

ON A CONJECTURE BY AUERBACH

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ABSTRACT. In 1938 Herman Auerbach published a paper where he showed a deep connection between the solutions of the Ulam problem of floating bodies and a class of sets studied by Zindler, that are the planar sets whose bisecting chords have all the same length. In the same paper he conjectured that among Zindler sets the one with minimal area, as well as with maximal perimeter, is given by the so-called “Auerbach triangle”. We prove here that his conjecture was true.

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1. INTRODUCTION

1.1. History of the problem. In the 1930’s, the polish mathematician S. Ulam proposed the following problem. Let us consider a homogeneous right cylinder of density $1/2$, whose section C is a bidimensional convex set. Immerse the cylinder in the water in any position such that

- a) the section C is perpendicular to the horizontal plan of the water;
- b) the immersed part has half of the total volume.

It is clear that the cylinder is in an equilibrium position if and only if the segment joining the baricenter I of the immersed part and the baricenter E of the external part is vertical (see Figure 1).

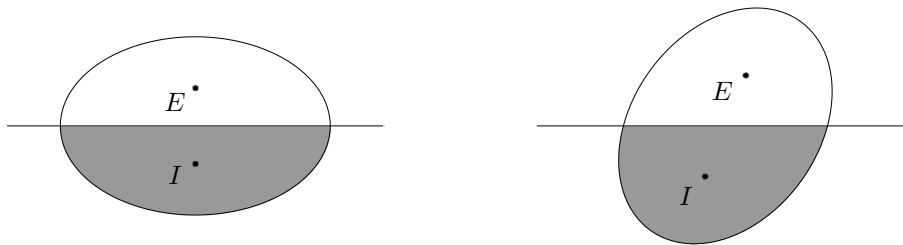


FIGURE 1. An equilibrium position (left) and a non-equilibrium position (right)

The question by Ulam was the following. Are there convex sets C , beside the disk, such that any position of the cylinder satisfying *a)* and *b)* is an equilibrium? We shall say that such a set has the *Ulam floating property*. For instance, the ellipse of Figure 1 has not such property, since the right position is not an equilibrium.

Some years before, the german mathematician K. Zindler considered the following problem: to find a planar set, beside the disk, with the property that all the *bisecting chords*, i.e. the chords dividing the set in two parts of equal area, have the same length. Such a set is now called a *Zindler set*. A well known example found by Zindler himself is shown in Figure 2.

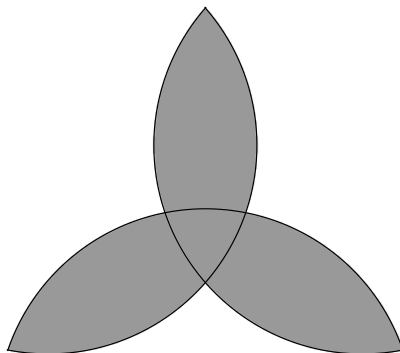


FIGURE 2. The “Zindler flower”: the three curves are arcs of circle with the same radius whose centers are the vertices of an equilateral triangle

In 1938 the polish mathematician H. Auerbach showed the following remarkable connection between the two problems.

Theorem 1 (Auerbach, [1]). *A convex planar set has the Ulam floating property if and only if it is a Zindler set. Moreover, another equivalent property is the fact that the set of all baricenters I and E introduced above is a circle.*

In his paper [1], Auerbach shows that the class of convex Zindler sets is large, and provides an explicit analytic description of this class. Moreover, he presents a geometric construction of the set of Figure 3, to which we shall refer to as the *Auerbach triangle*. This set is uniquely characterized, in the class of Zindler sets, by the properties that

- PP' and QQ' are bisecting chords of length 2;
- the curve PQ is a segment;
- $P\hat{O}Q$ and $P'\hat{O}Q'$ are 60° angles, and the set is invariant by 120° rotations around O .

It is important to mention here that the curve $P'Q'$ is *not* an arc of circle (we will give an analytic definition of this set in Section 2).

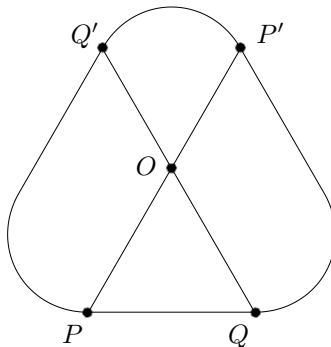


FIGURE 3. The “Auerbach triangle”

In his paper, Auerbach rises the question to determine, among all Zindler sets with bisecting chords of given constant length (say 2, so to compare with the unit disk), those minimizing the area, or maximizing the perimeter. He was not able to answer the question, but he conjectured that at least one of the two extremal sets is his rounded triangle. In his words ([1, p.138]): “*Il serait intéressant de déterminer les courbes de périmètre maximé et celles d’aire minimée. Probablement, la courbe triangulaire (Fig. 3) fournit la solution d’au moins un de ces problèmes.*”

In this paper we prove that the conjecture of Auerbach was correct.

Theorem 2. *The Auerbach triangle is the unique set of minimal area in the class of convex Zindler sets with length of bisecting chords equal to 2, up to rotation and translations.*

Theorem 3. *The Auerbach triangle is the unique set of maximal perimeter in the class of convex Zindler sets with length of bisecting chords equal to 2, up to rotation and translations.*

1.2. Connection with a problem by Santaló. In past years various properties of Zindler sets have been studied (see for instance [10], [4] and [8]), while the relations between the minimal and maximal length of the bisecting chords and other geometric quantities such as perimeter, diameter, inradius and circumradius have been investigated in more recent times (some results and references can be found in [9], [3], [5] and [7]). For a detailed account on these and on the above mentioned papers on Zindler sets we refer also to Chap. 3 and 4 of [6].

The result proved here is connected to an old question by L.A. Santaló ([2, Problem A26]), who asked whether it is true that for any convex set C , with area $A(C)$, there exists always a bisecting chord of length at most $2\sqrt{A(C)/\pi}$ or, equivalently, whether it is true that the convex set with minimal bisecting chord of length 2 and smallest area is the unit disk. It is known that the answer to Santaló question is negative, since the area of the Auerbach triangle is less than π , namely, as shown by Auerbach himself,

$$2\sqrt{3} \left(\ln(9) - \left(\frac{\ln(3)}{2} \right)^2 - 1 \right) \approx 3.102.$$

Indeed, as a consequence of formula (1.2) below, every Zindler set other than the disk gives a negative answer to the Santaló problem. However, the question of determining which is the set of minimal area among those with minimal bisecting chord equal to 2 is still open. At this regard, we guess the following statement to be true.

Conjecture. *The set minimizing the area in the class of convex sets with bisecting chords of fixed minimal length is Zindler. Hence, thanks to Theorem 2, the solution of the Santaló problem is the Auerbach triangle.*

The reason why the above claim is quite reasonable is simple. Consider a convex set C which is not Zindler, as in Figure 4, and a bisecting chord not of minimal length, as the horizontal segment depicted in the figure. Then, define another set C_ε , simply by cutting an area ε very close to each end of the bisecting chord (the two shaded portions in the figure). Since the area around both ends is the same, and since the chord was not minimal, it is immediate to realize that the set C_ε has the same minimal bisecting chords as C , so that C cannot be a solution of Santaló problem. Unfortunately, this simple idea does not lead to a formal proof of the

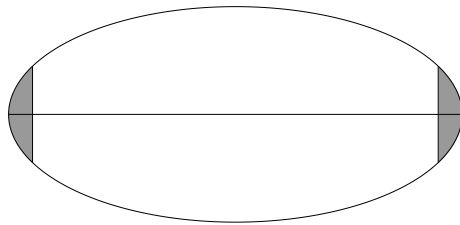


FIGURE 4. A convex non-Zindler set C (big set) and a variation C_ε (without the shaded parts)

conjecture. Indeed, in general (unlike the set in the figure), it may be impossible to cut the two pieces without strictly decreasing the minimal length of the bisecting chords. This is what may happen if the boundary of C contains a segment and an endpoint of a minimal chord lies on this segment.

1.3. Plan of the paper. In Section 2 we present an analytic characterization of the convex Zindler sets, quite close to the one given by Auerbach in [1], which shows in particular that those sets are of class $C^{1,1}$. More precisely, we will give a bijective correspondence between Zindler sets and the class of functions $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ satisfying, for all $\theta \in \mathbb{S}^1$,

$$\begin{cases} c(\theta + \pi) = -c(\theta); \\ \int_{\theta}^{\theta+\pi} c(\sigma) (\cos \sigma, \sin \sigma) d\sigma = (0, 0); \\ |c'(\theta)| \leq 1 + c(\theta)^2. \end{cases} \quad (1.1)$$

Having this characterization at hand, it is possible to express the area of a Zindler set C by means of the associated function c , getting

$$\text{Area}(C) = \pi - \frac{2}{\pi} \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{A_n^2 + B_n^2}{n^2 - 1} =: \pi - \frac{2}{\pi} \mathfrak{F}(c), \quad (1.2)$$

being A_n and B_n the Fourier coefficients of c . Hence, denoting by \hat{c} the function corresponding to the Auerbach triangle, Theorem 2 is equivalent to show that \hat{c} maximizes \mathfrak{F} among all functions c satisfying (1.1). Since the function $c \equiv 0$ corresponds to the disk, it is evident from (1.2) not only that the disk is not the solution of Auerbach problem (hence neither, a fortiori, of Santaló problem), but on the contrary that it *maximizes* the area among Zindler sets. On the other hand, also the perimeter of the set C can be easily computed in terms of the corresponding function c as

$$\text{Perimeter}(C) = \int_0^{2\pi} \sqrt{1 + c(\theta)^2} d\theta. \quad (1.3)$$

Hence, it is again clear that the disk, corresponding to $c \equiv 0$, minimizes the perimeter among the Zindler sets.

In Section 3 we give the proof of the conjecture about the area, namely Theorem 2. The proof basically consists in two big steps. We start, in Section 3.1, by showing an L^∞ estimate for functions c satisfying (1.1), namely that for each such c one has

$$\|c\|_{L^\infty} \leq \tan(\pi/6).$$

In Section 3.2 we will obtain Theorem 2 showing that indeed \hat{c} maximizes \mathfrak{F} in the larger class of functions $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ such that

$$\begin{cases} c(\theta + \pi) = -c(\theta); \\ |c(\theta)| \leq \tan(\pi/6); \\ |c'(\theta)| \leq 1 + c(\theta)^2. \end{cases}$$

The reason why we pass to this new class of functions (which are not necessarily associated to a set) is that this allows more flexibility in defining suitable competitors. We underline that in the proof of the result, and in particular in Section 3.2, we will need to perform extremely careful estimates. This is due to the fact that the difference between the area of the biggest Zindler set (i.e., the area π of the ball) and the area of the smallest Zindler set (i.e., the area ≈ 3.102 of the Auerbach triangle) is just around 1%.

In Section 4 we give the proof of the conjecture about the perimeter, namely Theorem 3. The scheme of proof is completely different than the one of Theorem 2. Indeed, while the proof in the former case hinged on the Fourier coefficients A_n and B_n of c , in this case they don't play any role, simply because there is no reasonable way to express the perimeter in terms of A_n and B_n , as one can easily guess from (1.3). Instead, the proof is based on a careful analysis of the intervals where an optimal function has a constant sign. Roughly speaking, if a function changes sign too often it cannot become big, thus it has a small perimeter by (1.3); on the other hand, having the same sign in a too large interval forces again the function to be small, due to the second condition in (1.1). Our argument then consists in taking an optimal function \bar{c} and distinguishing the different cases: all the intervals where \bar{c} has a constant sign are shorter than $\pi/3$; one of them is longer; two of them are longer. While the first case will be trivial thanks to our estimates of Section 2, the second one is harder, and the last one is the most involved.

2. CHARACTERIZATION OF THE ZINDLER SETS

The purpose of this section is to show how to associate to any Zindler set a Lipschitz function. Let us start with the relevant definitions.

Definition 2.1. *Let C be a bounded, convex subset of \mathbb{R}^2 . For any $\theta \in \mathbb{S}^1$, we denote by $M(\theta)$, $R(\theta)$ and $L(\theta)$ the centre, the right extreme and the left extreme, respectively, of the unique bisecting chord of C with direction θ . We say that the set C is a Zindler set if all the bisecting chords have the same length.*

Throughout the paper we will always consider, with no loss of generality, **Zindler sets with bisecting chords of length 2**, so to compare them with the unit disk.

Fix a Zindler set C and notice that, since the bisecting chords of directions θ and $\theta + \pi$ are the same segment with opposite orientation, one has

$$M(\theta) = M(\theta + \pi), \quad R(\theta) = L(\theta + \pi), \quad L(\theta) = R(\theta + \pi).$$

First, we show that the curve $\theta \mapsto M(\theta)$ is differentiable almost everywhere.

Lemma 2.2. *Let C be a Zindler set and $\theta \in \mathbb{S}^1$ be such that ∂C has a unique tangent at both $R(\theta)$ and $L(\theta)$. Then the curve M is differentiable at θ and*

$$M'(\theta) = c(\theta)(\cos \theta, \sin \theta), \tag{2.1}$$

for some $c(\theta) \in \mathbb{R}$.

Proof. Assuming $\theta = 0$ just to get simpler notation and figure, we take a small angle ε and define $a = a(\varepsilon)$, $b = b(\varepsilon)$, $\alpha = \alpha(\varepsilon)$ and $\beta = \beta(\varepsilon)$ as in Figure 5, that is, in such a way that

$$R(\varepsilon) = (1 + a, b), \quad L(\varepsilon) = (-1 + \alpha, -\beta).$$

Notice that a , b , α and β tend to 0 as $\varepsilon \rightarrow 0$. Since C is Zindler, we know that

$$2 = \sqrt{(2 + a - \alpha)^2 + (b + \beta)^2},$$

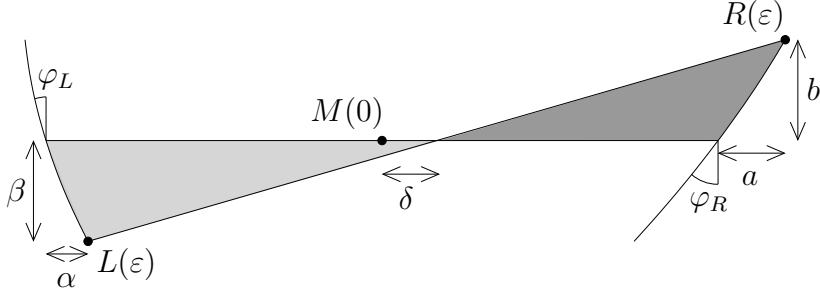


FIGURE 5. The situation considered in the proof of Lemma 2.2

from which we deduce

$$a = \alpha + o(|a| + |b| + |\alpha| + |\beta|). \quad (2.2)$$

Moreover, from the assumption that ∂C admits a tangent at $L(0)$ and $R(0)$ we know that

$$a = b \tan(\varphi_R) + o(|b|), \quad \alpha = \beta \tan(\varphi_L) + o(|\beta|), \quad (2.3)$$

where φ_L and φ_R are defined as in Figure 5, and by the convexity of C and the fact that $L(0)R(0)$ bisecates the set C , we have also $-\pi/2 < \varphi_L, \varphi_R < \pi/2$. This allows us to rewrite (2.2) as

$$a = \alpha + o(|b| + |\beta|). \quad (2.4)$$

Define now, again as in the figure, $\delta = \delta(\varepsilon)$ in such a way that $(\delta, 0)$ is the intersection between the chords $L(0)R(0)$ and $L(\varepsilon)R(\varepsilon)$. Then by elementary geometry, we have

$$\frac{1 - \delta + a}{b} = \frac{1 + \delta - \alpha}{\beta},$$

which gives

$$\beta = b + \delta(\beta + b) + o(|b| + |\beta|). \quad (2.5)$$

Finally, recall that the two chords at the angles 0 and ε are both bisecting. Therefore, the area of the left and right part of C enclosed by the two chords (the light and dark coloured regions in the figure) is the same. Writing down this equality we obtain

$$\frac{1}{2} (1 + \delta)\beta + o(|\beta|) = \frac{1}{2} (1 - \delta)b + o(|b|),$$

from which we deduce

$$\beta = b - \delta(\beta + b) + o(|b| + |\beta|). \quad (2.6)$$

Putting together (2.5) and (2.6) one immediately finds

$$b = \beta + o(|b| + |\beta|). \quad (2.7)$$

From (2.4) and (2.7) we get that

$$\lim_{\varepsilon \rightarrow 0} \frac{a}{b} = \lim_{\varepsilon \rightarrow 0} \frac{\alpha}{\beta},$$

which in view of (2.3) tells us that $\varphi_R = \varphi_L =: \varphi$ (by the way, this “geometrical” property of Zindler sets is interesting by itself).

