$ is a connected 1-rectifiable set containing $\{p_1, \dots, p_n\}$ and it is contained in $\text{supp}(T)$;
- (iii) $\mathcal{H}^1(\text{supp}(\tilde{T}) \setminus \tilde{\Sigma}) = 0$;
- (iv) $\mathbb{M}(\tilde{T}) \leq \mathbb{M}(T)$ and, if equality holds, then $\text{supp}(T) = \text{supp}(\tilde{T})$.

Proof. Let $T^j = T(\Sigma^j, \tau^j, \theta^j)$ be the components of T , for $j = 1, \dots, n-1$ (with respect to the biorthonormal system $(g_1, \dots, g_{n-1}), (h_1, \dots, h_{n-1})$).

For every j , we can use Theorem 2.2 and write

$$T^j = \sum_{k=1}^{K_j} T_k^j + \sum_{\ell=1}^{\infty} C_{\ell}^j.$$

Moreover, since $\partial T^j = \delta_{p_j} - \delta_{p_n}$, by (2.3), we have $K_j = 1$ for every j . We choose \tilde{T} the rectifiable G -current whose components are $\tilde{T}^j := T_1^j$.

Because of (2.2), we have $\text{supp}(\tilde{T}^j) \subset \text{supp}(T^j)$ (the cyclic part of T^j never cancels the acyclic one).

Property (i) is easy to check. Property (iii) is also easy to check, because the corresponding property holds for every component \tilde{T}^j . To prove property (ii), it is sufficient to observe that \tilde{T} is a finite sum of currents associated to oriented curves with multiplicities, having the point p_n in the support and that, by (P1), g_1, \dots, g_{n-1}

are linearly independent, hence the support of \tilde{T} is the union of the supports of \tilde{T}^j . The inequality in property (iv) follows from (2.2) and from property (P4): indeed (2.2) implies that for every index ℓ such that the support of C_ℓ^j intersects the support of T_1^j in a set of positive length, then \mathcal{H}^1 -a.e. on this set the orientation of C_ℓ^j coincide with the orientation of T_1^j . Moreover, if $\mathbb{M}(\tilde{T}) = \mathbb{M}(T)$, then (2.2) implies that every cycle C_ℓ^j is supported in $\text{supp}(\tilde{T})$, hence the second part of (iv) follows. \square

Before stating the main theorem, let us point out that the existence of a solution to the mass minimization problem is a consequence of Theorem 1.10.

Theorem 2.4. *Assume that $T_0 = T(\Sigma_0, \tau_0, \theta_0)$ is a mass-minimizer among all 1-dimensional rectifiable G -currents with boundary*

$$B = g_1 \delta_{p_1} + \dots + g_n \delta_{p_n} .$$

Then $S_0 := \text{supp}(T_0)$ is a solution of the Steiner tree problem. Conversely, given a set C which is a solution of the Steiner problem for the points p_1, \dots, p_n , there exists a canonical 1-dimensional G -current, supported on C , minimizing the mass among the currents with boundary B .

Proof. Since T_0 is a mass minimizer, then the mass of T_0 must coincide with that of the current \tilde{T}_0 given by the Lemma 2.3. In particular, properties (ii) and (iv) of Lemma 2.3 guarantee that S_0 is a connected set.

Let S be a competitor for the Steiner tree problem and let S' and $T_{S'}$ be the connected set and the rectifiable 1-current given by Lemma 2.1, respectively. Hence we have

$$\mathcal{H}^1(S) \geq \mathcal{H}^1(S') \stackrel{(i)}{=} \mathbb{M}(T_{S'}) \stackrel{(ii)}{\geq} \mathbb{M}(T_0) \stackrel{(iii)}{\geq} \mathcal{H}^1(\Sigma_0) \stackrel{(iv)}{=} \mathcal{H}^1(S_0) ,$$

indeed

(i) thanks to the second property of Lemma 2.1 and Proposition 1.9, we obtain

$$\mathbb{M}(T_{S'}) = \int_{S'} \|\theta_{S'}(x)\|_E \, d\mathcal{H}^1(x) = \mathcal{H}^1(S') ;$$

(ii) we assumed that T_0 is a mass-minimizer;

(iii) from property (P3), we get

$$\mathbb{M}(T_0) = \int_{\Sigma_0} \|\theta_0(x)\|_E \, d\mathcal{H}^1(x) \geq \int_{\Sigma_0} 1 \, d\mathcal{H}^1(x) = \mathcal{H}^1(\Sigma_0) ;$$

(iv) is property (iii) in Lemma 2.3.

To prove the second part of the theorem, apply Lemma 2.1 to the set C . Notice that with the procedure described in the lemma, the rectifiable G -current $T_{C'}$ is uniquely determined, because for every point p_i , C contains exactly one path from p_i to p_n , in fact it is well-known that solutions of the Steiner tree problem cannot contain cycles; this explains the adjective ‘‘canonical’’. Assume by contradiction there exists a 1-dimensional G -current T with $\partial T = B$ and $\mathbb{M}(T) < \mathbb{M}(T_{C'})$. The 1-dimensional G -current \tilde{T} obtained applying Lemma 2.3 to T has a connected 1-rectifiable support containing $\{p_1, \dots, p_n\}$ and satisfies

$$\mathcal{H}^1(\text{supp}(\tilde{T})) \leq \mathbb{M}(\tilde{T}) \leq \mathbb{M}(T) < \mathbb{M}(T_{C'}) = \mathcal{H}^1(\text{supp}(T_{C'})) \leq \mathcal{H}^1(C),$$

which is a contradiction. \square

Remark 2.5. The proof given in the previous theorem shows in particular that the solutions of the mass minimization problem do not depend on the choice of E and G , but are universal for every G and E satisfying (P1)-(P4).

Eventually, we give an explicit representation for G and E .

Lemma 2.6. *For every $n \in \mathbb{N}$ there exist a normed vector space $(E, \|\cdot\|_E)$ and a subgroup G of E satisfying (P1)-(P4).*

Proof. Let $\mathbf{e}_1, \dots, \mathbf{e}_n$ be the standard basis of \mathbb{R}^n and dx_1, \dots, dx_n be the dual basis. Consider

$$E := \{v \in \mathbb{R}^n : v \cdot \mathbf{e}_n = 0\}$$

and the homomorphism $\phi : \mathbb{R}^n \rightarrow E$ such that

$$(2.4) \quad \phi(u_1, \dots, u_n) := (u_1 - u_n, \dots, u_{n-1} - u_n, 0).$$

Consider on \mathbb{R}^n the seminorm

$$\|u\|_\star := \max_{i=1, \dots, n} u \cdot \mathbf{e}_i - \min_{i=1, \dots, n} u \cdot \mathbf{e}_i.$$

and observe that $\|\cdot\|_\star$ induces via ϕ a norm on E that we denote $\|\cdot\|_E$. For every $i = 1, \dots, n-1$, define $g_i := \phi(\mathbf{e}_i)$ and define $g_n := -(g_1 + \dots + g_{n-1})$. Let G be the subgroup of E generated by g_1, \dots, g_{n-1} . For every $i = 1, \dots, n-1$ denote by h_i the element dx_i of E^* . The pair $(g_1, \dots, g_{n-1}), (h_1, \dots, h_{n-1})$ is a biorthonormal system and properties (P1)-(P4) are easy to check. \square

Remark 2.7. The norm $\|\cdot\|_{E^*}$ of an element $w = w_1 h_1 + \dots + w_{n-1} h_{n-1} \in E^*$ can be characterized in the following way: let us abbreviate $w^P := \sum_{i=1}^{n-1} (w_i \vee 0)$ and $w^N := -\sum_{i=1}^{n-1} (w_i \wedge 0)$ and, for every $v = (v_1, \dots, v_{n-1}, 0) \in E$ with $\|v\|_E = 1$, $\lambda(v) := \max_{i=1, \dots, n-1} (v_i \vee 0) \in [0, 1]$, then

$$(2.5) \quad \begin{aligned} \|w\|_{E^*} &= \sup_{\|v\|_E=1} \sum_{i=1}^{n-1} w_i v_i = \sup_{\|v\|_E=1} [\lambda(v)w^P + (1 - \lambda(v))w^N] \\ &= \sup_{\lambda \in [0,1]} [(\lambda w^P + (1 - \lambda)w^N)] = w^P \vee w^N. \end{aligned}$$

Moreover we can also notice that, according to this representation of E and G , the only extreme points of the unit ball in E are all the points of G of unit norm, i.e. all the points g of the type $g = \pm(g_{i_1} + \dots + g_{i_k})$ such that $1 \leq i_1 < \dots < i_k \leq n-1$ and $k \leq n-1$.

3. CALIBRATIONS

As we recalled in the Introduction, our interest in calibrations is the reason why we have chosen to provide an integral representation for E -currents, indeed the existence of a calibration guarantees the minimality of the associated current, as we will see in Proposition 3.2.

Definition 3.1. A smooth calibration associated with a k -dimensional rectifiable G -current $T(\Sigma, \tau, \theta)$ in \mathbb{R}^d is a smooth compactly supported E^* -valued differential k -form ω , with the following properties:

- (i) $\langle \omega(x); \tau(x), \theta(x) \rangle = \|\theta(x)\|_E$ for \mathcal{H}^k -a.e. $x \in \Sigma$;
- (ii) $d\omega = 0$;
- (iii) $\|\omega\| \leq 1$, where $\|\omega\|$ is the comass of ω , defined in (1.2).

Proposition 3.2. A rectifiable G -current T which admits a smooth calibration ω is a minimizer for the mass among the normal E -currents with boundary ∂T .

Proof. Fix a competitor T' which is a normal E -current associated with the vectorfield τ' , the multiplicity θ' and the measure $\mu_{T'}$ (according to Definition 1.7), with $\partial T' = \partial T$. Since $\partial(T - T') = 0$, then $T - T'$ is a boundary of some E -current S in \mathbb{R}^d , and then

$$(3.1) \quad \mathbb{M}(T) = \int_{\Sigma} \|\theta\|_E d\mathcal{H}^k$$

$$(3.2) \quad \stackrel{(i)}{=} \int_{\Sigma} \langle \omega(x); \tau(x), \theta(x) \rangle d\mathcal{H}^k = \langle T; \omega \rangle$$

$$(3.3) \quad \stackrel{(ii)}{=} \langle T'; \omega \rangle = \int_{\mathbb{R}^d} \langle \omega(x); \tau'(x), \theta'(x) \rangle d\mu_{T'}$$

$$(3.4) \quad \stackrel{(iii)}{\leq} \int_{\mathbb{R}^d} \|\theta'\|_E d\mu_{T'} = \mathbb{M}(T'),$$

where each equality (respectively inequality) holds because of the corresponding property of ω , as established in Definition 3.1. In particular, equality in (ii) follows from

$$\langle T - T'; \omega \rangle = \langle \partial S; \omega \rangle = \langle S; d\omega \rangle = 0.$$

□

Remark 3.3. If T is a rectifiable G -current calibrated by ω , then every mass minimizer with boundary ∂T is calibrated by the same form ω . In fact, choose a mass minimizer $T' = T(\Sigma', \tau', \theta')$ with boundary $\partial T' = \partial T$: obviously we have $\mathbb{M}(T) = \mathbb{M}(T')$, then equality holds in (3.4), which means

$$\langle \omega(x); \tau'(x), \theta'(x) \rangle = \|\theta'(x)\|_E \quad \text{for } \mathcal{H}^k - \text{a.e. } x \in \Sigma'.$$

At this point we need a short digression on the representation of a E^* -valued 1-form ω ; we will consider the case $d = 2$, all our examples being for the Steiner tree problem in \mathbb{R}^2 . Remember that in §2 we fixed a basis (h_1, \dots, h_{n-1}) for E^* , dual to the basis (g_1, \dots, g_{n-1}) for E . We represent

$$\omega = \begin{pmatrix} \omega_{1,1} dx_1 + \omega_{1,2} dx_2 \\ \vdots \\ \omega_{n-1,1} dx_1 + \omega_{n-1,2} dx_2 \end{pmatrix},$$

so that, if $\tau = \tau_1 \mathbf{e}_1 + \tau_2 \mathbf{e}_2 \in \Lambda_1(\mathbb{R}^2)$ and $v = v_1 g_1 + \dots + v_{n-1} g_{n-1} \in E$, then

$$\langle \omega; \tau, v \rangle = \sum_{i=1}^{n-1} v_i (\omega_{i,1} \tau_1 + \omega_{i,2} \tau_2).$$

Example 3.4. Consider the vector space E and the group G defined in Lemma 2.6 with $n = 3$; let

$$p_0 = (0, 0), p_1 = (1/2, \sqrt{3}/2), p_2 = (1/2, -\sqrt{3}/2), p_3 = (-1, 0)$$

(see Figure 3). Consider the rectifiable G -current T supported in the cone over (p_1, p_2, p_3) , with respect to p_0 , with piecewise constant weights $g_1, g_2, g_3 =: -(g_1 + g_2)$ on $\overline{p_0 p_1}, \overline{p_0 p_2}, \overline{p_0 p_3}$ respectively (see Figure 3 for the orientation). This current T is a minimizer for the mass. In fact, a constant G -calibration ω associated with T is

$$\omega := \begin{pmatrix} \frac{1}{2} dx_1 + \frac{\sqrt{3}}{2} dx_2 \\ \frac{1}{2} dx_1 - \frac{\sqrt{3}}{2} dx_2 \end{pmatrix} .$$