Since, by definition, the chord connecting $L(\varepsilon)$ and $R(\varepsilon)$ has direction ε , we can finally write the values of a , b , α and β as

$$a = \varepsilon \tan \varphi + o(\varepsilon), \quad b = \varepsilon + o(\varepsilon), \quad \alpha = \varepsilon \tan \varphi + o(\varepsilon), \quad \beta = \varepsilon + o(\varepsilon). \quad (2.8)$$

As a consequence, the centre $M(\varepsilon)$ of the bisecting chord of direction ε has coordinates

$$M(\varepsilon) \equiv \left(\varepsilon \tan \varphi + o(\varepsilon), o(\varepsilon) \right).$$

This shows that the curve M is differentiable at the angle $\theta = 0$, and that the velocity $M'(\theta)$ has direction θ . Setting $c(\theta) := \tan \varphi$, the proof is concluded. \square

Remark 2.3. *The construction above, and in particular formula (2.8) for a , b , α and β , shows another important property of Zindler sets, that is all the bisecting chords divide C in two parts not just with the same area, but also with the same perimeter.*

Lemma 2.4. *The function $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ defined by (2.1) is Lipschitz, and for a.e. $\theta \in \mathbb{S}^1$*

$$\left\{ \begin{array}{l} c(\theta + \pi) = -c(\theta); \\ \int_{\theta}^{\theta+\pi} c(\sigma) (\cos \sigma, \sin \sigma) d\sigma = (0, 0); \\ |c'(\theta)| \leq 1 + c(\theta)^2. \end{array} \right. \quad (2.9)$$

Proof. Consider the function φ introduced in the proof of Lemma 2.2, and recall the relation between c and φ : $c(\theta) = \tan(\varphi(\theta))$. To establish the first equality in (2.9), observe that

$$c(\theta + \pi) = -c(\theta), \quad \varphi(\theta + \pi) = -\varphi(\theta). \quad (2.10)$$

The equality on the left follows from (2.1) since $M(\theta + \pi) = M(\theta)$ for all θ . As a consequence, since by construction $-\pi/2 < \varphi(\theta) < \pi/2$, we obtain also the equality on the right. Notice that one can also get the latter equality by a direct geometric argument based on the construction above and then deduce the former by definition.

Recall now that, by (2.1), for any $\theta, \tilde{\theta} \in \mathbb{S}^1$ one has

$$M(\tilde{\theta}) = M(\theta) + \int_{\theta}^{\tilde{\theta}} c(\sigma) (\cos \sigma, \sin \sigma) d\sigma.$$

Applying this formula with $\tilde{\theta} = \theta + \pi$ and recalling that $M(\theta + \pi) = M(\theta)$, we get the second equality in (2.9). Notice that the equality just proven implies the first one.

Finally, we observe that the tangent of ∂C at $R(\theta)$ has direction

$$\tau(\theta) = \theta + \frac{\pi}{2} - \varphi(\theta),$$

and by the convexity of C the function $\theta \mapsto \tau(\theta)$ must be increasing. This implies that $\theta - \varphi(\theta)$ is an increasing function of θ , and then for any $\theta \in \mathbb{S}^1$ and any $\varepsilon > 0$ one has

$$\varphi(\theta + \varepsilon) - \varphi(\theta) < \varepsilon.$$

Since this inequality holds also for $\theta + \pi$, keeping in mind (2.10) we get

$$\varphi(\theta + \varepsilon) - \varphi(\theta) > -\varepsilon,$$

thus showing that φ is 1-Lipschitz. Since by definition $c(\theta) = \tan(\varphi(\theta))$, we obtain that c is Lipschitz and that the last estimate in (2.9) holds, thus concluding the proof. \square

Remark 2.5. *It is interesting to underline a couple of geometric consequences of Lemma 2.2 and Lemma 2.4: any Zindler set has a $C^{1,1}$ boundary and has the property that any bisecting chord makes the same angle with the tangents at the two endpoints. This second property was already observed in proving Lemma 2.2, while the first one follows from the fact that φ is Lipschitz, as established in the proof of Lemma 2.4, and from the fact that each point of the boundary of C is the right endpoint of one and only one bisecting chord. It is worth observing that what really prevents the boundary of a Zindler set from having a corner is the second of these two properties. Indeed, if there were a “convex” corner at one endpoint of a bisecting chord, there should be also an opposite “concave” corner at the other endpoint of the same chord, and this is against the convexity of C .*

We can now show the remarkable fact that the three equations in (2.9) characterize all the convex Zindler sets, that is, given any function c satisfying (2.9), there is a convex Zindler set C corresponding to the function c .

Proposition 2.6. *The map associating to any convex Zindler set C the function c for which (2.1) holds is a one-to-one correspondence between the convex Zindler sets C (up to translations) and the functions $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ satisfying (2.9).*

Proof. The fact that the functions c given by (2.1) satisfy (2.9) has been established by Lemma 2.4, so we only need to show the opposite implication.

Let then c be any function for which (2.9) holds and define $L, R : \mathbb{S}^1 \rightarrow \mathbb{R}^2$ by

$$\begin{aligned} L(\theta) &= \int_0^\theta c(\sigma) (\cos \sigma, \sin \sigma) d\sigma - (\cos \theta, \sin \theta), \\ R(\theta) &= \int_0^\theta c(\sigma) (\cos \sigma, \sin \sigma) d\sigma + (\cos \theta, \sin \theta). \end{aligned} \tag{2.11}$$

By the second property of (2.9) one has that $R(\theta + \pi) = L(\theta)$, and that $\theta \mapsto R(\theta)$ is a C^1 closed curve in \mathbb{R}^2 with

$$R'(\theta) = c(\theta) (\cos \theta, \sin \theta) + (-\sin \theta, \cos \theta).$$

The fact that this curve is the boundary of a convex set C will follow at once by proving that

$$\theta \mapsto \frac{R'(\theta)}{|R'(\theta)|}$$

is a one-to-one increasing map from \mathbb{S}^1 to itself, and this, in turn, can be derived, after some straightforward calculations, from the third inequality in (2.9).

To show that C is a Zindler set, it is enough to check that for any θ the segment $L(\theta)R(\theta)$ is the bisecting chord of direction θ and length 2. The fact that $L(\theta)R(\theta)$ has length 2 and direction θ is obvious by (2.11). Moreover, the area of the portion of C which is above $L(\theta)R(\theta)$ is constant with respect to θ because its derivative is

$$\frac{1}{2} (R'(\theta) + L'(\theta)) \cdot (\sin \theta, \cos \theta) = 0,$$

as it can be checked by geometrical considerations as in Lemma 2.2. Since by (2.9) $L(\theta + \pi) = R(\theta)$ and $R(\theta + \pi) = L(\theta)$, we deduce that the segments $L(\theta)R(\theta)$ are bisecting.

To conclude the proof we observe that c is exactly the function associated to C by (2.1), and this is in turn true since the center $M(\theta)$ of the bisecting chord of direction θ is given by

$$M(\theta) = \frac{L(\theta) + R(\theta)}{2} = \int_0^\theta c(\sigma) (\cos \sigma, \sin \sigma) d\sigma,$$

so that $M'(\theta) = c(\theta)(\cos \theta, \sin \theta)$, according to (2.1). \square

Having reduced the problem to the study of a suitable class of Lipschitz functions, it is important to get a useful formula for the perimeter and the area of the set C in terms of the corresponding function c . This will be done in the following lemma.

Lemma 2.7. *If C is a Zindler set corresponding to the function c , its area is given by*

$$Area(C) = \pi + \int_0^\pi \left(\int_0^\theta c(\theta)c(\sigma) \sin(\theta - \sigma) d\sigma \right) d\theta, \quad (2.12)$$

and its perimeter by

$$Perimeter(C) = 2 \int_0^\pi \sqrt{1 + c^2(\theta)} d\theta. \quad (2.13)$$

Proof. We start by recalling that the area of a convex set C in \mathbb{R}^2 , with boundary Γ , is given by

$$Area(C) = \frac{1}{2} \int_\Gamma x dy - y dx. \quad (2.14)$$

Since C is a Zindler set, its boundary is the set of the points $R(\theta)$ for $0 \leq \theta \leq 2\pi$, and from (2.11) we know that

$$R(\theta) = \int_0^\theta c(\sigma) (\cos \sigma, \sin \sigma) d\sigma + (\cos \theta, \sin \theta),$$

so that on Γ

$$(dx, dy) \equiv \left(c(\theta)(\cos \theta, \sin \theta) + (-\sin \theta, \cos \theta) \right) d\theta.$$

Thanks to (2.14), we then have

$$\begin{aligned} Area(C) &= \frac{1}{2} \int_0^{2\pi} \left(\left(\int_0^\theta c(\sigma) \cos \sigma d\sigma + \cos \theta \right) (c(\theta) \sin \theta + \cos \theta) - \right. \\ &\quad \left. \left(\int_0^\theta c(\sigma) \sin \sigma d\sigma + \sin \theta \right) (c(\theta) \cos \theta - \sin \theta) \right) d\theta \\ &= \pi + \frac{1}{2} \int_0^{2\pi} \left(\int_0^\theta c(\sigma)c(\theta) \sin(\theta - \sigma) + c(\sigma) \cos(\theta - \sigma) d\sigma \right) d\theta. \end{aligned} \quad (2.15)$$

Using the properties of c listed in (2.9), we have that for any $0 \leq \theta \leq \pi$

$$\begin{aligned} \int_0^{\pi+\theta} c(\sigma) \cos((\pi + \theta) - \sigma) d\sigma &= \int_\pi^{\pi+\theta} c(\sigma) \cos((\pi + \theta) - \sigma) d\sigma \\ &= - \int_0^\theta c(\sigma) \cos(\theta - \sigma) d\sigma, \end{aligned}$$

hence the last term in (2.15) is 0, that is,

$$\int_0^{2\pi} \left(\int_0^\theta c(\sigma) \cos(\theta - \sigma) d\sigma \right) d\theta = 0. \quad (2.16)$$

Arguing in the very same way, we have

$$\begin{aligned} \int_0^{\pi+\theta} c(\sigma) c(\pi + \theta) \sin((\pi + \theta) - \sigma) d\sigma &= \int_\pi^{\pi+\theta} c(\sigma) c(\pi + \theta) \sin((\pi + \theta) - \sigma) d\sigma \\ &= \int_0^\theta c(\sigma) c(\theta) \sin(\theta - \sigma) d\sigma, \end{aligned}$$

which gives

$$\int_0^{2\pi} \left(\int_0^\theta c(\sigma) c(\theta) \sin(\theta - \sigma) d\sigma \right) d\theta = 2 \int_0^\pi \left(\int_0^\theta c(\sigma) c(\theta) \sin(\theta - \sigma) d\sigma \right) d\theta. \quad (2.17)$$

Inserting (2.17) and (2.16) in (2.15), one gets (2.12).

Concerning formula (2.13), on the other hand, it is enough to notice that by (2.11) one has

$$R'(\theta) = c(\theta) (\cos \theta, \sin \theta) + (-\sin \theta, \cos \theta), \quad \text{thus} \quad |R'(\theta)| = \sqrt{1 + c^2(\theta)},$$

and to recall that $c(\theta + \pi) = -c(\theta)$. \square

Formula 2.12 suggests to consider the Fourier series of c to treat the area functional. This makes sense because the functions satisfying (2.9) are in Lipschitz.

Definition 2.8. Let $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ satisfy (2.9). For any $n \in \mathbb{N}$, define the Fourier coefficients as

$$A_n := \frac{1}{2} \int_0^{2\pi} c(\theta) \sin(n\theta) d\theta, \quad B_n := \frac{1}{2} \int_0^{2\pi} c(\theta) \cos(n\theta) d\theta.$$

Notice that (2.9) implies that $A_n = B_n = 0$ for all even n and for $n = 1$. Hence, the Fourier expansion of c becomes

$$c(\theta) = \frac{2}{\pi} \sum_{\substack{n \text{ odd} \\ n \geq 3}} A_n \sin(n\theta) + B_n \cos(n\theta). \quad (2.18)$$

Moreover, for all odd $n \geq 3$,

$$A_n := \int_0^\pi c(\theta) \sin(n\theta) d\theta, \quad B_n := \int_0^\pi c(\theta) \cos(n\theta) d\theta. \quad (2.19)$$

The unusual definition of the Fourier coefficients given above, which explains the constant $2/\pi$ in (2.18), has the advantage that the two integrals in (2.19) appear without any multiplicative constant. This will simplify a lot the calculations in the next section.

Remark 2.9. From the well known properties of Fourier series, one has immediately that for any odd $n \geq 3$ there exists an angle $\bar{\varphi}$ such that

$$\sqrt{A_n^2 + B_n^2} = \sup_{\varphi \in \mathbb{S}^1} \int_0^\pi c(\theta) \sin(n\theta + \varphi) d\theta = \int_0^\pi c(\theta) \sin(n\theta + \bar{\varphi}) d\theta. \quad (2.20)$$

Notice also that, by rotating c (that is, passing from c to $\tilde{c}(\theta) = c(\theta + \bar{\theta})$ for some $\bar{\theta}$), A_n and B_n may change, but the quantity $\sqrt{A_n^2 + B_n^2}$ does not change, since the supremum in (2.20) is attained at $\bar{\varphi} + n\bar{\theta}$ instead of $\bar{\varphi}$, but its value remains the same.

We can now give a more expressive formula for the area of C which makes use of the above notation.

Lemma 2.10. *Given a Zindler set C corresponding to the function c , one has*

$$Area(C) = \pi - \frac{2}{\pi} \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{A_n^2 + B_n^2}{n^2 - 1}. \quad (2.21)$$

Proof. Fix $0 \leq \theta \leq \pi$, and calculate

$$\int_0^\theta c(\sigma) \sin(\theta - \sigma) d\sigma = \frac{2}{\pi} \int_0^\theta \sum_{\substack{n \text{ odd} \\ n \geq 3}} (A_n \sin(n\sigma) + B_n \cos(n\sigma)) \sin(\theta - \sigma) d\sigma.$$

Since

$$\begin{aligned} \int_0^\theta \sin(\theta - \sigma) \sin(n\sigma) d\sigma &= \frac{n \sin \theta - \sin(n\theta)}{n^2 - 1}, \\ \int_0^\theta \sin(\theta - \sigma) \cos(n\sigma) d\sigma &= \frac{\cos \theta - \cos(n\theta)}{n^2 - 1}, \end{aligned}$$

for any $\theta \in [0, \pi]$ we have

$$\int_0^\theta c(\sigma) \sin(\theta - \sigma) d\sigma = \frac{2}{\pi} \sum_{\substack{n \text{ odd} \\ n \geq 3}} A_n \frac{n \sin \theta - \sin(n\theta)}{n^2 - 1} + B_n \frac{\cos \theta - \cos(n\theta)}{n^2 - 1}.$$

Inserting this equality in (2.12) we obtain

$$\begin{aligned} Area(C) &= \pi + \frac{2}{\pi} \int_0^\pi c(\theta) \left(\sum_{\substack{n \text{ odd} \\ n \geq 3}} A_n \frac{n \sin \theta - \sin(n\theta)}{n^2 - 1} + B_n \frac{\cos \theta - \cos(n\theta)}{n^2 - 1} \right) d\theta \\ &= \pi + \frac{4}{\pi^2} \int_0^\pi \sum_{\substack{n, m \text{ odd} \\ n, m \geq 3}} (A_m \sin(m\theta) + B_m \cos(m\theta)) \cdot \\ &\quad \cdot \left(\frac{A_n (n \sin \theta - \sin(n\theta)) + B_n (\cos \theta - \cos(n\theta))}{n^2 - 1} \right) d\theta \\ &= \pi - \frac{2}{\pi} \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{A_n^2 + B_n^2}{n^2 - 1}, \end{aligned}$$

hence the proof is completed. \square

We can then reformulate our problem: minimizing the area among all Zindler sets is now reduced to the problem of *maximizing* the sum

$$\mathfrak{F}(c) := \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{A_n^2 + B_n^2}{n^2 - 1} \quad (2.22)$$

among all functions $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ satisfying (2.9).

Remark 2.11. *Thanks to the new formulation of our problem, we have that the ball is certainly not the area minimizer. On the contrary, since $\mathfrak{F}(c) = 0$ for the null function c (which corresponds to the ball) and $\mathfrak{F}(c) > 0$ for any other function c , i.e., for any other Zindler set, we may conclude that the ball is the Zindler set with the largest area.*

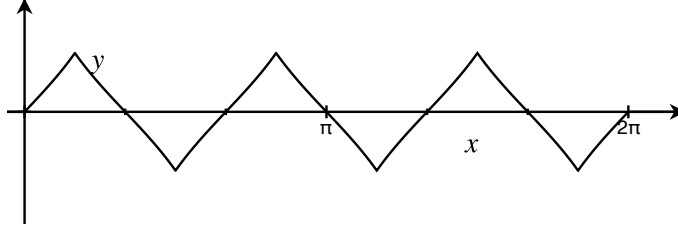


FIGURE 6. The optimal function \hat{c}

We conclude this section by introducing \hat{c} , which in the next section we will prove to be the optimal function. In the arc $[0, \pi/6]$ the function is defined by setting

$$\hat{c}(\theta) := \tan \theta \quad \forall 0 \leq \theta \leq \frac{\pi}{6},$$

then it is defined in all \mathbb{S}^1 by the symmetries shown in Figure 6. It is immediate to notice that the function thus obtained satisfies (2.9), so it corresponds to a Zindler set. In particular, let us observe that this set is exactly the Auerbach triangle drawn in Figure 7. Indeed, recall that, by

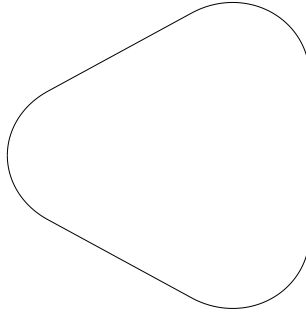


FIGURE 7. The optimal set, Auerbach triangle

the proof of Lemma 2.4, the local convexity of the boundary of C at the right extreme of the bisecting chord of direction θ corresponds to the inequality $c'(\theta) \leq 1 + c^2$. For the function \hat{c} , one has exactly the equality $\hat{c}' = 1 + \hat{c}^2$ in $[-\pi/6, \pi/6]$, so the part of the boundary made by the right extremes of the bisecting chords with direction $\theta \in [-\pi/6, \pi/6]$ is a segment (the vertical segment to the right of the figure). Moreover, the 120° symmetry of the set is immediately

deduced from the corresponding symmetry of \hat{c} . Notice that the set associated to \hat{c} is rotated by 90° with respect to the one represented in Figure 3. This choice does not affect the minimization problem, but gives a simpler analytic expression to \hat{c} .