Condition (i) is easy to check and condition (ii) is trivially verified because ω is constant. To check condition (iii) we note that, for the vector $\tau = \cos \alpha \mathbf{e}_1 + \sin \alpha \mathbf{e}_2$, we have

$$\langle \omega; \tau, \cdot \rangle = \begin{pmatrix} \frac{1}{2} \cos \alpha + \frac{\sqrt{3}}{2} \sin \alpha \\ \frac{1}{2} \cos \alpha - \frac{\sqrt{3}}{2} \sin \alpha \end{pmatrix} .$$

In order to compute the comass norm of ω , we could use the characterization of the norm $\|\cdot\|_{E^*}$ given in Remark 2.7, but for $n = 3$ computations are simpler. Since the unit ball of E is convex, and its extreme points are the unit points of G , then it is sufficient to evaluate $\langle \omega; \tau, \cdot \rangle$ on $\pm g_1, \pm g_2, \pm(g_1 + g_2)$. We have

$$\begin{aligned} |\langle \omega; \tau, g_1 \rangle| &= |\langle \omega; \tau, -g_1 \rangle| = \left| \sin \left(\alpha + \frac{\pi}{6} \right) \right| \leq 1 , \\ |\langle \omega; \tau, g_2 \rangle| &= |\langle \omega; \tau, -g_2 \rangle| = \left| \sin \left(\alpha + \frac{5}{6}\pi \right) \right| \leq 1 , \\ |\langle \omega; \tau, g_1 + g_2 \rangle| &= |\langle \omega; \tau, -(g_1 + g_2) \rangle| = |\cos \alpha| \leq 1 . \end{aligned}$$

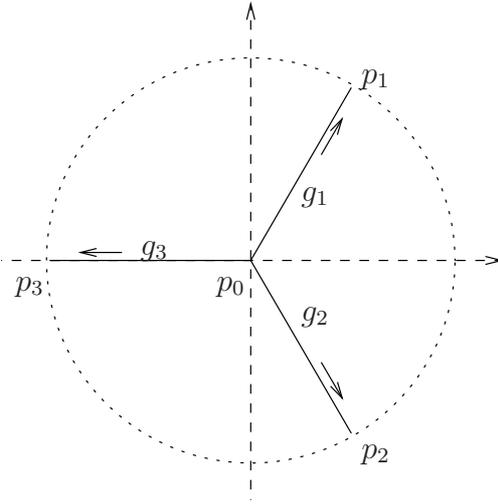


FIGURE 3. Solution for the problem with boundary on the vertices of an equilateral triangle

In Definition 3.1 we intentionally kept vague the regularity of the form ω . Indeed ω has to be a compactly supported² smooth form, a priori, in order to fit Definition 1.5. Nevertheless, in some situations it will be useful to consider calibrations with lower regularity, for instance piecewise constant forms. As long as (3.2)-(3.4) remain valid, it is meaningful to do so; for this reason we introduce the following very general definition.

Definition 3.5. A *generalized calibration* associated with a k -dimensional normal E -current T is a linear and bounded functional ϕ on the space of normal E -currents satisfying the following conditions:

- (i) $\phi(T) = \mathbb{M}(T)$;
- (ii) $\phi(\partial R) = 0$ for any $(k + 1)$ -dimensional normal E -current R ;
- (iii) $\|\phi\| \leq 1$.

Remark 3.6. Proposition 3.2 still holds, since for every competitor T' with $\partial T = \partial T'$, there holds

$$\mathbb{M}(T) = \phi(T) = \phi(T') + \phi(\partial R) \leq \mathbb{M}(T') ,$$

where R is chosen such that $T - T' = \partial R$. Such R exists because T and T' are in the same homology class.

As examples, we present the calibrations for two well-known Steiner tree problems in \mathbb{R}^2 . Both “calibrations” in Example 3.10 and in Example 3.11 are piecewise constant 1-forms (with values in normed vector spaces of dimension 3 and 6, respectively). So firstly we need to show that certain piecewise constant forms provide generalized calibrations in the sense of Definition 3.5.

Definition 3.7. Fix a 1-dimensional rectifiable G -current T in \mathbb{R}^2 , $T = T(\Sigma, \tau, \theta)$. Assume we have a collection $\{C_r\}_{r \geq 1}$ which is a locally finite, Lipschitz partition of \mathbb{R}^2 , where the sets C_r have non empty connected interior, the boundary of every set C_r is a Lipschitz curve (of finite length, unless C_r is unbounded) and $C_r \cap C_s = \emptyset$ whenever $r \neq s$. Assume moreover that C_1 is a closed set and for every $r > 1$

$$C_r \supset (\overline{C_r} \setminus \bigcup_{i < r} C_i).$$

Let us consider a compactly supported piecewise constant E^* -valued 1-form ω with

$$\omega \equiv \omega_r \quad \text{on } C_r$$

where $\omega_r \in \Lambda_E^1(\mathbb{R}^2)$ for every r . In particular $\omega \neq 0$ only on finitely many elements of the partition. Then we say that ω represents a *compatible calibration* for T if the following conditions hold:

- (i) for \mathcal{H}^1 -almost every point $x \in \Sigma$, $\langle \omega(x); \tau(x), \theta(x) \rangle = \|\theta(x)\|_E$;
- (ii) for \mathcal{H}^1 -almost every point $x \in \partial C_r \cap \partial C_s$ we have

$$\langle \omega_r - \omega_s; \tau(x), \cdot \rangle = 0,$$

where τ is tangent to ∂C_r ;

- (iii) $\|\omega_r\| \leq 1$ for every r .

²Since we deal with currents that are compactly supported, we can easily drop the assumption that ω has compact support.

We will refer to condition (ii) with the expression of *compatibility condition* for a piecewise constant form.

Proposition 3.8. *Let ω be a compatible calibration for the rectifiable G -current T . Then T minimizes the mass among the normal E -currents with boundary ∂T .*

To prove this proposition we need the following result of decomposition of classical normal 1-currents, see [S] for the classical result and [PS1] for its generalization to metric spaces. Given a compact measure space (X, μ) and a family of k -currents $\{T_x\}_{x \in X}$ in \mathbb{R}^d , such that

$$\int_X \mathbf{M}(T_x) d\mu(x) < +\infty,$$

we denote by

$$T := \int_X T_x d\mu(x)$$

the k -current T satisfying

$$\langle T, \omega \rangle = \int_X \langle T_x, \omega \rangle d\mu(x),$$

for every smooth compactly supported k -form ω .