3. PROOF OF THEOREM 2

In this Section we present the proof of Theorem 2. The main steps of the proof are two. First, we give an L^∞ estimate for all functions c satisfying (2.9). Then, via comparison with suitably constructed competitors, we find a general result on the structure of a function which maximizes (2.22). At that point, the proof of the Theorem is almost immediate.

3.1. An L^∞ estimate. In this section we will show a uniform bound for admissible functions c and we will collect some other estimates to be used later on.

In the sequel, if $c : \mathbb{S}^1 \rightarrow \mathbb{R}$, by a *rotation* of c we mean any function $\tilde{c} : \mathbb{S}^1 \rightarrow \mathbb{R}$ such that $\tilde{c}(\theta) = c(\theta + \bar{\varphi})$ for some convenient $\bar{\varphi} \in \mathbb{S}^1$. Similarly, by the *reflection* of c we mean the function $\tilde{c} : \mathbb{S}^1 \rightarrow \mathbb{R}$ such that $\tilde{c}(\theta) = c(\pi - \theta)$ for all $\theta \in \mathbb{S}^1$. Notice that in both cases c satisfies (2.9) if and only \tilde{c} satisfies (2.9).

Proposition 3.1 (The main L^∞ estimate). *If $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ satisfies (2.9), then $c \in L^\infty(\mathbb{S}^1)$ and the estimate*

$$\|c\|_{L^\infty} \leq \tan(\pi/6) \quad (3.1)$$

holds. Moreover, the above inequality is strict, unless $c = \hat{c}$ up to a rotation.

Remark 3.2. *Keeping in mind that $|c'| \leq 1 + c^2$, the L^∞ estimate (3.1) implies that all the admissible functions c are uniformly Lipschitz. Correspondingly, all convex Zindler sets, with assigned length of the bisecting chords, are uniformly $C^{1,1}$.*

From this observation, it is immediate to deduce the existence of optimal Zindler sets.

Corollary 3.3 (Existence of extremals). *There exist an admissible function minimizing (2.12) and an admissible function maximizing (2.13). Equivalently, there exist a convex Zindler set of minimal area and a convex Zindler set of maximal perimeter.*

Proof. As observed in Remark 3.2, the admissible functions are uniformly Lipschitz, so their class is compact under uniform convergence. This implies the thesis since both area and perimeter are continuous. \square

The proof of Proposition 3.1 above will be easily obtained by the following technical result.

Lemma 3.4. *Let $\bar{\theta} \in (0, \pi/2)$ and $\tau \in [0, \tan \bar{\theta}]$. Let $c_{\tau, \bar{\theta}} : [0, \pi] \rightarrow \mathbb{R}$ be defined as*

$$c_{\tau, \bar{\theta}}(\theta) := \begin{cases} -\tan\left(\theta + \arctan \tau\right) & \text{for } 0 \leq \theta \leq \frac{\bar{\theta} - \arctan \tau}{2}, \\ \tan\left(\theta - \bar{\theta}\right) & \text{for } \frac{\bar{\theta} - \arctan \tau}{2} \leq \theta \leq \frac{\pi}{2}, \\ \tan\left(\pi - \bar{\theta} - \theta\right) & \text{for } \frac{\pi}{2} \leq \theta \leq \pi - \frac{\bar{\theta} + \arctan \tau}{2}, \\ \tan\left(\theta - \pi + \arctan \tau\right) & \text{for } \pi - \frac{\bar{\theta} + \arctan \tau}{2} \leq \theta \leq \pi. \end{cases}$$

Then, the function

$$[0, \tan \bar{\theta}] \ni \tau \mapsto \int_0^\pi c_{\tau, \bar{\theta}}(\theta) \sin \theta d\theta$$

is strictly increasing.

Proof. To obtain the thesis, it is enough to fix $0 < \tau < \tan \bar{\theta}$ and to show that the difference

$$D := \int_0^\pi \left(c_{\tau+\varepsilon, \bar{\theta}}(\theta) - c_{\tau, \bar{\theta}}(\theta) \right) \sin \theta d\theta$$

is strictly positive for any sufficiently small $\varepsilon > 0$.

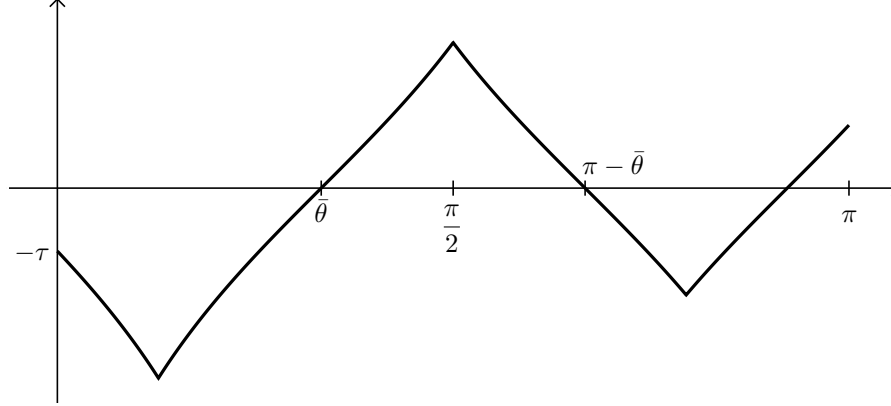


FIGURE 8. The graph of $c_{\tau, \bar{\theta}}$ with $\bar{\theta} = \pi/3$ and $\tau = 0.25$

A first order approximation of D gives

$$\begin{aligned} D = \int_0^{\frac{\bar{\theta} - \arctan \tau}{2}} \left((c_{\tau+\varepsilon, \bar{\theta}} - c_{\tau, \bar{\theta}})(\theta) \right) \sin \theta d\theta &+ \int_{\pi - \frac{\bar{\theta} + \arctan \tau}{2}}^{\pi - \arctan \tau} \left((c_{\tau+\varepsilon, \bar{\theta}} - c_{\tau, \bar{\theta}})(\theta) \right) \sin \theta d\theta \\ &+ \int_{\pi - \arctan \tau}^{\pi} \left((c_{\tau+\varepsilon, \bar{\theta}} - c_{\tau, \bar{\theta}})(\theta) \right) \sin \theta d\theta + o(\varepsilon), \end{aligned}$$

where the first integral is strictly negative, while the second one and third one are strictly positive. Thus, to prove that D is strictly positive, it is enough to show that the sum of the first two integrals above is non negative and since they are both evaluated on intervals of the same length, this will follow if we show that

$$\left((c_{\tau+\varepsilon, \bar{\theta}} - c_{\tau, \bar{\theta}})(\theta) \right) \sin \theta + \left((c_{\tau+\varepsilon, \bar{\theta}} - c_{\tau, \bar{\theta}})(\pi - \arctan \tau - \theta) \right) \sin (\pi - \arctan \tau - \theta) > 0,$$

for all $0 \leq \theta \leq (\bar{\theta} - \arctan \tau)/2$. An immediate calculation gives that the above inequality can be rewritten, up to the first order, as

$$-\frac{\varepsilon}{1 + \tau^2} \left(\frac{1}{\cos^2(\theta + \arctan \tau)} \right) \sin \theta + \frac{\varepsilon}{1 + \tau^2} \left(\frac{1}{\cos^2 \theta} \right) \sin (\pi - \arctan \tau - \theta) > 0,$$

which is in turn equivalent to

$$\frac{\cos^2(\theta + \arctan \tau)}{\cos^2 \theta} > \frac{\sin \theta}{\sin(\theta + \arctan \tau)} \quad \forall 0 \leq \theta \leq \frac{\bar{\theta} - \arctan \tau}{2}.$$

Notice that when θ increases the left ratio decreases while the right one increases, so to prove this last inequality it is enough to check that it holds when $\theta = (\bar{\theta} - \arctan \tau)/2 =: \bar{\theta}/2 - \alpha$. In conclusion, the thesis is reduced to the inequality

$$\frac{\cos^2\left(\frac{\bar{\theta}}{2} + \alpha\right)}{\cos^2\left(\frac{\bar{\theta}}{2} - \alpha\right)} > \frac{\sin\left(\frac{\bar{\theta}}{2} - \alpha\right)}{\sin\left(\frac{\bar{\theta}}{2} + \alpha\right)}.$$

We omit the elementary verification of the inequality above. \square

Proof of Proposition 3.1. Let c be a function satisfying (2.9) and such that $\|c\|_{L^\infty} \geq \tan(\pi/6)$. Up to a rotation, we may assume that $c(\pi/2) = \tan(\pi/6)$. Moreover, up to a reflection, we can also assume that $\tau := c(\pi) \geq 0$. Let us observe now that $c \geq c_{\tau, \pi/3}$ pointwise in $[0, \pi]$. In fact, from the third equation in (2.9) we have that $|c'| \leq 1 + c^2$, so that

$$\begin{aligned} c(\pi/2) = \tan(\pi/6) &\implies c(\theta) \geq \tan\left(\frac{2}{3}\pi - \theta\right) \quad \forall \theta \geq \frac{\pi}{2}, \\ c(\pi) = \tau &\implies c(\theta) \geq \tan\left(\theta - \pi + \arctan \tau\right) \quad \forall \theta \leq \pi. \end{aligned}$$

This proves that $c \geq c_{\tau, \pi/3}$ in $[\pi/2, \pi]$, and the proof in $[0, \pi/2]$ is similar. Since $\sin \theta \geq 0$ in $[0, \pi]$, from the inequality $c \geq c_{\tau, \pi/3}$ we get that

$$0 = \int_0^\pi c(\theta) \sin \theta \, d\theta \geq \int_0^\pi c_{\tau, \pi/3}(\theta) \sin \theta \, d\theta \geq \int_0^\pi c_{0, \pi/3}(\theta) \sin \theta \, d\theta = 0. \quad (3.2)$$

Notice that the first equality is due to (2.9) and the second inequality is given by Lemma 3.4. Concerning the last equality, it is easy to obtain by observing that $c_{0, \pi/3}$ (which, by the way, coincides with the function \hat{c}) is anti-periodic with period $\pi/3$ and, therefore, one has

$$\int_0^\pi c_{0, \pi/3}(\theta) \sin \theta \, d\theta = \int_0^{\pi/3} c_{0, \pi/3}(\theta) \left(\sin \theta - \sin\left(\theta + \frac{\pi}{3}\right) + \sin\left(\theta + \frac{2}{3}\pi\right) \right) d\theta = 0.$$

Hence, the two inequalities in (3.2) are indeed equalities and, using again Lemma 3.4, this implies that $c = c_{\tau, \pi/3} = c_{0, \pi/3} = \hat{c}$. This concludes the proof. \square

Remark 3.5. Notice that all the functions $c_{\tau, \bar{\theta}}$ defined in Lemma 3.4 coincide in $[\bar{\theta}, \pi - \bar{\theta}]$. Hence, arguing as in Proposition 3.1, by Lemma 3.4 we deduce that, for any function $c : [0, \pi] \rightarrow \mathbb{R}$ with

$$|c'| \leq 1 + c^2, \quad c(0) = -c(\pi), \quad c(\bar{\theta}) = c(\pi - \bar{\theta}) = 0,$$

it is

$$\int_{[0, \bar{\theta}] \cup [\pi - \bar{\theta}, \pi]} c(\theta) \sin \theta \, d\theta \geq \int_{[0, \bar{\theta}] \cup [\pi - \bar{\theta}, \pi]} c_{\tau, \bar{\theta}}(\theta) \sin \theta \, d\theta \geq \int_{[0, \bar{\theta}] \cup [\pi - \bar{\theta}, \pi]} c_{0, \bar{\theta}}(\theta) \sin \theta \, d\theta,$$

where $\tau = |c(\pi)|$.

We show now another consequence of Lemma 3.4, which will be used in Section 4.

Lemma 3.6 (An L^∞ estimate in large intervals). *Let $0 \leq \bar{\theta} < \pi/3$, and let $c : [0, \pi] \rightarrow \mathbb{R}$ be a Lipschitz function such that*

$$\begin{aligned} |c'| &\leq 1 + c^2, & \int_0^\pi c(\theta) \sin \theta \, d\theta &\leq 0, & c(0) &= -c(\pi), \\ c(\bar{\theta}) &= c(\pi - \bar{\theta}) = 0, & c &\geq 0 \text{ in } I, \end{aligned} \quad (3.3)$$

where $I = [\bar{\theta}, \pi - \bar{\theta}]$. Then,

$$\|c\|_{L^\infty(I)} < \tan(\bar{\theta}/2).$$

Proof. By contradiction, assume the existence of $\hat{\theta} \in (\bar{\theta}, \pi - \bar{\theta})$ such that $c(\hat{\theta}) = \tan(\bar{\theta}/2)$. Observe that it must be $\hat{\theta} \in [3\bar{\theta}/2, \pi - 3\bar{\theta}/2]$, and that the pointwise inequality

$$c(\theta) \geq \tilde{c}(\theta) := \chi_J(\theta) \tan(\text{dist}(\theta, \partial J))$$

holds, being $J = (\hat{\theta} - \bar{\theta}/2, \hat{\theta} + \bar{\theta}/2)$. We claim now that

$$\int_0^\pi c(\theta) \sin \theta \, d\theta \geq \int_0^\pi c^*(\theta) \sin \theta \, d\theta, \quad (3.4)$$

where $c^* : [0, \pi] \rightarrow \mathbb{R}$ is defined by

$$c^*(\theta) := \begin{cases} -\tan \theta & \text{for } 0 \leq \theta \leq \frac{\bar{\theta}}{2}, \\ \tan(\theta - \bar{\theta}) & \text{for } \frac{\bar{\theta}}{2} \leq \theta \leq \frac{3}{2}\bar{\theta}, \\ 0 & \text{for } \frac{3}{2}\bar{\theta} \leq \theta \leq \frac{\pi}{2}, \end{cases}$$

and by the relation $c^*(\theta) = c^*(\pi - \theta)$. The functions \tilde{c} and c^* are shown in Figure 9. In fact,

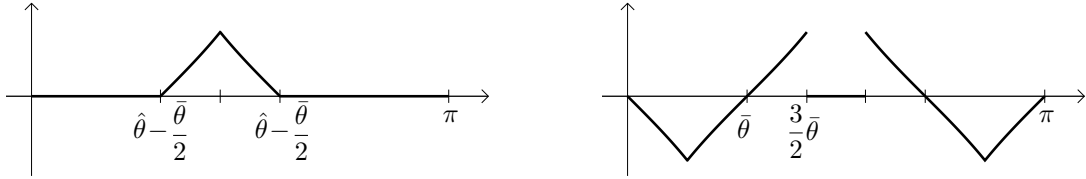


FIGURE 9. The functions \tilde{c} (left) and c^* (right)

assuming for simplicity that $\hat{\theta} \leq \pi/2$ —which is true up to a reflection—one has

$$\begin{aligned} \int_{\bar{\theta}}^{\pi - \bar{\theta}} c(\theta) \sin \theta \, d\theta &\geq \int_{\bar{\theta}}^{\pi - \bar{\theta}} \tilde{c}(\theta) \sin \theta \, d\theta \geq 2 \int_{\hat{\theta} - \bar{\theta}/2}^{\hat{\theta}} \tilde{c}(\theta) \sin \theta \, d\theta \geq 2 \int_{\bar{\theta}}^{3\bar{\theta}/2} c^*(\theta) \sin \theta \, d\theta \\ &= \int_{\bar{\theta}}^{\pi - \bar{\theta}} c^*(\theta) \sin \theta \, d\theta, \end{aligned}$$

where the first inequality is due to the pointwise estimate $c \geq \tilde{c}$. Hence, (3.4) follows directly by Remark 3.5. The proof will be then completed once we show that

$$\int_0^\pi c^*(\theta) \sin \theta \, d\theta > 0. \quad (3.5)$$

To this aim we first notice that, for any $0 < \lambda < 1$, the function

$$\varphi \mapsto \frac{\sin(\lambda\varphi)}{\sin\varphi}$$

is strictly increasing in $[0, \pi/2]$. Therefore, being $\bar{\theta} < \pi/3$, one has

$$\sin\left(\frac{3\bar{\theta}}{\pi}\varphi\right) < \alpha \sin\varphi \quad \forall \varphi \in \left(0, \frac{\pi}{3}\right), \quad \sin\left(\frac{3\bar{\theta}}{\pi}\varphi\right) > \alpha \sin\varphi \quad \forall \varphi \in \left(\frac{\pi}{3}, \frac{\pi}{2}\right),$$

where $\alpha = \sin(\bar{\theta})/\sin(\pi/3)$. We now obtain (3.5), thus concluding the proof, since

$$\begin{aligned} \int_0^\pi c^*(\theta) \sin\theta \, d\theta &= 2 \int_0^{\frac{3\bar{\theta}}{2}} c^*(\theta) \sin\theta \, d\theta = \frac{6\bar{\theta}}{\pi} \int_0^{\pi/2} c^*\left(\frac{3\bar{\theta}}{\pi}\varphi\right) \sin\left(\frac{3\bar{\theta}}{\pi}\varphi\right) d\varphi \\ &> \frac{6\bar{\theta}}{\pi} \alpha \int_0^{\pi/2} c^*\left(\frac{3\bar{\theta}}{\pi}\varphi\right) \sin\varphi \, d\varphi = \frac{3\bar{\theta}}{\pi} \alpha \int_0^\pi c^*\left(\frac{3\bar{\theta}}{\pi} \min(\varphi, \pi - \varphi)\right) \sin\varphi \, d\varphi = 0, \end{aligned}$$

where the last equality holds being

$$\varphi \mapsto c^*\left(\frac{3\bar{\theta}}{\pi} \min(\varphi, \pi - \varphi)\right)$$

anti-periodic with period $\pi/3$. □

Now, our task is to show that the optimal function for the area functional provided by Corollary 3.3 coincides with our candidate \hat{c} . To this aim, the standard strategy would be to argue by contradiction, assuming that the maximum of \mathfrak{F} is attained at some function $\bar{c} \neq \hat{c}$ and finding a suitable competitor of \bar{c} for which \mathfrak{F} is strictly larger. However, in our case this strategy presents a serious difficulty due to the fact that condition (2.9) is not very flexible. Indeed, it is quite hard to build a competitor to an admissible function by making a small variation in such a way to keep the second constraint in (2.9). To overcome this difficulty, we will show that \hat{c} maximizes (2.22) in a larger and less rigid class of functions. This will be done by using the full strength of the L^∞ estimate (3.1).