Proposition 3.9. *Every normal 1-current T in \mathbb{R}^d can be written as*

$$T = \int_0^M T_t dt,$$

where T_t is an integral current with $\mathbf{M}(T_t) \leq 2$ and $\mathbf{M}(\partial T_t) \leq 2$ for every t , and M is a positive number depending only on $\mathbf{M}(T)$ and $\mathbf{M}(\partial T)$. Moreover

$$\mathbf{M}(T) = \int_0^M \mathbf{M}(T_t) dt.$$

Proof of Proposition 3.8. Firstly we see that a suitable counterpart of Stokes Theorem holds. Namely, given a component ω^j of ω and a classical integral 1-current $T = T(\Sigma, \tau, 1)$ in \mathbb{R}^2 , without boundary, then we claim that

$$(3.5) \quad \langle \omega^j; T \rangle := \int_{\Sigma} \langle \omega^j(x); \tau(x) \rangle d\mathcal{H}^1(x) = 0.$$

To prove such claim, note that it is possible to find at most countably many unit multiplicity integral 1-currents $T_i = T(\Sigma_i, \tau_i, 1)$ in \mathbb{R}^2 , without boundary, each one supported in a single set \overline{C}_r , such that $\sum_i T_i = T$. Since $\omega^j \equiv \omega_r^j$ on C_r and since (ii) holds, then

$$\int_{\Sigma_i} \langle \omega^j(x); \tau_i(x) \rangle d\mathcal{H}^1(x) = \int_{\Sigma_i} \langle \omega_r^j(x); \tau_i(x) \rangle d\mathcal{H}^1(x) = 0$$

for every i , then the claim follows.

As a consequence of (3.5) we can find family of “potentials”, i.e. Lipschitz functions $\phi_j : \mathbb{R}^2 \rightarrow \mathbb{R}$ such that for every (classical) integral 1-current S associated to a Lipschitz path γ with $\gamma(1) = x_S$ and $\gamma(0) = y_S$, there holds:

$$\langle \omega^j; S \rangle = \phi_j(x_S) - \phi_j(y_S), \quad \text{for every } j.$$

Indeed, by (3.5) the above integral does not depend on the path γ but only on the points x_S and y_S . Therefore, in order to construct such potentials, it is sufficient to choose $\phi_j(0) = 0$ and

$$\phi_j(x) = |x| \int_0^1 \langle \omega^j(tx); \frac{x}{|x|} \rangle dt.$$

Moreover it is easy to see that every ϕ_j is constant outside of the support of ω^j , so we can assume, possibly subtracting a constant, that ϕ_j is compactly supported.

Now, consider any 2-dimensional normal E -current T . Let $\{T^j\}_j$ be the components of T . For every j , use Proposition 3.9 to write $S^j := \partial T^j = \int_0^{M_j} S_t^j dt$. Then we have

$$\langle \omega; \partial T \rangle = \sum_j \int_0^{M_j} \langle \omega^j; S_t^j \rangle dt = \sum_j \int_0^{M_j} \phi_j(x_{S_t^j}) - \phi_j(y_{S_t^j}) dt.$$

Since for every j we have

$$0 = \partial(\partial T^j) = \int_0^{M_j} \delta_{x_{S_t^j}} - \delta_{y_{S_t^j}} dt,$$

then we must have

$$\int_0^{M_j} g(x_{S_t^j}) - g(y_{S_t^j}) dt = 0,$$

for every j and for every compactly supported Lipschitz function g , in particular for $g = \phi_j$. Hence we have $\langle \omega; \partial T \rangle = 0$. \square

Example 3.10. Consider the points

$$p_1 = (1, 1), p_2 = (1, -1), p_3 = (-1, -1), p_4 = (-1, 1) \in \mathbb{R}^2.$$

The corresponding solution of the Steiner tree problem³ are those represented in Figure 1. We associate with each point p_j with $j = 1, \dots, 4$ the coefficients $g_j \in G$, where G is the group defined in Lemma 2.6 with $n = 4$: let us call

$$B := g_1 \delta_{p_1} + g_2 \delta_{p_2} + g_3 \delta_{p_3} + g_4 \delta_{p_4}.$$

This 0-dimensional current is our boundary. Intuitively our mass-minimizing candidates among 1-dimensional rectifiable G -currents are those represented in Figure 4: these currents $T_{\text{hor}}, T_{\text{ver}}$ are supported in the sets drawn, respectively, with continuous and dashed lines in Figure 4 and have piecewise constant coefficients intended to satisfy the boundary condition $\partial T_{\text{hor}} = B = \partial T_{\text{ver}}$.

In this case, a compatible calibration for both T_{hor} and T_{ver} is defined piecewise as follows (the notation is the same as in Example 3.4 and the partition is delimited by

³In dimension $d > 2$, an interesting question related to this problem is the following: is the cone over the $(d-2)$ -skeleton of the hypercube in \mathbb{R}^d area minimizing, among hypersurfaces separating the faces? The question has a positive answer if and only if $d \geq 4$ (see [B1] for the proof).

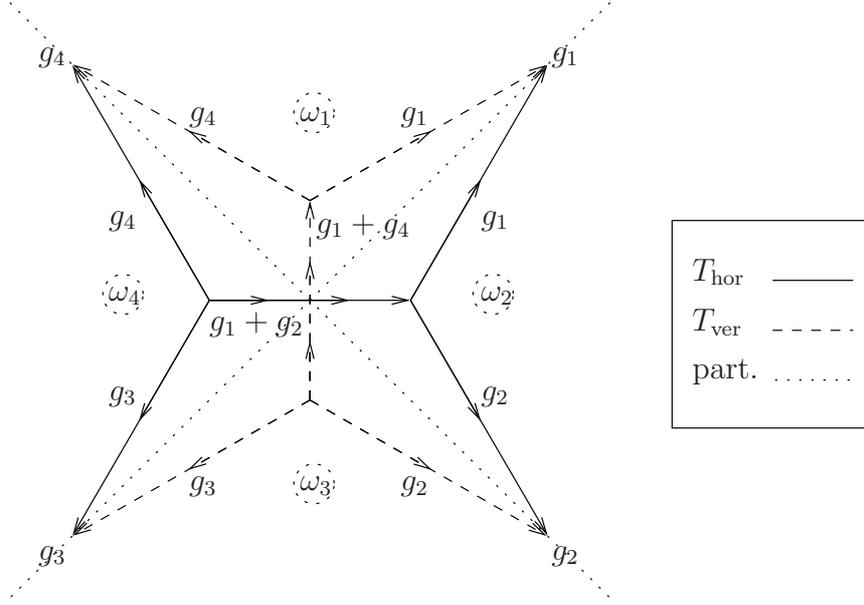


FIGURE 4. Solution for the mass minimization problem

the dotted lines):

$$\omega_1 \equiv \begin{pmatrix} \frac{\sqrt{3}}{2} dx_1 + \frac{1}{2} dx_2 \\ \left(1 - \frac{\sqrt{3}}{2}\right) dx_1 - \frac{1}{2} dx_2 \\ \left(-1 + \frac{\sqrt{3}}{2}\right) dx_1 - \frac{1}{2} dx_2 \end{pmatrix} \quad \omega_2 \equiv \begin{pmatrix} \frac{1}{2} dx_1 + \frac{\sqrt{3}}{2} dx_2 \\ \frac{1}{2} dx_1 - \frac{\sqrt{3}}{2} dx_2 \\ -\frac{1}{2} dx_1 - \left(1 - \frac{\sqrt{3}}{2}\right) dx_2 \end{pmatrix}$$

$$\omega_3 \equiv \begin{pmatrix} \left(1 - \frac{\sqrt{3}}{2}\right) dx_1 + \frac{1}{2} dx_2 \\ \frac{\sqrt{3}}{2} dx_1 - \frac{1}{2} dx_2 \\ -\frac{\sqrt{3}}{2} dx_1 - \frac{1}{2} dx_2 \end{pmatrix} \quad \omega_4 \equiv \begin{pmatrix} \frac{1}{2} dx_1 + \left(1 - \frac{\sqrt{3}}{2}\right) dx_2 \\ \frac{1}{2} dx_1 - \left(1 - \frac{\sqrt{3}}{2}\right) dx_2 \\ -\frac{1}{2} dx_1 - \frac{\sqrt{3}}{2} dx_2 \end{pmatrix}$$