Definition 3.7. *A function $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ such that $c(\theta + \pi) = -c(\theta)$ for all $\theta \in \mathbb{R}$ will be said weakly admissible if*

$$|c'(\theta)| \leq 1 + c^2(\theta) \quad \text{and} \quad |c(\theta)| \leq \tan\left(\frac{\pi}{6}\right) \quad \forall 0 \leq \theta \leq 2\pi.$$

For any weakly admissible function c , the Fourier coefficients are defined as in (2.19).

Thanks to Proposition 3.1, it is obvious that any admissible function, that is, any function satisfying (2.9), is weakly admissible, but it is also clear that the class of weakly admissible functions is wider and more stable. Notice also that to weakly admissible functions which are not admissible do not correspond any Zindler set. Moreover, since also the weakly admissible functions are uniformly Lipschitz by definition, as in Corollary 3.3 we have the existence of a weakly admissible function maximizing \mathfrak{F} . As anticipated in the Introduction, Theorem 2 will be obtained as a particular case of the following more general result.

Theorem 4. *The problem of maximizing \mathfrak{F} among weakly admissible functions admits \hat{c} as unique solution, up to a rotation.*

It is important to emphasize that if c is a weakly admissible function and A_n, B_n are its Fourier coefficients, there is no reason why A_1 and B_1 should be 0 (while of course A_n and B_n are 0 for all even n). Hence, the Fourier expansion (2.18) does not hold in general for a weakly admissible function. Nevertheless, notice that in Theorem 4 we consider the problem of maximizing \mathfrak{F} as defined by the series (2.22), which adds up the terms with odd $n \geq 3$. In other words, a weakly admissible function may well have non null coefficients A_1, B_1 , but these coefficients do not affect the value of \mathfrak{F} .

We conclude this section by collecting a couple of simple but useful estimates to be used later.

Lemma 3.8 (Some estimates). *For any weakly admissible function c , and for any odd $n \geq 3$, one has*

$$\sqrt{A_n^2 + B_n^2} \leq \frac{8}{3n}. \quad (3.6)$$

Moreover, if c realizes the maximum of (2.22), then it is also

$$\sqrt{A_3^2 + B_3^2} \geq \alpha > 0.598, \quad (3.7)$$

where α is the constant defined in (3.8).

Proof. Let us start with (3.6). Keeping in mind that

$$|c'(\theta)| \leq 1 + c^2(\theta) \leq 1 + \tan^2\left(\frac{\pi}{6}\right) = \frac{4}{3},$$

and denoting, as in (2.20), by $\bar{\varphi} = \bar{\varphi}(c, n)$ the angle such that

$$\sqrt{A_n^2 + B_n^2} = \int_0^\pi c(\theta) \sin(n\theta + \bar{\varphi}) d\theta,$$

it is immediate to calculate

$$\begin{aligned} \sqrt{A_n^2 + B_n^2} &= \int_0^\pi c(\theta) \sin(n\theta + \bar{\varphi}) d\theta = \int_0^\pi c'(\theta) \frac{\cos(n\theta + \bar{\varphi})}{n} d\theta \\ &\leq \frac{4}{3n} \int_0^\pi |\cos(n\theta + \bar{\varphi})| d\theta = \frac{8}{3n}. \end{aligned}$$

Hence (3.6) is proved. To get the lower estimate (3.7), it is enough to compare an optimal function c with our function \hat{c} , whose Fourier coefficients we denote by \hat{A}_n, \hat{B}_n . Indeed, recalling (3.6), one has

$$\begin{aligned} \frac{A_3^2 + B_3^2}{8} &= \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{A_n^2 + B_n^2}{n^2 - 1} - \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{A_n^2 + B_n^2}{n^2 - 1} \geq \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{\hat{A}_n^2 + \hat{B}_n^2}{n^2 - 1} - \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{64}{9n^2(n^2 - 1)} \\ &\geq \frac{\hat{A}_3^2}{8} - \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{64}{9n^2(n^2 - 1)}. \end{aligned}$$

This inequality proves (3.7) with

$$\alpha := \left(\widehat{A}_3^2 - \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{512}{9n^2(n^2-1)} \right)^{\frac{1}{2}}. \quad (3.8)$$

□

3.2. The existence of three “special” angles. This section is entirely devoted to show Lemma 3.9 below, which will immediately imply Theorem 4 and then Theorem 2. Up to a rotation we may assume that $A_3 > 0$ and $B_3 = 0$. We have then the following result.

Lemma 3.9 (Three “special” angles). *Let c be a weakly admissible function maximizing \mathfrak{F} , and assume that $A_3 > 0$ and $B_3 = 0$. Then there exist three angles $\theta_1 \in [0, \pi/3]$, $\theta_2 \in [\pi/3, 2\pi/3]$ and $\theta_3 \in [2\pi/3, \pi]$ such that*

$$c'(\theta) = \begin{cases} 1 + c^2(\theta) & \text{in } [0, \theta_1], \\ -(1 + c^2(\theta)) & \text{in } [\theta_1, \theta_2], \\ 1 + c^2(\theta) & \text{in } [\theta_2, \theta_3], \\ -(1 + c^2(\theta)) & \text{in } [\theta_3, \pi]. \end{cases}$$

Proof. We will divide the proof in three steps.

Step I. *Behavior of c near $\pi/6$.*

Let us define $3\hat{\theta} = \arcsin(0.55) \approx 33^\circ$. In this first step we will show the existence of an angle $\theta_1 \in [\hat{\theta}, \pi/3 - \hat{\theta}]$ such that

$$\begin{aligned} c'(\theta) &= 1 + c^2(\theta) & \forall \hat{\theta} < \theta < \theta_1, \\ c'(\theta) &= -1 - c^2(\theta) & \forall \theta_1 < \theta < \frac{\pi}{3} - \hat{\theta}. \end{aligned} \quad (3.9)$$

Assume that this is not true. Then there exists $\bar{\theta} \in [\hat{\theta}, \pi/3 - \hat{\theta}]$ such that the right derivative of c at $\bar{\theta}$ is not $-1 - c^2(\bar{\theta})$ (that is, the right derivative either does not exist or it is strictly bigger than $-1 - c^2(\bar{\theta})$), and the left derivative of c at $\bar{\theta}$ is not $1 + c^2(\bar{\theta})$ (that is, again, the left derivative either does not exist or it is strictly smaller than $1 + c^2(\bar{\theta})$). It is then possible, for arbitrary small $\varepsilon > 0$, to find a function $\tilde{c} \geq c$ on $[0, \pi]$ such that the set $\{\tilde{c} > c\}$ is an arbitrary small interval around $\bar{\theta}$ and

$$\int_0^\pi \tilde{c}(\theta) - c(\theta) d\theta = \varepsilon, \quad |\tilde{c}'| \leq 1 + \tilde{c}^2.$$

The function \tilde{c} can be simply defined by fixing a very small positive $\delta = \delta(\varepsilon)$ and then setting, for $\theta \in [\hat{\theta}, \pi/3 - \hat{\theta}]$,

$$\gamma(\theta) := \begin{cases} \tan\left(\theta - \bar{\theta} + \arctan(c(\bar{\theta}) + \delta)\right) & \text{for } \theta \leq \bar{\theta}, \\ \tan\left(\bar{\theta} - \theta + \arctan(c(\bar{\theta}) + \delta)\right) & \text{for } \theta \geq \bar{\theta}, \end{cases} \quad \tilde{c} := c \vee \gamma.$$

We extend \tilde{c} to $[0, \pi] \setminus [\hat{\theta}, \pi/3 - \hat{\theta}]$ as $\tilde{c}(\theta) = c(\theta)$, then to $[\pi, 2\pi]$ by setting $\tilde{c}(\theta + \pi) = -\tilde{c}(\theta)$ for $\theta \in [0, \pi]$. The functions c and \tilde{c} are shown in Figure 10 (the smaller function is c , the bigger \tilde{c} ,

and the area of the dark region is ε). Notice that, for δ small, \tilde{c} is a weakly admissible function. To this aim, observe that the conditions $\tilde{c}(\theta + \pi) = -\tilde{c}(\theta)$ and the inequality $|\tilde{c}'(\theta)| \leq 1 + \tilde{c}^2(\theta)$ are satisfied by construction, so we have only to verify the L^∞ estimate $|\tilde{c}(\theta)| \leq \tan(\pi/6)$. Since we may assume $c(\bar{\theta}) < \tan(\pi/6)$ (otherwise by Proposition 3.1 we would have $c = \hat{c}$, thus concluding the proof), to get the L^∞ estimate for \tilde{c} it is enough to take $\delta = \|\tilde{c} - c\|_{L^\infty}$ sufficiently small. We claim that

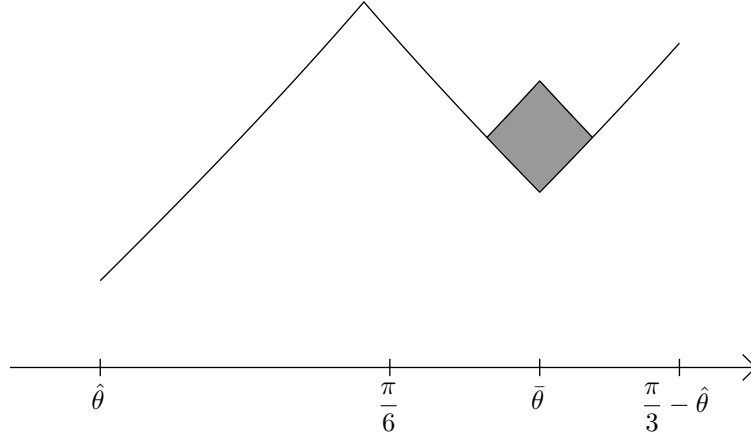


FIGURE 10. The functions c and \tilde{c} in Step I: the area of the dark region is ε

$$\mathfrak{F}(\tilde{c}) > \mathfrak{F}(c), \quad (3.10)$$

thus getting a contradiction to the optimality of c .

To show (3.10) we start by noticing that, denoting \tilde{A}_n, \tilde{B}_n the Fourier coefficients of \tilde{c} defined as in (2.19), one has

$$\tilde{A}_3 = A_3 + \varepsilon \sin(3\bar{\theta}) + o(\varepsilon), \quad \tilde{B}_3 = O(\varepsilon). \quad (3.11)$$

Since $A_3 > 0$ and $B_3 = 0$ by assumption, from (3.11) we deduce that the first term in the sum (2.22) defining $\mathfrak{F}(\tilde{c})$ is bigger than the first one in $\mathfrak{F}(c)$. Let us now show that the remaining terms are not much smaller than the corresponding ones in $\mathfrak{F}(c)$. Indeed, recalling (2.20) and choosing $\varphi_n \in \mathbb{S}^1$ such that

$$\sqrt{A_n^2 + B_n^2} = \int_0^\pi c(\theta) \sin(n\theta + \varphi_n) d\theta, \quad (3.12)$$

we can estimate $\sqrt{\tilde{A}_n^2 + \tilde{B}_n^2}$ from below as follows

$$\begin{aligned} \sqrt{\tilde{A}_n^2 + \tilde{B}_n^2} &= \sup_{\varphi \in \mathbb{S}^1} \int_0^\pi \tilde{c}(\theta) \sin(n\theta + \varphi) d\theta \geq \int_0^\pi \tilde{c}(\theta) \sin(n\theta + \varphi_n) d\theta \\ &= \int_0^\pi c(\theta) \sin(n\theta + \varphi_n) d\theta + \int_0^\pi (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta \\ &= \sqrt{A_n^2 + B_n^2} + \int_0^\pi (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta \\ &\geq \sqrt{A_n^2 + B_n^2} - \int_0^\pi \tilde{c}(\theta) - c(\theta) d\theta = \sqrt{A_n^2 + B_n^2} - \varepsilon. \end{aligned} \quad (3.13)$$

Thus, putting together (3.11) and (3.13), and recalling the estimates (3.6) and (3.7) proved in Lemma 3.8, we get

$$\begin{aligned}
\mathfrak{F}(\tilde{c}) - \mathfrak{F}(c) &= \sum_{\substack{n \text{ odd} \\ n \geq 3}} \frac{\tilde{A}_n^2 + \tilde{B}_n^2 - A_n^2 - B_n^2}{n^2 - 1} \geq \frac{A_3 \sin(3\bar{\theta})}{4} \varepsilon + \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{\tilde{A}_n^2 + \tilde{B}_n^2 - A_n^2 - B_n^2}{n^2 - 1} + o(\varepsilon) \\
&\geq \frac{A_3 \sin(3\bar{\theta})}{4} \varepsilon - 2\varepsilon \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{\sqrt{A_n^2 + B_n^2}}{n^2 - 1} + o(\varepsilon) \\
&\geq \left(\frac{\alpha \sin(3\bar{\theta})}{4} - \frac{16}{3} \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{1}{n(n^2 - 1)} \right) \varepsilon + o(\varepsilon),
\end{aligned}$$

where α is the constant defined in (3.8). An easy calculation shows that the quantity in brackets is positive whenever $\sin(3\bar{\theta}) > 0.542$, and this is in turn true since by construction $\sin(3\bar{\theta}) \geq \sin(3\hat{\theta}) = 0.55$. Therefore, provided we choose $\varepsilon \ll 1$, (3.10) holds and thus (3.9) follows by contradiction.

Step II. Behavior of c near 0.

Assume now that $c' = 1 + c^2$ on $[\hat{\theta}, \pi/6]$. We claim that in this case one has

$$c'(\theta) = 1 + c^2(\theta) \quad \forall -\hat{\theta} \leq \theta \leq \hat{\theta}. \quad (3.14)$$

Indeed, if this is not true, let θ_- be the biggest angle in $[-\hat{\theta}, \hat{\theta}]$ where the derivative is not $1 + c^2$, that is,

$$\theta_- = \sup \left\{ \eta < \hat{\theta} : c'(\theta) = 1 + c^2(\theta) \forall \eta \leq \theta \leq \hat{\theta} \right\}.$$

We are going again to find a competitor, namely a weakly admissible function \tilde{c} such that $\mathfrak{F}(\tilde{c}) > \mathfrak{F}(c)$. To this aim, fix a small $\varepsilon > 0$ and define $\tilde{c} : [-\pi/2, \pi/2] \rightarrow \mathbb{R}$ as

$$\tilde{c}(\theta) = \begin{cases} c(\theta) & \text{for } -\frac{\pi}{2} \leq x \leq -\hat{\theta}, \\ c(\theta) \vee \tan\left(\theta - \theta_- + \arctan(c(\theta_-)) + \varepsilon\right) & \text{for } -\hat{\theta} \leq x \leq \frac{\pi}{6} - \frac{\varepsilon}{2}, \\ \tan\left(-\theta - \theta_- + \arctan(c(\theta_-)) + \frac{\pi}{3}\right) & \text{for } \frac{\pi}{6} - \frac{\varepsilon}{2} \leq x \leq \frac{\pi}{6}, \\ c(\theta) & \text{for } \frac{\pi}{6} \leq x \leq \frac{\pi}{2}. \end{cases}$$

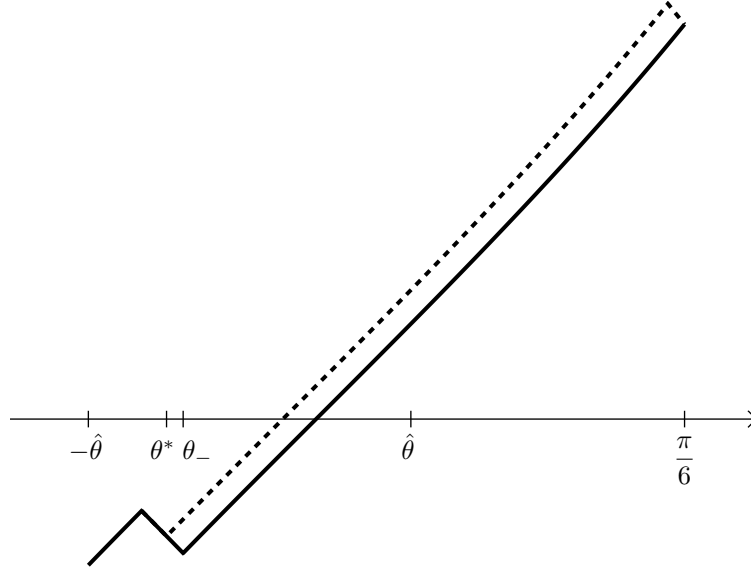
Notice that

$$\tilde{c}(\theta) = c(\theta + \varepsilon), \quad \forall \theta_- \leq \theta \leq \frac{\pi}{6} - \varepsilon. \quad (3.15)$$

Thus, recalling that $c' = 1 + c^2$ and using the L^∞ estimate (3.1), we immediately deduce

$$\varepsilon \leq \tilde{c}(\theta) - c(\theta) \leq \frac{4}{3} \varepsilon, \quad \forall \theta_- \leq \theta \leq \frac{\pi}{6} - \varepsilon. \quad (3.16)$$

By construction, $\tilde{c}(\theta) = c(\theta)$ for $\theta \geq \pi/6$ and, by the definition of θ_- , $\tilde{c}(\theta) = c(\theta)$ in $[-\pi/2, \theta^*]$, for some $\theta^* < \theta_-$ such that $\theta_- - \theta^* \rightarrow 0$ for $\varepsilon \rightarrow 0$. Moreover, as in (3.16) we have $0 \leq \tilde{c}(\theta) - c(\theta) \leq 4\varepsilon/3$ for $\theta \in (\theta^*, \theta_-)$. As in Step I, we extend \tilde{c} to the whole \mathbb{S}^1 by defining $c(\theta + \pi) = -c(\theta)$, and exactly as in Step I we have that \tilde{c} is a weakly admissible function if $\varepsilon \ll 1$. Figure 11 shows the functions c and \tilde{c} in the interval $[-\hat{\theta}, \pi/6]$.

FIGURE 11. The functions c (continuous) and \tilde{c} (dashed) in Step II

In order to show that $\mathfrak{F}(\tilde{c}) > \mathfrak{F}(c)$, thus proving (3.14) by contradiction, we need again to estimate the difference between $A_n^2 + B_n^2$ and $\tilde{A}_n^2 + \tilde{B}_n^2$.

Let us start with $n = 3$. From (3.16) we get

$$\begin{aligned} \tilde{A}_3 - A_3 &= \int_{\theta^*}^{\pi/6} \sin(3\theta) (\tilde{c}(\theta) - c(\theta)) d\theta \geq \varepsilon \int_{0 \vee \theta_-}^{\pi/6} \sin(3\theta) d\theta + \frac{4}{3} \varepsilon \int_{0 \wedge \theta_-}^0 \sin(3\theta) d\theta + o(\varepsilon) \\ &\geq \varepsilon \int_0^{\pi/6} \sin(3\theta) d\theta + \frac{4}{3} \varepsilon \int_{-\hat{\theta}}^0 \sin(3\theta) d\theta + O(\varepsilon^2) = \frac{\varepsilon}{9} (4 \cos(3\hat{\theta}) - 1) + o(\varepsilon), \end{aligned}$$

so that

$$\begin{aligned} \tilde{A}_3^2 + \tilde{B}_3^2 &\geq \tilde{A}_3^2 \geq A_3^2 + \frac{2}{9} A_3 (4 \cos(3\hat{\theta}) - 1) \varepsilon + o(\varepsilon) \\ &= A_3^2 + B_3^2 + \frac{2}{9} A_3 (4 \cos(3\hat{\theta}) - 1) \varepsilon + o(\varepsilon). \end{aligned} \tag{3.17}$$

Let us now consider the coefficients with $n \geq 5$. Defining φ_n as in (3.12) and arguing as before, we have

$$\begin{aligned} \sqrt{\tilde{A}_n^2 + \tilde{B}_n^2} &\geq \int_{-\pi/2}^{\pi/2} \tilde{c}(\theta) \sin(n\theta + \varphi_n) d\theta \\ &= \sqrt{A_n^2 + B_n^2} + \int_{\theta_-}^{\pi/6} (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta + o(\varepsilon). \end{aligned} \tag{3.18}$$

Divide the interval $[\theta_-, \pi/6]$ in subintervals where the sign of $\sin(n\theta + \varphi_n)$ is constant. Clearly, all these subintervals have length equal to π/n except possibly the first and the last one. Recall also that

$$\int_0^{\pi/n} \sin(n\theta) d\theta = \frac{2}{n}. \tag{3.19}$$

Using (3.16), a rough estimate from below of $\sqrt{\tilde{A}_n^2 + \tilde{B}_n^2} - \sqrt{A_n^2 + B_n^2}$ could be found by estimating $\tilde{c}(\theta) - c(\theta)$ with ε (resp. $4\varepsilon/3$) where $\sin(n\theta + \varphi_n)$ is positive (resp. negative). Unfortunately, this estimate is not sharp enough to conclude that $\mathfrak{F}(\tilde{c}) > \mathfrak{F}(c)$. Therefore, we need to perform more careful estimates.

We start with $n = 5, 7$. In this case one has $n(\hat{\theta} + \pi/6) \leq 2\pi$, so that inside $[\theta_-, \pi/6]$ the set where $\sin(n\theta + \varphi_n)$ is negative can be either a single interval of length up to π/n , or two intervals with sum of the lengths less than π/n . Thus, an estimate from below for $\tilde{A}_n^2 + \tilde{B}_n^2$ can be obtained by assuming that the worst possible case occurs, i.e., that inside $[\theta_-, \pi/6]$ there is an interval I of length π/n where $\sin(n\theta + \varphi_n)$ is negative and that in this interval $\tilde{c} - c$ attains the maximum possible value $4\varepsilon/3$. Therefore, recalling (3.18) and (3.19), we get the estimate

$$\sqrt{\tilde{A}_n^2 + \tilde{B}_n^2} - \sqrt{A_n^2 + B_n^2} \geq \int_I (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta + o(\varepsilon^2) \geq -\frac{8}{3n} \varepsilon + o(\varepsilon^2), \quad (3.20)$$

where we have neglected the positive integral over $[\theta_-, \pi/6] \setminus I$.

Finally, let us consider the case $n \geq 9$. The interval $J = [\theta_-, \pi/6]$ is divided in N subintervals J_i , $1 \leq i \leq N$, and to get an estimate of $\sqrt{\tilde{A}_n^2 + \tilde{B}_n^2}$ we consider again the worst possible case that occurs when N is odd and the sign of $\sin(n\theta + \varphi_n)$ is negative in J_i for odd i . As we said before, it would be too rough to estimate $\tilde{c} - c$ from below by ε in all J_i with even i and from above by $4\varepsilon/3$ in all J_i with odd i , since this would not be enough to establish inequality (3.10). To get a better estimate, recall that by (3.15) and by definition of θ_- it is $\tilde{c}(\theta) = c(\theta + \varepsilon)$ and $c'(\theta) = 1 + c^2(\theta)$ in J . Thus, for all $\theta \in J$ we have

$$\left| \tilde{c}(\theta) - c(\theta) - \varepsilon(1 + c^2(\theta)) \right| \leq \frac{4\sqrt{3}}{9} \varepsilon^2. \quad (3.21)$$

Let us now observe that c is increasing in J . Therefore, $1 + c^2$ cannot freely oscillate between 1 and $4/3$. Indeed, either $1 + c^2$ is monotone in the whole interval J (which happens if c has constant sign in J), or there exists $\bar{\eta} \in J$ such that $c(\bar{\eta}) = 0$, hence $1 + c^2$ is decreasing in $J \cap \{\theta \leq \bar{\eta}\}$ and increasing in $J \cap \{\theta \geq \bar{\eta}\}$. As a consequence, if i is an even number such that $1 + c^2$ is decreasing in $J_i \cup J_{i+1}$, from (3.21) we have

$$\int_{J_i \cup J_{i+1}} (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta \geq -\frac{4\sqrt{3}}{9} \varepsilon^2 |J_i \cup J_{i+1}|;$$

similarly, if i is even and $1 + c^2$ is increasing in $J_{i-1} \cup J_i$, we have again

$$\int_{J_{i-1} \cup J_i} (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta \geq -\frac{4\sqrt{3}}{9} \varepsilon^2 |J_{i-1} \cup J_i|.$$

Notice that the union of all the sets of the type $J_i \cup J_{i+1}$ or of the type $J_{i-1} \cup J_i$ considered above does not cover the whole interval J and so we still have to estimate the integral in the complement of this union with respect to J . Denote this remaining set by H . Notice that three possible cases may occur, namely that $1 + c^2$ is monotone in J , or $1 + c^2$ is not monotone in J and $\sin(n\bar{\eta} + \varphi_n) \geq 0$, or $1 + c^2$ is not monotone in J and $\sin(n\bar{\eta} + \varphi_n) \leq 0$. Correspondingly, the worst possible situations are:

- H is a single interval J_i , containing one endpoint of J , with i odd;

- H is the union of two intervals J_i with i odd, each of them containing one endpoint of J , and one interval J_j with j even, containing $\bar{\eta}$;
- H is the union of two intervals J_i with i odd, each of them containing one endpoint of J , a third interval J_i with i odd, containing $\bar{\eta}$, and two intervals J_j adjacent to the latter, with j even.

Estimating $\tilde{c}(\theta) - c(\theta)$ by ε in the intervals J_i with i even and by $4\varepsilon/3$ in the intervals J_j with j odd (which is of course admissible), we get in all the three cases above that

$$\begin{aligned} \int_J (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta &\geq \int_H (\tilde{c}(\theta) - c(\theta)) \sin(n\theta + \varphi_n) d\theta - \frac{4\sqrt{3}}{9}\varepsilon^2|J| \\ &\geq -\frac{4}{n}\varepsilon - \frac{4\sqrt{3}}{9}\varepsilon^2|J|. \end{aligned}$$

By this estimate and (3.18) we then obtain that for all $n \geq 9$ one has

$$\sqrt{\tilde{A}_n^2 + \tilde{B}_n^2} - \sqrt{A_n^2 + B_n^2} \geq -\frac{4}{n}\varepsilon - \frac{4\sqrt{3}}{9}\varepsilon^2|J|. \quad (3.22)$$

This estimate is worse than (3.20), valid for $n = 5, 7$, but still enough to get the inequality $\mathfrak{F}(\tilde{c}) > \mathfrak{F}(c)$ and thus to achieve the proof of (3.14) by contradiction. Indeed, from (3.20) and (3.22), recalling the estimate (3.6), we get

$$\begin{aligned} \sum_{\substack{n \text{ odd} \\ n \geq 5}} \frac{\tilde{A}_n^2 + \tilde{B}_n^2 - A_n^2 - B_n^2}{n^2 - 1} &\geq - \sum_{n=5,7} \frac{16\varepsilon}{3n} \frac{\sqrt{A_n^2 + B_n^2}}{n^2 - 1} - \sum_{\substack{n \text{ odd} \\ n \geq 9}} \frac{8\varepsilon}{n} \frac{\sqrt{A_n^2 + B_n^2}}{n^2 - 1} + O(\varepsilon^2) \\ &\geq -\frac{32\varepsilon}{9} \left(\sum_{n=5,7} \frac{4}{n^2(n^2 - 1)} + \sum_{\substack{n \text{ odd} \\ n \geq 9}} \frac{6}{n^2(n^2 - 1)} \right) + O(\varepsilon^2). \end{aligned}$$

On the other hand, using (3.17) and the estimate (3.7),

$$\frac{\tilde{A}_3^2 + \tilde{B}_3^2 - A_3^2 - B_3^2}{8} \geq \frac{\alpha}{36} (4 \cos(3\hat{\theta}) - 1) \varepsilon + O(\varepsilon^2),$$

where α is as in (3.8). Finally, we can conclude that $\mathfrak{F}(\tilde{c}) > \mathfrak{F}(c)$, at least for ε small enough, provided

$$\frac{\alpha}{36} (4 \cos(3\hat{\theta}) - 1) > \frac{32}{9} \left(\sum_{n=5,7} \frac{4}{n^2(n^2 - 1)} + \sum_{\substack{n \text{ odd} \\ n \geq 9}} \frac{6}{n^2(n^2 - 1)} \right),$$

which in turn is true since a simple calculation reduces it to the weaker inequality

$$38.9 \cdot 10^{-3} > 36.6 \cdot 10^{-3}.$$

Step III. Conclusion.

Arguing as in Step I we may conclude that there exist three angles $\theta_1 \in [\hat{\theta}, \pi/3 - \hat{\theta}]$, $\theta_2 \in$

$[\pi/3 + \hat{\theta}, 2\pi/3 - \hat{\theta}]$ and $\theta_3 \in [2\pi/3 + \hat{\theta}, \pi - \hat{\theta}]$, such that

$$c'(\theta) = \begin{cases} 1 + c^2(\theta) & \text{in } [\hat{\theta}, \theta_1], \\ -(1 + c^2(\theta)) & \text{in } [\theta_1, \pi/3 - \hat{\theta}] \cup [\pi/3 + \hat{\theta}, \theta_2], \\ 1 + c^2(\theta) & \text{in } [\theta_2, 2\pi/3 - \hat{\theta}] \cup [2\pi/3 + \hat{\theta}, \theta_3], \\ -(1 + c^2(\theta)) & \text{in } [\theta_3, \pi - \hat{\theta}]. \end{cases} \quad (3.23)$$

If we define \tilde{c} to be the reflection of c with respect to $\pi/6$, i.e. $\tilde{c}(\theta) = c(\pi/3 - \theta)$, it is clear that \tilde{c} is still a weakly admissible, optimal function, whose Fourier coefficients \tilde{A}_3 and \tilde{B}_3 satisfy $\tilde{A}_3 = A_3 > 0$, $\tilde{B}_3 = -B_3 = 0$. With this observation in mind, it is clear that we may assume with no loss of generality that $\theta_1 \geq \pi/6$. Hence, by Step II we have $c'(\theta) = 1 + c^2(\theta)$ in $[\theta_3 - \pi, \theta_1]$ (see (3.23) and recall that $c(\pi + \theta) = -c(\theta)$).

Consider now θ_2 . There are two possible cases.

If $\theta_2 \geq \pi/2$, the obvious variant of Step II in the interval $[\pi/3, 2\pi/3]$ yields that $c'(\theta) = -(1 + c^2(\theta))$ in $[\theta_1, \theta_2]$. Since $c(\theta)$ in this interval coincides with a suitable translation of $\tan \theta$, by the L^∞ estimate (3.1) we have $\theta_2 - \theta_1 \leq \pi/3$, and for the same reason $\theta_1 - (\theta_3 - \pi) \leq \pi/3$. Therefore, $\theta_3 - \theta_2 \geq \pi/3$ and then, since $\theta_2 \geq \pi/2$, we have $\theta_3 \geq 5\pi/6$. Then, Step II again gives $c'(\theta) = 1 + c^2(\theta)$ in $[\theta_2, \theta_3]$, thus concluding the proof.

Otherwise, if $\theta_2 < \pi/2$, arguing exactly as before we have $c'(\theta) = 1 + c^2(\theta)$ in $[\theta_2, \theta_3]$. Then, the L^∞ estimate will now give $\theta_1 - (\theta_3 - \pi) \leq \pi/3$ and $\theta_3 - \theta_2 \leq \pi/3$. Therefore, $\theta_2 - \theta_1 \geq \pi/3$, which contradicts the assumption $\theta_1 \geq \pi/6$ and $\theta_2 < \pi/2$. \square

Proof of Theorem 4. Let c be a weakly admissible, optimal function. Up to a rotation, we may assume that $A_3 > 0$ and $B_3 = 0$. Let then θ_1 , θ_2 and θ_3 be as in Lemma 3.9. Using the L^∞ estimate (3.1) as in Step III of the Lemma above we have that

$$\theta_2 - \theta_1 \leq \frac{\pi}{3}, \quad \theta_3 - \theta_2 \leq \frac{\pi}{3}, \quad (\pi + \theta_1) - \theta_3 \leq \frac{\pi}{3},$$

so that the three inequalities must necessarily be equalities. This concludes the proof of the Theorem. \square

4. PROOF OF THEOREM 3

In this section we prove Theorem 3. To do so, we will take an optimal function \bar{c} , that is now a function maximizing the perimeter (such a function exists by Corollary 3.3), and we consider the different intervals in which it has constant sign. In particular, the essential point is to show that there are exactly three of such intervals, each of them of length $\pi/3$. In fact, it is quite reasonable that short intervals are not convenient to maximize the perimeter, which is the integral of $\sqrt{1 + c^2}$, due to the constraint $|c'| \leq 1 + c^2$. The argument to exclude large intervals is much more delicate, and ultimately relies on the L^∞ estimate Lemma 3.6. In Section 4.1 below we collect some preliminary results and tools, then in Sections 4.2, 4.3 and 4.4 we consider the cases where there are no intervals strictly larger than $\pi/3$, just one interval, or two intervals, respectively. The proof of the result will be then an immediate consequence.

4.1. Preliminary results. Let us start with some notation. Given any function $c : [0, \pi] \rightarrow \mathbb{R}$ and any Borel subset $I \subseteq [0, \pi]$, we denote by

$$P(c) := \int_0^\pi \sqrt{1 + c^2(\theta)} d\theta, \quad P(c; I) = \int_I \sqrt{1 + c^2(\theta)} d\theta. \quad (4.1)$$

In particular notice that, if $c : \mathbb{S}^1 \rightarrow \mathbb{R}$ is an admissible function, and C is the corresponding Zindler set, then by formula (2.13) we have

$$\text{Perimeter}(C) = 2P(c),$$

so that the problem of maximizing the perimeter in the class of convex Zindler sets with bisecting chords of length 2 is equivalent to maximizing $P(c)$ among all admissible functions c –i.e., satisfying (2.9).

We give now a useful definition, namely we set $\mathfrak{M} : [0, \pi/3] \rightarrow \mathbb{R}$ as

$$\mathfrak{M}(x) := 2 \ln \left(\frac{1 + \sin(x/2)}{\cos(x/2)} \right). \quad (4.2)$$

First of all, one may easily observe that \mathfrak{M} is convex and

$$\mathfrak{M}'(x) = \frac{1}{\cos(x/2)}. \quad (4.3)$$

The interest of this function is due to the observation that $\mathfrak{M}(x)$ is the maximum possible perimeter that an admissible function may have in an interval of length x as shown below.