It is easy to check that ω satisfies both condition (i) and the compatibility condition of Definition 3.7. To check that condition (iii) is satisfied, we can use formula (2.5).

Example 3.11. Consider the vertices of a regular hexagon plus the center, namely

$$p_1 = (1/2, \sqrt{3}/2), \quad p_2 = (1, 0), \quad p_3 = (1/2, -\sqrt{3}/2),$$

$$p_4 = (-1/2, -\sqrt{3}/2), \quad p_5 = (-1, 0), \quad p_6 = (-1/2, \sqrt{3}/2), \quad p_7 = (0, 0)$$

and associate with each point p_j the corresponding multiplicity $g_j \in G$, where G is the group defined in Lemma 2.6 with $n = 4$. A mass-minimizer for the problem with boundary

$$B = \sum_{j=1}^7 g_j \delta_{p_j}$$

is illustrated in Figure 5, the other one can be obtained with a $\pi/3$ -rotation of the picture.

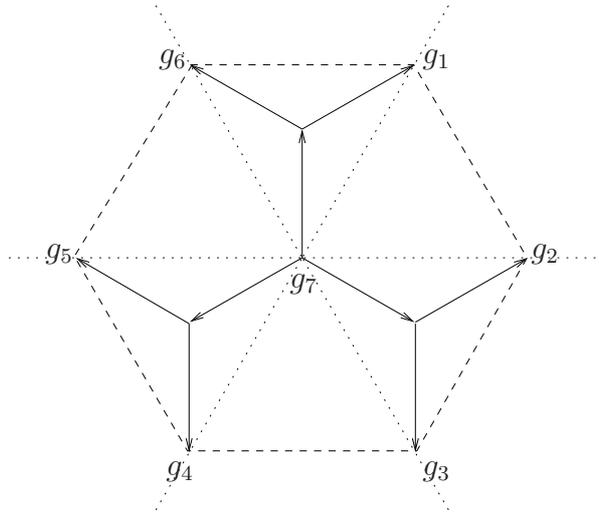


FIGURE 5. Solution for the mass minimization problem

Let us divide \mathbb{R}^2 in 6 cones of angle $\pi/3$, as in Figure 5; we will label each cone with a number from 1 to 6, starting from that containing $(0, 1)$ and moving clockwise. A compatible calibration for the two minimizers is the following

$$(3.6) \quad \begin{aligned} \omega_1 &= \begin{pmatrix} -\frac{\sqrt{3}}{2}dx_1 + \frac{1}{2}dx_2 \\ \frac{\sqrt{3}}{2}dx_1 + \frac{1}{2}dx_2 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} & \omega_2 &= \begin{pmatrix} 0 \\ dx_2 \\ \frac{\sqrt{3}}{2}dx_1 - \frac{1}{2}dx_2 \\ 0 \\ 0 \\ 0 \end{pmatrix} & \omega_3 &= \begin{pmatrix} 0 \\ 0 \\ \frac{\sqrt{3}}{2}dx_1 + \frac{1}{2}dx_2 \\ -dx_2 \\ 0 \\ 0 \end{pmatrix} \\ \omega_4 &= \begin{pmatrix} 0 \\ 0 \\ 0 \\ \frac{\sqrt{3}}{2}dx_1 - \frac{1}{2}dx_2 \\ -\frac{\sqrt{3}}{2}dx_1 - \frac{1}{2}dx_2 \\ 0 \end{pmatrix} & \omega_5 &= \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ -dx_2 \\ -\frac{\sqrt{3}}{2}dx_1 + \frac{1}{2}dx_2 \end{pmatrix} & \omega_6 &= \begin{pmatrix} dx_2 \\ 0 \\ 0 \\ 0 \\ 0 \\ -\frac{\sqrt{3}}{2}dx_1 - \frac{1}{2}dx_2 \end{pmatrix} \end{aligned}$$

Again, it is not difficult to check that ω satisfies both condition (i) and the compatibility condition of Definition 3.7. To check that condition (iii) is satisfied, we use formula (2.5).

Remark 3.12. We may wonder whether or not the calibration given in Example 3.11 can be adjusted so to work for the set of the vertices of the hexagon (without the seventh point in the center): the answer is negative, in fact the support of the current in Figure 5 is not a solution for the Steiner tree problem on the six points, the perimeter of the hexagon minus one side being the shortest graph, as proved in [JK].

Remark 3.13. In both Examples 3.10 and 3.11, once we fixed the partition and we decided to look for a piecewise constant calibration for our candidates, the construction of ω was forced by both conditions (i) of Definition 3.1 and the compatibility condition of Definition 3.7. Notice that the calibration for the Example 3.11 has evident analogies with the one exhibited in the Example 3.4. Actually we obtained the first one simply pasting suitably “rotated” copies of the second one.

In the following remarks we intend to underline the analogies and the connections with calibrations in similar contexts. See Chapter 6 of [Mo2] for an overview on the subject of calibrations.

Remark 3.14 (Functionals defined on partitions and null lagrangians). There is an interesting and deep analogy between calibrations and null lagrangians, analogy that still holds in the group-valued coefficients framework. Consider some points $\{\eta_1, \dots, \eta_n\} \subset \mathbb{R}^m$, with

$$(3.7) \quad |\eta_i - \eta_j| = 1 \quad \forall i \neq j ,$$

and fix an open set with Lipschitz boundary $\Omega \subset \mathbb{R}^d$. It is natural to study the variational problem

$$(3.8) \quad \inf \left\{ \int_{\Omega} |Du| : u \in BV(\Omega; \{\eta_1, \dots, \eta_n\}), u|_{\partial\Omega} \equiv u_0 \right\} .$$

It turns out that $\int_{\Omega} |Du|$ is the same energy we want to minimize in the Steiner tree problem, $\int_{\Omega} |Du|$ being the length of the jump set of u .