Lemma 4.1 (Characterization of \mathfrak{M}). *Let $c : [0, \pi] \rightarrow \mathbb{R}$ be a function such that $|c'| \leq 1 + c^2$, and let $I = (a, b) \subseteq [0, \pi]$ be an interval of length at most $\pi/3$ for which $c(a) = c(b) = 0$. Then*

$$P(c; I) \leq \mathfrak{M}(|I|), \quad (4.4)$$

and the equality holds if and only if

$$c(\theta) = \pm \tan(\text{dist}(\theta, \partial I)) \quad \forall \theta \in I. \quad (4.5)$$

Proof. Keeping in mind formula (4.1) and the constraint $|c'| \leq 1 + c^2$, it is clear that $P(c; I)$ is maximal if and only if c coincides with (4.5) inside I . The fact that the perimeter in that case is exactly $\mathfrak{M}(|I|)$ is then an elementary calculation. \square

We present now a technical result whose claim may appear obscure, but which in fact gives a very strong information on the possible structure of maximizing functions, as it will be clear in the proof of Lemma 4.3 below (see Step II).

Lemma 4.2. *Let $\pi/6 \leq a < b < \pi$, and let $c : [0, \pi] \rightarrow \mathbb{R}$ be a function with $\|c\|_{L^\infty} \leq \tan(\pi/6)$, $c > 0$ in $[a, b]$, $c' = -(1 + c^2)$ in (a, b) and $c' = 1 + c^2$ in a left neighborhood of a and in a right neighborhood of b . Then, for any ε such that $|\varepsilon|$ is small enough, there exist a continuous function $c_\varepsilon : [0, \pi] \rightarrow \mathbb{R}$ and an interval $(a_\varepsilon, b_\varepsilon)$, such that $a_\varepsilon \rightarrow a$ and $b_\varepsilon \rightarrow b$ when $\varepsilon \rightarrow 0$, and the following holds. The function c_ε coincides with c in $[0, \pi] \setminus ((a_\varepsilon, b_\varepsilon) \cup (a, b))$, and*

$$c'_\varepsilon = -(1 + c_\varepsilon^2) \text{ in } (a_\varepsilon, b_\varepsilon), \quad c'_\varepsilon = 1 + c_\varepsilon^2 \text{ in } (a, b) \setminus (a_\varepsilon, b_\varepsilon);$$

$$\int_0^\pi c_\varepsilon(\theta) \sin \theta d\theta = \int_0^\pi c(\theta) \sin \theta d\theta + \varepsilon; \quad (4.6)$$

the Taylor expansion

$$P(c_\varepsilon) = P(c) + A\varepsilon + B\varepsilon^2 + o(\varepsilon^2) \quad (4.7)$$

holds with two constants A and B not depending on ε , and with $B > 0$.

Proof. Given a small ε , we take a constant $\delta = \delta(\varepsilon)$, to be specified later, and we define the function c_ε as the “translation” by δ of the function c , as in Figure 12. To be more precise, if $\varepsilon > 0$ as in the figure, then $\delta > 0$ and c_ε equals c outside $(a, b + \delta/2)$ and it is $c'_\varepsilon = 1 + c_\varepsilon^2$ in $(a, a + \delta/2)$ and $c'_\varepsilon = -(1 + c_\varepsilon^2)$ in $(a + \delta/2, b + \delta/2)$. On the other hand, if $\varepsilon < 0$ then the situation is completely symmetric, namely $\delta < 0$, c_ε equals c outside $(a + \delta/2, b)$ and it is $c'_\varepsilon = -(1 + c_\varepsilon^2)$ in $(a + \delta/2, b + \delta/2)$ and $c'_\varepsilon = 1 + c_\varepsilon^2$ in $(b + \delta/2, b)$. Notice that

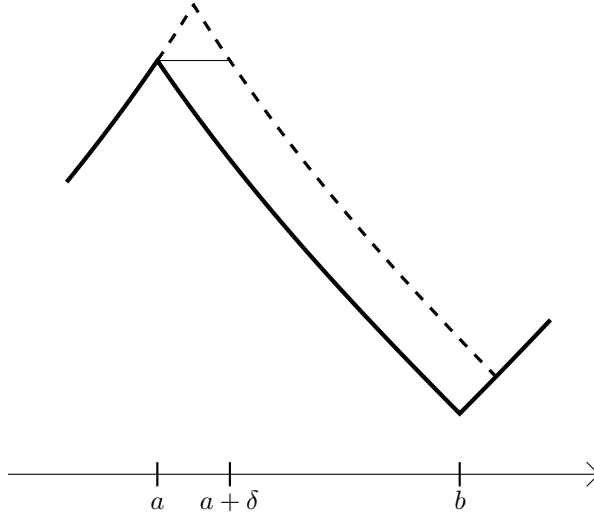


FIGURE 12. The functions c (continuous) and c_ε (dashed) in Lemma 4.2

$$c_\varepsilon(\theta) = c(\theta - \delta) \quad \forall \theta \in \left(a + \delta, b + \frac{\delta}{2}\right) \quad (4.8)$$

if $\varepsilon > 0$, while

$$c_\varepsilon(\theta) = c(\theta - \delta) \quad \forall \theta \in \left(a + \frac{\delta}{2}, b + \delta\right)$$

if $\varepsilon < 0$. To get the thesis, we have thus to select δ in such a way that (4.6) holds, and then check that (4.7) is true with a strictly positive constant B .

Step I. The relation between δ and ε .

By the definition of c_ε , it is clear that

$$\int_0^\pi c_\varepsilon(\theta) \sin \theta d\theta - \int_0^\pi c(\theta) \sin \theta d\theta$$

is positive if and only if so is δ , and moreover it is a strictly increasing function of δ . Provided $|\varepsilon| \ll 1$, there is a unique $\delta = \delta(\varepsilon)$ which makes (4.6) true. We give now the expression of δ in

terms of ε up to second order. Let us start by considering the case $\varepsilon > 0$, depicted in Figure 12: we start by rewriting (4.6) as

$$\begin{aligned}\varepsilon &= \int_a^{b+\delta/2} (c_\varepsilon(\theta) - c(\theta)) \sin \theta \, d\theta \\ &= \int_a^{a+\delta} c_\varepsilon(\theta) \sin \theta \, d\theta + \int_a^{b-\delta/2} c(\theta) (\sin(\theta + \delta) - \sin \theta) \, d\theta - \int_{b-\delta/2}^{b+\delta/2} c(\theta) \sin \theta \, d\theta \\ &= \int_a^{a+\delta} c_\varepsilon(\theta) \sin \theta \, d\theta - \int_{b-\delta/2}^{b+\delta/2} c(\theta) \sin \theta \, d\theta + \int_a^{b-\delta/2} c(\theta) \left(\delta \cos \theta - \frac{1}{2} \delta^2 \sin \theta \right) d\theta + o(\delta^2).\end{aligned}\tag{4.9}$$

Let us now calculate more precisely the terms of the last line in (4.9). With regard to the first one, notice that for all $0 \leq t \leq \delta/2$ one has

$$c_\varepsilon(a+t) = c_\varepsilon(a+\delta-t) = c(a) + t(1+c(a)^2) + o(\delta),$$

so that

$$\begin{aligned}\int_a^{a+\delta} c_\varepsilon(\theta) \sin \theta \, d\theta &= \int_{t=0}^{\delta/2} \left(c(a) + t(1+c^2(a)) + o(\delta) \right) \left(\sin(a+t) + \sin(a+\delta-t) \right) dt \\ &= \delta c(a) \sin a + \frac{\delta^2}{4} (1+c^2(a)) \sin a + \frac{\delta^2}{2} c(a) \cos a + o(\delta^2).\end{aligned}\tag{4.10}$$

The second integral of last line in (4.9) can be estimated, as before and minding that $c(b+t) = c(b-t)$ for $0 \leq t \leq \delta/2$, as

$$\begin{aligned}\int_{b-\delta/2}^{b+\delta/2} c(\theta) \sin \theta \, d\theta &= \int_{t=0}^{\delta/2} \left(c(b) + t(1+c^2(b)) + o(\delta) \right) \left(\sin(b+t) + \sin(b-t) \right) dt \\ &= \delta c(b) \sin b + \frac{\delta^2}{4} (1+c^2(b)) \sin b + o(\delta^2).\end{aligned}\tag{4.11}$$

Finally, the third integral of last line in (4.9) is

$$\begin{aligned}\int_a^{b-\delta/2} c(\theta) \left(\delta \cos \theta - \frac{1}{2} \delta^2 \sin \theta \right) d\theta \\ = \delta \int_a^b c(\theta) \cos \theta \, d\theta - \frac{\delta^2}{2} c(b) \cos b - \frac{\delta^2}{2} \int_a^b c(\theta) \sin \theta \, d\theta + o(\delta^2).\end{aligned}\tag{4.12}$$

Putting then (4.10), (4.11) and (4.12) into (4.9), and recalling that $c' = -(1+c^2)$ in (a, b) , one finds

$$\begin{aligned}\varepsilon &= \delta \left(c(a) \sin a - c(b) \sin b + \int_a^b c(\theta) \cos \theta \, d\theta \right) + \frac{\delta^2}{4} \left((1+c(a)^2) \sin a \right. \\ &\quad \left. - (1+c^2(b)) \sin b + 2c(a) \cos a - 2c(b) \cos b - 2 \int_a^b c(\theta) \sin \theta \, d\theta \right) + o(\delta^2) \\ &= \delta \int_a^b (1+c^2(\theta)) \sin \theta \, d\theta + \frac{\delta^2}{4} \int_a^b (1+c^2(\theta)) \left(\cos \theta + 2c(\theta) \sin \theta \right) d\theta + o(\delta^2).\end{aligned}\tag{4.13}$$

We have then a second-order relation between ε and δ as desired. If $\varepsilon < 0$, one has to perform almost identical calculations, eventually leading again to the expression (4.13). Notice that the first coefficient is strictly positive since so is the integrand. Hence, δ and ε are of the same order.

Step II. Taylor expansion of $P(c_\varepsilon) - P(c)$.

To finish the proof, we should find the Taylor expansion of $P(c_\varepsilon) - P(c)$ up to the second order in ε , and check that the coefficient of the second term is strictly positive. However, c_ε is defined in a very simple way in terms of δ , not of ε : therefore, we will now find the expansion of $P(c_\varepsilon) - P(c)$ up to the second order in δ , and then we will recover the expansion in terms of ε by (4.13). Also in this case we show here the calculations when $\varepsilon > 0$. The case $\varepsilon < 0$ is similar and leads to the same formula.

Since the integral defining the perimeter is non-local, by (4.8) we have

$$P(c_\varepsilon; (a + \delta, b + \delta/2)) = P(c; (a, b - \delta/2)).$$

Therefore, by (4.1) and the properties of c and c_ε we can evaluate

$$\begin{aligned} P(c_\varepsilon) - P(c) &= P(c_\varepsilon; (a, a + \delta)) - P(c; (b - \delta/2, b + \delta/2)) \\ &= 2 \int_{t=0}^{\delta/2} \sqrt{1 + c_\varepsilon^2(a + t)} dt - 2 \int_{t=0}^{\delta/2} \sqrt{1 + c^2(b + t)} dt \\ &= 2 \int_{t=0}^{\delta/2} \sqrt{1 + c^2(a)} - \sqrt{1 + c^2(b)} + tc(a)\sqrt{1 + c^2(a)} - tc(b)\sqrt{1 + c^2(b)} dt + o(\delta^2) \quad (4.14) \\ &= \delta \left(\sqrt{1 + c^2(a)} - \sqrt{1 + c^2(b)} \right) + \frac{\delta^2}{4} \left(c(a)\sqrt{1 + c^2(a)} - c(b)\sqrt{1 + c^2(b)} \right) + o(\delta^2) \\ &= \delta \int_a^b c(\theta)\sqrt{1 + c^2(\theta)} d\theta + \frac{\delta^2}{4} \int_a^b \sqrt{1 + c^2(\theta)} (1 + 2c^2(\theta)) d\theta + o(\delta^2). \end{aligned}$$

Step III. Conclusion.

Putting together (4.13) and (4.14), we can now find the expansion of $D = P(c_\varepsilon) - P(c)$ in terms of ε . Indeed, keep in mind that, when

$$\varepsilon = \alpha\delta + \tilde{\alpha}\delta^2 + o(\delta^2), \quad D = \beta\delta + \tilde{\beta}\delta^2 + o(\delta^2)$$

and $\alpha > 0$, then

$$D = \frac{\beta}{\alpha} \varepsilon + \frac{1}{\alpha^3} (\alpha\tilde{\beta} - \tilde{\alpha}\beta) \varepsilon^2 + o(\varepsilon^2).$$

In our case, then, we have the validity of the Taylor expansion (4.7) with

$$\begin{aligned} A &= \frac{\int c(\theta)\sqrt{1 + c^2(\theta)}}{\int (1 + c^2(\theta)) \sin \theta}, \\ B &= \frac{\int (1 + c^2) \sin \theta \int \sqrt{1 + c^2} (1 + 2c^2) - \int (1 + c^2) (\cos \theta + 2c \sin \theta) \int c\sqrt{1 + c^2}}{4 \left(\int (1 + c^2) \sin \theta \right)^3}, \end{aligned}$$

where all the integrals are in (a, b) . Thus, we have concluded once we will show that

$$\int_a^b (1 + c^2) \sin \theta \int_a^b \sqrt{1 + c^2} (1 + 2c^2) > \int_a^b (1 + c^2) (\cos \theta + 2c \sin \theta) \int_a^b c\sqrt{1 + c^2}.$$

Recall now that, given four functions $f, g, \tilde{f}, \tilde{g}$ on the same interval, to ensure the validity of $\int f \int g > \int \tilde{f} \int \tilde{g}$ it is not enough to check that for all x one has $f(x)g(x) > \tilde{f}(x)\tilde{g}(x)$, but of

course it is enough to check that for all x and y one has $f(x)g(y) > \tilde{f}(x)\tilde{g}(y)$. We aim then to prove that for all $\theta, \varphi \in (a, b)$ one has

$$(1 + c^2(\theta)) \sin \theta \sqrt{1 + c^2(\varphi)} (1 + 2c^2(\varphi)) > (1 + c^2(\theta)) (\cos \theta + 2c(\theta) \sin \theta) c(\varphi) \sqrt{1 + c^2(\varphi)}$$

which is equivalent to

$$\sin \theta \left(1 + 2c(\varphi)(c(\varphi) - c(\theta)) \right) > c(\varphi) \cos \theta. \quad (4.15)$$

Since $\|c\|_{L^\infty} \leq \tan(\pi/6) = \sqrt{3}/3$ and c is positive in $(a, b) \subseteq (\pi/6, \pi)$, the left term is always positive, so the inequality (4.15) is trivially true if $\theta \geq \pi/2$. Hence, we have to show that

$$\tan \theta > \frac{c(\varphi)}{1 + 2c(\varphi)(c(\varphi) - c(\theta))}$$

for all $\varphi, \theta \in (a, b)$ with $\theta < \pi/2$. Being $\theta > a \geq \pi/6$, to get the full validity of (4.15) and then to conclude it is enough to verify that for all $\theta, \varphi \in (a, b)$

$$\frac{\sqrt{3}}{3} > \frac{c(\varphi)}{1 + 2c(\varphi)(c(\varphi) - c(\theta))},$$

which in turn is implied by the fact that

$$\max_{x, y \in [0, \sqrt{3}/3]} \frac{y}{1 + 2y(y - x)} = \frac{\sqrt{3}}{3}$$

and the sup is not reached in the interior of the square $[0, \sqrt{3}/3]^2$. \square

In Lemma 4.1 we have given the estimate (4.4), which gives a bound on the perimeter inside an interval of length at most $\pi/3$. Next lemma, instead, gives the bound (4.16) for larger intervals.

Lemma 4.3. *Let $I = [\bar{\theta}, \pi - \bar{\theta}]$ with $\pi/6 < \bar{\theta} < \pi/3$, and let $\tilde{c} : [0, \pi] \rightarrow \mathbb{R}$ be a function satisfying the assumptions (3.3) of Lemma 3.6. Then one has*

$$P(\tilde{c}; I) < 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I|\right). \quad (4.16)$$

Proof. Step I. The auxiliary problems.

We will argue by an approximation with a sequence of auxiliary problems. To this aim, we say that a function c satisfying (3.3) is a n -steps function if $I \cap \{c > 0\}$ is the union of at most n subintervals where $c' = 1 + c^2$ and at most n subintervals where $c' = -(1 + c^2)$. For instance,

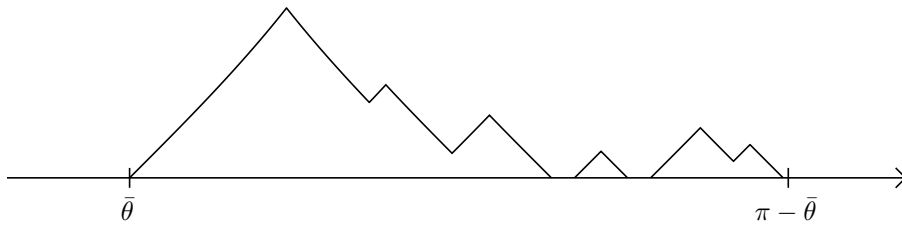


FIGURE 13. Example of a 6-steps function

the function in Figure 13 is a 6-steps function, but also an n -steps function for all $n \geq 6$.