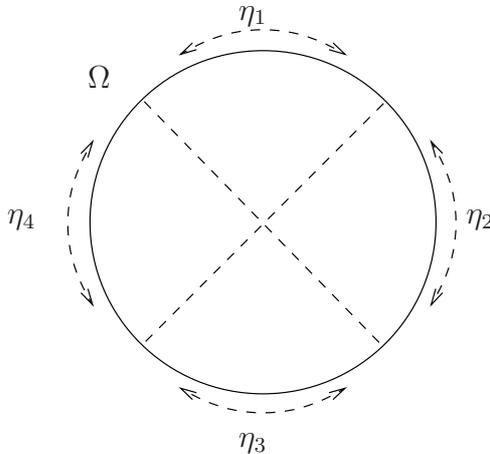


FIGURE 6. Boundary data

This problem concerns the theory of partitions of an open set Ω in a finite number of sets of finite perimeter. This theory was developed by Ambrosio and Braides in [AB1, AB2], which we refer to for a complete exposition.

The analog of a calibration in this context is a null lagrangian⁴ with some special properties: again, the existence of such an object, associated with a function u , is a

⁴See [D] for an overview on null lagrangians.

sufficient condition for u to be a minimizer for the variational problem (3.8) with a given boundary condition.

We refer to 3.2.4 in [Ma] for a detailed survey of the analogy.

Remark 3.15 (Clusters with multiplicities). In [Mo1], F. Morgan applies flat chains with coefficients in a group G to soap bubble clusters and immiscible fluids, following the idea of B. White in [W1]. For a detailed comparison of [Mo1] with our technique, see 3.2.3 of [Ma]. Here we just notice that the definition of calibration in [Mo1] works well in the case of free abelian groups and this is the main difference with our approach.

Remark 3.16 (Paired calibrations). It is worth mentioning another analogy between the technique of calibrations (for currents with coefficients in a group) illustrated in this paper and the technique of paired calibrations in [LM]. In particular, in the specific example of the truncated cone over the 1-skeleton of the tetrahedron in \mathbb{R}^3 (the surface with least area among those separating the faces of the tetrahedron), one can detect a correspondence even at the level of the main computations. See 3.2.3 of [Ma] for the details.

Following an idea of Federer (see [Fe2]), in [Mo1] and [LM] (and in [B1] and [B2], as well) one can observe the exploitation of the duality between minimal surfaces and maximal flows through the same boundary. We will examine this duality in §4, but we conclude the present section with a remark closely related to this idea.

Remark 3.17 (Covering spaces and calibrations for soap films). In [B2] Brakke develops new tools in Geometric Measure Theory for the analysis of soap films: as the underlying physical problem suggests, one can represent a soap film as the superposition of two oppositely oriented currents. In order to avoid cancellations of multiplicities, the currents are defined in a covering space and, as stated in [B2], the calibration technique still holds.

Let us remark that cancellations between multiplicities were a significant obstacle for the Steiner tree problem, too. The representation of currents in a covering space goes in the same direction of currents with coefficients in a group, though, as in Remark 3.16, a sort of Poincaré duality occurs in the formulation of the Steiner tree problem (1-dimensional currents in \mathbb{R}^d) with respect to the soap film problem (currents of codimension 1 in \mathbb{R}^d).

4. EXISTENCE OF THE CALIBRATION AND OPEN PROBLEMS

Once we established that the existence of a calibration is a sufficient condition for a rectifiable G -current to be a mass-minimizer, we may wonder if the converse is also true: does a calibration (of some sort) exist for every mass-minimizing rectifiable G -current?

Let us step backward: does it occur for classical integral currents? The answer is quite articulate, but we can briefly summarize the state of the art we will rely upon.

We consider a boundary B_0 , that is, a $(k-1)$ -dimensional rectifiable G -current without boundary, and we compare the following minima:

$$\mathcal{M}_E(B_0) := \min\{\mathbf{M}(T) : T \text{ is a normal } k\text{-dimensional } E\text{-current, } \partial T = B_0\}$$

and

$$\mathcal{M}_G(B_0) := \min\{\mathbb{M}(T) : T \text{ is a rectifiable } k\text{-dimensional } G\text{-current, } \partial T = B_0\}.$$

Obviously $\mathcal{M}_E(B_0) \leq \mathcal{M}_G(B_0)$, the main issue is to establish whether they coincide or not. In fact, a normal E -current T with boundary B_0 admits a generalized calibration if and only if $\mathbb{M}(T) = \mathcal{M}_E(B_0)$, as we recall in Proposition 4.2. In the classical case ($E = \mathbb{R}$ and $G = \mathbb{Z}$) it is known that

- (i) $\mathcal{M}_{\mathbb{R}}(B_0)$ may be strictly less than $\mathcal{M}_{\mathbb{Z}}(B_0)$ (and, if this happens, a solution for $\mathcal{M}_{\mathbb{Z}}(B_0)$ cannot be calibrated);
- (ii) $\mathcal{M}_{\mathbb{Z}}(B_0) = \mathcal{M}_{\mathbb{R}}(B_0)$ if $k = 1$, as we prove in Proposition 4.3.

At the end of this section, we show that this outlook changes significantly when we replace the ambient space \mathbb{R}^d with a suitable metric space.

Remark 4.1. For every mass-minimizing classical normal k -current T , there exists a generalized calibration ϕ in the sense of Definition 3.5. Moreover, by means of the Riesz Representation Theorem, ϕ can be represented by a measurable map from U to $\Lambda^k(\mathbb{R}^d)$. This result is contained in [Fe2].

In particular, Remark 4.1 provides a positive answer to the question of the existence of a generalized calibration for mass-minimizing integral currents of dimension $k = 1$, because minima among both normal and integral currents coincide, as we prove in Proposition 4.3. It is possible to apply the same technique in the class of normal E -currents, therefore we have the following proposition.

Proposition 4.2. *For every mass minimizing normal E -current T , there exists a generalized calibration.*

The following fact is probably in the folklore, unfortunately we were not able to find any literature on it. We give a proof here in order to enlighten the problems arising in the case of currents with coefficients in a group.

Proposition 4.3. *Consider the boundary of an integral 1-current in \mathbb{R}^d , represented as*

$$(4.1) \quad B_0 = - \sum_{i=1}^{N_-} a_i \delta_{x_i} + \sum_{j=1}^{N_+} b_j \delta_{y_j}, \quad a_i, b_j \in \mathbb{N}.$$

Then $\mathcal{M}_{\mathbb{R}}(B_0) = \mathcal{M}_{\mathbb{Z}}(B_0)$.

Proof. Let us assume that the minimum among normal currents is attained at some current T_0 , that is

$$\mathbb{M}(T_0) = \mathcal{M}_{\mathbb{R}}(B_0).$$

Let $\{T_h\}_{h \in \mathbb{N}}$ be an approximation of T_0 made by polyhedral 1-currents, such that

- $\mathbb{M}(T_h) \rightarrow \mathbb{M}(T_0)$ as $h \rightarrow \infty$,
- $\partial T_h = B_0$ for all $h \in \mathbb{N}$,
- the multiplicities allowed in T_h are only integer multiples of $\frac{1}{h}$.

The existence of such a sequence is a consequence of the Polyhedral Approximation Theorem (see Theorem 4.2.24 of [Fe1] or [KP] for the detailed statement and the

proof). Thanks to Theorem 2.2, it is possible to decompose such a T_h as a sum of two addenda:

$$(4.2) \quad T_h = P_h + C_h ,$$

so that

$$\mathbb{M}(T_h) = \mathbb{M}(P_h) + \mathbb{M}(C_h) \quad \forall h \geq 1$$

and

- $\partial C_h = 0$, so C_h collects the cyclical part of T_h ;
- P_h does not admit any decomposition $P_h = A + B$ satisfying $\partial A = 0$ and $\mathbb{M}(P_h) = \mathbb{M}(A) + \mathbb{M}(B)$

It is clear that P_h is the sum of a certain number of polyhedral currents $P_h^{i,j}$ each one having boundary a non-negative multiple of $-\frac{1}{h}\delta_{x_i} + \frac{1}{h}\delta_{y_j}$ and satisfying

$$\mathbb{M}(P_h) = \sum_{i,j} \mathbb{M}(P_h^{i,j})$$

We replace each $P_h^{i,j}$ with the oriented segment $Q_h^{i,j}$, from x_i to y_j having the same boundary as $P_h^{i,j}$ (therefore having multiplicity a non-negative multiple of $\frac{1}{h}$). This replacement is represented in Figure 7

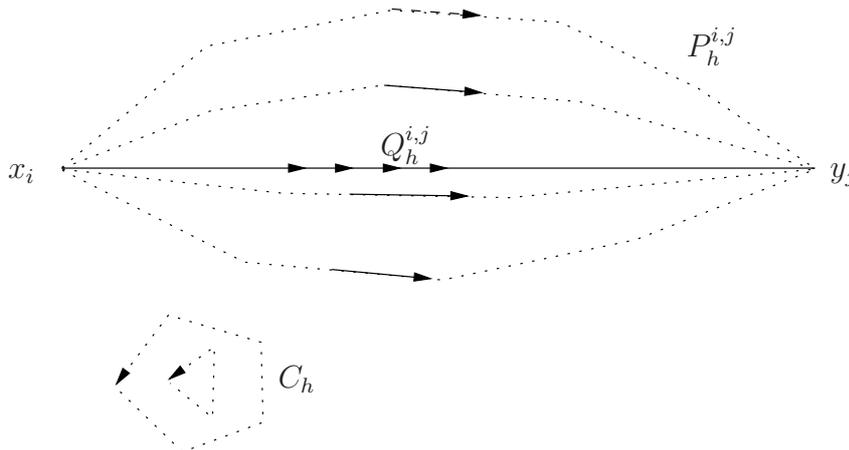


FIGURE 7. Replacement with a segment

Since this replacement obviously does not increase the mass, there holds $\mathbb{M}(P_h) \geq \mathbb{M}(Q_h)$, where $Q_h = \sum_{i,j} Q_h^{i,j}$. In other words we can write $Q_h = \int_I T d\lambda_h$, as an integral of currents, with respect to a discrete measure λ_h supported on the finite set I of unit multiplicity oriented segments with the first extreme among the points x_1, \dots, x_{N_-} and second extreme among the points y_1, \dots, y_{N_+} . It is also easy to see that the total variation of λ_h has eventually the following bound from above

$$\|\lambda_h\| \leq \frac{\mathbb{M}(T_h)}{\min_{i \neq j} d(x_i, y_j)} \leq \frac{\mathbb{M}(T_0) + 1}{\min_{i \neq j} d(x_i, y_j)} .$$

Hence, up to subsequences, λ_h converges to some positive measure λ on I and so the normal 1-current

$$Q = \int_{T \in I} T d\lambda$$

satisfies

$$(4.3) \quad \partial Q = B_0$$

and

$$\mathbb{M}(Q) \leq \mathbb{M}(T_0) = \mathcal{M}_N(B_0) .$$

In order to conclude the proof of the theorem, we need to show that Q can be replaced by an integral current R with same boundary and mass $\mathbb{M}(R) = \mathbb{M}(Q) \leq \mathcal{M}_N(B_0)$. Since I is the set of unit multiplicity oriented segments Σ^{ij} from x_i to y_j , we can obviously represent

$$Q = \sum_{i,j} k^{ij} \Sigma^{ij} \quad \text{with } k^{ij} \in \mathbb{R} ,$$

and, again, thanks to (4.3),

$$\sum_{i=1}^{N_-} k^{ij} = b_j \quad \text{and} \quad \sum_{j=1}^{N_+} k^{ij} = a_i .$$

If $k^{ij} \in \mathbb{Z}$ for any i, j , then Q itself is integral and then we are done; if not, let us consider the finite set of non-integer multiplicities

$$K_{\mathbb{R} \setminus \mathbb{Z}} := \{k^{ij} : i = 1, \dots, N_-, j = 1, \dots, N_+\} \setminus \mathbb{Z} \neq \emptyset .$$

We fix $k \in K_{\mathbb{R} \setminus \mathbb{Z}}$ and we choose an index (i_0, j_0) , such that k is the multiplicity of the oriented segment $\Sigma^{i_0 j_0}$ in Q . It is possible to track down a non-trivial cycle \bar{Q} in Q with the following algorithm: after $\Sigma^{i_0 j_0}$, choose a segment from $x_{i_1} \neq x_{i_0}$ to y_{j_0} with non-integer multiplicity, it must exist because $B_0 = \partial Q$ is integral. Then choose a segment from x_{i_1} to $y_{j_1} \neq y_{j_0}$ with non-integer multiplicity and so on. Since $K_{\mathbb{R} \setminus \mathbb{Z}}$ is finite, at some moment we will get a cycle. Up to reordering the indices i and j we can write

$$\bar{Q} = \sum_{l=1}^n (\Sigma^{i_l j_l} - \Sigma^{i_{l+1} j_l}) .$$

We will denote by

$$\begin{aligned} \alpha &:= \min_l (k^{i_l j_l} - \lfloor k^{i_l j_l} \rfloor) > 0 \\ \beta &:= \min_l (k^{i_{l+1} j_l} - \lfloor k^{i_{l+1} j_l} \rfloor) > 0 . \end{aligned}$$

Finally notice that both $Q - \alpha \bar{Q}$ and $Q + \beta \bar{Q}$ have lost at least one non-integer coefficient; in addition, we claim that either

$$(4.4) \quad \mathbb{M}(Q - \alpha \bar{Q}) \leq \mathbb{M}(Q) \quad \text{or} \quad \mathbb{M}(Q + \beta \bar{Q}) \leq \mathbb{M}(Q) .$$

In fact we can define the linear auxiliary function

$$F(t) := \mathbb{M}(Q) - \mathbb{M}(Q - t \bar{Q}) = \sum_l (k^{i_l j_l} - t) d(x_{i_l}, y_{j_l}) + (k^{i_{l+1} j_l} + t) d(x_{i_{l+1}}, y_{j_l})$$

for which $F(0) = 0$, so either

$$F(\alpha) \geq 0 \quad \text{or} \quad F(-\beta) \geq 0 .$$

Iterating this procedure finitely many times, we obtain an integral current without increasing the mass. \square

In order to guarantee the existence of a generalized calibration also for 1-dimensional mass-minimizing rectifiable G -currents, we need an analog of Proposition 4.3 in the framework of G -currents. Namely, we need to prove that the minimum of the mass among 1-dimensional normal E -currents with the same boundary⁵ coincides with the minimum calculated among rectifiable G -currents. From the argument used in the proof of Proposition 4.3 we realize that the equality of the two minima in the framework of 1-dimensional E -currents is equivalent to the homogeneity property in Remark 4.4.