The auxiliary problems are then defined as follows: for any $n \in \mathbb{N}$, we aim to find

$$\max \left\{ P(c; I) : c : [0, \pi] \rightarrow \mathbb{R}, c \text{ satisfies (3.3), } c \text{ is an } n\text{-steps function,} \right. \\ \left. c = \tilde{c} \text{ in } [0, \pi] \setminus I, \int_I c(\theta) \sin \theta d\theta \leq \int_I \tilde{c}(\theta) \sin \theta d\theta \right\}, \quad (4.17)$$

By trivial compactness, each of the problems (4.17) admits a solution c_n . Moreover, since the function \tilde{c} itself can be approximated with a sequence of n -steps functions admissible for the problems (4.17), it is $P(\tilde{c}; I) \leq \liminf P(c_n; I)$. Therefore, the thesis will be achieved if we prove that for a generic $n \in \mathbb{N}$ one has

$$P(c_n; I) \leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I|\right) - \omega\left(|I| - \frac{\pi}{3}\right), \quad (4.18)$$

where $\omega : (0, \pi/3] \rightarrow \mathbb{R}$ is a strictly positive function, not depending on n .

Let us then fix a number n and prove the estimate. First of all, we call I_j , with $j \geq 1$, all the maximal subintervals of I where c_n is strictly positive: for instance, the function in Figure 13 has three such intervals. Moreover, we set $I_0 = I \setminus \bigcup_{j \geq 1} I_j$: in the sequel, we will refer to all the I_j 's as “subintervals” of I , though of course I_0 may be not an interval. The restriction of c_n on each subinterval I_j with $j \geq 1$ is clearly still an n -steps function, but we see now that much more is true.

Step II. On each I_j with $j \geq 1$, the function c_n is either a 1-step function or a 2-steps function. Suppose by contradiction that for some I_j there exist three (or more) disjoint, maximal subintervals of I_j where c_n is decreasing with maximal slope. We can thus select ε with $|\varepsilon| \ll 1$ and apply Lemma 4.2 to the first and to the second subinterval with ε and $-\varepsilon$ respectively (notice that the L^∞ bound required by Lemma 4.2 is fulfilled thanks to Lemma 3.6). We have then now a function $c_{n,\varepsilon}$, which equals c_n out of a small neighborhood of the two subintervals, and which is still admissible for problem (4.17). Indeed, by construction $c_{n,\varepsilon}$ is still an n -steps function, and moreover

$$\int_I c_{n,\varepsilon}(\theta) \sin \theta d\theta = \int_I c_n(\theta) \sin \theta d\theta$$

thanks to (4.6), since the integral increases of ε in the first subinterval and decreases of ε in the second one. Being c_n optimal for problem (4.17), we deduce that

$$P(c_n; I) \geq P(c_{n,\varepsilon}; I). \quad (4.19)$$

Let us now use the Taylor expansion (4.7): there are constants A_1, A_2, B_1 and B_2 such that

$$P(c_{n,\varepsilon}; I) = P(c_n; I) + (A_1 - A_2)\varepsilon + (B_1 + B_2)\varepsilon^2 + o(\varepsilon^2).$$

But this is easily seen to be against (4.19): indeed, if $A_1 > A_2$ it is enough to take $0 < \varepsilon \ll 1$, and if $A_1 < A_2$ to take $-1 \ll \varepsilon < 0$, to find a first-order contradiction to (4.19). If $A_1 = A_2$, instead, regardless of the sign of ε we find a second-order contradiction since B_1 and B_2 are both strictly positive. The contradiction concludes this step.

Step III. A bound on the perimeter in the subintervals I_j , $j \geq 0$.

We can now give a bound on $P(c_n; I_j)$ for each of the subintervals I_j , $j \geq 0$, namely

$$P(c_n; I_j) \leq \begin{cases} \mathfrak{M}(|I_j|) & \text{if } |I_j| \leq \frac{\pi}{3}, \\ 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I_j|\right) - \omega\left(|I_j| - \frac{\pi}{3}\right) & \text{if } |I_j| > \frac{\pi}{3}. \end{cases} \quad (4.20)$$

Let us start by assuming $j \geq 1$. The first case is already proved by Lemma 4.1. Concerning the second case, notice that by Step II we already know that c_n in I_j is a 1-step function or a 2-steps function. We can exclude the first possibility, because in that case one would have

$$\|c_n\|_{L^\infty(I_j)} = \tan\left(\frac{|I_j|}{2}\right) > \tan\left(\frac{\pi}{6}\right) \geq \tan\left(\frac{\bar{\theta}}{2}\right),$$

against the L^∞ bound given by Lemma 3.6. We know then that in I_j the function c_n is made by four parts with maximal slope, the first and the third increasing, and the second and the fourth decreasing. Again keeping in mind Lemma 3.6, we know that all these four parts are shorter than $\bar{\theta}/2$ (by the way, this ensures that necessarily $|I_j| \leq 2\bar{\theta}$), and then inside $I_j =: (a, b)$ the function c_n is pointwise smaller (hence, it has a smaller perimeter) than

$$\begin{cases} \tan(\theta - a) & \text{for } a \leq \theta \leq a + \bar{\theta}/2, \\ \tan(a - \theta + \bar{\theta}) & \text{for } a + \bar{\theta}/2 \leq \theta \leq (a + b)/2, \\ \tan(\theta - b + \bar{\theta}) & \text{for } (a + b)/2 \leq \theta \leq b - \bar{\theta}/2, \\ \tan(b - \theta) & \text{for } b - \bar{\theta}/2 \leq \theta \leq b. \end{cases}$$

Finally, the perimeter inside $I_j = (a, b)$ of this latter function is exactly

$$P(c_n; I_j) = 2\mathfrak{M}(\bar{\theta}) - \mathfrak{M}(2\bar{\theta} - |I_j|),$$

as one can check with the same elementary calculation as in Lemma 4.1 or directly by an immediate geometric argument. Now, since $\bar{\theta} > 2\bar{\theta} - |I_j|$, by the strict convexity of \mathfrak{M} (which ensures also the strict subadditivity, being $\mathfrak{M}(0) = 0$), one finds

$$\begin{aligned} 2\mathfrak{M}(\bar{\theta}) - \mathfrak{M}(2\bar{\theta} - |I_j|) &\leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I_j|\right) - \tilde{\omega}\left(\frac{\pi}{3} - \bar{\theta}\right) \\ &= 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I_j|\right) - \omega\left(|I_j| - \frac{\pi}{3}\right), \end{aligned}$$

so that we have proved both cases of (4.20) for $j \geq 1$.

Consider now I_0 . If $|I_0| \leq \pi/3$, then

$$P(c_n; I_0) = |I_0| \leq \mathfrak{M}(|I_0|).$$

Conversely, if $|I_0| > \pi/3$, then

$$\begin{aligned} P(c_n; I_0) &= |I_0| = \frac{\pi}{3} + \left(|I_0| - \frac{\pi}{3}\right) = \mathfrak{M}\left(\frac{\pi}{3}\right) - \kappa + \left(|I_0| - \frac{\pi}{3}\right) \leq \mathfrak{M}\left(\frac{\pi}{3}\right) - \kappa + \mathfrak{M}\left(|I_0| - \frac{\pi}{3}\right) \\ &\leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \kappa - \mathfrak{M}\left(\frac{2}{3}\pi - |I_0|\right), \end{aligned}$$

where $\kappa = \mathfrak{M}(\pi/3) - \pi/3$ is a strictly positive constant. Hence, (4.20) is proved also in the case $j = 0$ so this step is concluded.

Step IV. The case when all subintervals I_j , $j \geq 0$, are shorter than $\pi/3$.

Suppose that all the intervals I_j are shorter or equal than $\pi/3$: then, we immediately get (4.18) using the first bound of (4.20) and keeping in mind the strict convexity of \mathfrak{M} , since

$$\begin{aligned} P(c_n; I) &= \sum_j P(c_n; I_j) \leq \sum_j \mathfrak{M}(|I_j|) \leq \mathfrak{M}\left(\frac{\pi}{3}\right) + \mathfrak{M}\left(|I| - \frac{\pi}{3}\right) \\ &\leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I|\right) - \omega\left(|I| - \frac{\pi}{3}\right). \end{aligned}$$

Step V. The case when a subinterval is strictly larger than $\pi/3$.

Since the length of I is between $\pi/3$ and $2\pi/3$, there can be at most a single subinterval strictly larger than $\pi/3$, say I_l . We use then the second and the first bound of (4.20) respectively for I_l and for all the other subintervals, and again the strict convexity and strict subadditivity of \mathfrak{M} , to find

$$\begin{aligned} P(c_n; I) &= \sum_j P(c_n; I_j) = P(c_n; I_l) + \sum_{j \neq l} P(c_n; I_j) \\ &\leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I_l|\right) + \sum_{j \neq l} \mathfrak{M}(|I_j|) - \omega\left(|I| - \frac{\pi}{3}\right) \\ &\leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I_l|\right) + \mathfrak{M}\left(\sum_{j \neq l} |I_j|\right) - \omega\left(|I| - \frac{\pi}{3}\right) \\ &\leq 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - \sum_j |I_j|\right) - \omega\left(|I| - \frac{\pi}{3}\right) \\ &= 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I|\right) - \omega\left(|I| - \frac{\pi}{3}\right). \end{aligned}$$

Therefore, we have proved (4.18) also in this last case and then the proof is completed. \square

4.2. Step 0 of the proof: no “large” intervals. In this Section we prove a bound on the perimeter of a function \bar{c} which has all the intervals of constant sign shorter than $\pi/3$.

Proposition 4.4. *Let $\bar{c} : \mathbb{S}^1 \rightarrow \mathbb{R}$ be an admissible function, i.e., satisfying (2.9) and such that all the intervals where \bar{c} has a constant sign are shorter or equal than $\pi/3$. Then, $P(\bar{c}) \leq P(\hat{c})$ and the equality holds if and only if $\bar{c} = \hat{c}$ up to a rotation.*

Proof. Up to a rotation, we may assume that $c(0) = c(\pi) = 0$. Let then I_j be the maximal subintervals of $(0, \pi)$ where \bar{c} has a constant sign. By additivity of the perimeter, one has

$$P(\bar{c}) = \sum P(\bar{c}; I_j) + P(\bar{c}; [0, \pi] \setminus \cup I_j).$$

By Lemma 4.1, by the fact that $\mathfrak{M}(x) \geq x$, and by the convexity and subadditivity of the functional \mathfrak{M} , we directly get

$$P(\bar{c}) \leq \sum \mathfrak{M}(|I_j|) + \left(\pi - \sum |I_j|\right) \leq 3\mathfrak{M}\left(\frac{\pi}{3}\right) = P(\hat{c}).$$

Moreover, by the strict convexity of \mathfrak{M} (and the fact that $\mathfrak{M}(x) > x$ when $x > 0$) the second inequality holds as an equality if and only if there are exactly three intervals I_j , all of length $\pi/3$. If this is the case, Lemma 4.1 again implies that the first inequality is an equality if and only if $\bar{c} = \hat{c}$ up to a rotation. \square

4.3. Step 1 of the proof: one “large” interval. In this Section we prove a bound on the perimeter of a function \bar{c} for which there exists exactly one interval strictly larger than $\pi/3$ where $\bar{c} > 0$ (then, also exactly one large interval where $\bar{c} < 0$).

Proposition 4.5. *Let $\bar{c} : \mathbb{S}^1 \rightarrow \mathbb{R}$ be an admissible function such that there exists only a single maximal interval I where $\bar{c} > 0$ such that $|I| > \pi/3$. Then $P(\bar{c}) < P(\hat{c})$.*

Proof. As before, we start assuming that $\bar{c}(0) = \bar{c}(\pi) = 0$. We distinguish two cases.

Case I. $\pi/3 < |I| < 2\pi/3$.

Let us denote by I_j the maximal subintervals of $(0, \pi)$ where \bar{c} has a constant sign and which are different from I . Arguing exactly as in the proof Proposition 4.4, we have then

$$P(\bar{c}) = P(\bar{c}; I) + \sum_j P(\bar{c}; I_j) + \left(\pi - |I| - \sum_j |I_j| \right) \leq P(\bar{c}; I) + \mathfrak{M}\left(\frac{\pi}{3}\right) + \mathfrak{M}\left(\frac{2}{3}\pi - |I|\right). \quad (4.21)$$

We need now to estimate $P(\bar{c}; I)$. Set now $\tilde{c} : \mathbb{S}^1 \rightarrow \mathbb{R}$ as $\tilde{c}(\theta) = \bar{c}(\theta - \varphi)$ where φ is chosen in such a way that $I + \varphi = (\bar{\theta}, \pi - \bar{\theta})$ where

$$\bar{\theta} = \frac{\pi - |I|}{2} \in \left(\frac{\pi}{6}, \frac{\pi}{3} \right).$$

We are then in position to use Lemma 4.3, hence (4.16) gives

$$P(\bar{c}; I) = P(\tilde{c}; (\bar{\theta}, \pi - \bar{\theta})) < 2\mathfrak{M}\left(\frac{\pi}{3}\right) - \mathfrak{M}\left(\frac{2}{3}\pi - |I|\right). \quad (4.22)$$

Inserting this inequality in (4.21), we directly get

$$P(\bar{c}) < 3\mathfrak{M}\left(\frac{\pi}{3}\right) = P(\hat{c}).$$

Case II. $|I| \geq 2\pi/3$.

In this case, applying Lemma 3.6 to a suitable rotation \tilde{c} of \bar{c} made as in Case I, we get that

$$\|\tilde{c}\|_{L^\infty(I)} \leq \tan\left(\frac{\pi - |I|}{4}\right).$$

Thus, calling again I_j the other intervals of constant sign of \bar{c} in $(0, \pi)$ and arguing as before, we find

$$\begin{aligned} P(\bar{c}) &\leq \mathfrak{M}(\pi - |I|) + P(\bar{c}; I) \leq \mathfrak{M}(\pi - |I|) + |I| \sqrt{1 + \tan^2\left(\frac{\pi - |I|}{4}\right)} \\ &\leq \mathfrak{M}\left(\frac{\pi}{3}\right) + \frac{2}{3}\pi \sqrt{1 + \tan^2\left(\frac{\pi}{12}\right)}, \end{aligned}$$

where the last inequality follows from the fact that the function

$$\left(\frac{2}{3}\pi, \pi\right) \ni x \mapsto \mathfrak{M}(\pi - x) + x\sqrt{1 + \tan^2\left(\frac{\pi - x}{4}\right)}$$

is strictly decreasing –which is elementary to check starting from the definition of \mathfrak{M} . To conclude, it is enough to check that

$$\frac{\pi}{3} \sqrt{1 + \tan^2\left(\frac{\pi}{12}\right)} < \mathfrak{M}\left(\frac{\pi}{3}\right),$$

which is again an elementary calculation. \square

4.4. Step 2 of the proof: two “large” intervals. In this last section we prove the bound on the perimeter for a function \bar{c} which has two intervals strictly larger than $\pi/3$ where $\bar{c} > 0$ (then, also two large intervals where it is $\bar{c} < 0$).

Proposition 4.6. *Let $\bar{c} : \mathbb{S}^1 \rightarrow \mathbb{R}$ be an admissible function such that there are two maximal intervals strictly larger than $\pi/3$ where $\bar{c} > 0$. Then, $P(\bar{c}) < P(\hat{c})$.*

Proof. Part I. Preliminary observations and setting of the auxiliary problem.

We start by assuming that the two large intervals, call it I_1 and I_2 , are both contained in $(0, \pi)$ and that $I_1 = (0, |I_1|)$, which is admissible up to a rotation. Recall that, as seen in the proof of Case II of Proposition 4.5 above, if an admissible function c contains an interval of constant sign larger than $2\pi/3$ then $P(c) \leq P(\hat{c}) - \delta_0$ for a strictly positive constant δ_0 . Hence, by continuity, there exists a strictly positive constant $\varepsilon_0 \ll 1$ such that, if the distance between the intervals I_1 and I_2 of \bar{c} is smaller than ε_0 , then $P(\bar{c}) < P(\hat{c})$. Thus we may assume without loss of generality that $\text{dist}(I_1, I_2) \geq \varepsilon_0$.

We introduce now the auxiliary problem that we will use through the proof. We denote by \mathcal{Z} the set of the functions $c : [0, \pi] \rightarrow \mathbb{R}$ which will be considered for the auxiliary problem, that is, the functions such that:

- $c(0) = c(\pi) = 0, |c'| \leq 1 + c^2, \int_0^\pi c(\theta) \sin \theta d\theta \leq 0$;
- there exist two open intervals $I_1 = (0, |I_1|)$ and I_2 such that $c \geq 0$ on both I_j , $c = 0$ on ∂I_j , and $|I_j| = \frac{\pi}{3} + \ell_j$ with $\ell_1, \ell_2 \geq 0$;
- $\text{dist}(I_1, I_2) \geq \varepsilon_0$, and $c \leq \tan\left(\frac{\pi}{6} - \frac{\ell_j}{4}\right)$ in I_j .

Notice that, due to the last condition, the closed intervals \bar{I}_1 and \bar{I}_2 might not be maximal intervals where $c \geq 0$. Moreover, \bar{c} clearly belongs to \mathcal{Z} , due to the L^∞ estimate given by Lemma 3.6. It is straightforward to notice that \mathcal{Z} is compact with respect to uniform convergence, hence the problem

$$\max \left\{ P(c) : c \in \mathcal{Z} \right\} \tag{4.23}$$

has a solution. We state the following

Claim: Problem (4.23) admits \hat{c} as the unique solution.

The proposition will immediately follow once this Claim is established.

Let us now take a solution \tilde{c} of problem (4.23) and divide the proof of the claim in three distinct cases, namely whether the intervals \tilde{I}_1 and \tilde{I}_2 are both of width $\pi/3$, or if just one is larger, or if both are larger.

Part II. If $|\tilde{I}_1| = |\tilde{I}_2| = \frac{\pi}{3}$, then $\tilde{c} = \hat{c}$.

In this case, the intervals of constant sign of \tilde{c} are all shorter or equal than $\pi/3$, so arguing exactly as in Proposition 4.4 (where we did not really use the assumption (2.9)) we get $P(\tilde{c}) \leq P(\hat{c})$. On the other hand, since $\hat{c} \in \mathcal{Z}$ the reverse inequality is trivial, and thus Proposition 4.4 tells us also that $\tilde{c} = \hat{c}$ up to a rotation. Since $\tilde{c}(0) = 0$ and \tilde{c} is positive in $(0, \pi/3)$, we conclude that $\tilde{c} = \hat{c}$.

Part III. It is not possible that $|\tilde{I}_1| > |\tilde{I}_2| = \frac{\pi}{3}$ or $|\tilde{I}_2| > |\tilde{I}_1| = \frac{\pi}{3}$.

Assume that $|\tilde{I}_1| > |\tilde{I}_2| = \frac{\pi}{3}$ (the other case being identical), and denote by \tilde{J}_1 the interval between \tilde{I}_1 and \tilde{I}_2 , and \tilde{J}_2 the interval between \tilde{I}_2 and π . Notice that \tilde{J}_1 has length at least ε_0 , while \tilde{J}_2 is possibly empty. We will obtain this part by showing that $P(\tilde{c}) < P(\hat{c})$, a contradiction with the optimality of \tilde{c} . To do so, we split

$$\begin{aligned} P(\tilde{c}) &= P(\tilde{c}; \tilde{I}_1) + P(\tilde{c}; \tilde{J}_1) + P(\tilde{c}; \tilde{I}_2) + P(\tilde{c}; \tilde{J}_2) \\ &\leq P(\tilde{c}; \tilde{I}_1) + \mathfrak{M}(|\tilde{J}_1|) + \mathfrak{M}(|\tilde{I}_2|) + \mathfrak{M}(|\tilde{J}_2|) \leq P(\tilde{c}; \tilde{I}_1) + \mathfrak{M}\left(\frac{\pi}{3}\right) + \mathfrak{M}(|\tilde{J}_1| + |\tilde{J}_2|) \\ &= P(\tilde{c}; \tilde{I}_1) + \mathfrak{M}\left(\frac{\pi}{3}\right) + \mathfrak{M}\left(\frac{\pi}{3} - \tilde{\ell}_1\right), \end{aligned}$$

so that the searched contradiction will follow once we show that

$$P(\tilde{c}; \tilde{I}_1) + \mathfrak{M}\left(\frac{\pi}{3} - \tilde{\ell}_1\right) < 2\mathfrak{M}\left(\frac{\pi}{3}\right). \quad (4.24)$$

Recalling that $\tilde{c} \leq \tan(\pi/6 - \tilde{\ell}_1/4)$ on \tilde{I}_1 and $\tilde{c} = 0$ on $\partial\tilde{I}_1$, we get that, inside \tilde{I}_1 ,

$$\tilde{c}(\theta) \leq \begin{cases} \tan \theta & \text{for } 0 \leq \theta \leq \frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}, \\ \tan\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}\right) & \text{for } \frac{\pi}{6} - \frac{\tilde{\ell}_1}{4} \leq \theta \leq \frac{\pi}{6} + \frac{5}{4}\tilde{\ell}_1, \\ \tan\left(\frac{\pi}{3} + \tilde{\ell}_1 - \theta\right) & \text{for } \frac{\pi}{6} + \frac{5}{4}\tilde{\ell}_1 \leq \theta \leq \frac{\pi}{3} + \tilde{\ell}_1, \end{cases}$$

and thus

$$P(\tilde{c}; \tilde{I}_1) \leq \mathfrak{M}\left(\frac{\pi}{3} - \frac{\tilde{\ell}_1}{2}\right) + \frac{3}{2}\tilde{\ell}_1 \frac{1}{\cos\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}\right)}.$$

Keeping in mind formula (4.2) for \mathfrak{M} , (4.24) can be reduced to check that

$$2 \ln \left(\frac{1 + \sin\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}\right)}{\cos\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}\right)} \right) + \frac{3}{2}\tilde{\ell}_1 \frac{1}{\cos\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}\right)} + 2 \ln \left(\frac{1 + \sin\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{2}\right)}{\cos\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{2}\right)} \right) < 4 \ln \left(\frac{1 + \sin \frac{\pi}{6}}{\cos \frac{\pi}{6}} \right),$$

and since $0 < \tilde{\ell}_1 \leq \pi/3 - \varepsilon_0 < \pi/3$, we will be done if we show that

$$\phi(x) < 0 \quad \text{in} \quad \left(0, \frac{\pi}{12}\right), \quad (4.25)$$

where

$$\phi(x) := 2 \ln \left(\frac{1 + \sin \left(\frac{\pi}{6} - x \right)}{\cos \left(\frac{\pi}{6} - x \right)} \right) + \frac{6x}{\cos \left(\frac{\pi}{6} - x \right)} + 2 \ln \left(\frac{1 + \sin \left(\frac{\pi}{6} - 2x \right)}{\cos \left(\frac{\pi}{6} - 2x \right)} \right) - 4 \ln \left(\frac{1 + \sin \frac{\pi}{6}}{\cos \frac{\pi}{6}} \right).$$

Since $\phi(0) = 0$, it is enough to check that $\phi'(x) < 0$ in $(0, \pi/12)$, that is, recalling also (4.3),

$$\frac{4 - 6x \tan \left(\frac{\pi}{6} - x \right)}{\cos \left(\frac{\pi}{6} - x \right)} - \frac{4}{\cos \left(\frac{\pi}{6} - 2x \right)} < 0 \quad \text{in } \left(0, \frac{\pi}{12} \right).$$

Being $\tan(\pi/6 - x) > \pi/6 - x$, the previous inequality is certainly true if we show that

$$\frac{4 - 6x \left(\frac{\pi}{6} - x \right)}{\cos \left(\frac{\pi}{6} - x \right)} - \frac{4}{\cos \left(\frac{\pi}{6} - 2x \right)} < 0 \quad \text{in } \left(0, \frac{\pi}{12} \right),$$

which is in turn equivalent to show

$$6x^2 - \pi x + 4 < \frac{4 \cos \left(\frac{\pi}{6} - x \right)}{\cos \left(\frac{\pi}{6} - 2x \right)} \quad \text{in } \left(0, \frac{\pi}{12} \right). \quad (4.26)$$

Summarizing, to conclude this part we have reduced (4.25) to (4.26). Notice that the two functions on the left and on the right side of this inequality coincide at $x = 0$, therefore to prove (4.26) it is enough to show that the corresponding inequality for the derivatives, i.e.,

$$12x - \pi < 4 \frac{\sin x - \cos \left(\frac{\pi}{6} - x \right) \sin \left(\frac{\pi}{6} - 2x \right)}{\cos^2 \left(\frac{\pi}{6} - 2x \right)} \quad \text{in } \left(0, \frac{\pi}{12} \right).$$

Since $\sin x > 0$ and $\cos(\pi/6 - x) < \cos(\pi/6 - 2x)$, we further reduce to

$$4 \tan \left(\frac{\pi}{6} - 2x \right) < \pi - 12x \quad \text{in } \left(0, \frac{\pi}{12} \right).$$

We finally conclude observing that the function in the left is strictly convex and the function in the right is affine, and noticing that the inequality holds for $x = 0$ while the equality holds for $x = \pi/12$.

Part IV. It is not possible that $|\tilde{I}_1|, |\tilde{I}_2| > \frac{\pi}{3}$.

Let \tilde{J}_1 and \tilde{J}_2 be the intervals as in Step III. This last step will be the more involved one, so we further divide it into three substeps.

Part IV.1. \tilde{c} is “minimal” in \tilde{J}_1 and \tilde{J}_2 .

Since $\tilde{c} = 0$ on $\partial \tilde{J}_j$, then by the constraint on \tilde{c}' one has the pointwise inequality

$$|\tilde{c}(\theta)| \leq \tan \left(\text{dist}(\theta, \partial \tilde{J}_j) \right) \quad \text{in } \tilde{J}_j.$$

Since by replacing \tilde{c} with $-\tan(\text{dist}(\theta, \partial\tilde{J}_j))$ the perimeter would increase and the resulting function would still belong to \mathcal{Z} , we may assume without loss of generality that

$$\tilde{c}(\theta) = -\tan\left(\text{dist}(\theta, \partial\tilde{J}_j)\right) \quad \text{in } \tilde{J}_j.$$

Part IV.2. Shape of \tilde{c} in \tilde{I}_1 and \tilde{I}_2 .

We aim to prove that \tilde{c} decreases with the maximal possible slope in a left neighborhood of the right endpoint of \tilde{I}_1 , more precisely

$$\tilde{c}(\theta) = \tan\left(|\tilde{I}_1| - \theta\right) \quad \text{in } \left[|\tilde{I}_1| - \frac{|\tilde{J}_1|}{2}, |\tilde{I}_1|\right]. \quad (4.27)$$

Indeed, let us define

$$\theta^- := \min \left\{ \theta \geq 0 : \tilde{c}(\varphi) = \tan\left(|\tilde{I}_1| - \varphi\right) \text{ in } [\theta, |\tilde{I}_1|] \right\},$$

so that (4.27) amounts to show that $\theta^- \leq |\tilde{I}_1| - |\tilde{J}_1|/2$. To prove this inequality, fix $0 < \varepsilon \ll 1$ and set

$$\hat{\theta} := \max \left\{ \theta \in \tilde{I}_1 : \tilde{c}(\theta) = \tan\left(|\tilde{I}_1| - \varepsilon - \theta\right) \right\}.$$

Clearly, $\hat{\theta} < \theta^-$ and $\hat{\theta} = \theta^- + o(1)$ when $\varepsilon \rightarrow 0$. We set now the function c^* , shown in Figure 14,

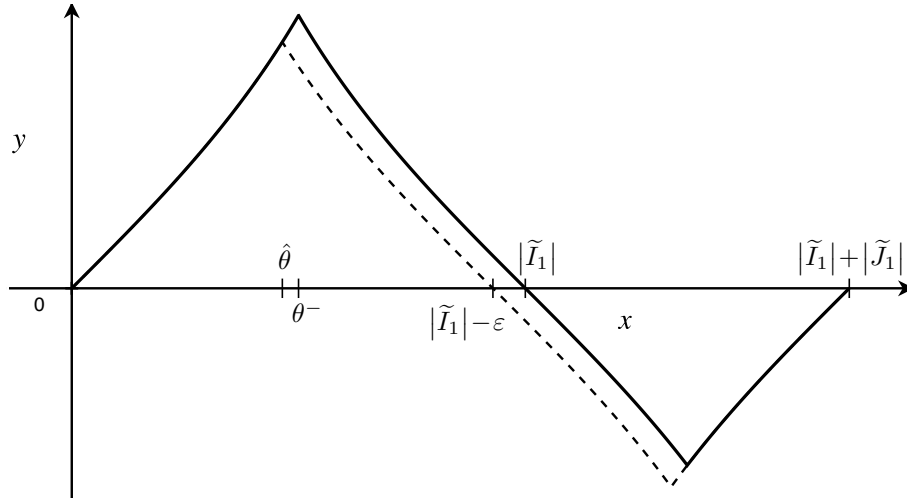


FIGURE 14. The functions \tilde{c} (continuous) c^* (dashed) in Step IV.2

as follows:

$$c^*(\theta) := \begin{cases} \tilde{c}(\theta) & \text{if } 0 \leq \theta \leq \hat{\theta}, \\ \tan\left(|\tilde{I}_1| - \varepsilon - \theta\right) & \text{if } \hat{\theta} \leq \theta \leq |\tilde{I}_1| + |\tilde{J}_1|/2 - \varepsilon/2, \\ \tan\left(\theta - |\tilde{I}_1| - |\tilde{J}_1|\right) & \text{if } |\tilde{I}_1| + |\tilde{J}_1|/2 - \varepsilon/2 \leq \theta \leq |\tilde{I}_1| + |\tilde{J}_1|/2, \\ \tilde{c}(\theta) & \text{if } |\tilde{I}_1| + |\tilde{J}_1|/2 \leq \theta \leq \pi. \end{cases}$$

We claim that c^* belongs to \mathcal{Z} if ε is small enough. Indeed, $c^* \geq 0$ in $I_1^* = [0, |\tilde{I}_1| - \varepsilon]$ and $|I_1|^* \geq \pi/3$ as soon as $\varepsilon \leq |\tilde{I}_1| - \pi/3$, and moreover, concerning the L^∞ bound in I_1^* , it holds since

$$\|c^*\|_{L^\infty(I_1^*)} \leq \|\tilde{c}\|_{L^\infty(\tilde{I}_1)} \leq \tan\left(\frac{\pi}{6} - \frac{\tilde{\ell}_1}{4}\right) < \tan\left(\frac{\pi}{6} - \frac{\ell_1^*}{4}\right).$$

It is easily checked that

$$P(c^*) - P(\tilde{c}) = \varepsilon \left(\frac{1}{\cos(|\tilde{J}_1|/2)} - \frac{1}{\cos(|\tilde{I}_1| - \theta^-)} \right) + o(\varepsilon),$$

hence by the maximality of \tilde{c} the inequality (4.27) follows.

In a perfectly analogous way, one can prove the same property in a right neighborhood of the left endpoint and in a (possibly empty, in case $\tilde{J}_2 = \emptyset$) left neighborhood of the right endpoint of \tilde{I}_2 , that is,

$$\tilde{c}(\theta) = \tan\left(\theta - (|\tilde{I}_1| + |\tilde{J}_1|)\right) \quad \text{in } \left[|\tilde{I}_1| + |\tilde{J}_1|, |\tilde{I}_1| + \frac{3}{2}|\tilde{J}_1|\right], \quad (4.28)$$

$$\tilde{c}(\theta) = \tan\left(|\tilde{I}_1| + |\tilde{J}_1| + |\tilde{I}_2| - \theta\right) \quad \text{in } \left[|\tilde{I}_1| + |\tilde{J}_1| + |\tilde{I}_2| - \frac{|\tilde{J}_2|}{2}, |\tilde{I}_1| + |\tilde{J}_1| + |\tilde{I}_2|\right]. \quad (4.29)$$

Part IV.3. Conclusion.

Let us consider the function c^* drawn in Figure 15, where $(c^*)' = \pm(1 + (c^*)^2)$ in $\{c^* \neq 0\}$, so that c^* is built by suitable translations of $\pm \tan \theta$, and the constants a, \dots, f are given by

$$a = |\tilde{I}_1| - |\tilde{J}_1|, \quad b = |\tilde{I}_1|, \quad c = |\tilde{I}_1| + |\tilde{J}_1|, \quad d = |\tilde{I}_1| + 2|\tilde{J}_1|, \quad e = \pi - 2|\tilde{J}_2|, \quad f = \pi - |\tilde{J}_2|.$$

Notice that, as in the figure, $e > d$: indeed,

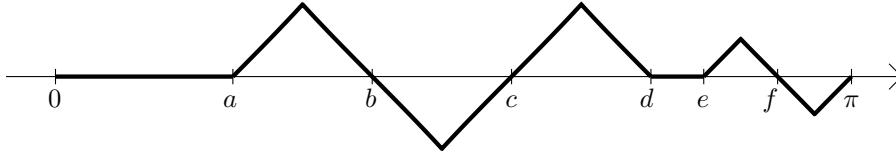


FIGURE 15. The function c^* in Step IV.3

$$e = \pi - 2|\tilde{J}_2| = |\tilde{I}_1| + |\tilde{J}_1| + |\tilde{I}_2| - |\tilde{J}_2| = d + |\tilde{I}_2| - (|\tilde{J}_1| + |\tilde{J}_2|) > d.$$

Putting together Steps IV.1 and IV.2, we know that $c^* \leq \tilde{c}$ pointwise in $[0, \pi]$, so that

$$\int_0^\pi c^*(\theta) \sin \theta \, d\theta \leq \int_0^\pi \tilde{c}(\theta) \sin \theta \, d\theta \leq 0. \quad (4.30)$$

However, it is clear that

$$\int_e^\pi c^*(\theta) \sin \theta \, d\theta \geq 0, \quad (4.31)$$

while

$$\begin{aligned} \int_a^d c^*(\theta) \sin \theta \, d\theta &= \int_a^b c^*(\theta) \left(\sin \theta - \sin(\theta + |\tilde{J}_1|) + \sin(\theta + 2|\tilde{J}_1|) \right) d\theta \\ &= \int_a^b c^*(\theta) \sin(\theta + |\tilde{J}_1|) \left(2 \cos(|\tilde{J}_1|) - 1 \right) d\theta > 0 \end{aligned} \quad (4.32)$$

since $|\tilde{J}_1| < \pi/3$. Putting together (4.31) and (4.32), we get a contradiction to (4.30), thus finally concluding Step IV. \square

We can now proceed to the proof of Theorem 3.

Proof of Theorem 3. Let \bar{c} be a function maximizing the perimeter, and consider the maximal open intervals in \mathbb{S}^1 where \bar{c} has a constant sign. Since $P(\bar{c}) \geq P(\hat{c})$ by optimality, Propositions 4.5 and 4.6 below ensure that all these intervals are shorter than $\pi/3$. Then, the result follows from Proposition 4.4 below. \square

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