Remark 4.4. Fix a 0-dimensional rectifiable G -current $R = \sum_{i=1}^n g_i \delta_{x_i}$ with $\|g_i\|_E = 1$ in $U \subset \mathbb{R}^d$, then $\mathcal{M}_E(R) = \mathcal{M}_G(R)$ if and only if the following is true: given a mass-minimizing rectifiable G -current T with $\partial T = R$, then for every $k \in \mathbb{N}$ we have that

$$(4.5) \quad \min \{ \mathbb{M}(S) : S \text{ rectifiable } G \text{ - current, } \partial S = kR \} = k\mathbb{M}(T) .$$

Notice that (4.5) can be meaningfully rewritten as

$$(4.6) \quad \mathcal{M}_G(kR) = k\mathcal{M}_G(R) .$$

The condition 4.6 is clearly necessary to have the equality of the two minima. It is also sufficient, in fact one can approximate a normal E -current with polyhedral currents with coefficients in $\mathbb{Q}G$.

The homogeneity property, which is trivially verified for classical integral currents, seems to be an interesting issue in the class of rectifiable G -currents. In Example 4.5 we exhibit a subset $M \subset \mathbb{R}^2$ such that, if our currents are forced to be supported on M , then the homogeneity property does not hold. In other words, we can say that equality of the two minima does not hold in the framework of 1-dimensional E -currents on the metric space M . We can see the same phenomenon if we substitute the metric space M with the metric space \mathbb{R}^2 endowed with a density, which is unitary on the points of M and very high outside.

Example 4.5. Consider the metric space⁶ $M \subset \mathbb{R}^2$ given⁷ in Figure 8. Consider the group G , with $n = 3$, introduced in §2 and let $R := g_1 \delta_{p_1} + g_2 \delta_{p_2} + g_3 \delta_{p_3}$. We will show that (4.6) does not hold even when $k = 2$. In fact it is trivial to prove that

$$\mathcal{M}_I(R) = 12 .$$

Nevertheless, concerning $\mathcal{M}_I(2R)$, it is shown in Figure 9 that

$$\mathcal{M}_I(2R) \leq 23 < 24 = 2\mathcal{M}_I(R) .$$

Remark 4.6. One can expect a behavior like that in Example 4.5 in the metric space \mathbb{R}^2 endowed with a density which is very high outside of the subset $M \subset \mathbb{R}^2$. To be precise, let us consider a bounded continuous function $W : \mathbb{R}^2 \rightarrow \mathbb{R}$, with $W \equiv 1$ on

⁵Here the boundary is of course a 0-dimensional rectifiable G -current.

⁶For currents in metric spaces, see [AKi].

⁷The length of each segment is explicitly declared in Figure 8, note that the set is symmetric with respect to the vertical axis.

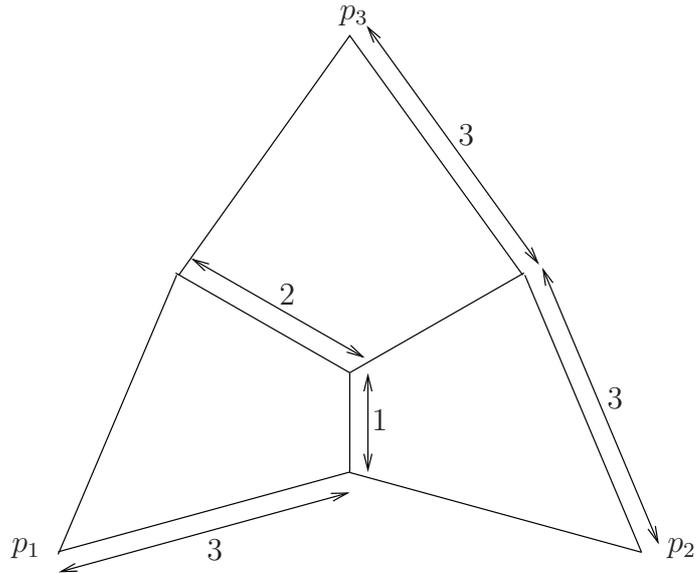


FIGURE 8. Metric space in the Example 4.5

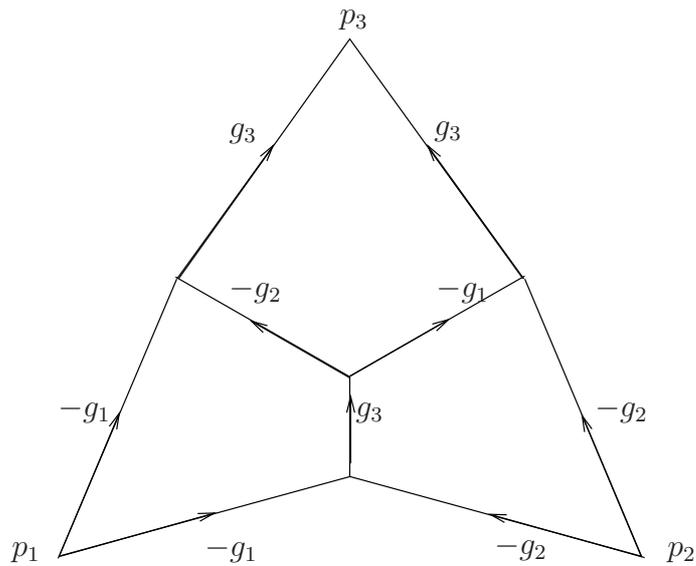


FIGURE 9. Counterexample to (4.6)

M and $W \gg 1$ out of a small neighborhood of M . For any couple $(x_0, x_1) \in \mathbb{R}^2$, the distance on (\mathbb{R}^2, W) is given by

$$d(x_0, x_1) = \inf \left\{ \int_0^1 |\gamma'(t)| W(\gamma(t)) dt : \gamma(0) = x_0 \text{ and } \gamma(1) = x_1 \right\} .$$

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